Data acquisition and data quality control in a High Energy Physics experiment

Maria Lorenza Ferrer and the KLOE collaboration

1. INTRODUCTION

The KLOE experiment is installed at the Frascati DAΦNE Φ-factory [1], a high luminosity double-ring e⁻e⁻ collider designed to attain a peak luminosity of 5 x 10^{32} cm^{-2}sec^{-1} with 120 bunches and an interbunch crossing time of 2.7 ns. DAΦNE was approved and funded by the Istituto Nazionale di Fisica Nucleare, INFN, in June 1990. The collider is optimized for operating at a total center of mass energy of 1020 MeV, the mass of the φ meson. The cross section for the process e⁻e⁻ → φ at the energies of the φ mass is approximately 5 µb which corresponds to a production rate of 2500 φ mesons per second for the nominal luminosity of DAΦNE. The e⁺e⁻ collisions produce other final states and in particular the well known Bhabha scattering, e⁺e⁻ → e⁺e⁻, which due to the high rate of produced events, 20 x 10^{33}/sec at the nominal luminosity, is used for luminosity measurements. The φ-meson decay channels are listed in table I, that shows also branching ratios (BR), the maximum momentum of the produced particles and the number of candidates expected per year.

As shown in the table DAΦNE is a good K⁺K⁻ and K_S K_L factory; a reasonable η factory, most likely a good source of η' mesons. In addition DAΦNE has the unique possibility of producing pure K_S, K_L, K and K̅ beams.

The KLOE experiment [2] intends to do a precision study of CP (charge conjugation x parity) violation in the decays K_L, K_S → π⁺π⁻, π^0. Defining the usual amplitude ratios and epsilon parameters:

\[
\frac{\langle π⁺π⁻|K_L⟩}{\langle π⁺π⁻|K_S⟩} = ε + i ε', \quad \frac{\langle π^0|K_L⟩}{\langle π^0|K_S⟩} = ε - 2i ε'
\]

experimental observation of ε' ≠ 0 would be proof that CP is violated in the decay amplitude.

The traditional way to measure direct CP violation is through the double ratio

\[
R = \frac{N_L^+}{N_S^0} = \frac{N_L^0}{N_S^0} ≈ 1 + 6 \Re(ε'/ε)
\]

where each N refers to the numbers of K_L,S decaying to two charged or neutral pions.

Many experiments have attempted to measure \Re(ε'/ε) with continuously improving sensitivity. The results can be summarized as: 0 ≤ \Re(ε'/ε) ≤ 4 x 10^{-3}. The goal for KLOE is to measure \Re(ε'/ε) with sensitivity of the order of 10^{-4}.

As an example, Table II compares the branching ratio of the neutral K_S and K_L mesons decaying into two charged and two and three neutral pions. Also the expected average decay paths are presented.

<table>
<thead>
<tr>
<th>Mode</th>
<th>BR [%]</th>
<th>P_{max} (MeV/c)</th>
<th>#/year</th>
</tr>
</thead>
<tbody>
<tr>
<td>K⁺K⁻</td>
<td>49</td>
<td>127</td>
<td>1.2 x 10^{10} pairs</td>
</tr>
<tr>
<td>K_S K_L</td>
<td>34</td>
<td>110</td>
<td>8.5 x 10^{9} pairs</td>
</tr>
<tr>
<td>π⁺π⁻</td>
<td>15</td>
<td>182</td>
<td>3 x 10^{9}</td>
</tr>
</tbody>
</table>

\[
K_2^n π^0 π^0 μ⁺ ν_μ, \quad K_2^n π^0 π^0 γ, \quad K_2^n π⁻ π⁻ γ, \quad K_2^n π⁻ π⁻ \gamma
\]

Neutrality pions decay into 2 photons (γ) with a probability of 99 % in a very short decay path (25 nm), while for instance the process π⁺ → μ⁺ν_μ has almost a 100 % probability with a very long decay path of 7.8 m.

