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R. Floreanini and L. Vinet q-ORTHOGONAL POLYNOMIALS AND THE OSCILLATOR QUANTUM GROUP

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q-ORTHOGONAL POLYNOMIALS AND THE OSCILLATOR QUANTUM GROUP

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Abstract

The oscillator quantum algebra is shown to provide a group-theoretic setting for the q-Laguerre and q-Hermite polynomials.

(*) On leave from: Laboratoire de Physique Nucléaire, Université de Montréal, Montréal, Canada H3C 3J7 It is now being realized that quantum groups¹⁻⁵ and their representations might bear a relationship with the theory of basic or q-special functions⁶⁻⁸ similar to the one between Lie theory and ordinary special functions.^{9,10} Indeed, a few q-functions have already been identified as matrix elements of representations of quantum groups: the little q-Jacobi polynomials in $SU_q(2)$ representations,¹¹⁻¹³ the q-Bessel functions when the quantum group of motions in the plane is considered¹⁴ and certain basic hypergeometric functions in the case of $SU_q(1,1)$.¹⁵ Moreover, the Clebsh-Gordan coefficients of $SU_q(2)$ can be expressed in terms of q-Hahn polynomials,¹⁶ while other q-special functions can be obtained from harmonic analysis on quantum spaces.¹⁷ In the present letter, we establish the connection between the q-Laguerre and q-Hermite polynomials and the oscillator quantum group.

The oscillator quantum algebra $W_q(1)$ is generated by three elements a, a^{\dagger} and N satisfying the defining relations:

$$[N,a] = -a [N,a^{\dagger}] = a^{\dagger} [N,a^{\dagger}] = a^{\dagger} [n] a^{\dagger} - q^{\frac{1}{2}}a^{\dagger} a = q^{-\frac{N}{2}} [n] a^{\dagger} - q^{-\frac{1}{2}}a^{\dagger} a = q^{\frac{N}{2}}.$$
(1)

In the limit $q \to 1$, (1) reduce to the canonical commutation relations of the harmonic oscillator creation and annihilation operators. The algebra $W_q(1)$ and its generalizations¹⁸⁻²¹ have found many applications. In particular, they have been used to construct oscillator realizations of the quantized envelopping algebras²² and superalgebras²³⁻²⁶ of type A, B, C and D. In terms of

$$k = q^{\frac{1}{2}(N+\frac{1}{2})}, \tag{2}$$

11

the relations (1) translate into

$$k k^{-1} = k^{-1} k = 1 \qquad k a k^{-1} = q^{-\frac{1}{2}} a$$

$$[a, a^{\dagger}] = \frac{k + k^{-1}}{q^{\frac{1}{4}} + q^{-\frac{1}{4}}} \qquad k a^{\dagger} k^{-1} = q^{\frac{1}{2}} a^{\dagger} .$$
(3)

The algebra $W_q(1)$ is a *bona fide* quantum algebra as it can be endowed with a Hopf algebra structure²⁷ by taking the following definitions²⁸ of coproduct $\Delta: W_q(1) \rightarrow W_q(1) \otimes W_q(1)$, antipode S: $W_q(1) \rightarrow W_q(1)$ and counit $\varepsilon: W_q(1) \rightarrow \mathbb{C}$:

- $\Delta(k) = (k \otimes k)e^{-i\theta} \qquad \Delta(N) = N \otimes 1 + 1 \otimes N + \left(\frac{1}{2} \frac{2i\theta}{\ln q}\right)$ $\Delta(a) = (a \otimes k^{\frac{1}{2}} + ik^{-\frac{1}{2}} \otimes a)e^{-i\theta/2} \qquad \Delta(a^{\dagger}) = (a^{\dagger} \otimes k^{\frac{1}{2}} + ik^{-\frac{1}{2}} \otimes a^{\dagger})e^{-i\theta/2}$
- $S(k) = k^{-1} e^{2i\theta} \qquad S(N) = -N 2\left(\frac{1}{2} \frac{2i\theta}{\ln q}\right) \qquad (4)$ $S(a) = -q^{-\frac{1}{4}} a \qquad S(a^{\dagger}) = -q^{\frac{1}{4}} a^{\dagger}$

with $\theta = \frac{\pi}{2} + 2\pi l, l \in \mathbb{Z}$.

