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# Kaon physics

*XXVI Physics in Collision  
Buzios, Brasil  
6-9 July 2006*

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INFN - Frascati*

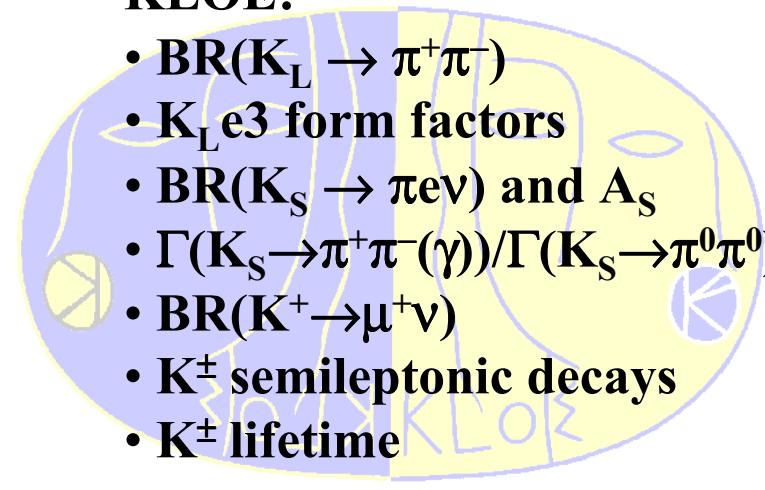
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# *Outline*

## Plenty of new results on kaon physics since PIC05:

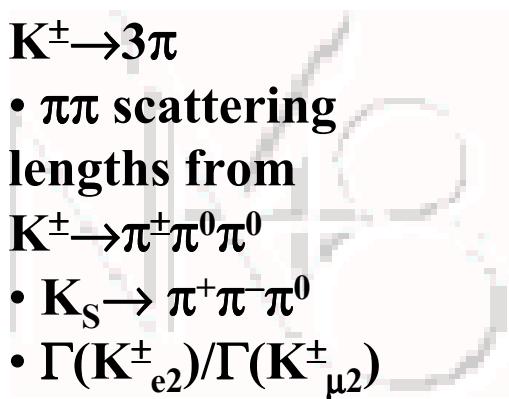
### KLOE:

- $\text{BR}(K_L \rightarrow \pi^+ \pi^-)$
- $K_L e3$  form factors
- $\text{BR}(K_S \rightarrow \pi e \nu)$  and  $A_S$
- $\Gamma(K_S \rightarrow \pi^+ \pi^-(\gamma)) / \Gamma(K_S \rightarrow \pi^0 \pi^0)$
- $\text{BR}(K^+ \rightarrow \mu^+ \nu)$
- $K^\pm$  semileptonic decays
- $K^\pm$  lifetime



### NA48:

- CP violation in  $K^\pm \rightarrow 3\pi$
- $\pi\pi$  scattering lengths from  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$
- $K_S \rightarrow \pi^+ \pi^- \pi^0$
- $\Gamma(K^\pm_{e2}) / \Gamma(K^\pm_{\mu 2})$



### KTEV:

- $\text{BR}(K_L \rightarrow \pi^+ \pi^- \gamma)$
- Hadronic radiative decays of  $K_L$  with virtual photons ( $K_L \rightarrow \pi\pi\gamma^*$  and  $K_L \rightarrow \pi\pi\pi\gamma^*$ )

### In this talk focus on:

- Direct CP violation in charged decays and (totally unexpected)  $\pi\pi$  scattering lengths from  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  decays
- $V_{us}$  measurement and unitarity test of CKM matrix.
- Determination of CP and CPT violation parameters using the Bell-Steinberger relation.
- $\mu/e$  universality in  $K^\pm_{e2}$  decays
- Status of rare K decays ( $K \rightarrow \pi \nu \bar{\nu}$ ) measurements.

# Direct CP violation in $K^\pm 3\pi$ decays

- Compare the Dalitz plot density for  $K^+$  and  $K^-$ , in the  $K^\pm 3\pi$  decay modes:

$$\text{BR}(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-) = 5.57\% \text{ (charged)}$$

$$\text{BR}(K^\pm \rightarrow \pi^\pm \pi^0 \pi^0) = 1.73\% \text{ (neutral)}$$

- Matrix element:

$$|M(u,v)|^2 \sim 1 + gu + hu^2 + kv^2$$

$$g_{ch} = -0.2154 \pm 0.0035$$

$$g_n = +0.638 \pm 0.020.$$

$|h|$  and  $|k|$  ( $\sim 10^{-2}$ ) negligible wrt  $g$

- The slope asymmetry is the direct CP-violation quantity:  $A_g = (g_+ - g_-)/(g_+ + g_-) \neq 0$

- SM estimates vary within an order of magnitude (few  $10^{-6} \dots 8 \times 10^{-5}$ ). Models beyond SM predict substantial enhancement.

- Data-taking 2003:  
 $1.61 \times 10^9$  events selected.

Lorentz-invariants:

$$s_i = (P_K - P_{\pi_i})^2, i=1,2,3 \text{ (3=odd } \pi\text{);}$$

$$s_0 = (s_1 + s_2 + s_3)/3;$$

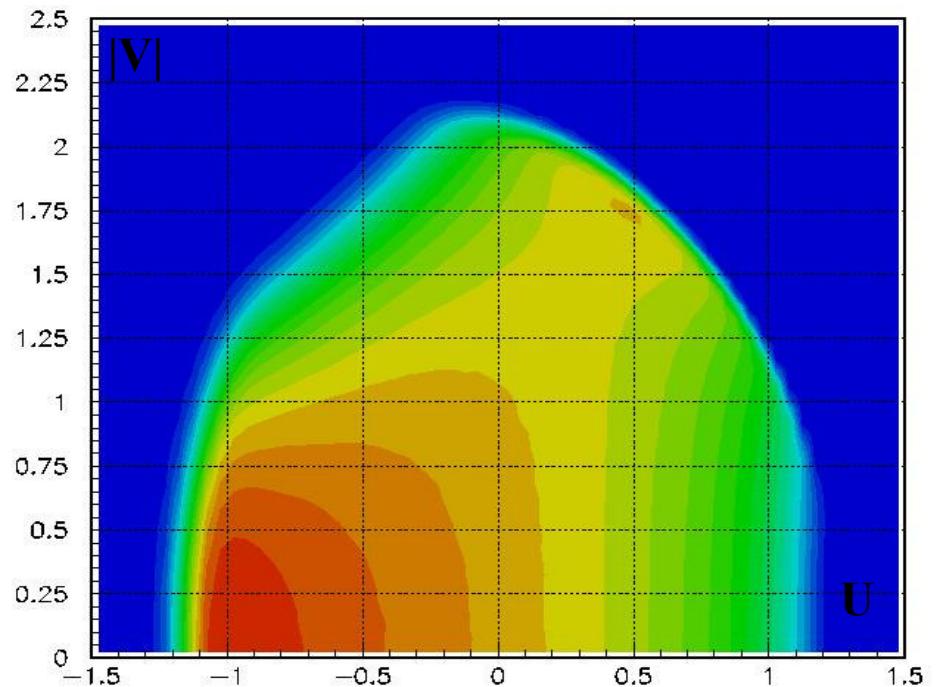
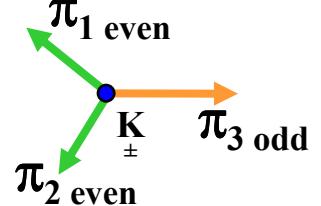
$$u = (s_3 - s_0)/m_\pi^2;$$

$$v = (s_2 - s_1)/m_\pi^2.$$

Kaon rest frame:

$$u = 2m_K \cdot (m_K/3 - E_{\text{odd}})/m_\pi^2;$$

$$v = 2m_K \cdot (E_1 - E_2)/m_\pi^2.$$



# Direct CP violation in $K^\pm \rightarrow 3\pi$ decays

- Careful control of detector ( $\Delta g_{LR}$ ) and of beamline ( $\Delta g_{UD}$ ) asymmetries.

$BR(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-)$

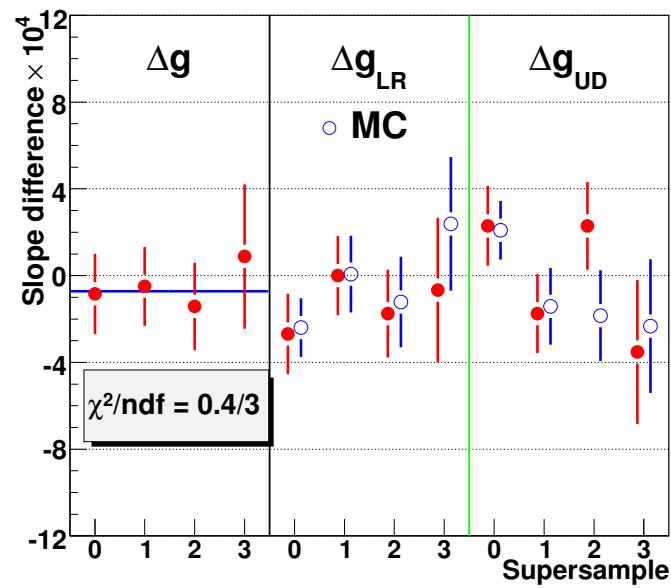
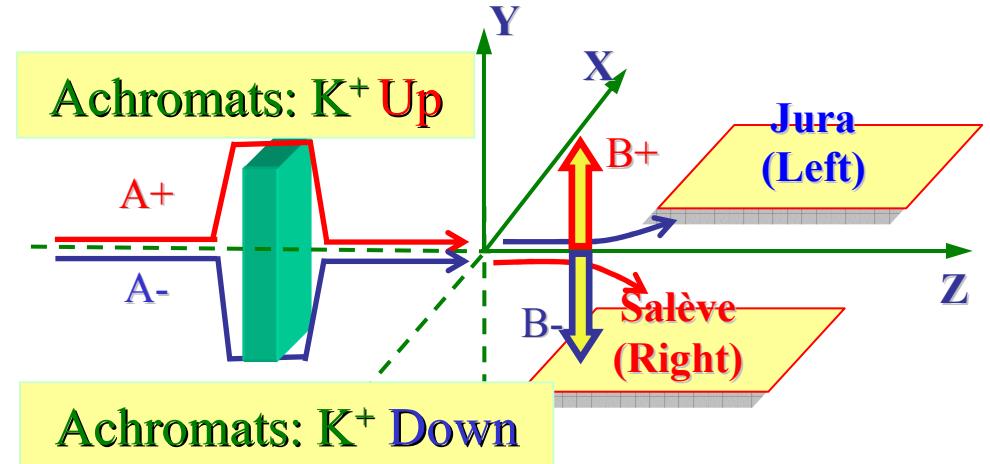
- Slope difference:  
 $\Delta g = (-0.7 \pm 1.0) \times 10^{-4}$
- Charge asymmetry:  
 $A_g = (1.7 \pm 2.9) \times 10^{-4}$

$BR(K^\pm \rightarrow \pi^\pm \pi^0 \pi^0)$ : small BR and acceptance, larger slope.