Given the very different decay paths of K_2^n and K_2^0, see Table II, the typical φ → K_2^n K_L event shows a K_2^n decaying, near to the e⁺e⁻ colliding region, into two charged or neutral pions. Neutral pions decay immediately into 2 γ, while π⁺ survive for a long path without decaying into other particles (Fig.8 in Section VII is a typical example).
Other relevant consequences of Tables I and II are:
- the statistical errors in measuring $\Re(e'/e)$ depends essentially on the number of $K_L^0 \to \pi\pi$ events,
- the sensitivity of $1 \times 10^{-4}$ requires 2 years of running time at the peak luminosity of DAΦNE,
- the systematic errors strongly depend on the efficiency of the photon detection.

Other interesting channels to be studied in KLOE are the Kaon form factors, $K_S$ rare decays, $K_S$ semileptonic asymmetry never measured so far and radiative $\phi$ decays.

II. THE KLOE DETECTOR

The KLOE detector has a cylindrical structure surrounding the collider beam pipe, see Figure 1, and consists of:
- a large highly efficient drift chamber for detecting the charged $K^{0}$ decay,
- an electromagnetic calorimeter (Barrel and End Cap) with excellent timing capability, to measure the energy and impact point of photons,
- a second electromagnetic calorimeter (QCAL) fitting in the narrow space between the drift chamber and the beam focusing quadrupoles to control systematic effects in background subtraction, a particularly dangerous one being $K_L \to \pi^0\pi^0\pi^0$.

The detector is immersed in the magnetic field of a superconducting coil of 2.5 m inner radius and 4.2 m length; the value of the magnetic field being 0.6 Tesla.

The basic structure of the electromagnetic calorimeter [3] is obtained by gluing lead plates of 0.5 mm, encapsulating 1 mm diameter scintillating fibers in rectangular or half disk modules with fibers running parallel to the beam or in parallel half circles. At both ends of any module, the light from the fibers belonging to trapezoidal adjacent elements, is collected by photomultipliers, a total of about 5000 for the whole calorimeter. Each photomultiplier signal is split three ways to provide the following functions:
- amplitude measurements via ADC, to provide energy deposit information,
- time measurement via TDC
- trigger generation after analog sum of signals

Both ADC's and TDC's have been developed for KLOE.

The main characteristics of the KLOE electromagnetic calorimeter are the following:
- good energy resolution $\sim 5%/\sqrt{E(\text{GeV})}$,
- almost full efficiency to detect $\gamma^*$ in the range of momentum (20-300) MeV, giving negligible probability of a $K_L \to 6\gamma$ decay being confused with a $K_L \to 4\gamma$,
- excellent time resolution $\sim 70\ \text{ps}/\sqrt{E(\text{GeV})}$,
- determination of the $\gamma$ conversion point with $\sim 1\ \text{cm}$ accuracy, allowing to determine the vertex of $K_{L,S}$ with an accuracy of few millimeters,
- fast triggering response to suppress the 20 kHz Bhabha rate.

The drift chamber[4] provides tracking of charged particles in three dimensions with a resolution in the determination of the $K_{L,S}$ decay vertex of $200\ \mu\text{m} \times 1\ \text{mm}$ over the whole sensitive volume. It also provides a good momentum resolution ($\delta p/p \sim 0.5\%$) for low momentum tracks, and a fast trigger to complement the calorimeter based one. The drift chamber is a cylindrical structure of carbon fibers, providing 13000 drift cells, which is filled with a very light gas mixture, $90\%He-10\%iC_4H_{10}$. The digitization of the drift time is performed by the chamber TDC's, based on a fully digital chip that was developed for KLOE.

The QCAL [5] is a tile calorimeter designed to offer a good photon detection (92 \%) in the energy range (20 - 280) MeV with a time resolution of 240 ps/$\sqrt{E(\text{GeV})}$. QCAL is readout by 32 photomultipliers.

The KLOE experiment startup was in 1999.

III. Data acquisition overview

The data acquisition, DAQ, of the KLOE detector was designed to sustain a rate of $10^4$ events per seconds or equivalently an average readout time of 100 $\mu$s/event. Half of the acquisition rate is due to the $\phi$ decays expected at two times the maximum design luminosity of DAΦNE, the remainder is from down scaled Bhabha scattering and non vetoed cosmic rays. Bhabha events are fundamental to periodically calibrate the calorimeter scales for time and energy measurements and to determine the tracking chamber parameters. An average event size of 5 KBytes was also estimated, corresponding to a total bandwidth requirement of 50 MBytes/sec.