For our present purposes, it will be convenient to introduce another pair of annihilation and creation operators A and A^{\dagger} related to a and a^{\dagger} in the following fashion:

$$A = q^{\frac{N}{4}} a \qquad A^{\dagger} = q^{\frac{(N-1)}{4}} a^{\dagger} .$$
 (5)

It is immediate to verify that A and A^{\dagger} satisfy

$$[N,A] = -A \qquad [N,A^{\dagger}] = A^{\dagger} , \qquad (6a)$$

$$AA^{\dagger} - q A^{\dagger}A = 1 . \tag{6b}$$

Before proceeding further, let us collect a few results in q-analysis that will prove useful.⁶ We shall denote by $(a;q)_n$ the q-shifted factorial:

$$(a;q)_n = \begin{cases} 1, & n = 0, \\ (1-a)(1-aq)\dots(1-aq^{n-1}), & n = 1,2,\dots, \end{cases}$$
(7)

and shall take

$$(a;q)_{\infty} = \prod_{k=0}^{\infty} (1-aq^k) , \qquad |q| < 1 .$$
 (8)

One can also define $(a;q)_n$ for arbitrary complex n by

$$(a;q)_n = \frac{(a;q)_\infty}{(aq^n;q)_\infty} .$$
⁽⁹⁾

These products satisfy various identities like for instance

$$q^{\frac{n(n-1)}{2}}(a^{-1}q^{1-n};q)_n = (-a^{-1})^n (a;q)_n .$$
⁽¹⁰⁾

We shall recall them whenever they will be required. Note also that $(q;q)_n/(1-q)^n \to n!$ as $q \to 1^-$. Of fundamental importance is Heine's q-binomial theorem which states that

$$\sum_{n=0}^{\infty} \frac{(a;q)_n}{(q;q)_n} z^n = \frac{(az;q)_{\infty}}{(z;q)_{\infty}} , \qquad |z| < 1, \quad |q| < 1 .$$
(11)

Two q-exponential functions are obtained from the above formula. On the one hand, upon setting a = 0, one gets

$$e_q(z) = \sum_{n=0}^{\infty} \frac{1}{(q;q)_n} \ z^n = \frac{1}{(z;q)_{\infty}} \ , \qquad |z| < 1 \ , \tag{12}$$

while on the other, upon replacing z by -z/a in (11), letting $a \to \infty$ and using (10), one finds

$$E_q(z) = \sum_{n=0}^{\infty} \frac{q^{\frac{n(n-1)}{2}}}{(q;q)_n} \ z^n = (-z;q)_{\infty} \ . \tag{13}$$

It is easy to see that $e_q(z) E_q(-z) = 1$ and that

$$\lim_{q \to 1^{-}} e_q \left(z(1-q) \right) = \lim_{q \to 1^{-}} E_q \left(z(1-q) \right) = e^z .$$
 (14)

Let T_z be the q-dilatation operator in the variable z, i.e.

$$T_z f(z) = f(qz) . \tag{15}$$

The q-difference operators D_z^+ and D_z^- are given by

$$D_z^+ = z^{-1}(1 - T_z) , \qquad (16a)$$

$$D_z^- = z^{-1} (1 - T_z^{-1}) . (16b)$$

Observe that $\frac{1}{(1-q)}D_z^+ \rightarrow d/dz$ and $\frac{1}{(1-q^{-1})}D_z^- \rightarrow d/dz$ as $q \rightarrow 1$ and that the q-exponentials obey²⁹

$$D_z^+ e_q(z) = e_q(z) ,$$
 (17a)

$$D_z^- E_q(z) = -q^{-1} E_q(z) . (17b)$$

We shall also need the q-integral

$$\int_0^a f(t) \, d_q t = \, a \, (1-q) \sum_{n=0}^\infty \, f(aq^n) \, q^n \, . \tag{18}$$

One checks that it is the inverse of D^+ , in that $\int_0^a D_t^+ f(t) d_q t = f(a) - f(0), |q| < 1$.