- Slope difference:  
 $\Delta g = (2.2 \pm 3.1) \times 10^{-4}$
- Charge asymmetry (using  $g_0=0.638$ ):  
 $A_g^0 = (1.8 \pm 2.6) \times 10^{-4}$

- Errors dominated by statistics
- Order of magnitude improvement

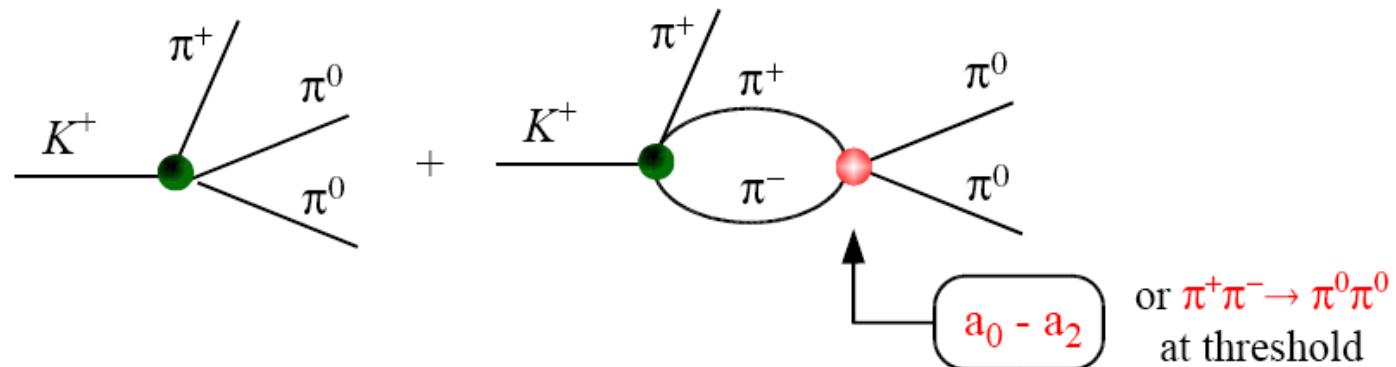
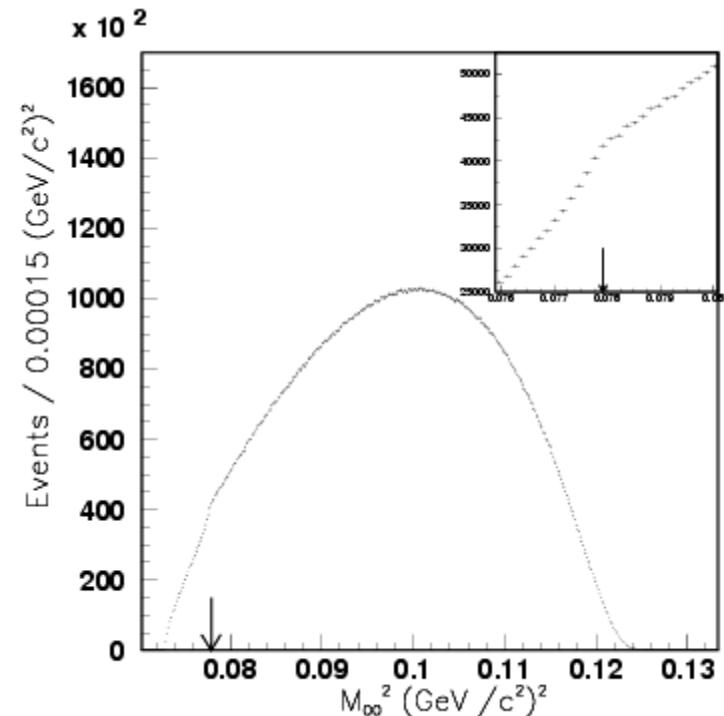
**hep-ex/0602014; PLB 634 (2006)**



# Cusp-like effect in $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$ decays

The high resolution of the NA48/2 experiment has allowed to observe –for the first time— a subtle and interesting phenomenon in  $K^\pm \rightarrow 3\pi$  decays: anomaly in the  $\pi^0 \pi^0$  ( $M_{00}^2$ ) invariant mass distribution in the region  $M_{00} = 2m_{\pi^\pm}$ .

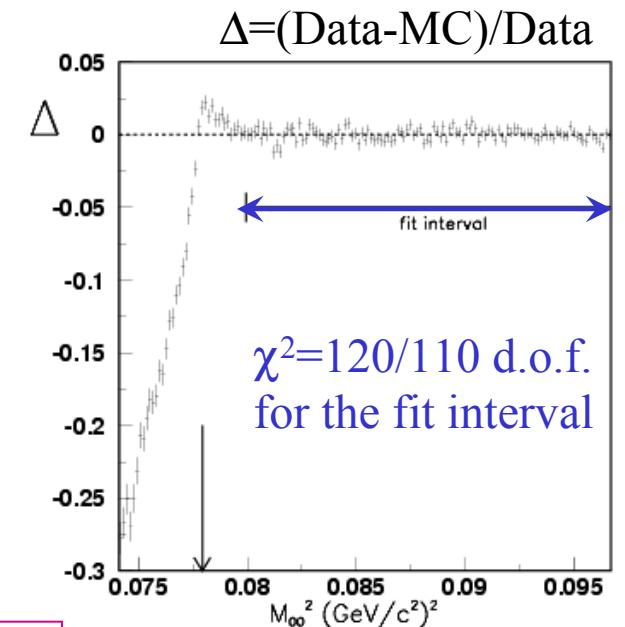
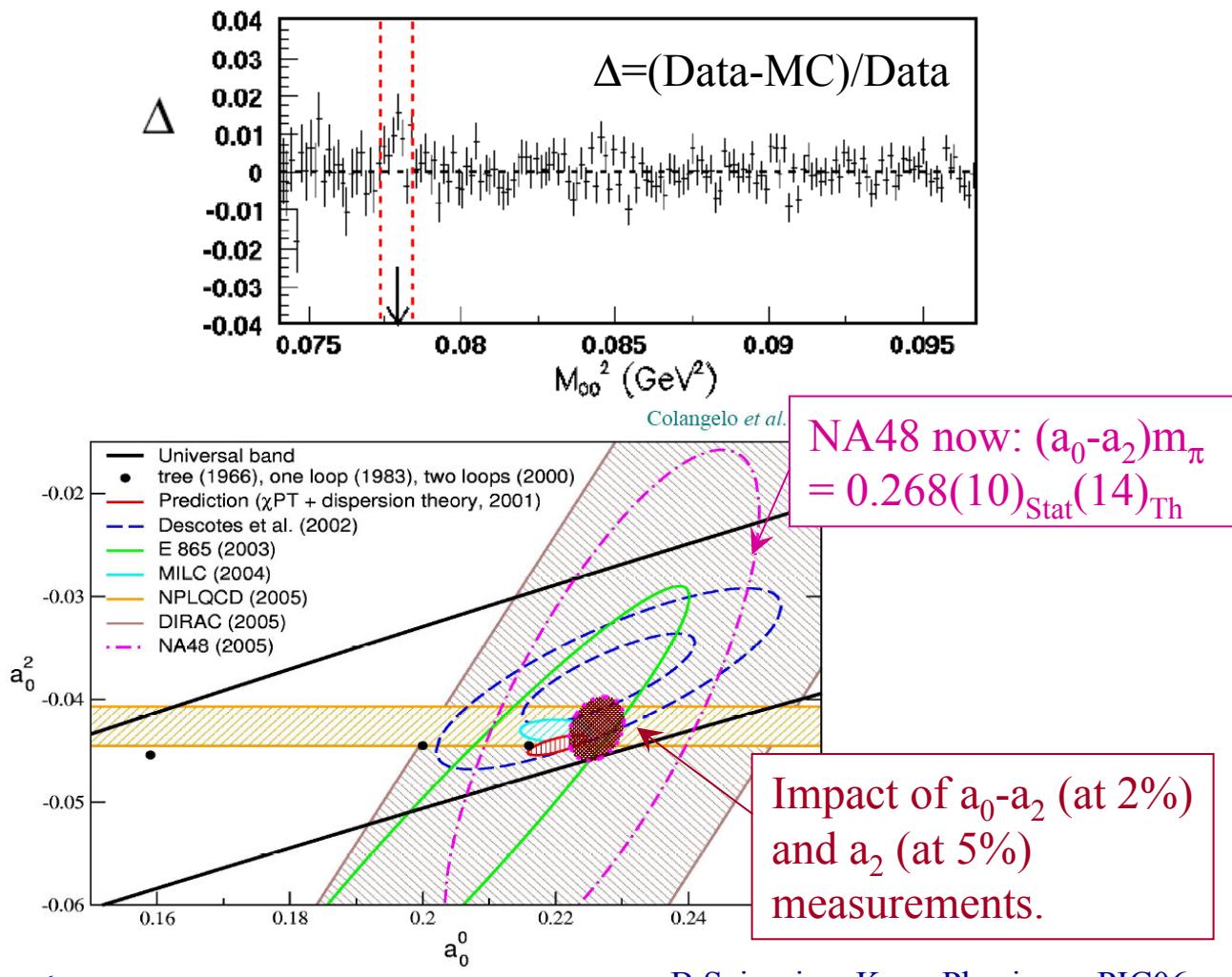
The origin of this discontinuity is due to the following interference/re-scattering effect:



From this effect a new way to measure  $a_0 - a_2$ , important parameters of the  $\chi_{PT}$ .

# Cusp-like effect in $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$ decays

- Without the re-scattering effect:  $\chi^2=13\,574/148$  d.o.f
- With re-scattering plus pionium:  $\chi^2=141/139$  d.o.f



In a few years this method could provide the most precise determination of S-wave  $\pi\pi$  scattering lengths (better than from  $K_{\ell 4}$  decays).

# *Unitarity test of CKM matrix – $V_{us}$*

- Universality of weak interactions + 3 generations:

$$V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

- Most precise **test of unitarity** possible at present comes from 1<sup>st</sup> row:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 \sim |V_{ud}|^2 + |V_{us}|^2 \equiv 1 - \Delta$$

**Can test if  $\Delta = 0$  at  $10^{-3}$  level:**

from super-allowed nuclear  $\beta$ -decays:  $2|V_{ud}|\delta V_{ud} = 0.0005$

from semileptonic kaon decays:  $2|V_{us}|\delta V_{us} = 0.0009$

- Extract  $|V_{us}|$  from  $K_{l3}$  decays (e.m. effects must be included):

$$\Gamma(K \rightarrow \pi \ell \nu(\gamma)) \propto |V_{us} f_+^{K0\pi^-}(0)|^2 I(\lambda_t) S_{EW}(1 + \delta_{EM} + \delta_{SU(2)})$$

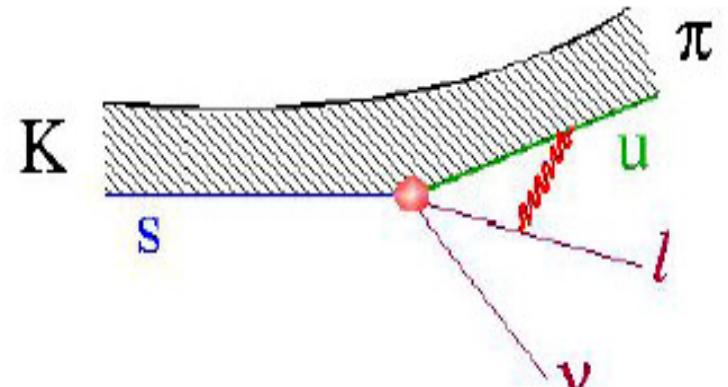
**Relative uncertainty:** 
$$\frac{\delta|V_{us}|}{|V_{us}|} = 0.5 \frac{\delta\Gamma}{\Gamma} \oplus 0.5 \frac{\delta I(\lambda_t)}{I(\lambda_t)} \oplus \frac{\delta f_+^{K0\pi^-}(0)}{f_+^{K0\pi^-}(0)}$$

# *Details on the $V_{us}$ extraction*

$$\Gamma_{K\ell 3} = \frac{G_F^2 M_K^5}{192\pi^3} S_{EW} (1 + \delta_K^\ell) C^2 |V_{us}|^2 f_+^2(0) I_K^\ell$$

## Theoretical inputs

- $f_+(0)$  form factor at zero momentum transfer:  
pure theory calculation ( $\chi_{PT}$ , lattice) presently  
known at 1% level.
- $S_{EW}$  short distance corrections (1.0232)
- $C = 1$  ( $2^{-1/2}$ ) for neutral (charged) kaon decays
- $\delta_K^\ell$  electromagnetic and isospin breaking ( $K^\pm$   
only) effects presently known at the 0.1% level.