About $10^{11}$ events should be acquired, stored and analyzed during the KLOE lifetime. This requirement in data

Fig. 1. Vertical cross section of the KLOE detector along the beam line
handling capability represents a challenge in the field of typical collider experiments.

Since the major aim of KLOE is to perform studies at sensitivities of the order of $10^{-4}$, DAQ error rates, specially if dependent on event configuration, which would be quite acceptable in other situations, must be minimized. In particular the possible build-up of instantaneous backlogs that might result in losses of parts of an event has to be avoided.

A. Data acquisition architecture

The DAQ readout system involves some 23,000 channels of front end electronics, FEE, from calorimeters, tracking chamber and trigger system. For each event, relevant data coming from the whole FEE system have to be concentrated in a single CPU where a dedicated process is in charge of building the complete event. A three level data concentration scheme has been implemented.

The first two levels, that work on an event per event base, rely on custom buses and hardware controllers, in order to achieve high bandwidth without software penalties and CPU overheads.

Data transmission between CPUs, where in particular the 3rd level of data concentration is implemented, relies on standard network media and protocols and works with packets of events in order to optimize the use of the network channels.

FEE and readout controllers use standard VME and custom boards. The VME protocol specification is used for FEE initialization and monitoring procedures while data readout uses a custom bus, AUIns, built around the free pins in the VME P2 connector.

The DAQ components and the information flow are schematically presented in Figure 2. After the receiving a trigger signal, each KLOE FEE channel performs analog signal conditioning and digitisation during a fixed dead time of 2.2 $\mu$s after which the system is ready to receive a new trigger. To cope with this specification, every FEE board contains a local trigger counter and a buffer of appropriate length where each event number and the related data are memorized. A crate readout controller, ROCK[6], memorizes trigger counter in a local buffer and acquires the FEE event data sequentially and asynchronously with respect to the trigger signal. The number of boards per crate is optimized, according to the expected average occupancy, in order to assure a readout time much lower than 100 $\mu$s, as required by the expected rate of $10^4$ events/s. A variable number of readout controllers are chained using a custom bus, Cbus[6]. The chain is terminated in a custom manager of readout controllers, ROCKM[6], that concentrates the data of each event in a local buffer. This buffer is read out by a VME CPU (a Compaq Alpha based processor). Event data coming from a chain will be called sub-event in the following.

The interface between the trigger system and the acquisition chains is concentrated in a single VME crate, the Trigger Supervisor. The busy and fault conditions are managed by the Trigger Supervisor which inhibits the trigger system at their occurrence. This is connected by mean of fan-in/fan-out units to the readout controllers. Periodic synchronization cycles are started by the Trigger Supervisor in order to check the alignment between trigger counters in all the acquisition boards.

The KLOE DAQ has been designed to sustain a throughput of 50 MBytes/s all along the ‘DAQ path’ from the readout controllers to the storage system. This path is implemented by means of a 22 ports FDDI switch that interconnects in particular the VME CPUs of 10 chains and an online farm of seven SMP 4-ways servers where the event building, formatting, monitoring and disk storage processes are implemented.

![Diagram of data acquisition architecture](image)

**Fig. 2.** DAQ architecture

Packets of 100 sub-events are buffered in the VME CPUs and then transported using TCP/IP connections over FDDI. The destination node in the farm is assigned
cyclically by a central Data Flow Controller, DFC, that distributes trigger-destination assignment tables to the VME CPUs using a commercial interconnect bus with mirrored memories, VCbus. Each DFC table contains a flag for any node in the online farm enabling or disabling the node cooperation to the data acquisition, and a word indicating the maximum trigger number for which the table is still valid. This capability of redirecting the network traffic in case of sporadic high occupancy of a node, strongly reduces DAQ dead times.

The Run Control node, based in a SMP 4-ways server, centralizes the functions related to the control of the run activity and provides the graphic operator interfaces for DAQ monitoring and error logs. This node acts also as the NFS (Network File Systems) disk server of the acquisition network where software, calibration data and history files containing run conditions and detector/accelerator parameters are recorded.

A Database server maintains track of run conditions, accelerator and detector status for any run. Moreover relevant parameters related to any acquired or analyzed event file are entered in the database.

