On the Pais–Treiman method
to measure \( \pi \pi \) phase shifts in \( K_{e4} \) decays

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1 Introduction
The measurement of the \( \pi \pi \) phase shifts near threshold is a key issue of low energy hadronic physics. First, these phases enter the phenomenological analysis of many different low energy scattering processes, weak decays and, in particular, CP-violating K-decays. A model independent determination of \( \pi \pi \) phases would considerably improve our understanding of the corresponding hadronic matrix elements. Furthermore, Chiral Perturbation Theory (CHPT) [1], the systematic low energy expansion of QCD amplitudes, provides a link between the low energy \( \pi \pi \) scattering data and the non perturbative chiral structure of the QCD ground state: The standard CHPT has a clean prediction for these quantities [2, 3], and would not be able to explain a large discrepancy with experimental data. On the other hand, the proposed generalization [4] of the usual low energy expansion, called generalized

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CHPT [5], expects a somewhat stronger I=0 S-wave $\pi\pi$ interaction [6]. Within the latter scheme, a discrepancy between the standard CHPT and experiment would be interpreted as a manifestation of unusually low values of the quark-antiquark condensate $\langle \bar{q}q \rangle$ and of the ratio of strange to non strange current quark masses.

It is known since a long time that the main source of model independent experimental information on low energy $\pi\pi$ phases comes from $K_{e4}$ decays. In these decays, a complete $\pi\pi$ phase-shift analysis could be performed in principle, assuming nothing more than unitarity or the Watson final state interaction theorem. However, such a complete procedure would require a detailed amplitude analysis of $K_{e4}$ to be performed with respect to all five kinematical variables. To avoid this task, which is rather problematic in practice, Pais and Treiman have proposed [7] to measure $\pi\pi$ phases in a much simpler way which, in addition to the Watson theorem, assumes that higher partial waves beyond the P-wave are small. A variant of the Pais-Treiman method has already been used (together with additional assumptions) in a 1977 high statistics experiment by Rosselet et al. [8], but the data obtained still show very large error bars. KLOE at DAΦNE, should certainly be able to reduce the errors sizeably [9], and will hopefully allow to decide between the theoretical alternatives mentioned above. In view of this improvement on the experimental side, it is worth to check what kind of uncertainties affect the Pais-Treiman method from the theoretical point of view. We find that these uncertainties are very small, below 1% [10].

2 Evaluation of the corrections

We discuss the decay

$$K^+(p) \rightarrow \pi^+(p_1) \pi^-(p_2) \, e^+(p_3) \, \nu_e(p_4) \ ,$$

and its charge conjugate mode. For a review of the kinematics of this process, for definitions of the corresponding form factors $F$, $G$, $H$ and of their partial wave expansions, we refer the reader to the contribution by J. Bijnens, G. Colangelo, G. Ecker and J. Gasser in this Handbook. Here, we follow the same notation and conventions.

The method suggested by Pais and Treiman to measure the $\pi\pi$ phase shifts in $K_{e4}$ decays is very simple and clean. It is based on the observation that the dependence of the differential decay rate on two of the five variables can be worked out analytically under very few general assumptions. In case one neglects all the waves higher than $S$ and $P$, the form factors $I_4$ and $I_7$ show a very simple dependence on the phases $\delta_0$ and $\delta_1$. [The same dependence appears in $I_5$ and $I_8$ which, however, contain the anomalous form factor $H$, and are kinematically much more suppressed.] Their integral over $\cos \theta_\pi$ is then:

$$\tilde{I}_4 \equiv \int_{-1}^{1} d\cos \theta_\pi I_4 = \frac{\pi}{4} X \sigma_\pi (s_\pi s_4)^{1/2} f_0 g_1 \cos (\delta_0 - \delta_1) \ ,$$
\[ I_7 \equiv \int_{-1}^{1} d \cos \theta \pi I_7 = \frac{\pi}{2} X \sigma_\pi(s_\pi s_l) \frac{1}{2} f_0 g_1 \sin(\delta_0 - \delta_1) \; , \] (2.3)

where \( f_0(s_\pi, s_l) \) and \( g_1(s_\pi, s_l) \) are the lowest partial waves of the form factors \( F \) and \( G \), respectively. By measuring the ratio \( I_7/2I_4 \) one has then direct access to \( \tan(\delta_0 - \delta_1) \). To experimentally select \( I_7 \) and \( I_4 \) one could use different methods (fit the distribution in \( c \cos \theta \) and \( \phi \), measure asymmetries, measure moments \( \ldots \)). Choosing for example to measure the appropriate moments, one would have, all in all, to integrate the distribution over four of the five variables with the weights \( \sin \phi \), then \( \cos \phi \cos \theta \), and then take the ratio. The measurement of \( \tan(\delta_0 - \delta_1) \) would be as simple as that.