## Experimental inputs

- $I_K^\ell = I(\lambda_+, \lambda_0, 0)$  phase space integral; depends on the decay mode  $K^{\pm,0}(e3)$ ,  $K^{\pm,0}(\mu 3)$
- $\lambda_+, \lambda_0$  slopes (momentum dependence of the vector and scalar form factors)
- $\Gamma_{K\ell 3}$  (BR and lifetime)
- **Measure all inputs: branching ratios, lifetimes, and form factors.**

# *Experimental inputs for $V_{us}$ : 2003-2006*

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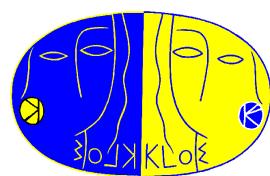
$\text{BR}(\text{K}^\pm \rightarrow \pi^0 e v)$



$K_L$  dominant BR's  
 $K_L$  semileptonic form factor slopes



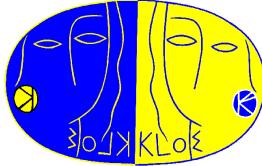
$\text{BR}(\text{K}_L \rightarrow \pi e v)$   
 $K_L$  semileptonic form factor slopes  
 $\text{BR}(\text{K}^\pm \rightarrow \pi^0 e^\pm v)$



$\text{BR}(\text{K}_S \rightarrow \pi e v)$        $K^\pm$  semileptonic BR's  
 $K_L$  dominant BR's       $\text{BR}(\text{K}^+ \rightarrow \mu^+ v(\gamma))$   
 $K_L$  lifetime       $K^\pm$  lifetime  
 $K_L e 3$  form factor slopes



$\text{BR}(\text{K}^- \rightarrow \pi^0 e v)$   
 $K^-$  semileptonic form factor slopes



# *K physics at KLOE - tagging*

**Kaon physics at a  $\phi$ -factory has some peculiar characteristics:**

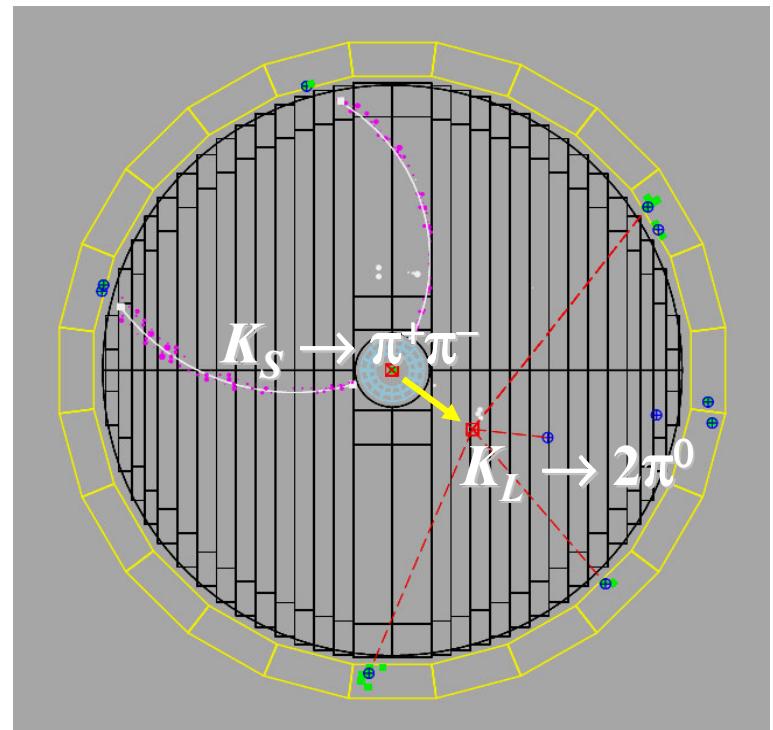
- $K_S K_L$  ( $K^+ K^-$ ) produced from  $\phi$  are in a pure  $J^{PC} = 1^{--}$  state:

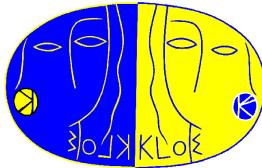
$$K_S, K^+ \xleftarrow{\phi} K_L, K^-$$

$$\frac{1}{\sqrt{2}}(|K_L, \mathbf{p}\rangle |K_S, -\mathbf{p}\rangle - |K_L, -\mathbf{p}\rangle |K_S, \mathbf{p}\rangle)$$

- Allows interference measurements of  $K_S K_L$  system
- Observation of  $K_{S,L}$  signals presence of  $K_{L,S}^-$ ;  $K^{+-}$  signals  $K^{-,+}$
- Allow **absolute** branching ratio measurement, by means of a tag technique.
- Pure  $K_S$  beam
- $K_{S,L}$  momentum measured from tag kaon ( $K_{L,S}$ ):  
 $K_{S,L}$  angular resolution:  $\sim 1^\circ$ ;  $K_{S,L}$  momentum resolution:  $\sim 1$  MeV
- Kaon momentum ( $p_L \sim 110$  MeV,  $p_\pm \sim 127$  MeV) is an excellent lever arm for lifetime measurements (acceptance about  $0.5 \lambda$ ).

$\phi$ decay mode	BR
$K^+ K^-$	49.1%
$K_S K_L$	34.1%





# $K^\pm$ lifetime

- $\tau_\pm$  PDG entries: discrepancies between in-flight and at-rest measurements; discrepancies between different stoppers in at-rest measurements.

Tag events with  $K^\pm \rightarrow \mu^\pm \nu$  decay

Identify a kaon decay vertex on the opposite side

- **1<sup>st</sup> method: obtain  $\tau_\pm$  from the K decay length**

Measure the kaon decay length taking into account the energy loss:  $\tau_K = \sum_i \Delta L_i / (\beta_i \gamma_i c)$

$$\tau_\pm = 12.367 \pm 0.044_{\text{stat}} \pm 0.065_{\text{syst}} \text{ ns}$$

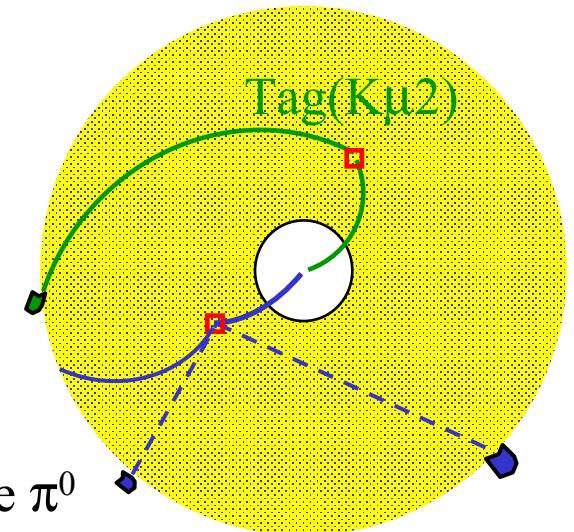
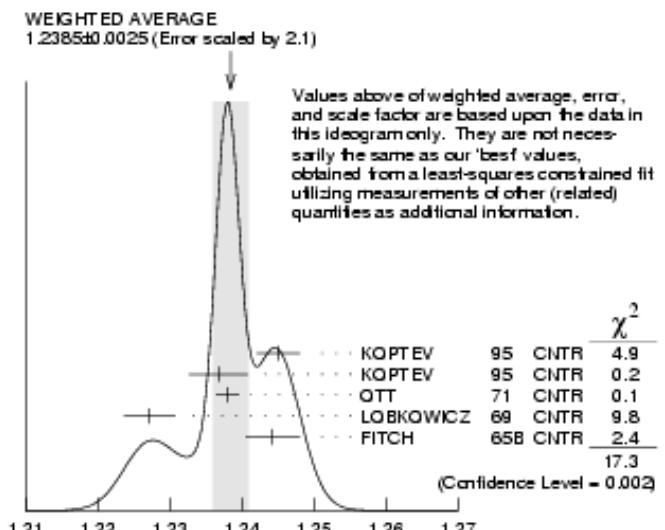
*(preliminary)*

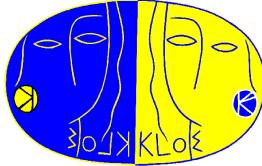
- **2<sup>nd</sup> method: obtain  $\tau_\pm$  from the K decay time**

Use only  $K^\pm \rightarrow \pi^\pm \pi^0$  decays

Measure the kaon decay time:  $\tau_K = (t_\gamma - R_\gamma/c)/\gamma_K$ , using the  $\pi^0$  *(in progress)*.

Comparison of the two methods allows to keep systematics under control





# Dominant $K_L$ BR's and $K_L$ lifetime

- Using also the constraint  $\sum \text{BR}(K_L) = 1$ :

$$\text{BR}(K_L \rightarrow \pi e\nu(\gamma)) = 0.4007 \pm 0.0006_{\text{stat}} \pm 0.0014_{\text{syst}}$$

$$\text{BR}(K_L \rightarrow \pi \mu \nu(\gamma)) = 0.2698 \pm 0.0006_{\text{stat}} \pm 0.0014_{\text{syst}}$$

$$\text{BR}(K_L \rightarrow 3\pi^0) = 0.1997 \pm 0.0005_{\text{stat}} \pm 0.0019_{\text{syst}}$$

$$\text{BR}(K_L \rightarrow \pi^+ \pi^- \pi^0(\gamma)) = 0.1263 \pm 0.0005_{\text{stat}} \pm 0.0011_{\text{syst}}$$

$$\tau_L = 50.72 \pm 0.17 \pm 0.33 \text{ ns}$$

- Direct measurement of  $K_L$  lifetime using  $K_L \rightarrow \pi^0 \pi^0 \pi^0$  decays:

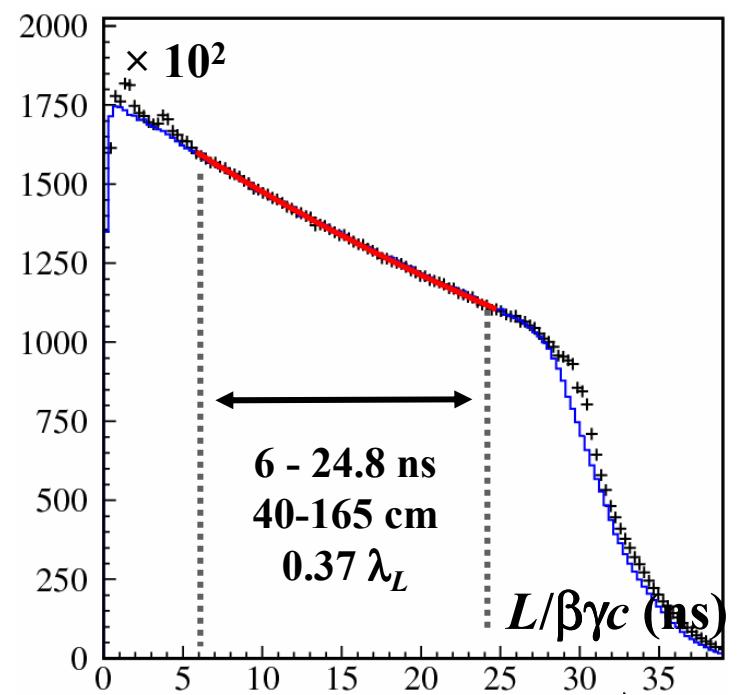
$$\tau_L = 50.92 \pm 0.17 \pm 0.25 \text{ ns}$$

- Average of KLOE results:

$$\tau_L = 50.84 \pm 0.23 \text{ ns}$$

- cf. Vosburg'72:  $\tau_L = 51.54 \pm 0.44 \text{ ns}$ , a factor  $\times 2$  better

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# $K_L$ semileptonic branching ratios



- KTeV measured 5  $K_L$  decay ratios of 6 decay modes which account for 99.93% of  $K_L$  decays
- The ratios can be combined to extract BRs of the 6 main  $K_L$  decay modes. (PRD 70 (2004))