The q-gamma function is defined by

$$\Gamma_q(z) = \frac{(q;q)_{\infty}}{(q^z;q)_{\infty}} (1-q)^{1-z} , \qquad |q| < 1 .$$
(19)

We have obtained a q-analog of Euler's formula for the gamma function which we were not able to find in the literature.

LEMMA: The function $\Gamma_q(z)$ admits the following integral representation

$$\Gamma_q(z) = \int_0^{\frac{1}{1-q}} t^{z-1} E_q \left(-q(1-q)t\right) d_q t , \quad |q| < 1 .$$
 (20)

The proof can be easily obtained by successively using (18), (13), (9) and (12).

The connection between $W_q(1)$ and the q-Laguerre and q-Hermite polynomials will be obtained by considering two different realizations of this quantum algebra. Our analysis will closely follow the one given in Ref.[10] for the ordinary (undeformed) case. The first representation is constructed on the space of entire functions in the complex variable z. It will be convenient to use the polar coordinates $z = \rho e^{i\theta}$. We shall endow this space with the following inner product

$$\begin{aligned} \langle \varphi | \psi \rangle &= \int_{\mathcal{D}} \overline{\varphi(z)} \, \psi(z) \, d_q \, \mu(z) \\ &\equiv \frac{1}{2\pi} \int_0^{2\pi} \, d\theta \, \int_0^{\frac{1}{1-q}} \, d_q \rho^2 \, E_q \left(-q(1-q)\rho^2 \right) \overline{\varphi(\rho e^{i\theta})} \, \psi(\rho e^{i\theta}) \, . \end{aligned}$$
(21)

An orthonormal basis is then provided by the monomials

$$|n\rangle = \frac{1}{\sqrt{\Gamma_q(n+1)}} z^n .$$
⁽²²⁾

Indeed, one can check that: $\langle n|m\rangle = \delta_{m,n}$. Also note that $\Gamma_q(n+1) = (q;q)_n/(1-q)^n$. A representation of $W_q(1)$ in this space is obtained by setting

$$N = z \frac{d}{dz}$$
, $A = \frac{1}{1-q} D_z^+$, $A^{\dagger} = z$. (23)

It is easy to see that the relations (6) are identically obeyed under this identification. In this model the action of the generators on the basis states is readily computed; one finds

$$N |n\rangle = n |n\rangle \qquad A |n\rangle = \sqrt{\frac{1-q^n}{1-q}} |n-1\rangle \qquad A^{\dagger} |n\rangle = \sqrt{\frac{1-q^{n+1}}{1-q}} |n+1\rangle .$$
 (24)

The operators A and A^{\dagger} are seen to be the hermitian conjugate one of the other when q is real.

In analogy²⁹ with ordinary Lie theory, we introduce the operator

$$U(\alpha,\beta,\gamma) = E_q \left(\alpha(1-q)A^{\dagger} \right) E_q \left(\beta(1-q)A \right) E_q \left(\gamma(1-q)N \right) , \qquad (25)$$

which in the realization (23) becomes

$$U(\alpha,\beta,\gamma) = E_q\left(\alpha(1-q)z\right) E_q\left(\beta D_z^+\right) E_q\left(\gamma(1-q)z\frac{d}{dz}\right) .$$
 (26)

We define the matrix elements $U_{kn}(\alpha,\beta,\gamma)$ through

$$U(\alpha,\beta,\gamma) \ z^n = \sum_{k=0}^{\infty} U_{kn}(\alpha,\beta,\gamma) \ z^k \ . \tag{27}$$