The online farm provides functionalities of event building and event recording in local disks. In parallel, specialized processes are in charge for selecting interesting events that are used to monitor the quality of the acquired data and the goodness of the detector calibration constants. Feedback to the collider team (e.g. instantaneous luminosity, beam energy) is provided online using this early data analysis.

Each node in the farm exports via NFS its own disk to an offline farm of servers where the full off-line analysis is started as soon as the goodness of the calibration data is confirmed.

A Gigabit and Fast Ethernet switched network interconnects all the KLOE computers and is used in parallel with the ‘DAQ path’ for traffic not correlated with the data acquisition. Two SMP servers with Gigabit Ethernet interfaces are dedicated to archive files from the online disks to a Tape Library.

IV. SOFTWARE COMPONENTS

The acquisition software has been developed having in mind performances, scalability and independence from processor and network platforms. In fact machines running HPUX versions 9 and 10, AIX v4.3 and OSF/1 v4.0 operating systems are presently used in the KLOE data acquisition and functionalities can be easily moved among different platforms with minor effort.

The core of the data acquisition software was designed from scratch, but commercial and public domain packages are used for many applications. Examples of them are presented in Table III.

A. The message system

The online processes are distributed in a large set of nodes of the acquisition network and have therefore to intercommunicate by means of an adequate messaging system. All processes have to change coherently their state of activity according to local or remote commands. Monitoring of the process activity should not interfere with its cooperation in moving data. Having these ideas in mind, an acquisition node was designed as a network device that can controlled using the standard Simple Network Management Protocol, SNMP.

The SNMP was developed to provide a general purpose internetworking management protocol. It allows to access remote information about network configuration, traffic, faults, accounting and security. Privileged access are also defined to force changes in remote devices or software applications. The information is made available as a tree of conceptual variables, defined in a Manager Information Base (MIB) [12] using elements of the ASN.1 notation. Well maintained, easy-to-use public domain software is available, implementing both dedicated daemons and utilities for remote access.

The structure of a typical data acquisition process and its interaction with the message system are described in the following. At the startup the process subscribes itself by specifying a set of its more significant variables to a shared process table implemented as a UNIX shared memory. A process message queue is created and its identification is also saved in that table. During the process activity the value of its variables are periodically updated in the shared memory.

A KLOE command server running in any acquisition node is implemented as a private SNMP daemon. A special MIB tree has been defined that maps the structure of the process table in one-indexed and two-indexed lists. The command server implements this mapping.

The command server is able to respond to “set”, “get” and “getnext” queries. The “get” and “getnext” queries allow to scan remotely the process table and their variables, thus performing a sort of generalized shared memory. Remote “set” operations allow to modify the value of single variables, which is extremely useful for debugging purposes, and can be converted into local commands addressed to one or more processes in the node when the “set” function is directed to a particular “last command” variable. The command server uses message queues and process signaling to distribute messages to its managed processes.

This message system allows to create centralized utilities which are able to monitor remote node activity by only invoking the command server cooperation in that node.
A two level acknowledgment over the SNMP protocol has been implemented [13] to manage remote commands. Moreover, in order to speed up the execution time of commands to be distribute to more processes, parallel command execution and delayed acknowledgment requests are defined. It is very useful in implementing for instance run control commands that take times as long as seconds to be executed by single nodes.

As a reference, the average time to get a remote variable in the KLOE DAQ network is ~ 1.2 ms and the remote command completion time is ~ 4 ms, including the acknowledgment waiting time.

SNMP traps are used to implement the Data Flow Control protocol [13].

Examples of utilities walking in a remote list of DAQ processes and variables responding to the two commands specified below are given in the following:

1) Command: `procs fbfm05`

```plaintext
process:cmdsrv  status:alive runstate:INIT
process:lpcheck status:alive runstate:INIT
process:receiver status:alive runstate:INIT
process:build    status:alive runstate:INIT
process:trgmon   status:alive runstate:INIT
process:filter   status:alive runstate:INIT
process:recorder_BHA status:alive runstate:INIT
process:recorder_COS status:alive runstate:INIT
```

2) Command: `varnames trgmon@fbfm05`

```plaintext
runnr = 18871
L1 = 4621
L2 = 3046
dead = 5.533692
ratephi = 30.638260
```

Graphic tools have been built using the tcl/tk package in order to help full process control. Only two new td commands have been implemented:
- a “get variable” command
- a “send message” command

As an example, Figure 3 gives a graphic presentation of the “varnames” utility.