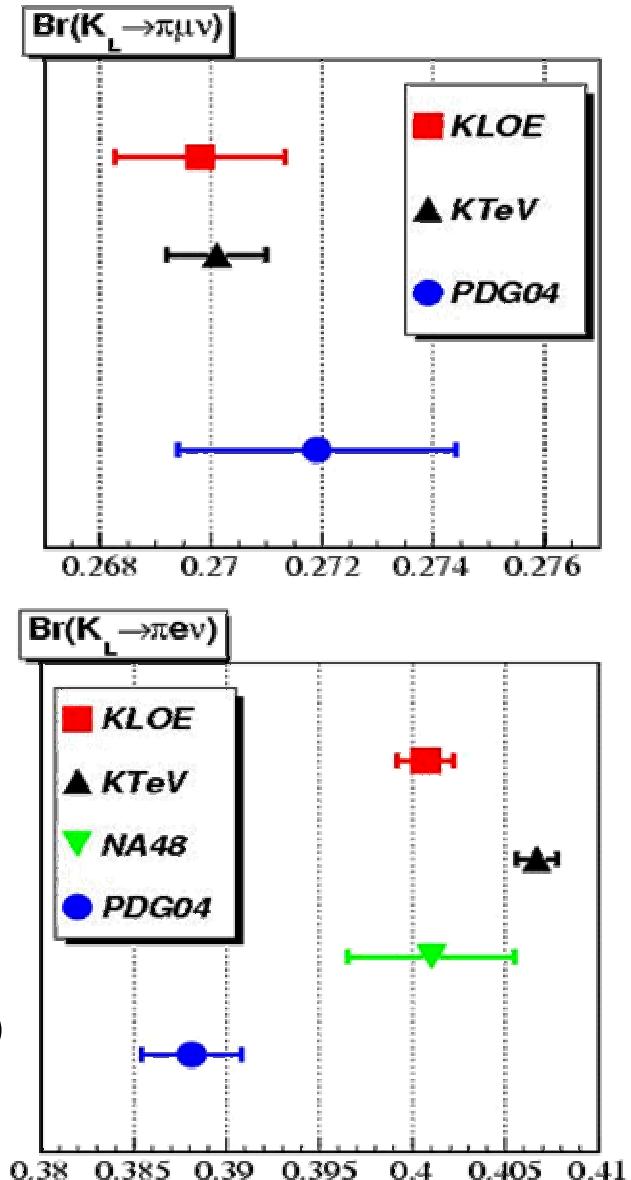
- Preliminary result (HEP2005) with  $6 \times 10^6 K_{e3}$  events.
- Measure ratio of BRs:

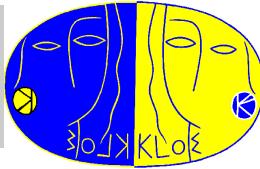
$$BR(K_L \rightarrow \pi e \nu) / BR(2 \text{ track}) = 0.4978 \pm 0.0035$$

$$BR(2 \text{ track}) \approx 1 - BR(K_L \rightarrow 3\pi^0)$$

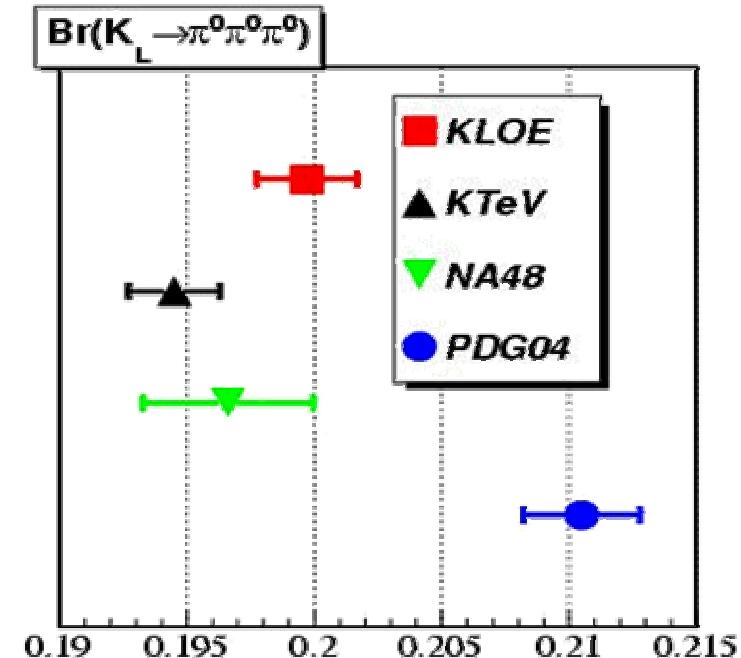
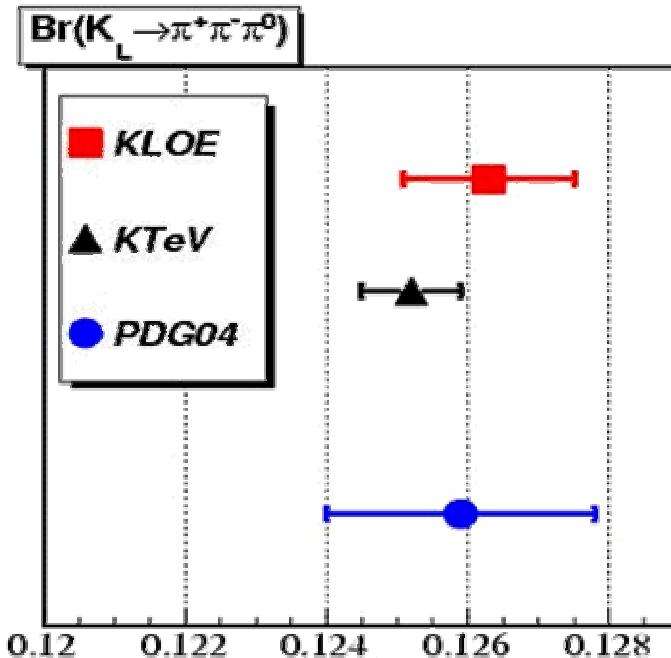
Using PDG-KTeV average for  $BR(K_L \rightarrow 3\pi^0) = 0.1992(70)$

$$BR(K_L \rightarrow \pi e \nu) = 0.4010 \pm 0.0028_{\text{exp}} \pm 0.0035_{\text{norm}}$$





## $K_L \rightarrow \pi\pi\pi$ branching ratios

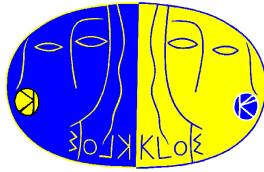


NA48 preliminary measurement of  $\text{BR}(K_L \rightarrow 3\pi^0)$

Extracted from  $\text{BR}(K_L \rightarrow 3\pi^0)/\text{BR}(K_S \rightarrow 2\pi^0)$

$\text{BR}(K_L \rightarrow 3\pi^0) = 0.1966 \pm 0.0006 \pm 0.0033$  (PDG value for  $\text{BR}(K_S \rightarrow 2\pi^0)$ )

# $BR(K^\pm \rightarrow \pi^0 \ell^\pm \nu)$ preliminary results



KLOE preliminary:

- **Absolute** BR measurement of both  $K^\pm \rightarrow \pi^0 e^\pm \nu$  and  $K^\pm \rightarrow \pi^0 \mu^\pm \nu$  decay modes.
- $BR(K^\pm \rightarrow \pi^0 e^\pm \nu) = (5.047 \pm 0.019_{\text{stat}} \pm 0.039_{\text{syst}})\%$
- $BR(K^\pm \rightarrow \pi^0 \mu^\pm \nu) = (3.310 \pm 0.016_{\text{stat}} \pm 0.045_{\text{syst}})\%$

PDG (pre E865)  
 $(4.87 \pm 0.06)\%$   
E865(2003)  
 $(5.13 \pm 0.10)\%$



NA48 preliminary:

- Measurement of  $BR(K^\pm \rightarrow \pi^0 e \bar{\nu})/BR(K^\pm \rightarrow \pi^\pm \pi^0)$
- $BR(K^\pm \rightarrow \pi^0 e \bar{\nu}) = (5.14 \pm 0.02_{\text{stat}} \pm 0.06_{\text{syst}})\%$



ISTRAPLUS preliminary:

- Measurement of  $BR(K^- \rightarrow \pi^0 e^- \bar{\nu})/BR(K^- \rightarrow \pi^- \pi^0)$
- $BR(K^- \rightarrow \pi^0 e^- \bar{\nu}) = (5.22 \pm 0.11)\%$

Note: NA48/2 and ISTRAPLUS values depend on  $BR(K^\pm \rightarrow \pi^\pm \pi^0)$ . Post-PDG'04 results for Ke3 (E865) and Kμ2 (KLOE) decrease  $BR(K^\pm \rightarrow \pi^\pm \pi^0)$  by ~1% from global fit to  $K^\pm$  BRs

# *K<sub>l3</sub> form factor slopes*

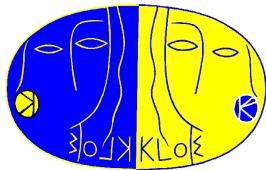
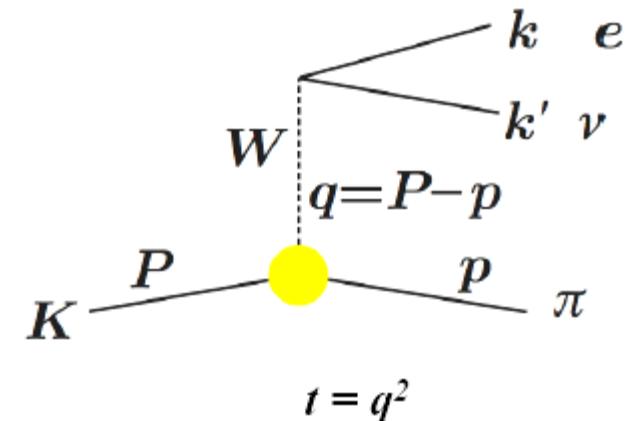
In the extraction of V<sub>us</sub>: needed for evaluation of phase-space integrals I<sup>ℓ</sup><sub>K</sub>  
 Fit of momentum transfer spectrum with different  
 hypothesis on form factor f<sub>+</sub>(t)/f<sub>+</sub>(0):

**Linear:** 1 + λ<sub>+</sub>t

**Quadratic:** 1 + λ'<sub>+</sub>t/m<sub>π+</sub><sup>2</sup> + 1/2 λ''<sub>+</sub>(t/m<sub>π+</sub><sup>2</sup>)<sup>2</sup>

**Pole model:** M<sub>V</sub><sup>2</sup>/(M<sub>V</sub><sup>2</sup>-t),

Taylor exp. with λ'<sub>+</sub>=(m<sub>π</sub>/M<sub>V</sub>)<sup>2</sup>, λ''<sub>+</sub>=2 λ'<sub>+</sub><sup>2</sup>



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- 328 pb<sup>-1</sup>, 2×10<sup>6</sup> Ke3 decays
- Momentum transfer t measured from π and K<sub>L</sub> momenta: σ<sub>t</sub>/m<sub>π</sub><sup>2</sup>~0.3.
- Separate measurement for each charge state (e<sup>-</sup>π<sup>+</sup>, e<sup>+</sup>π<sup>-</sup>) to check systematics.

**Quadratic:**

$$\lambda'_+ = (25.5 \pm 1.5 \pm 1.0) \times 10^{-3}$$

$$\lambda''_+ = (1.4 \pm 0.7 \pm 0.4) \times 10^{-3}$$

$$\rho(\lambda'_+, \lambda''_+) = -0.95$$

**Pole model:**

$$m_V = (870 \pm 7) \text{ MeV}$$

# $K_{\ell 3}$ form factor slopes



KTeV (PRD 70 (2004))

Measured in  $K_{e3}^0$  and  $K_{\mu 3}^0$  decays.

