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**THE SLOWLY VARYING ENVELOPE APPROXIMATION REVISED**

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**The Slowly Varying Envelope Approximation Revised**

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**Abstract**

We derive the limit of validity of the Slowly Varying Envelope Approximation (SVEA) as a function of the "bulk" velocity  $v$  of the radiating system, which reads  $\ell_p \gg \lambda(1 - v/c)$ , being  $\ell_p$  the radiation pulse length. This condition reduces to the usual SVEA in the limit  $v/c \ll 1$ , whereas it is sensibly relaxed in the relativistic limit. The example of a Free Electron Laser is discussed.

# 1 Introduction

In the study of the interaction of radiation with matter, the Maxwell wave equation is of fundamental importance. This is a second order partial differential equation in space and time coordinates which, under some conditions that we shall investigate, reduces to a first order partial differential equation.

Let us consider the one-dimensional case for one component of the transverse electric field  $\bar{E}(z, t)$  and for the transverse current density  $\bar{J}(z, t)$

$$\frac{\partial^2 \bar{E}}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 \bar{E}}{\partial t^2} = \frac{4\pi}{c^2} \frac{\partial \bar{J}}{\partial t} \quad (1)$$

Usually, when a primary radiation wavelength  $\lambda$  arises in the study of a physical problem and the main propagation is in the  $+z$  direction, as the spontaneous emission in a FEL, it is useful to introduce the complex amplitudes  $E(z, t)$  and  $J(z, t)$  defined such as

$$\bar{E}(z, t) = E(z, t)e^{ik(z-ct)} \quad (2)$$

$$\bar{J}(z, t) = J(z, t)e^{ik(z-ct)} \quad (3)$$

where  $k = \frac{2\pi}{\lambda}$  and  $\lambda$  is the radiation wavelength.

$E$  and  $J$  have an immediate physical meaning in the case in which they do not vary sensibly over a wavelength: they represent the envelope of the electric field and of the current respectively.

Replacing (2) and (3) into (1) we have

$$\left( \frac{\partial^2 E}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 E}{\partial t^2} \right) + 2ik \left( \frac{\partial E}{\partial z} + \frac{1}{c} \frac{\partial E}{\partial t} \right) = \frac{4\pi}{c^2} \left( \frac{\partial J}{\partial t} - ickJ \right) \quad (4)$$

Equation (4) can be simplified to, as a first approximation, a first order differential equation keeping only the largest terms on each side.

## 2 The usual SVEA

Let us briefly reconsider the hypotheses underlying the usual SVEA [1], which consist in assuming

$$\left| 2ik \left( \frac{\partial E}{\partial z} + \frac{1}{c} \frac{\partial E}{\partial t} \right) \right| \gg \left| \frac{\partial^2 E}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2 E}{\partial t^2} \right| \quad (5)$$

and

$$|ckJ| \gg \left| \frac{\partial J}{\partial t} \right|$$

so that (4) becomes

$$\frac{\partial E}{\partial z} + \frac{1}{c} \frac{\partial E}{\partial t} = -\frac{2\pi J}{c} \quad (6)$$

Equation (6) is the so called SVEA counterpart of eq.(1). A sufficient condition for the validity of (5) is

$$\left| \frac{\partial^2 E}{\partial z^2} \right| \ll 2k \left| \frac{\partial E}{\partial z} \right|, \quad \left| \frac{\partial^2 E}{\partial t^2} \right| \ll 2ck \left| \frac{\partial E}{\partial t} \right|, \quad \left| \frac{\partial J}{\partial t} \right| \ll ck|J| \quad (7)$$

neglecting the cases in which the terms on the l.h.s. cancel each other and the terms on the r.h.s. do not. Assuming the scaling argument

$$\frac{\partial E}{\partial z} \simeq \frac{1}{c} \frac{\partial E}{\partial t} \simeq \frac{1}{\ell_p} E, \quad \frac{\partial J}{\partial z} \simeq \frac{1}{c} \frac{\partial J}{\partial t} \simeq \frac{1}{\ell_J} J \quad (8)$$

where  $\ell_p$  and  $\ell_J$  define the scale of variation of the pulse and the current, we can write (7) as

$$\ell_p \gg \lambda; \quad \ell_J \gg \lambda \quad (9)$$

i.e. the radiation and the current pulse show a slow variation over a wavelength scale.

### 3 The generalized SVEA

Let us now write (4) in terms of  $z'$  and  $z_1$  defined as

$$\begin{cases} z' = z \\ z_1 = z - vt \end{cases}$$

where  $v$  is the bulk velocity of the radiating system.