[Note that we are using the unnormalized basis: $\{z^n = \sqrt{\Gamma_q(n+1)}|n\rangle\}$.] We shall show that the elements $U_{kn}(\alpha,\beta,\gamma)$ can be expressed in terms of the q-Laguerre polynomials which are usually presented as follows³⁰

$$L_{k}^{(\lambda)}(x;q) = \frac{(q^{\lambda+1};q)_{k}}{(q;q)_{k}} \sum_{l=0}^{k} \frac{(q^{-k};q)_{l} q^{\frac{l(l-1)}{2}} (1-q)^{l} (q^{k+\lambda+1}x)^{l}}{(q^{\lambda+1};q)_{l} (q;q)_{l}} .$$
(28)

In the process, we shall obtain from (27) a generating function for these q-orthogonal polynomials. Using the identities

$$(q^{k+1};q)_{n-k} = \frac{(q;q)_n}{(q;q)_k} , \qquad (29)$$

The second second second

$$(q^{-k};q)_n = (-1)^n q^{-kn} q^{\frac{n(n-1)}{2}} \frac{(q;q)_k}{(q;q)_{k-n}} , \qquad (30)$$

one easily recast the expression (28) for the q-Laguerre polynomials in the form

$$L_{k}^{(\lambda)}(x;q) = \sum_{l=0}^{k} \frac{(q;q)_{k+\lambda}}{(q;q)_{k-l} (q;q)_{l+\lambda} (q;q)_{l}} q^{l(l+\lambda)} (1-q)^{l} (-x)^{l} .$$
(31)

In the limit $q \to 1^-$, $L_k^{(\lambda)}(x;q)$ tends to the ordinary Laguerre polynomials $L_k^{(\lambda)}(x)$. Clearly, $E_q(\gamma(1-q)zd/dz) z^n = E_q(\gamma(1-q)n) z^n$. From the definition of E_q and

with the help of (29), one shows that

$$E_q\left(\beta D_z^+\right) z^n = \sum_{m=0}^n \frac{(q;q)_n}{(q;q)_{n-m} (q;q)_m} q^{\frac{m(m-1)}{2}} \beta^m z^{n-m} .$$
(32)

One thus obtains

$$E_{q}(\alpha(1-q)z) E_{q}(\beta D_{z}^{+}) z^{n}$$

$$= \sum_{l=0}^{\infty} \sum_{m=0}^{n} \frac{(q;q)_{n}}{(q;q)_{n-m}(q;q)_{l}(q;q)_{l}} q^{\frac{m(m-1)+l(l-1)}{2}} \alpha^{l} \beta^{m} (1-q)^{l} z^{n-m+l}$$

$$= \sum_{k=0}^{\infty} q^{\frac{(n-k)(n-k-1)}{2}} \beta^{n-k} z^{k} \left[\sum_{l=0}^{k} \frac{(q;q)_{n} q^{l(l+n-k)} (1-q)^{l}}{(q;q)_{k-l}(q;q)_{l} - k+l(q;q)_{l}} \left(\frac{\alpha\beta}{q}\right)^{l} \right].$$
(33)

Comparing with (31), one thus finds that

$$U_{kn}(\alpha,\beta,\gamma) = E_q(\gamma(1-q)n) q^{\frac{(n-k)(n-k-1)}{2}} \beta^{n-k} L_k^{(n-k)}(-\frac{\alpha\beta}{q};q) .$$
(34)

Now, using (30), the q-binomial formula and (9), the right-hand side of Eq.(32) can be summed to give²⁹

$$E_q\left(\beta D_z^+\right) z^n = \left(-\frac{\beta}{z};q\right)_n z^n . \tag{35}$$

One therefore also gets

$$U(-\alpha,q,0) z^{n} = E_{q} \left(-\alpha(1-q)z\right) \left(-\frac{q}{z};q\right)_{n} z^{n} .$$
(36)

Combining (36) and (34), one finally obtains from (27) the following generating function:

$$E_{q}\left(-\alpha(1-q)z\right)\left(-\frac{q}{z};q\right)_{n}z^{n} = \sum_{k=0}^{\infty} q^{\frac{(n-k)(n-k+1)}{2}} L_{k}^{(n-k)}(\alpha;q) z^{k} .$$
(37)

This is the q-analog of the relation^{31,10}

$$e^{-\alpha z} (z+1)^n = \sum_{k=0}^{\infty} L_k^{(n-k)}(\alpha) z^k , \qquad (38)$$

for ordinary Laguerre polynomials, to which it reduces in the limit $q \rightarrow 1^-$.