Uses quadratic parameterization

Slopes consistent for the two decay modes

$$\lambda_0 = (13.72 \pm 1.31) \times 10^{-3}$$



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$K_{e3}^0$  and  $K_{\mu 3}^0$  Linear fit

no evidence for quadratic term

$$\lambda_0 = (12.0 \pm 1.7) \times 10^{-3}$$

compatible with KTeV



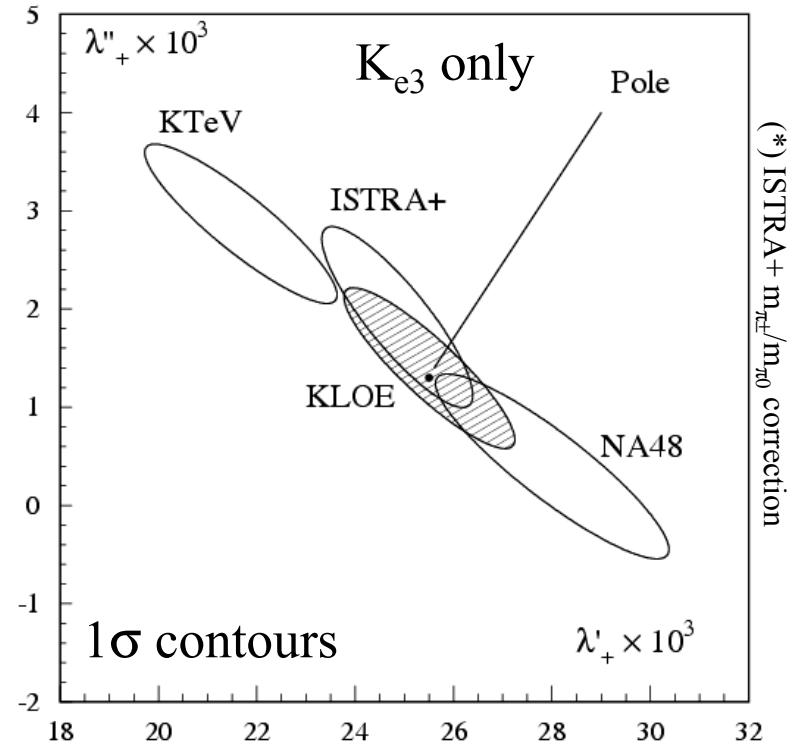
ISTRA +

ISTRA+ (PLB 581 (2004), PLB 589 (2004))

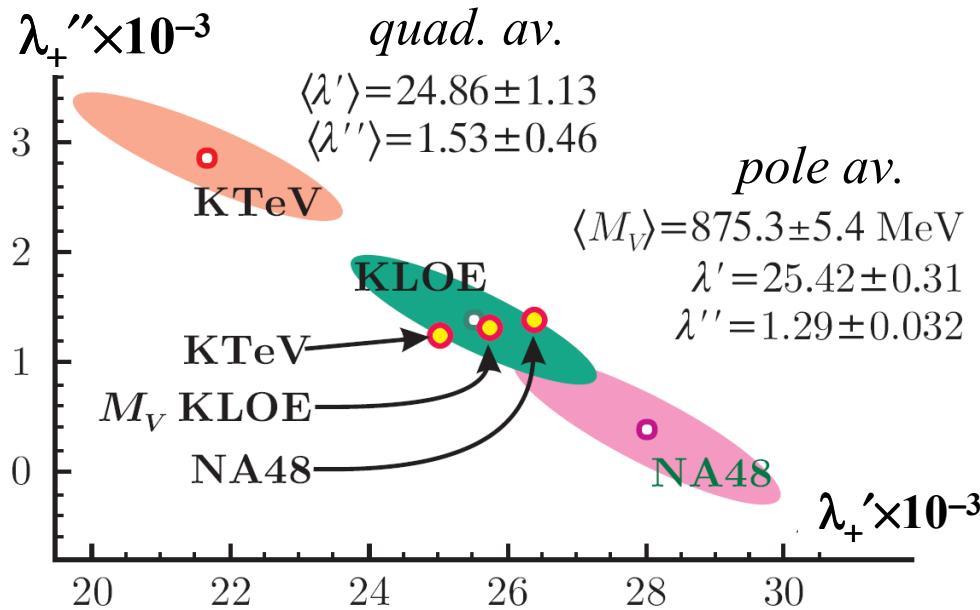
$K_{e3}^\pm$  quadratic fit

$2\sigma$  significance for the non linear term

$$K_{\mu 3}^\pm \text{ quadratic fit } \lambda_0 = (17.11 \pm 2.31) \times 10^{-3}$$



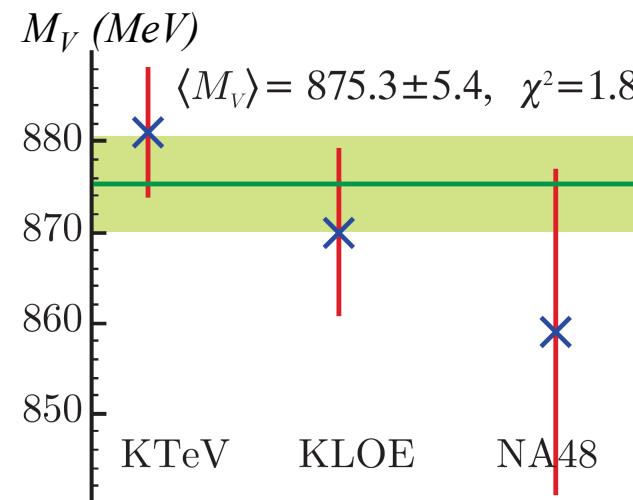
# $K_{Le3}$ form factor slopes



- Phase space integral  $I(\lambda)$  depends on the parameterization:  $(I_{\text{Pole}} - I_{\text{Quad}})/I_{\text{Quad}}$
- |           |                      |
|-----------|----------------------|
| KLOE      | $0.5 \times 10^{-3}$ |
| KTeV      | $6.0 \times 10^{-3}$ |
| World av. | $0.3 \times 10^{-3}$ |

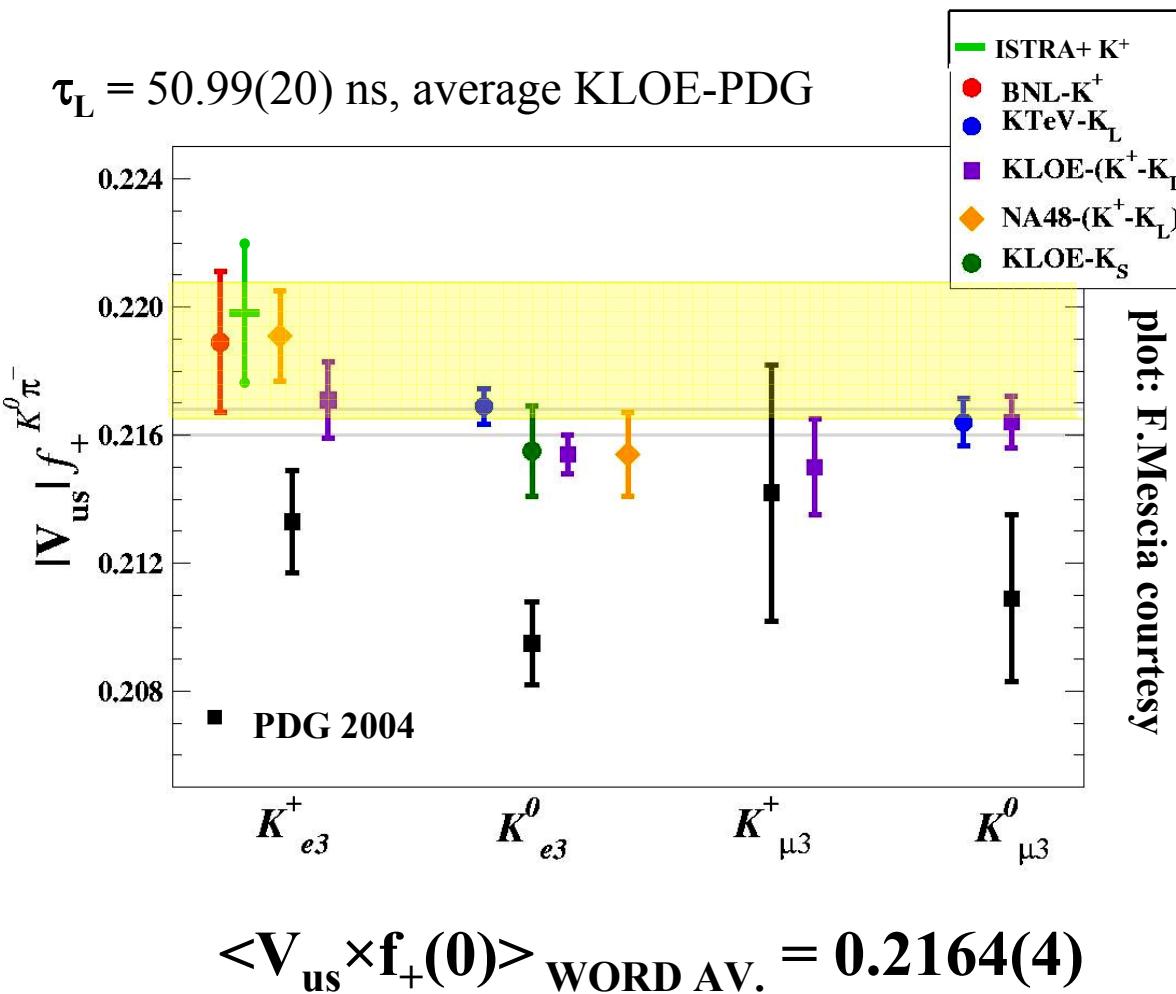
Good agreement between experiments, if correlation between  $\lambda'$  and  $\lambda''$  is taken into account:

- quad  $\chi^2/\text{dof} = 4.4/4$
- pole  $\chi^2/\text{dof} = 1.8/2$



plots: P. Franzini courtesy

# $V_{us}$ from all results



Slopes

$$\lambda'_+ = 0.02542(31)$$

$$\lambda''_+ = 0.00129(3)$$

(Pole model: KLOE,  
KTeV, and NA48 av.)

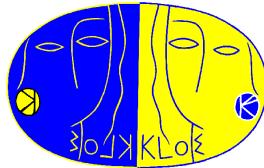
$$\lambda_0 = 0.01587(95)$$

(KTeV and ISTRA+ av.)

From unitarity

- $f_+(0) = 0.961(8)$   
Leutwyler and Roos Z.  
[Phys. C25, 91, 1984]
- $V_{ud} = 0.97377(27)$   
Marciano and Sirlin  
[Phys. Rev. Lett. 96 032002, 2006]

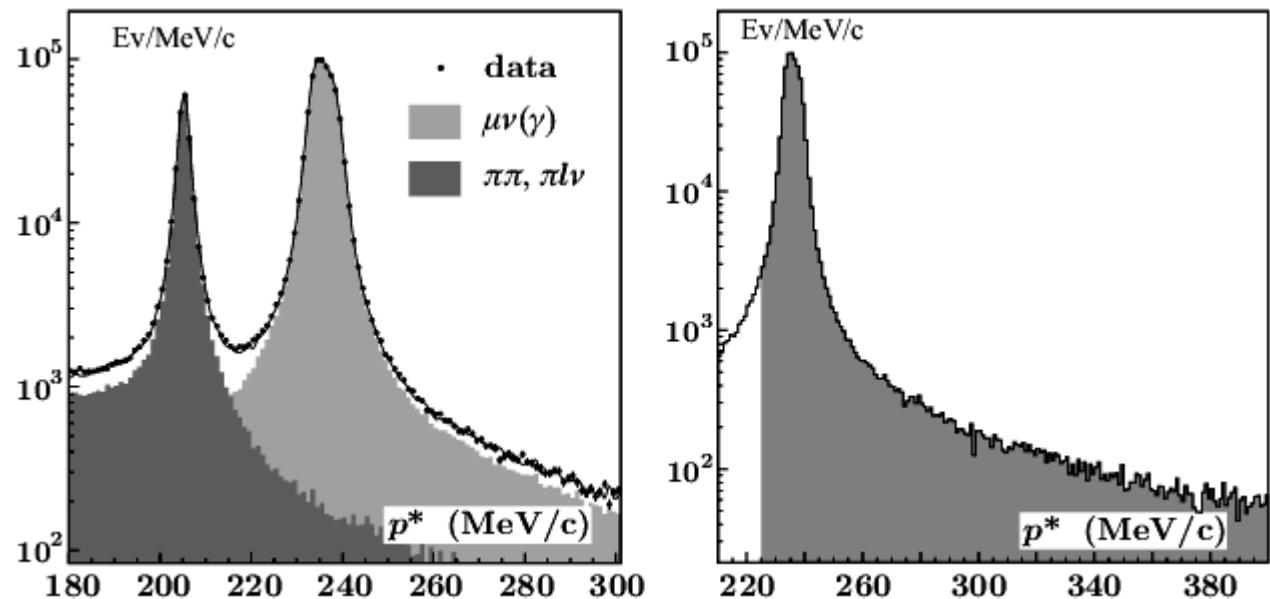
$V_{us} \times f_+(0) = 0.2187(22)$



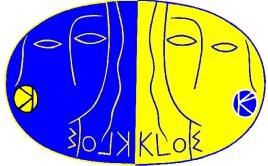
# Measurement of $BR(K^+ \rightarrow \mu^+ \nu(\gamma))$

