# The Oscillating Neutrino (experiments)

#### **Summary**

- Introduction : Neutrino masses and oscillations
- Neutrino sources and experiments: reactors, accelerators, cosmic rays, sun

Possible evidence for oscillations: Accelerators (LSND) Sun Cosmic Rays (atmospheric neutrinos)

• Future

• Main emphasis : atmospheric neutrinos (MACRO, Superkamiokande)

• Beautiful report Los Alamos Science number 25 " Celebrating the Neutrino" (1998)190 pages it is free!!

### Introduction: Milestones in the Oscillating Neutrino

- **1930** Pauli : the "neutrons" to explain the missing energy
- 1934 Fermi : theory of beta decay and the word "neutrino"
- 1956 Reines and Cowan et al.: first direct detection of electron neutrino
- 1957 Pontecorvo : suggestion of neutrino oscillations
- 1963 Lederman Schwartz Steinberg detection of muon neutrino
- 1965 (Reines in South Africa and the KGF experiment in India) : first detection of atmospheric neutrinos

• 1968 Davis et al.: first detection of neutrinos from the SUN. Flux lower than expected.

- 1986 Beginning of the Atmospheric Neutrino Anomaly (IMB - Usa and then Kamiokande Japan)
- 1995 LSND experiment anomaly(Los Alamos)

• 1998 Evidence for Oscillations in the Atmospheric Neutrinos? (Superkamiokande, MACRO, Soudan2...)

#### Introduction: Direct Measurement of the Neutrino Masses

• based on the missing energy distributions experimental limitations due to the resolution of the energy measurement

• Ve : Beta Decay

 $n \rightarrow p + e^- + v_e$ (N,Z)  $\rightarrow$ (N-1,Z+1) + e<sup>-</sup>

Tritium Beta decay using a magnetic spectrometer (Troitsk experiment)

> Tritium(2,1)  $\rightarrow$ Helium(1,2)+ em(v<sub>e</sub>)<3 eV/c<sup>2</sup> (98% CL)

•  $\nabla \mu$ :  $\pi \rightarrow \mu + \nu_{\mu}$  decay m( $\nu_{\mu}$ ) < 0.19 MeV/c<sup>2</sup> (95% CL)

• 
$$\nabla_{\tau}$$
 :  $e^+e^- \rightarrow \tau^+ \tau^-$   
 $\tau^+ \rightarrow 3 \pi^{+-} \nu_{\tau}$  and other decays  
 $m(\nu_{\tau}) < 18.2 \text{ MeV/c}^2$  (95% CL)

# Introduction: Direct Measurement of the Neutrino Masses Tritium spectrum near the end point



#### Introduction: The Oscillating Neutrino

• Pontecorvo suggestion :

if we postulate

1) Neutrino have different masses

2) The Weak eigenstate is a mixture of Mass Eingenstate then:

$$\begin{bmatrix} \mathbf{v}_{\boldsymbol{\mu}} \\ \mathbf{v}_{\mathbf{e}} \end{bmatrix} = \begin{bmatrix} \cos(\theta) & \sin(\theta) & | \mathbf{v}_{\mathbf{1}} \\ -\sin(\theta) & \cos(\theta) & | \mathbf{v}_{\mathbf{2}} \end{bmatrix}$$

( $\theta$  is called mixing angle)

and the probability of oscillations for two neutrinos is :

$$Posc = \sin^2(2\theta) \sin^2\left(1.27\Delta m^2 \frac{L}{E}\right)$$

(L in meters, E in MeV,  $\Delta m^2 = m_1^2 - m_2^2$  in  $(eV/c^2)^2$ 

#### **Introduction: The Oscillating Neutrino**

• The results of the experiments is usually given as function of the oscillation probability for two neutrinos, but the general case involves 3 neutrinos

• Oscillating Neutrino crossing the Sun/Earth could have a "Matter Effect" (MSW). This occurs when the two oscillating neutrinos have different interactions in the matter (for example the  $v_e$  has interaction with electrons in the matter different from  $v_{\mu}$ .