The q-Hermite polynomials $H_n(w;q)$ are defined by³²⁻³⁴

$$H_n(w;q) = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_q w^k , \qquad (39)$$

where $\begin{bmatrix} n \\ k \end{bmatrix}_q$ is the q-binomial coefficient

$$\begin{bmatrix} n \\ k \end{bmatrix}_{q} = \frac{(q;q)_{n}}{(q;q)_{k} (q;q)_{n-k}} .$$
(40)

Since¹³

$$(x+y)^n = \sum_{k=0}^n {n \brack k}_q y^k x^{n-k} , \qquad (41)$$

for any two indeterminates x and y satisfying xy = qyx, we can write³³

$$H_n(w;q) = (w + T_w)^n \cdot 1.$$
 (42)

These polynomials obey the following orthogonality relation³⁴

$$\frac{1}{2\pi i} \oint_{|w|=1} H_m\left(-\frac{\overline{w}}{\sqrt{q}};q\right) H_n\left(-\frac{w}{\sqrt{q}};q\right) f(w) \frac{dw}{w} = q^{-n}(q;q)_n \,\delta_{m,n} , \qquad (43)$$

$$f(w) = \sum_{k=-\infty}^{\infty} q^{k^2/2} w^k , \qquad |w| = 1 .$$
 (44)

Note that $f(w) = \vartheta_3 \left(\frac{1}{2\pi i} \ln q, \frac{1}{2\pi i} \ln w \right)$.

The relation between the q-Hermite polynomials and the oscillator quantum algebra is established from the following representation of $W_q(1)$ on functions of w. [See also Ref.[19].] Take

$$A = \frac{1}{1-q} \frac{1}{w} \left(1 - \sqrt{w} T_w\right) \qquad A^{\dagger} = w \left(1 - \sqrt{\frac{q}{w}} T_w\right) , \qquad (45a)$$

$$N = \frac{\ln[1 - (1 - q)A^{\dagger}A]}{\ln q} .$$
 (45b)

It is again easily verified that the defining relations (6) are identically satisfied by these operators. For instance, it is immediate to see from the above definition of N and using $AA^{\dagger} - qA^{\dagger}A = 1$ that $[q^N, A] = (1 - q)q^N A$ and to conclude that [N, A] = -A.

Now one checks that

$$\phi_0(w) = \left[f\left(\frac{w}{\sqrt{q}}\right) \right]^{\frac{1}{2}} , \qquad (46)$$

satisfy $A \phi_0 = 0$, that is

$$\phi_0(w) = \sqrt{w} \phi_0(qw) . \tag{47}$$

The basis states $\phi_n(w)$, corresponding to the vectors z^n in the first representation are obtained by repeated application of A^{\dagger} on this ground state. One notes using (47) that $A^{\dagger} \phi_0(w) \mathcal{F}(w) = \phi_0(w) \left[-\sqrt{q} \left(-\frac{w}{\sqrt{q}} + T_w \right) \right] \mathcal{F}(w)$ for any arbitrary function \mathcal{F} , to find with the help of (42) that

$$\phi_n(w) = (A^{\dagger})^n \phi_0(w) = (-\sqrt{q})^n \phi_0(w) \ H_n(-\frac{w}{\sqrt{q}};q) \ . \tag{48}$$