- The ratio  $|V_{us}|/|V_{ud}|$  can be extracted from the ratio of  $\mu 2$  decays of kaons and pions:  $\Gamma(K \rightarrow \mu\nu(\gamma))/\Gamma(\pi \rightarrow \mu\nu(\gamma)) \propto |V_{us}|^2/|V_{ud}|^2 f_K^2/f_\pi^2$
- From lattice calculations:  $f_K/f_\pi = 1.198(3)(^{+16}_{-5})$  (MILC Coll. PoS (LAT2005) 025)
- $K\ell 3$ -independent  $V_{us}$  determination

- Tag from  $K^- \rightarrow \mu^- \nu$ . Subtraction of  $\pi^+\pi^0$ ,  $\pi^0 l^+ \nu$  background.
- Count events in  $(225, 400)$  MeV window of the momentum distribution in  $K$  rest frame.



$$BR(K^+ \rightarrow \mu^+ \nu(\gamma)) = 0.6366 \pm 0.0009_{\text{stat.}} \pm 0.0015_{\text{syst.}}$$



# The $V_{us}$ – $V_{ud}$ plane

- Using  $f_K/f_\pi = 1.198(3)(^{+16}_{-5})$  from MILC Coll. (2005) and KLOE BR( $K^+ \rightarrow \mu^+\nu$ ) we get  $V_{us}/V_{ud} = 0.2294 \pm 0.0026$
- $V_{us} = 0.2248 \pm 0.0020$   
K<sub>l3</sub> KLOE, using  $f_+(0) = 0.961(8)$
- $V_{ud} = 0.97377 \pm 0.00027$   
Marciano and Sirlin  
Phys.Rev.Lett.96 032002,2006

Fit of the above results:

$$V_{us} = 0.2242 \pm 0.0016$$

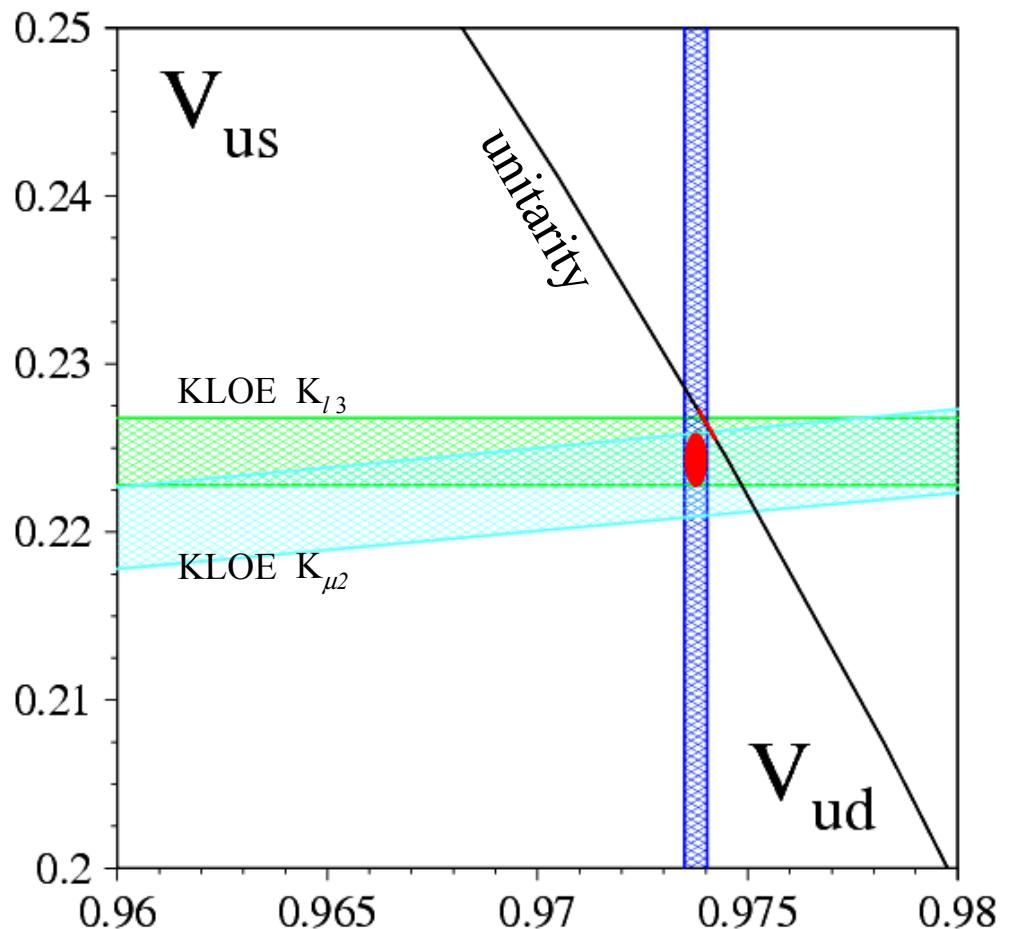
$$V_{ud} = 0.97377 \pm 0.00027$$

$$P(\chi^2) = 0.8$$

Fit assuming unitarity:

$$V_{us} = 0.2264 \pm 0.0009$$

$$P(\chi^2) = 0.1$$



# *CPT symmetry*

---

- CPT symmetry is linked to the basic mathematical tools that we use in particle physics: QFT + Lorentz invariance + Locality → CPT
- These tools have intrinsic limitations (we are not able to include gravity in a consistent way) and we should expect CPT violation at some level
- It's hard to define a reference scale/size for CPT violation (no consistent and predictive theory without these tools)
- Phenomenologically driven search i.e. reference scale set by the most significant experimental bounds
- The **neutral kaon system** is an ideal testing ground:
  - Charge asymmetries of the semileptonic decays.
  - The unitarity (Bell-Steinberger) relation.

# The unitarity and the Bell-Steinberger relation

---

Even if CPT is violated, we can assume that unitarity (i.e. the conservation of the probability) is conserved.

Expressing the neutral kaon decay amplitudes in the  $K_L$ - $K_S$  basis:  $K_S \propto K_+ + (\epsilon - \delta)K_-$ ;  $K_L \propto K_- + (\epsilon + \delta)K_+$ ,  $\epsilon$  and  $\delta$ : CP and CPT violation parameters

$$\begin{aligned}\Gamma_{K^0} = \Gamma_{11} &= \sum_f \mathcal{A}(K^0 \rightarrow f)\mathcal{A}(K^0 \rightarrow f)^* \\ \Gamma_{\bar{K}^0} = \Gamma_{22} &= \sum_f \mathcal{A}(\bar{K}^0 \rightarrow f)\mathcal{A}(\bar{K}^0 \rightarrow f)^* \\ \Gamma_{12} &= \sum_f \mathcal{A}(K^0 \rightarrow f)\mathcal{A}(\bar{K}^0 \rightarrow f)^* \\ \Gamma_{21} &= \sum_f \mathcal{A}(\bar{K}^0 \rightarrow f)\mathcal{A}(K^0 \rightarrow f)^*\end{aligned}$$

$$\left[ \frac{\Gamma_S + \Gamma_L}{\Gamma_S - \Gamma_L} + i \tan \phi_{SW} \right] \frac{Re(\epsilon) - i Im(\delta)}{1 + |\epsilon|^2} = \frac{1}{\Gamma_S - \Gamma_L} \sum A_L(f) A_S^*(f) = \sum_f \alpha_f$$

This is an exact relation: phase convention independent, no approximations in the CPT limit ( $\delta$  treated as small parameter and expanded to 1<sup>st</sup> non trivial order).

- Experimental inputs:  $\Gamma_S$ ,  $\Gamma_L$ ,  $m_S$ ,  $m_L$ , and  $\alpha_f$ .  $\phi_{SW} = \arctan\left[\frac{2(m_L - m_S)}{\Gamma_S - \Gamma_L}\right] \approx 43.4^\circ$
- Two physical outputs:  $Re \epsilon$  and  $Im \delta$ :  
If  $Im \delta \neq 0$ : CPT violation, unitarity violation, new exotic invisible final states.

$$\delta = \frac{i(m_{K_0} - m_{\bar{K}_0}) + \frac{1}{2}(\Gamma_{K_0} - \Gamma_{\bar{K}_0})}{\Gamma_S - \Gamma_L} \cos \phi_{SW} e^{i\phi_{SW}} [1 + O(\epsilon)]$$

- If  $\Delta\Gamma = 0$  (CPT conserved in the decay):  $|\Delta m/m_{K_0}| \approx 3 \times 10^{-14} |Im \delta|$

# *Details on definition of decay amplitudes*

---

$$\left[ \frac{\Gamma_S + \Gamma_L}{\Gamma_S - \Gamma_L} + i \tan \phi_{SW} \right] \frac{Re(\epsilon) - i Im(\delta)}{1 + |\epsilon^2|} = \sum_f \alpha_f$$

$\pi\pi$  decays:  $\alpha_{+-} = \eta_{+-} \text{Br}(K_S \rightarrow \pi^+ \pi^-)$

$\alpha_{00} = \eta_{00} \text{Br}(K_S \rightarrow \pi^0 \pi^0)$

$\alpha_{+-\gamma} = \eta_{+-\gamma} \text{Br}(K_S \rightarrow \pi^+ \pi^- \gamma)$

$\pi\pi\pi$  decays:  $\alpha_{+-0} = \tau_S/\tau_L \eta_{+-0}^* \text{Br}(K_L \rightarrow \pi^+ \pi^- \pi^0)$

$\alpha_{000} = \tau_S/\tau_L \eta_{000}^* \text{Br}(K_L \rightarrow \pi^0 \pi^0 \pi^0)$

CPT-violation in decay

Semileptonic decays:  $\alpha_{kl3} = 2\tau_S/\tau_L \text{Br}(K_L l3) [Re \epsilon - Re y - i(Im \delta + Im x_+)]$

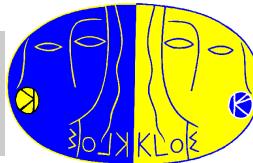
$= 2\tau_S/\tau_L \text{Br}(K_L l3) [(A_S + A_L)/4 - i(Im \delta + Im x_+)]$

from “( $A_S - A_L$ )  
and CPLEAR”

Other decays contribute less than  $10^{-6}$



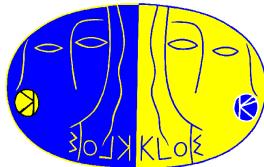
**KTeV**  
Kaons at the Tevatron



# Inputs to $B$ - $S$ relation

input	value	Used sources
$\tau_S$	$0.08958 \pm 0.00006$ ns	PDG (*) [3]
$\tau_L$	$50.84 \pm 0.23$ ns	KLOE [4, 5]
$\Gamma(K_S \rightarrow \pi^+ \pi^-) / \Gamma(K_S \rightarrow \pi^0 \pi^0)$	$2.2549 \pm 0.0054$	KLOE [6]
$\text{BR}(K_L \rightarrow \pi^+ \pi^-)$	$(1.965 \pm 0.010) \times 10^{-3}$	KLOE [7], KTeV [8]
$\text{BR}(K_L \rightarrow \pi^0 \pi^0)$	$(0.865 \pm 0.010) \times 10^{-3}$	KTeV [8]
$\text{BR}(K_S \rightarrow \pi^+ \pi^- \gamma)_{DE}$	$< 1.8 \times 10^{-4}$ 95%CL	E731 [11]
$\text{BR}(K_L \rightarrow \pi^+ \pi^- \gamma)_{DE}$	$(31 \pm 1) \times 10^{-6}$	KTeV [10]
$\text{BR}(K_L \rightarrow \pi l \nu)$	$0.6705 \pm 0.0022$	KLOE [5]
$\eta_{+-0}$	$(-2 \pm 7) 10^{-3} + i(-2 \pm 9) 10^{-3}$	CLEAR [13]
$\text{BR}(K_L \rightarrow \pi^+ \pi^- \pi^0)$	$0.1263 \pm 0.0012$	KLOE [5]
$\text{BR}(K_S \rightarrow 3\pi^0)$	$< 1.2 \cdot 10^{-7}$ 90%CL	KLOE [12]
$\text{BR}(K_L \rightarrow 3\pi^0)$	$0.1997 \pm 0.0020$	KLOE [5]
$\Phi_{+-}$	$(43.4 \pm 0.7)^\circ$	PDG (*) [3]
$\Phi_{00}$	$(43.7 \pm 0.8)^\circ$	PDG (*) [3]
$\Phi_{SW}$	$(43.51 \pm 0.06)^\circ$	PDG [3]
$\Phi^{000}, \Phi^{+-\gamma}$	$[0, 2\pi]$	
$A_L$	$(3.32 \pm 0.06) \times 10^{-3}$	PDG [3]
$A_S$	$(1.5 \pm 10.0) \times 10^{-3}$	KLOE [14]
$Im(x_+)$	$(0.8 \pm 0.7) \times 10^{-3}$	CLEAR [13], KLOE [14], PDG [3]