• Neutrino oscillations and masses ==>> significant changes to the Standard Model

(==>> Fogli - Altarelli)



• not well defined (Feldman Cousins) also called

exclusion plot ( for me is often a confusion plot)

### Neutrino sources and experiments

• The experiments are of two kinds :

a) appearance experiments : looking for neutrino of different kind respect to the beam

(LSND experiment) Small values of the mixing angle can be measured several combinations :

 $v_{\mu}v_{\tau}$ ,  $v_{\mu}v_{e}$ 

b) disappearance experiments : they measures the flux of neutrinos similar to the one in the beam (solar and atmospheric )
Only large values of the mixing angle can be measured

• In both cases the behavior of the counting rates as function L/E is important to identify the oscillation

pattern

# Neutrino sources and experiments

Source	Neutrino	L	E	Туре	Δm <sup>2</sup>
	Beam	meters	MeV		min
					(eV2)
Reactors	$\overline{v}_e$	10÷	3	dis.	≈10-3
Chooz		103			
Accellerators	$\overline{v}_{u} v_{u}$	30	70	app $\overline{v}_e$	≈10-1
low energies	$V_{\rho}$				
LSND	e				
Accelerators	$\nu_{\mu}$	103	26*	app $v_{\tau}$	≈1
high energy	$v_e$		103		
Nomad					
Chorus					
Atmospheric	$\overline{v}_{\mu} v_{\mu}$	104÷	100 ÷	dis	≈ 10-4
	$\overline{v}_e^{\mu} v_e^{\mu}$	107	106		
Solar	v <sub>e</sub>	1011	0.1	dis	10-11
			÷10		
Future : Long	$\overline{v}_{\mu} v_{\mu}$	106	104	app $v_{\tau}$	≈10-3
Base Line				dis	
Beam					

#### • dis = disappearance, app= appearance

### Neutrino sources and experiments : negative results from accelerators

#### $(\mathbf{v}_{\mu} \rightarrow \mathbf{v}_{\tau})$



• The signature is a  $\tau$  produced by  $\nu_{\tau}$  interaction

#### Neutrino at reactors Chooz France Italy USA



• Gd loaded liquid scintillator to have a good detections of neutrons  $(\overline{v}_e \ p = \Longrightarrow e^+ n)$ 

n capture after 30µsec with 8 Mev signal)

### Neutrino sources and experiments : negative results from reactors



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#### Possible evidence for oscillations: LNSD results $(v_{\mu} \rightarrow v_{e})$

# • the only positive result using neutrino artificially produced

- Los Alamos : 800 MeV proton beam
- neutrino are produced at rest in the following way:



### Possible evidence for oscillations: LNSD results (vµ→ ve)

- $v_{\mu}$  neutrino are produced from  $\pi$  + decay in fligth
- detection of  $v_e$  using quasi-elasting scattering:

$$v_e C \rightarrow e N^*$$

• almost a different experiment : different L, higher energy neutrinos, different signature, different background



# LNSD/Karmen disagreement?

Karmen 1 : detector similar to LSND 10 times less statistics 7.3 ± 7.0 event as excess consistent with LSND

Karmen 2 : in progress
 Better veto shielding
 Expected Background 7.8 events
 3 events found
 oscillating signal expected ≈ 1 events (LSND)

• The interpretation depends on the statistical treatment of the data and to the way to give upper limits when the measured numbers are less than the expected background

• Nice paper of Feldman-Cousins on Phys Rev D 1998.

• If you believe to the background evaluation using the Feldman-Cousins preocedures

Karmen2 is inconsistent with LSND at 90% CL.