### B. Process template

Processes taking part in the data acquisition are built according to a well defined template implementing the DAQ state machine. After the initialization phase, a DAQ process enters in a main loop where sequentially executes its private actions and reacts to external commands. A set of libraries written in “C” language provides the interfaces to the Message System, hardware components, TCP/IP sockets, shared memories, and so on, thus keeping in the process code only its specific functionalities.

![Trigger monitor](image)

**V. DATA MOVING PROCESSES**

Processes involved in the ‘DAQ path’ from the ROCKM buffer to the online farm disks are presented in Figure 4.

The **Collector** initializes the hardware modules in its VME chain and executes debugging tests in case of failure in order to identify the error source. In the main loop it also reads the ROCKM buffer and builds packets containing 100 sub-events. Direct memory access or single-word VME read operations are used according to the real occupancy of the buffer, that can change during the single run time, which is usually less than 1 hour. Packets are put directly in a multiple shared circular buffer according to the destination node in the online farm, as defined in the corresponding DFC table. The structure and characteristics of the KLOE circular buffer will be described below in
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released when the buffer is validated and becomes available to the clients. A short time of memory locking is requested, long enough to modify internal pointers;

- Many clients can copy in a local space events from the circular buffer, to be used as random samples for statistical analysis. These will be called spy events in the following.

At present the KLOE circular buffer library is supported on AIX, HP-UX, Lnxux, LynxOS, OSF1 and Solaris platforms. It can be configured as a single or multi-thread environment using SYSV or POSIX compliant routines to access shared memories and semaphores.

B. Processes in a node of the online farm

Figure 5 presents the full software configuration of a node in the online farm.

![Diagram of Processes in a Farm Node](image)

- Circular buffer
  - Selected Cosmosics events, 2 Bhabha events, ...
  - TCP/IP connections
  - spy events

The Trigger monitor uses the information collected by the trigger chains in order to measure trigger rates, acquisition dead times, Cosmic and Bhabha event rates, DAΦNE instantaneous luminosity and other relevant parameters. The Event filter selects different event categories using information from the whole detector to allow for remote control of calibration constants and data quality.

The KID daemon, that will be described in the next section, distributes spy events to remote processes. The Filekeeper maintains free space on disk deleting files according to a policy that assures that data acquisition is never stopped due to lack of space but assures also that offline processes can proceed analyzing files without requiring access to tapes.

The Lpcheck monitors the status on life of the processes from the data acquisition point of view, by requesting the
change of an internal variable.

Figure 6 shows the distribution of CPU usage in a node that sustains event rates of 0.8 and 1.6 kHz. A single process can only use up to 25% of the total CPU power which is a natural limit to the resources used by processes with monitoring function. A fine tune of the operating system and NFS parameters has been required in order to keep control in system dead times that could be originated by lack of resources in the farm.

![CPU Utilization Graph](image)

Fig. 6. CPU utilization in a node of the online farm

VI. THE KLOE INTEGRATED DATAFLOW (KID)

KLOE data are stored using millions of large files containing raw, reconstructed or simulated events. All data files are indexed using a Relational Database that keeps track of file parameters and actual file location. Tapes are used for long-term storage and large disk areas are used for short-range data staging. Events can also be peeked directly from data acquisition pipes.

The KID package[15] provides an unique application programming interface to access data in KLOE. The source of data can be selected using simple and easy to remember text strings in the form of URLs, Universal Resource Identifier. Several data sources can be combined together like circular buffers or a set of files implicitly selected by SQL queries to the Database.

KID also optimizes data access by moving files to the right storage medium (e.g., from tape to disk) so that there is no need to track down explicitly the location of the files as this is done transparently by KID.

The KID environment is a very powerful tool that was first designed for offline event reconstruction and data analysis. By adding the interface to the DAQ multiple circular buffer, KID allows the use of the same analysis tools (e.g., event display, histogramming, calibration) both in online and offline environments by simply defining the right data source.