In this representation of the oscillator quantum algebra, the basis vectors are thus expressed in terms of the q-Hermite polynomials. The orthogonality relation that they satisfy is inferred from (43). Furthermore, one verifies that A, A^{\dagger} and N, as given in (45), act on the (unnormalized) basis states $\phi_n(w)$ exactly as their homologues (23) do on vectors z^n :

$$N\phi_n(w) = n\phi_n(w) , \qquad A\phi_n(w) = \left(\frac{1-q^n}{1-q}\right) \phi_{n-1}(w) , \qquad A^{\dagger}\phi_n(w) = \phi_{n+1}(w) .$$
(49)

It follows that $\phi_n(w)$ will transform like z^n under the action of $U(\alpha, \beta, \gamma)$:

$$E_{q} (\alpha(1-q)A^{\dagger}) E_{q} (\beta(1-q)A) E_{q} (\gamma(1-q)N) \phi_{n}(w)$$

$$= \sum_{k=0}^{\infty} U_{kn}(\alpha,\beta,\gamma) \phi_{k}(w)$$

$$= \sum_{k=0}^{\infty} E_{q} (\gamma(1-q)n) q^{\frac{(n-k)(n-k-1)}{2}} \beta^{n-k} L_{k}^{(n-k)} (-\frac{\alpha\beta}{q};q) \phi_{k}(w) .$$
(50)

The left-hand side of this equation can be evaluated straightforwardly and after some simplifications and the redefinitions $\tilde{\alpha} = \alpha/\sqrt{q}$, $\tilde{\beta} = -\beta/\sqrt{q}$, $\tilde{w} = -w/\sqrt{q}$, the following relation between the q-Hermite and the q-Laguerre polynomials is found:

$$\sum_{m=0}^{n} \sum_{k=0}^{\infty} {n \brack m}_{q} q^{\frac{1}{2}[m(m-2n+1)+k(k+1)]} \frac{(-1)^{k}}{\Gamma_{q}(k+1)} \tilde{\alpha}^{k} \tilde{\beta}^{-m} H_{m+k}(\bar{w};q) = \sum_{k=0}^{\infty} q^{\frac{1}{2}k(k-2n+1)} \tilde{\beta}^{-k} L_{k}^{(n-k)}(\tilde{\alpha}\tilde{\beta};q) H_{k}(\bar{w};q) .$$
(51)

From³²

$$w^{n} = \sum_{r=0}^{n} {n \brack r}_{q} (-1)^{r} q^{\frac{r(r-1)}{2}} H_{n-r}(w;q) , \qquad (52)$$

and $(w + T_w)^k w^n = w^n q^{kn} H_k(q^{-n}w;q)$, one arrives at the identity:

$$\sum_{r=0}^{n} {n \brack r} (-1)^{r} q^{\frac{r(r-1)}{2}} H_{n+k-r}(w;q) = w^{n} q^{nk} H_{k}(q^{-n}w;q) , \qquad (53)$$

which is of help in deriving the following simpler relation between $L_k^{(\lambda)}(x;q)$ and $H_k(x;q)$. Upon setting $\tilde{\beta} = -1$ and letting $\tilde{\alpha} \to -q^{-(n+1)}\tilde{\alpha}$, $\tilde{w} \to q^n \tilde{w}$ in (51), one obtains:

$$(-\bar{w})^{n} E_{q} \left((1, -q) \bar{\alpha} \left(\bar{w} + T_{\bar{w}} \right) \right) \cdot 1$$

$$\equiv (-\bar{w})^{n} \sum_{k=0}^{\infty} \frac{q^{\frac{k(k-1)}{2}}}{\Gamma_{q}(k+1)} \bar{\alpha}^{k} H_{k}(\bar{w};q) \qquad (53)$$

$$= \sum_{k=0}^{\infty} (-1)^{k} q^{\frac{1}{2}[k(k-n+1)-n(n+k+1)]} L_{k}^{(n-k)} (q^{-(n+1)}\bar{\alpha};q) H_{k}(q^{n}\bar{w};q) .$$

It should be noted that

$$\lim_{q \to 1^{-}} H_n(w;q) = (w+1)^n .$$
(54)

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Substituting z for $-(\tilde{w}+1)$, it easily seen that (53) goes into (38) in the limit $q \to 1^-$.

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