#### **The Sun as Neutrino Source**





• Experiments

Homestake(USA) Chlorine

 $v_e + 37Cl \rightarrow 37Ar^* + e^-$  Eth=0.8 MeV

1 atom /day 25 years of data 37Ar<sup>\*</sup>  $\tau$  =11 days

SAGE(Russia) and Gallex (Gran Sasso) Gallium

 $v_e + 71Ga \rightarrow 71Ge^* + e^- Eth=0.23 \text{ MeV}$ 

1 atom/day 71Ge\*  $\tau$  =35 days

Kamiokande and SuperKamiokande (Japan)water ve e → ve e (elastic scattering) Eth=6.5 MeV

# **SUN GALLEX at Gran Sasso**



### SUN GALLEX at Gran Sasso



# SUN Superkamiokande



#### SUN: Neutrino flux measurements



 There is a ≈ 50% reduction of the measured flux respect expectations. How reliable are the expectations? A lot of theoretical work in the past years

### SUN : Theoretical Predictions

• 39 Experts agree on cross section and systematic Rev Mod Phys Oct 1998

#### • Bahcal - Pinsonneault revised model 1998

Table 1

Standard Model Predictions (BP98): solar neutrino fluxes and neutrino capture rates, with  $1\sigma$ uncertaintics from all sources (combined quadratically).

Sour	ce Flux	CI	Ga
	$(10^{10} \text{ m}^{-2} \text{s}^{-1})$	(SNU)	(SNU)
рр	$5.94(1.00^{+0.01}_{-0.01})$	0.0	69.6
$\mathbf{pep}$	$1.39 \times 10^{-2} \left( 1.00^{+0.01}_{-0.01}  ight)$	0.2	2.8
hep	$2.10 \times 10^{-7}$	0.0	0.0
<sup>7</sup> Be	$4.80 imes10^{-1}\left(1.00^{+0.09}_{-0.09} ight)$	1.15	34.4
$^{8}B$	$5.15 imes10^{-4}\left(1.00^{+0.19}_{-0.14} ight)$	5.9	12.4
$^{13}N$	$6.05 \times 10^{-2} (1.00^{+0.19}_{-0.13})$	0.1	3.7
$^{15}\mathrm{O}$	$5.32 imes10^{-2}\left(1.00^{+0.22}_{-0.15} ight)$	0.4	6.0
$^{17}\mathrm{F}$	$6.33  imes 10^{-4} \left( 1.00^{+0.12}_{-0.11}  ight)$	0.0	0.1
Tota	1	$7.7^{+1.2}_{-1.0}$	$129^{+8}_{-6}$

#### **SUN-Theoretical Predictions**



#### **SUN- Superkamiokande**

13.5 events/day more than 1 order of magnitude respect to past experiments
enough statistic to look to day/night effects (to see matter effect) and to seasonal variations no effect found

• electronic device ==>> possibility to measure the electron direction and to measure the energy



### SUN- Superkamiokande energy distribution



### SUN- Superkamiokande Vacuum oscillations (just-so)



#### SUN- Superkamiokande Matter oscillations





### SUN- Conclusions (Global Analysis of Bahcal Krastev Smirnov)

• Input : all experiments + SK Energy dependence, Day/Night data

Matter effect (MSW)
Best solution Confidence Level=7%
Δm<sup>2</sup>=5 x 10<sup>-6</sup> eV<sup>2</sup> sin<sup>2</sup>(θ)= 5.5 x 10<sup>-3</sup>
the large angle solution CL≈1%

• Vacuum oscillations Confidence Level=6%  $\Delta m^2=6.5 \ge 10^{-11} eV^2 \sin^2(\theta) = 0.75$ 

 $\bullet$  Standard Solar Models without oscillations inconsistent with the data at 20  $\sigma$ 

# Atmospheric neutrinos : the beam

• Neutrinos are produced in the hadronic cascade produced from the primary cosmic interacting in the atmosphere

• Basic scheme :

$$p+N \rightarrow n \pi / k + ..$$
  

$$\pi / k \rightarrow \mu + (\mu^{-}) + \nu_{\mu} (\nu_{\mu})$$
  

$$\mu + (\mu^{-}) \rightarrow e^{+} (e^{-}) + \nu_{e} (\nu_{e})$$
  

$$+ \nu_{\mu} (\nu_{\mu})$$

==>> at low energies about twice muon neutrinos respect to electron neutrinos.