It is the main purpose of this note to estimate corrections to the Pais-Treiman formula:

\[ \frac{I_7}{2I_4} = \tan(\delta_0 - \delta_1) \; , \] (2.4)

coming from the neglected higher partial waves. For that purpose we shall use the form factors predicted by CHPT at the one loop level.

We start by applying the chiral power counting to the partial waves of the form factors. In general, for any partial wave we may write an expansion in the following way:

\[ X_l = \frac{M_K}{\sqrt{2}F_\pi} \left\{ X_l^{(0)} + X_l^{(2)} + X_l^{(4)} + \cdots \right\} ; \quad X = f, g \; , \] (2.5)

where the upper index stands for powers of energies or meson masses. From published calculations of \( F \) and \( G \) to one-loop [11, 12] we may easily get that only three partial waves start at order \( O(E^0) \), while all the others start at order \( O(E^2) \):

\[
\begin{align*}
 f_0 &= \frac{M_K}{\sqrt{2}F_\pi} \left\{ 1 + f^{(2)}_0 + O(E^4) \right\} ; \\
 f_1 &= \frac{M_K}{\sqrt{2}F_\pi} \left\{ \sigma_\pi P L + f^{(2)}_1 + O(E^4) \right\} ; \\
 g_1 &= \frac{M_K}{\sqrt{2}F_\pi} \left\{ 1 + g^{(2)}_1 + O(E^4) \right\} ; \\
 f_l &= \frac{M_K}{\sqrt{2}F_\pi} \left\{ f^{(2)}_l + O(E^4) \right\} ; l \geq 2 \\
 g_k &= \frac{M_K}{\sqrt{2}F_\pi} \left\{ g^{(2)}_k + O(E^4) \right\} ; k \geq 2 \\
\end{align*}
\] (2.6)

Using this chiral power counting is very easy to give a “corrected” Pais-Treiman formula, which is accurate up to and including order \( O(E^2) \):

\[ \frac{I_7}{2I_4} \equiv \tan(\delta_0 - \delta_1) \left\{ 1 + \Delta^{(2)} + O(E^4) \right\} \; , \] (2.7)
\[ \Delta^{(2)} = \left( \frac{\sin \delta_0}{\sin(\delta_0 - \delta_1)} - \frac{\cos \delta_0}{\cos(\delta_0 - \delta_1)} \right) \sum_{k=1}^{\infty} A_{02k+1} g_{2k+1}^{(2)} + \right ( \frac{\sin \delta_1}{\sin(\delta_0 - \delta_1)} - \frac{\cos \delta_1}{\cos(\delta_0 - \delta_1)} \right ) \frac{\sigma\pi PL}{X} \sum_{k=1}^{\infty} A_{12k} g_{2k}^{(2)} - \left ( \frac{\sin \delta_1}{\sin(\delta_0 - \delta_1)} + \frac{\cos \delta_1}{\cos(\delta_0 - \delta_1)} \right ) \sum_{l=1}^{\infty} A_{2l1} f_{2l}^{(2)} , \quad (2.8) \]

where

\[ A_{l,k} = \frac{2}{\pi} \int_{-1}^{1} d \cos \theta \pi P_l(\cos \theta \pi) P_k^{(1)}(\cos \theta \pi) \quad , \quad (2.9) \]

and where all the phases of waves higher than the \( P \) have been put to zero (this is again consistent at the order at which we are working). The ratios of sines and cosines start at order \( O(E^0) \), and will have also contributions of higher order, that we neglect at this level of accuracy. We may thus use the leading order CHPT expressions:

\[ \delta_0 = \frac{1}{32\pi F^2\pi} \sigma \pi \left( 2s_\pi - M^2 + 5\epsilon M^2 \right) + O(E^4) \quad (2.10) \]

\[ \delta_1 = \frac{1}{96\pi F^2\pi} \sigma^3 \pi s_\pi + O(E^4) \quad . \quad (2.11) \]