Examples of URL:

- `spydaq:ALL` to receive raw unfiltered events from all the online farms,
- `spydaq:L3BA?multi=n?wait_run=no` to ask for raw events that are acquired in all the online farms, being identified by the online filters as Bhabha events. The process asking for this input will receive an End-of-file at the end of the run,

```
dbdataexec.run_nr between 19005 and 19011 and stream_code='rad' report=true
```
to analyze all files of type rad reconstructed from raw files in the given range of run numbers.

The KLOE Event display uses KID to access raw or reconstructed data. It is built as two cooperating processes. One of them reads a single event from the requested data source, reconstructs its components (clusters in the calorimeters, tracks and vertices in the drift chamber) extending the event data with new banks, or uses already reconstructed values depending on the user request. The event is written to a shared memory. The second process reads the event from the shared memory and implements the graphic interface.

Figure 7 shows partially the KLOE Event display interface. Information not appearing in the figure is related in particular to reconstructed track momentum and coordinates, energy and time of the calorimeter clusters and reconstructed decay vertices, that can be obtained in a separated window just simple clicking the object in the display.

![Event Display](image)

Fig. 7. KLOE Event display interface

VII. DATABASE AND ARCHIVING SYSTEMS

An IBM 3494 Tape library with 5500 cartridges of 40 Gbytes uncompressed capacity, six (up to 26) tape drives and the ADSM software package are the components of the KLOE archive and backup system. In order to improve system availability, tape drives are physically connected to two 180 servers and each server manages one half of the library capacity maintaining its own database.

A set of dedicated processes that use the ADSM Application Programming Interface, API, implement the auto-
matic files archive at completion of each data acquisition run. The same processes provide archiving and disk staging facilities for offline analysis.

An external database based on the DB2 software package is used to better identify the main characteristics of any archived event file. This DB2 database also contains the history of run and detector conditions from the very beginning of the KLOE activity in DAΦNE.

The ADSM client option is installed in any KLOE node that requires backup functionalities. On the contrary, access to the DB2 database is provided by a custom multithread client-server system that requires DB2 software running only in the database server.

A 2 GB ADSM database size has been successfully tested. KLOE is presently using 300 MB per server for about 600,000 entries, while the DB2 database size is now 800 MBytes.

VIII. SLOW CONTROL

The KLOE slow control system is responsible for setting and/or monitoring constants related to high and low voltage power suppliers, gas, temperature, currents and noise channels in the drift chamber and many other parameters that help operators to monitor the full detector and DAΦNE performances during the run. It is managed by a CPU in a VME crate and uses CAENET[16] modules interconnected via serial lines.

History files containing slow control variables are periodically updated. The Presenter utility (see Figure 9) is used to monitor continuously sets of these variables both during the run activity and all along the KLOE history. Moreover HTML pages (see an example in figure 10) are used as slow control operator interface.

IX. KLOE AND DAΦNE STATUS

As a reference, Figure 9 shows the DAΦNE luminosity achieved during the KLOE run period in April 2001. The collider was working with 45 bunches, and the peak luminosity was $\sim 2 \times 10^{31} \text{ cm}^{-2} \text{ sec}^{-1}$. The two KLOE trigger...
rates are also shown. The average data acquisition rate, L2, was about 2.5 kHz.

KLOE is going to publish the results about physics channels not requiring very high integrated luminosity, while DAΦNE is periodically increasing performances.

Fig. 10. Slow control interface

X. Conclusions

The core of the KLOE data acquisition has been designed almost from scratch having in mind high performance, computer platform independence and distributed monitoring capability.

The use of custom buses and a fine tuning of network and operating system parameters allows to maintain a low acquisition dead time even when the available computing resources are partially dedicated to activities like event selection, data quality control and detector calibration.

The KLOE message system, based on standard UNIX mechanisms and the SNMP protocol, proved to be a robust and reliable system for data acquisition and process control.

Commercial software for archiving and database accessing have been successfully integrated with the custom KLOE dataflow software, which allows a transparent access to event files independently of the storage location.

Appendix

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[10] ROOT, a Object-Oriented interactive data analysis tool, CERN Main Page http://root.cern.ch/
[11] OnX, a package to handle GUI with XML Main WEB page, 
   http://www.lal.in2p3.fr/SI/OnX
[16] see for instance http://www.cern.it/

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