# The first Atmospheric neutrino anomaly

• The contained events with a single muon are less than expected compared with the events with a single electrons



# • Note : the "error " for R is from the binomial distribution

# Atmospheric neutrinos : Sources of uncertainties in a detailed calculation:

• Experimental data on the cosmic ray spectra and nuclear composition

• Experimental data on proton-nucleus and nucleusnucleus interaction (up to 1000 GeV)

• Data on the strength of the geomagnetic field (at low energies) , solar modulation

• Constraint from the measurement of the muon flux (as function of the height)

• At E >≈1 GeV the effect of the geo-magnetic field is small ==>>:

• UP-DOWN symmetry

• Angular Distributions are almost independent from the theoretical predictions

• R=  $\frac{(\mu/e)data}{(\mu/e)MC}$  almost intependent from the

theoretical predictions (±5%)

# Atmospheric neutrinos : the beam at low energies (≈1GeV)

Barr et al. (BGS) Honda et al. (HKHM) Bugaev and Naumov (BN) Battistoni et al (Fluka)

• Significant differences in the absolute flux calculation: for example for  $\overline{\nu}_{\mu}$  +  $\overline{\nu}_{\mu}$  and energies between 0.4 and 1 GeV (flux normalized to BGS)

		Flux ( $\overline{\nu}_{\mu} + \overline{\nu}_{\mu}$	)	$v_e/v_\mu$
BGS	1		0.48	
HKHM		0.90	0.49	
BN		0.63	0.50	
Fluka		0.86	0.48	

• main difference : different treatment of pion production by the interactions of protons in the atmosphere.

• but practically same value for the ratio  $v_e/v_{\mu}$ 

• BGS theoretical error on  $R \approx \pm 5\%$ (warning theoretical errors are generally not gaussian)

• from muon flux measurement in the atmosphere (MASS experiment) Perkins R = 0.49 for E = 1 GeV

### Atmospheric neutrinos : the beam at high energies (≈100 GeV)

- the contribution of the kaons is important (50% in the interval 10 < E < 1000)
- comparison of different calculation in a recent paper (Agrawal et al Phys Rev D 53)
- 18 % estimated error on the flux in the interval 10 < E < 1000, 14% error with the muon flux measurement as constraint</li>

• main sources of uncertainty : primary cosmic ray spectra and composition



#### Neutrino flux respect to the "Bartol" flux

# **Atmospheric neutrinos : Energies of interest**

• The energy of the parent neutrino is dependent from the topology of detected events

- Up to now four basic topology, neutrino energy .3 GeV- 1000 GeV
- "typical" parent neutrino distributions (Kamiokande cuts)



# Atmospheric neutrinos : SuperKamiokande UP-DOWN νμ asymmetry



• second anomaly : 6 sigma effect (multiGeV)

#### **SuperKamiokande:** vertex measurement

• important for the discrimination of down-going internal events



#### SuperKamiokande angular distributions





### SuperKamiokande Global Fit L/E Plot

• data binned by particle type  $(e,\mu)$  momentum (7),  $\cos(\theta)$  (5) for a total of 79 bins)

- 8 parameters to be minimized (normalization etc)
- scan in the grid  $\Delta m^2$ ,  $\sin^2(2\theta)$  (mixing)

 $\chi^2$  no oscillations = 135/69 dof (warning not a true  $\chi^2$ )

• result for  $v_{\mu} \rightarrow v_t$  oscillations

 $\chi^2$ min=65.2/67 dof

• result for  $v_{\mu} \rightarrow v_{e}$  oscillations

 $\chi^2$ min= 87.8/67



#### additional evidence from stopping muons and thoroughgoing muons

#### SuperKamiokande Neutral currents

 $\nu_{\mu} \leftrightarrow \nu_{\tau} \text{ vs. } \nu_{\mu} \leftrightarrow \nu_{sterile}$ 

Single π<sup>0</sup> events are 80% neutral current.