The standard CHPT predicts \( \epsilon = 0 \), whereas in generalized CHPT \( \epsilon \) is an arbitrary parameter, \( 0 \leq \epsilon \leq 1 \), related to the quark mass ratio \( r = m_s/m \) [4]. Eq. \( (2.8) \) then becomes

\[ \Delta^{(2)} = \left( \frac{8\pi - 4M^2}{58\pi + M^2 + 15\epsilon M^2} \right) \sum_{k=1}^{\infty} A_{02k+1} g_{2k+1}^{(2)} - \left( \frac{4s_\pi + 5M^2 + 15\epsilon M^2}{58\pi + M^2 + 15\epsilon M^2} \right) \frac{\sigma\pi PL}{X} \sum_{k=1}^{\infty} A_{12k} g_{2k}^{(2)} - \left( \frac{6s_\pi - 3M^2 + 15\epsilon M^2}{58\pi + M^2 + 15\epsilon M^2} \right) \sum_{l=1}^{\infty} A_{2l1} f_{2l}^{(2)} . \quad (2.12) \]

Before evaluating numerically the correction we would like to stress that the low energy expansion has been performed only inside the braces of eq. \( (2.7) \).

The explicit calculation shows that both in standard and in generalized CHPT \( \Delta^{(2)} \) is numerically very small over the whole phase space. It barely reaches 0.5% \%. The dependence on \( s_1 \) is rather weak, and there is no difficulty in repeating the same analysis for the ratio of \( \bar{T}_7 \) and \( 2\bar{T}_4 \) not treated as functions of \( s_1 \) but averaged over it (as suggested by Pais and Treiman). At this order in the low energy expansion the correction turns out to be just \( \langle \Delta^{(2)} \rangle \), where with the symbol \( \langle \rangle \) we mean the following average:

\[ \langle A \rangle \equiv \frac{1}{\int_{\bar{s}_1}^{s_1^{max}} ds_1 X^2 \sqrt{s_1} \int_{\bar{s}_1}^{s_1^{max}} ds_1 X^2 \sqrt{s_1} A(s_1) \quad (2.13) \]

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Figure 1: $\langle \Delta^{(2)} \rangle$, as a function of $s_\pi$. The plot refers to the standard CHPT case: it is calculated using eq. (2.12) with $\epsilon = 0$ and the $F$ and $G$ form factors given by [11, 12], with the central values $L_2 = 1.35 \times 10^{-3}$, $L_3 = -3.5 \times 10^{-3}$ of [13]. The same curve can be obtained using eq. (2.19) with $\epsilon = 0$, and (2.17).

The plot of $\langle \Delta^{(2)} \rangle$ as a function of $s_\pi$ is shown in fig. 1.

Since the corrections are so small, we would like to understand whether this is just a typical size of higher waves, or an effect depending on some miraculous cancellation.

Since $F$ and $G$ are dimensionless functions of $s_\pi$, $s_i$ and $\nu$, and depend on $\cos \theta_\pi$ only through $\nu$, their higher waves projections are suppressed by powers of the kinematic factor:

$$\frac{\sigma \pi X}{M_K^3} = 2 \frac{p_\pi p_i}{M_K^3} \leq 0.15$$  \hspace{1cm} (2.14)

where $p_\pi$ and $p_i$ stand for the momenta of individual pions and of the dilepton, respectively, in the dipion center of mass frame. The bound in (2.14) holds over the whole phase space.

The dominant contribution to the sum $\Delta^{(2)}$, eq. (2.12), comes from the D-waves $g_2^{(2)}$ and $f_2^{(2)}$. The latter contains contributions both from the $F$ and $G$ form factors:

$$f_2^{(2)} = f_d^{(2)} + 2 \frac{\sigma \pi P L}{X} g_2^{(2)},$$  \hspace{1cm} (2.15)

where $f_d^{(2)}$ stands for the D-wave of $F$ alone. Making explicit the factors (2.14), we may
define:

\[
\tilde{f}_d^{(2)} \equiv \left( \frac{\sigma_\pi X}{M_K^2} \right)^2 \tilde{f}_d^{(2)}, \\
g_2^{(2)} \equiv \frac{\sigma_\pi X}{M_K^2} \tilde{g}_2^{(2)},
\]