- 2006 results
- Quantities evaluated without assuming CPT invariance.
- $Im x_+ = (1.2 \pm 2.2) \times 10^{-2}$  by CLEAR.  
A combined fit of CLEAR data with KLOE-KTeV ( $A_S - A_L$ ) gives a  $\times 3$  improvement  
 $Im x_+ = (0.8 \pm 0.7) \times 10^{-2}$



## *K<sub>S</sub> physics*

$$\text{BR}(K_S \rightarrow \pi^+\pi^-(\gamma))/\text{BR}(K_S \rightarrow \pi^0\pi^0)$$

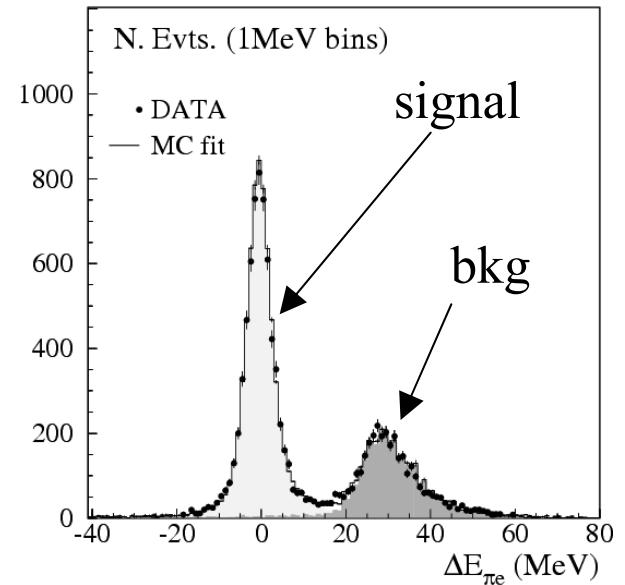
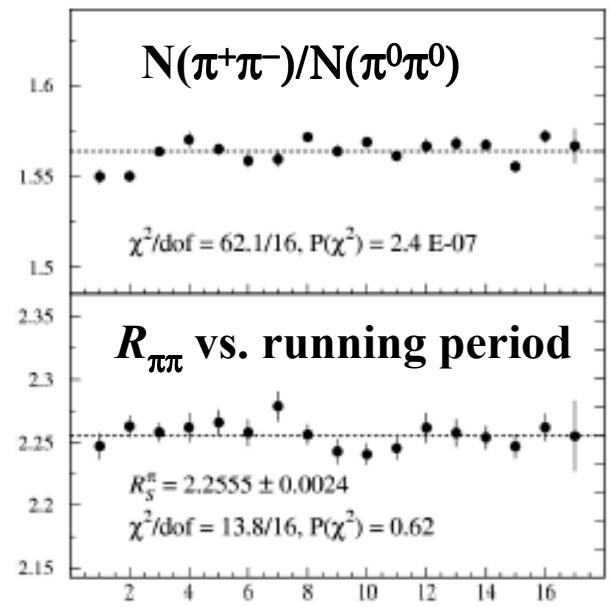
- Fixes  $\text{BR}(K_S \rightarrow \pi^+\pi^-(\gamma))$ , used to normalize  $\text{BR}(K_S \rightarrow \pi e v)$

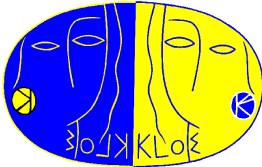
$R_{\pi\pi} = 2.2549 \pm 0.0054$  (hep-ex/0601025, subm. EPJC)  
Fractional error 0.24%

$$\text{BR}(K_S \rightarrow \pi^\pm e^\pm v)/\text{BR}(K_S \rightarrow \pi^+\pi^-(\gamma))$$

- TOF e/π identification, fit to  $E_{\text{miss}}\text{-}P_{\text{miss}}$  spectrum
- Normalize to  $\pi^+\pi^-$  counts in the same dataset.
- Separate measurement for each charge state ( $e^-\pi^+, e^+\pi^-$ )

$\text{BR}(\pi e v) = (7.046 \pm 0.077 \pm 0.049) \times 10^{-4}$   
Fractional error 1.3% = 1.1%<sub>STAT</sub> ⊕ 0.7%<sub>SYST</sub>  
 $K_{Se3}$  form factor slope:  $\lambda_+ = (33.9 \pm 4.1) \times 10^{-3}$   
First measurement, compatible with  $K_L$





## CPT test from $A_S$ and $A_L$

- Sensitivity to CPT violating effects through charge asymmetry of the semileptonic neutral kaon decays:

$$A_{S,L} = \frac{\Gamma(K_{S,L} \rightarrow \pi^- e^+ \bar{\nu}) - \Gamma(K_{S,L} \rightarrow \pi^+ e^- \bar{\nu})}{\Gamma(K_{S,L} \rightarrow \pi^- e^+ \bar{\nu}) + \Gamma(K_{S,L} \rightarrow \pi^+ e^- \bar{\nu})}$$

$$A_S = 2(\operatorname{Re} \varepsilon + \operatorname{Re} \delta - \operatorname{Re} y + \operatorname{Re} x_-)$$
$$A_L = 2(\operatorname{Re} \varepsilon - \operatorname{Re} \delta - \operatorname{Re} y - \operatorname{Re} x_-)$$

- $A_L$  already measured by KTeV (2002):  $A_L = (3.322 \pm 0.058 \pm 0.047) 10^{-3}$
- $A_S$  measured for the first time by KLOE (2006):  $A_S = (1.5 \pm 9.6 \pm 2.9) 10^{-3}$**

- $A_S - A_L = 4(\operatorname{Re} \delta + \operatorname{Re} x_-) \neq 0$  implies CPT and  $\Delta S = \Delta Q$  rule violation

$\operatorname{Re} \delta$ : CPLEAR  $\sigma = 3.4 \times 10^{-4}$

**$\operatorname{Re} x_- = (-0.8 \pm 2.5) 10^{-3}$**

Factor 5 of improvement wrt current most precise measurement: CPLEAR,  $\sigma = 1.3 \times 10^{-2}$

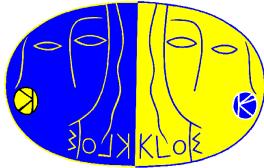
- $A_S + A_L = 4(\operatorname{Re} \varepsilon - \operatorname{Re} y) \neq 0$  implies CPT violation

$\operatorname{Re} \varepsilon$  from PDG not assuming CPT

**$\operatorname{Re} y = (0.4 \pm 2.5) 10^{-3}$**

Comparable with best result: CPLEAR from unitarity,  $\sigma = 3.1 \times 10^{-3}$ .

# $K_L \rightarrow \pi^+ \pi^-$



$$\text{BR}(K_L \rightarrow \pi^+ \pi^- (\gamma_{IB+DE}))$$

KLOE: PID using decay kinematics.

Normalize to  $K_L \mu 3$  events

$$\text{BR}(K_L \rightarrow \pi^+ \pi^- (\gamma_{IB+DE})) = (1.963 \pm 0.021) \times 10^{-3} \text{ PLB638}$$

Fractional error  $1.1\% = 0.6\%_{\text{STAT}} \oplus 0.9\%_{\text{SYST}}$

In agreement with KTeV 2004 BR =  $1.975(12) \times 10^{-3}$

It confirms the  $4\sigma$  discrepancy with PDG04

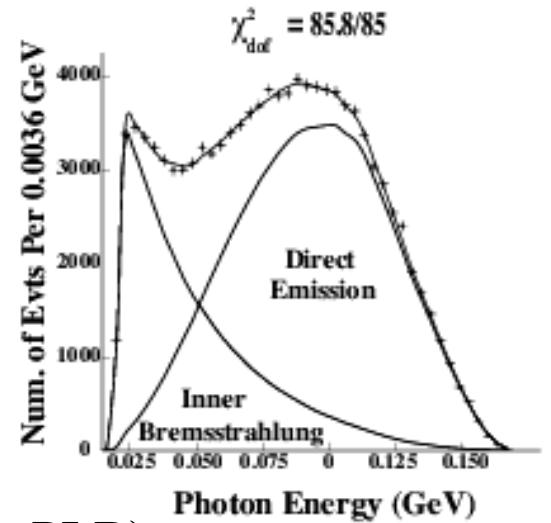
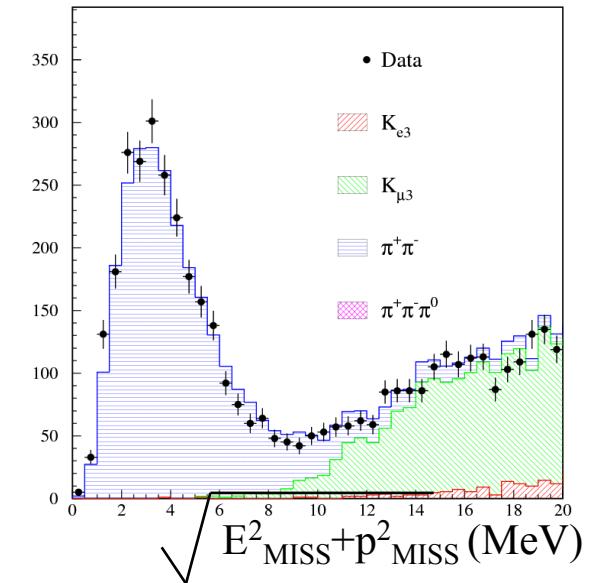
$$|\eta_+| = (2.216 \pm 0.013) \times 10^{-3}$$

BR( $K_S \rightarrow \pi\pi$ ) and  $\tau_L$  from KLOE,  $\tau_S$  from PDG04



$$\text{BR}(K_L \rightarrow \pi^+ \pi^- (\gamma_{DE}))$$

- 1997 dataset of E832 (collected during  $\epsilon'/\epsilon$  data taking)
- After all analysis cuts  $112.1 \times 10^3$  candidates with an estimated background of 671 events, mostly  $K_L \mu 3$  and  $K_L e 3$  decays.
- $\text{BR}(K_L^0 \rightarrow \pi^+ \pi^- (\gamma_{DE})) = (29 \pm 1) \times 10^{-6}$ ; hep-ex/0604035 (subm. PLB)



# $K_S \rightarrow \pi^0 \pi^0 \pi^0$ decays

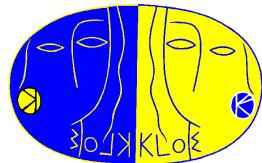
Observation of  $K_S \rightarrow 3\pi^0$  signals CP violation in mixing and/or decay:

SM prediction:  $\Gamma_S = \Gamma_L |\eta|^2$ , giving  $\text{BR}(K_S \rightarrow 3\pi^0) = 1.9 \times 10^{-9}$



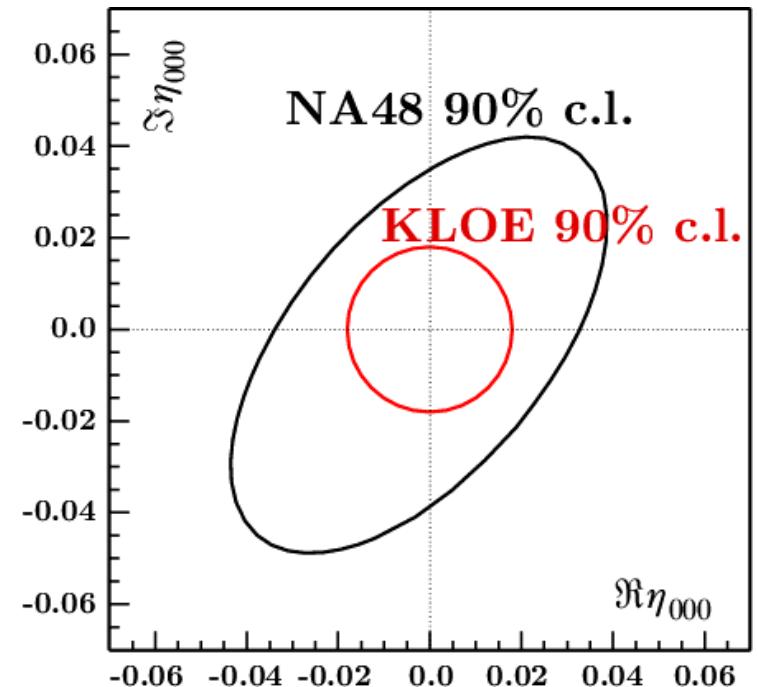
NA48: Measures directly  $\eta_{000}$  through the  $K \rightarrow 3\pi^0$  rate as a function of the proper time.