One obtains easily

$$\left( \frac{\partial}{\partial z'} + (1 - \beta) \frac{\partial}{\partial z_1} \right) \left( \frac{\partial E}{\partial z'} + (1 - \beta) \frac{\partial E}{\partial z_1} + 2\beta \frac{\partial E}{\partial z_1} + 2ikE \right) = -\frac{4\pi}{c} \left( \beta \frac{\partial J}{\partial z_1} + ikJ \right) \quad (10)$$

Let us now suppose that

$$\left| \frac{\partial E}{\partial z'} + (1 - \beta) \frac{\partial E}{\partial z_1} \right| \ll \left| 2\beta \frac{\partial E}{\partial z_1} + 2ikE \right| \quad (11)$$

With this condition, equation (10) can be written as

$$\left( \frac{\partial}{\partial z'} + (1 - \beta) \frac{\partial}{\partial z_1} \right) \left( 2\beta \frac{\partial E}{\partial z_1} + 2ikE \right) = -\frac{4\pi}{c} \left( \beta \frac{\partial J}{\partial z_1} + ikJ \right) \quad (12)$$

This is equivalent to the SVEA equation:

$$\frac{\partial E}{\partial z'} + (1 - \beta) \frac{\partial E}{\partial z_1} = -\frac{2\pi J}{c} \quad (13)$$

In fact, taking the derivative of (13) to respect to  $z_1$ , one obtains

$$2\beta \frac{\partial}{\partial z_1} \left[ \frac{\partial E}{\partial z'} + (1 - \beta) \frac{\partial E}{\partial z_1} \right] = -\frac{4\pi\beta}{c} \frac{\partial J}{\partial z_1}$$

This equation plus eq.(13) multiplied by  $2ik$  gives back equation (12). Going back to the initial variables  $z$  and  $t$ , equation (13) becomes the SVEA equation (6).

Hence a sufficient condition for the validity of (6) or (13) is the inequality (11).

For  $\beta = 1$ , our analysis becomes equivalent to that of Haselhoff [2].

For  $\beta = 0$ , since  $z_1 = z = z'$ , inequality (11) reduces to

$$\left| \frac{\partial E}{\partial z} \right| \ll k|E| \quad (14)$$

which is the usual SVEA.

A sufficient condition for the validity of (11) is that

$$\left| \frac{\partial E}{\partial z'} \right| \ll 2k|E| ; (1 - \beta) \left| \frac{\partial E}{\partial z_1} \right| \ll 2k|E| \quad (15)$$

We are neglecting the case in which  $2\beta \frac{\partial E}{\partial z_1}$  and  $2ikE$  cancel each other. Defining a gain length  $\ell_g$  and a pulse length  $\ell_p$  such as

$$\frac{E}{\ell_g} \approx \frac{\partial E}{\partial z'} ; \frac{E}{\ell_p} \approx \frac{\partial E}{\partial z_1} \quad (16)$$

we have

$$\ell_g \gg \lambda ; \ell_p \gg \lambda(1 - \beta) \quad (17)$$

The physical meaning of these conditions is obvious. The first one implies that the field can not be sensibly amplified in a wavelength. The second condition can be derived imposing that the electron-photon interaction time is much larger than the optical period. Note that the last condition reduces to the usual SVEA condition for  $\beta \ll 1$  and it is much less restrictive if  $\beta \simeq 1$ , as in a FEL, since it can be written as  $\ell_p \gg \lambda/\gamma^2$ .

Futhermore, since in a FEL

$$\ell_p \approx \ell_c = (1 - \beta)\ell_g \quad (18)$$

the two conditions (17) reduce to the single one

$$\lambda_w \gg \lambda\rho \quad (19)$$

This can be seen easily using the normalisation of ref.[3]

$$\bar{z}_1 = \frac{z_1}{\ell_c} ; \bar{z} = \frac{z'}{\ell_g} \quad (20)$$

where

$$\ell_c = \frac{\lambda}{4\pi\rho} ; \ell_g = \frac{\lambda_w}{4\pi\rho} ; \lambda = \lambda_w(1 - \beta) \quad (21)$$

In this way, inequality (11) becomes

$$\left| \frac{\partial E}{\partial \bar{z}} + \frac{\partial E}{\partial \bar{z}_1} \right| \ll \left| \frac{2\beta}{1 - \beta} \frac{\partial E}{\partial \bar{z}_1} + 2i \frac{\lambda_w}{\lambda\rho} E \right| \quad (22)$$

which gives immediately condition (19).

Finally let us note that in the steady-state regime, where  $\frac{\partial E}{\partial z_1} = 0$ , condition (19) becomes necessary and sufficient for the validity of the SVEA.

## 4 Conclusions

We have shown that the Slowly Varying Envelope Approximation is valid under conditions (17) which depend on the bulk velocity of the acting medium.

This condition gives a strongly relaxed limit of validity for the SVEA approximation in the case of the FEL, where the electrons move at relativistic velocity.

## References

- [1] F. T. Arecchi and R. Bonifacio: *IEEE Jour. Quantum Electron.* *QE*-,1, 169 (1965);
- [2] E. H. Haselhoff (private communication);
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