(2.16)

where \( \tilde{f}_d^{(2)} \) and \( \tilde{g}_2^{(2)} \) are expected to be smooth functions of \( s_\pi \) and of \( s_t \). Since D-waves are a loop effect, we may guess that \( \tilde{f}_d^{(2)} \) and \( \tilde{g}_2^{(2)} \) will be of the order \( M_K^2 / (16\pi^2 F_\pi^2) \). An explicit calculation shows that this is indeed the case and, for a possible later use, we may even write the following simplified expressions for them: Standard CHPT gives

\[
\tilde{f}_d^{(2)} = \frac{M_K^2}{16\pi^2 F_\pi^2} (-0.28) \left[ 1 + 0.12 \left( \frac{s_t}{4M_\pi^2} - q^2 \right) \right], \\
\tilde{g}_2^{(2)} = \frac{M_K^2}{16\pi^2 F_\pi^2} (-0.62) \left[ 1 + 0.08 \left( \frac{s_t}{4M_\pi^2} - q^2 \right) \right],
\]

(2.17)

where

\[
q^2 = (s_\pi - 4M_\pi^2)/4M_\pi^2.
\]

(2.18)

The above evaluation of \( \tilde{f}_d^{(2)} \) is parameter free. On the other hand, a substantial part of the contribution to \( \tilde{g}_2^{(2)} \) comes from the low energy constant \( L_3 + 4L_2 \), whose determination brings in some uncertainty [13]: The corresponding error in (1.15) is however smaller than 50%. Finally, \( \Delta^{(2)} \) can be expressed in terms of the smooth functions \( \tilde{f}_d^{(2)} \) and \( \tilde{g}_2^{(2)} \):

\[
\Delta^{(2)} = \frac{3}{8} \left( \frac{2s_\pi - M_L^2 + 5cM_\pi^2}{5s_\pi + M_\pi^2 + 15cM_\pi^2} \right) \left( \frac{\sigma_\pi X}{M_K^2} \right)^2 \tilde{f}_d^{(2)} \\
- \frac{3}{2} \left( \frac{s_\pi + 3M_L^2 + 5cM_\pi^2}{5s_\pi + M_\pi^2 + 15cM_\pi^2} \right) \frac{\sigma_\pi^2 (PL)}{M_K^2} \tilde{g}_2^{(2)} + \ldots
\]

(2.19)

where the ellipsis stands for \( l > 2 \) waves. This expression gives clearly account of the size of the corrections. The second term is dominant with respect to the first one, and its typical scale is \( \sigma_\pi^2 (PL) / (16\pi^2 F_\pi^2) \), which, after averaging over \( s_t \), is at most 1.6% over the whole phase space. The remaining coefficients reduce this number by a factor 4. Moreover, we have calculated the dependence of \( \tilde{f}_d^{(2)} \) and \( \tilde{g}_2^{(2)} \) on \( m_s / m_t \), in generalized CHPT\(^5\). We have found that \( \tilde{f}_d^{(2)} \) is affected by no more than 10%, whereas the form factor \( \tilde{g}_2^{(2)} \) may be modified by at most a factor one half, further reducing \( \langle \Delta^{(2)} \rangle \).

Higher orders will certainly modify the above numbers, but not the orders of magnitude, which are essentially given by the kinematics. In a sense, the limited effect of the

\(^5\)The generalized CHPT one loop \( K_{14} \) form factors will be given elsewhere [14]

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uncertainties in the values of the low energy constants $L_i$ may be considered as an indication in this direction. Furthermore, since the generalized CHPT differs from the standard CHPT by a different ordering in the perturbative expansion (that is, at every finite order it includes terms which in the standard scheme are relegated to higher orders), the weak dependence of $\tilde{f}_d^{(2)}$ and of $g_2^{(2)}$ on $m_s/\bar{m}$ is a further indication that it is very unlikely that higher orders would overwhelm the strong kinematical suppression of higher waves.

Our conclusion is then that the Pais-Treiman formula (2.4) is free of corrections up to the percent level, over the whole accessible range of energy. The reason for the smallness of the corrections comes from the kinematical suppression of higher partial waves, which can thus safely be neglected.

Experimentally, the measurement of $\tan(\delta_0 - \delta_1)$ will also be affected by statistical and/or systematic errors. The former are discussed in [9]. On the other hand, detector-dependent problems could force experimentalists to adapt the Pais-Treiman method to their own peculiar situation (see for example Ref. [8]).

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