• Full  $u_{\mu} \leftrightarrow 
u_{sterile}$  mixing reduces NC by  $\sim 25\%$ 

•  $\pi^0$ /e-like

– Theory Systematic:  $\sim 20\%$ 

$$-\frac{(\pi^{0}/e-\text{like})_{\text{DATA}}}{(\pi^{0}/e-\text{like})_{\text{MC}}} = 0.94 \pm 0.08(\text{stat.}) \pm 0.19(\text{prelim.sys})$$



 ${f N}$  onopole ,  ${f A}$  strophysics , and  ${f C}$  osmic  ${f R}$  ay  ${f O}$  bservatory

#### Main features of Macro as v detector



- Large acceptance (~10000 m<sup>2</sup>sr for an isotropic flux)
- Low downgoing  $\mu$  rate (~10<sup>-6</sup> of the surface rate )
- ~600 tons of liquid scintillator to measure T.O.F. (time resolution ~500psec)
- ~20000 m<sup>2</sup> of streamer tubes (3cm cells) for tracking (angular resolution < 1°)</li>

More details in Nucl. Inst. and Meth. A324 (1993) 337.

 MACRO can detect different categories of Neutrino produced Muons.



#### Pion production at large angle

- Pions produced at large angle from muon interaction in the rock around the detector are a possible source of background for stopping and throughgoing upgoing muons
- 243 upgoing particles + downgoing muons were found in 13.600 h

background in the stopping muon search (5%) and in the through-goind (2%)



#### Upward-going (through-going) muons and neutrino oscillations



• Reduction factor for  $v_{\mu} \rightarrow v_{\tau}$  oscillations with maximum mixing



### Upward-going (through-going) muons - 1/β distribution

• the time on the scintillators counters (measured with 0.5 nsec accuracy) is used to measure the flight direction of the tracks from the streamer tube chambers

• wrong time measurements are removed checking the position along the counters measured with the times



data up to October 1998

#### Upward-going (through-going) flux (MACRO)



• from the shape only (predictions normalized to the data):  $\chi^2$  no oscillations =  $\approx 24/8 \text{ dof} (P \approx 0.2\%)$ best  $\chi^2$  with oscillations in the physical region  $\approx 14.2/8 \quad (P \approx 7.7\%)$ maximum mixing,  $\Delta m^2$  around  $0.002 \text{ eV}^2$   $\nu \mu \rightarrow \nu \tau$ combining with the normalization: P no oscillations  $\approx 0.3\%$  P with oscillations  $\approx 27\%$ 

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#### Upward-going (through-going) flux (MACRO)



#### Upmu in Other Experiments



### Atmospheric neutrinos : internal up events (MACRO)

• Similar cuts used in the through-going muon analysis with the addiction of :

Vertex containment cut

in order to remove the normal upward-going through-going muons (1% after this cut)

• From the montecarlo simulation the event sample is an almost pure sample of single muon events

89% of the events are due to  $\nu_{\mu}$ 



### Atmospheric neutrinos : internal down +stopping events (MACRO)

• almost 50% of downgoing events and 50% of upgoing events (n time information)



• The double ratio of the low energy events is independent from the theoretical predictions. Only statistical errors and errors due to the acceptance (10% conservative for both analysis):





• P no oscillations  $\approx 8\%$ 

#### Summary for MACRO

MACRO **Upgoing Muons** (Through-going) : E<sub>V</sub>≈100 GeV

• Peak probability $\nu_{\mu} \rightarrow \nu_{\tau}$	27%
(max mixing and $\delta m^2 \approx$ a few units in 10-3)	
<ul> <li>Probability for No oscillations</li> </ul>	0.3%

#### Low energy events: $E_{V} \approx 4 \text{ GeV}$

	R=data/predict No Oscil	No oscillations	With oscillations 10-3<δm2<10-2
Internal Up	0.56±0.15	1	0.58
Internal Down +	0.72±0.19	1	0.79
<b>Stopping Up</b> <b>Double Ratio</b>	0.77±0.14	1	0.73

#### Conclusion: a $\nu\mu$ --> $\nu\tau$ with oscillation with maximum mixing is consistent with all the MACRO Data

Only Warning : The peak probability for the angular distributions of the Upgoin Muons (Through-going) is low (7.7%) ==>> Statistical Fluctuation or Hidden Physics?

#### Evidence for oscillations: Atmospheric neutrinos Summary



 Negative results omitted Frejus : not in contradiction for low Δm2 Baksan IMB in contradiction but wrong!

#### Evidence for oscillations: Summary



Figure 16: Allowed and excluded regions for  $\nu_{\mu} \leftrightarrow \nu_{e}$  and  $\bar{\nu}_{\mu} \leftarrow$  oscillations.

### Evidence for oscillations: Summary

Experiment	Anomaly	Probability	≥2	L/E
-		>5σ=	Experiments	Signature
		5.7x10-5	with different techniques	
*	$\overline{V_{\rho}}$ ve	No	No	No
LSND (accellerator)	apper.			
**	ve	Yes?	Yes	No
SUN	disapper			
***	νμ	Yes	Yes	Yes
Atmosperic	disapper			

#### • Are all the experiments true?

• If the answer is yes the interpretation needs 3 neutrinos oscillations or a new neutrino (sterile)

#### ===>> next talks

#### Future

#### • LSND anomaly

 MiniBone at Fermilab approved data 2001
 proposal at CERN ( LoI 216 )

#### • SUN anomaly

1)Borex (Gran Sasso) liquid scintillator detection : electron scattering low threshold Be7 neutrinos should see 0 ? data 2000

2)Kamland (Kamioka) similar to BOREX + reactor measurements using the nuclear power reactors in Japan data 2000

3)SNO

#### 1000 Tons D2O detection: Cherenkov radiation Helium-3 proportional counter tubes for neutrons

Charged Current Neutral Current Reaction Electron Scattering

ne + d ----> p + p + enx + d ----> p + n + nx e + nx ----> e- + nx

#### data 1999

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#### Future

### Atmospheric Neutrinos and Long-Base line beams



Figure 1: Energy distribution of interacting (with charged current) atmospheric neutrine and antineutrinos, and of the  $\nu_{\mu}$  in three LBL experiments. All calculations assume the absence of neutrino oscillations. For atmospheric neutrinos the solid (dashed) lines at calculated with the the Bartol [8] (Honda et al. [9]) The scale of the vertical axis absolute, note however that the LBL fluxes are multiplied by constant factors.

### Future Atmospheric Neutrinos and Long-Base line beams

#### • two possibility for the experiments:

- a) disappeance
- b) TAU appearance

• The value of  $\Delta m^2$  suggested by the atmospheric neutrino measurements is quite low.

• With the planned beam problems with appearance experiments if  $\Delta m^2 \leq 10^{-3}$ 

#### Beams

- KEK (Japan) Kamiokande E≈ 1-2 GeV L=250 Km detector SuperKamiokande and near detectors low energy data 1999
- Fermilab Soudan2 (USA) E≈10 GeV L=730 Km detector MINOS appearance/disappearance approved but ...

• CERN - Gran Sasso E≈10 GeV L=730 Km Recommended Proposed experiments Icarus, NOE, Aquarich, Opera, Nice

#### Scientific Committee recommendation: appearance experiments a new experiment for atmospheric neutrinos



#### Future

#### Future

• Experiments are difficult and expensive but now :

**Exiciting times for neutrino physics!** 

- Fundamental questions.
- Long time scales

• very interesting challenges for young peoples