- $\eta_{000} = (-0.002 \pm 0.019) + i (-0.003 \pm 0.021)$



KLOE:

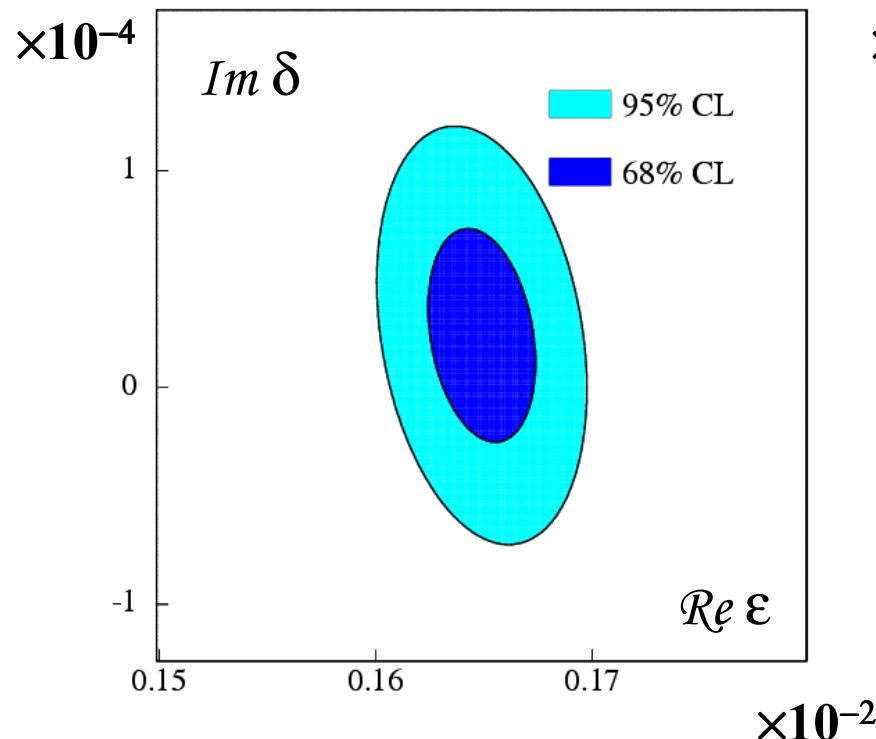
- Direct search for  $K_S \rightarrow 3\pi^0$  decays
- Normalize to the  $K_S \rightarrow 2\pi^0$  in the same data sample
- $\text{BR}(K_S \rightarrow 3\pi^0) \leq 1.2 \times 10^{-7}$ , 90% C.L.
- $\eta_{000} \leq 0.018$



$$\alpha_{000} = \tau_S / \tau_L \eta_{000}^* B(K_L \rightarrow \pi^0 \pi^0 \pi^0) \leq 0.010, \text{ 95% C.L.}$$

# *CPT test from unitarity: result*

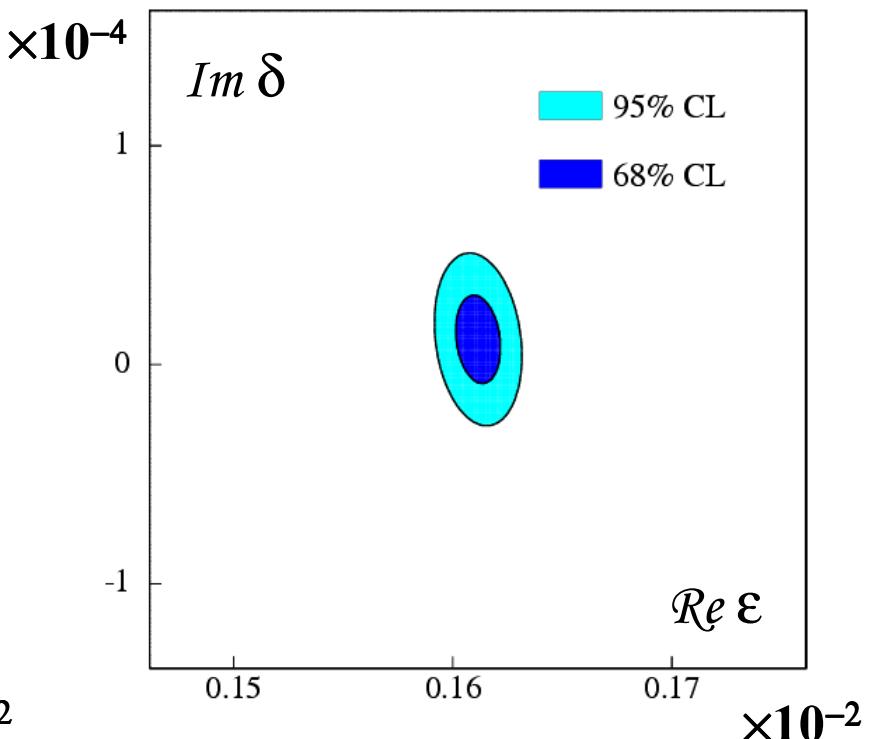
$$\left[ \frac{\Gamma_S + \Gamma_L}{\Gamma_S - \Gamma_L} + i \tan \phi_{SW} \right] \frac{Re(\epsilon) - i Im(\delta)}{1 + |\epsilon^2|} = \sum_f \alpha_f$$



**CLEAR:**

$$Re \epsilon = (164.9 \pm 2.5) \times 10^{-5}$$

$$Im \delta = (2.4 \pm 5.0) \times 10^{-5}$$



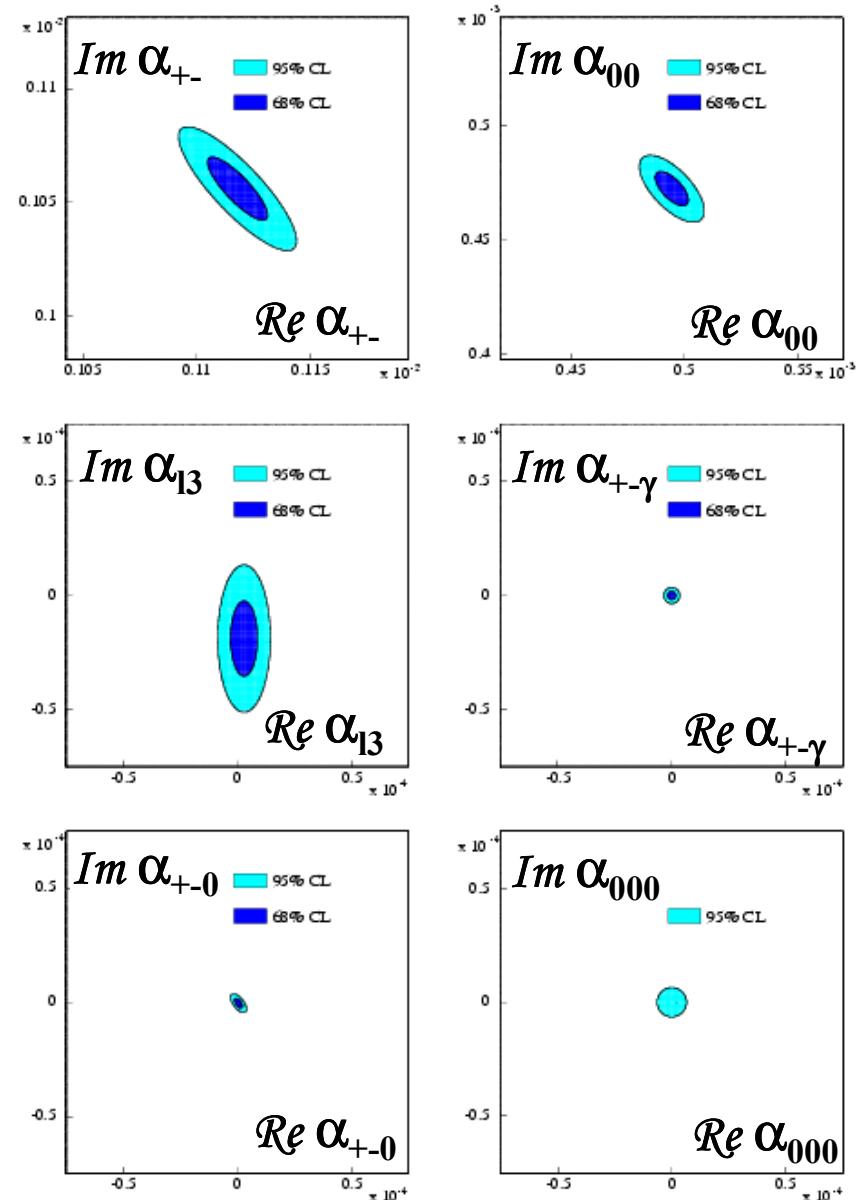
**KLOE:**

$$Re \epsilon = (160.2 \pm 1.3) \times 10^{-5}$$

$$Im \delta = (1.2 \pm 1.9) \times 10^{-5}$$

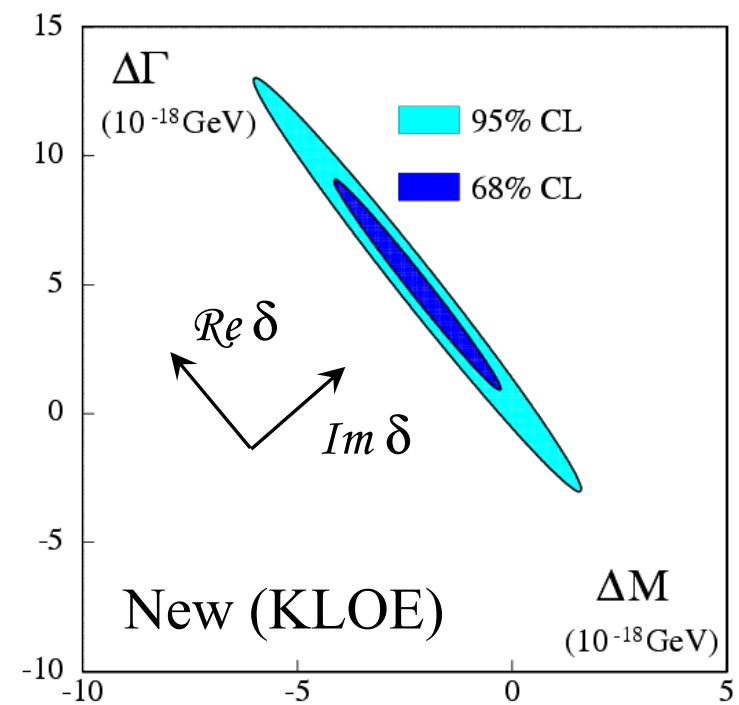
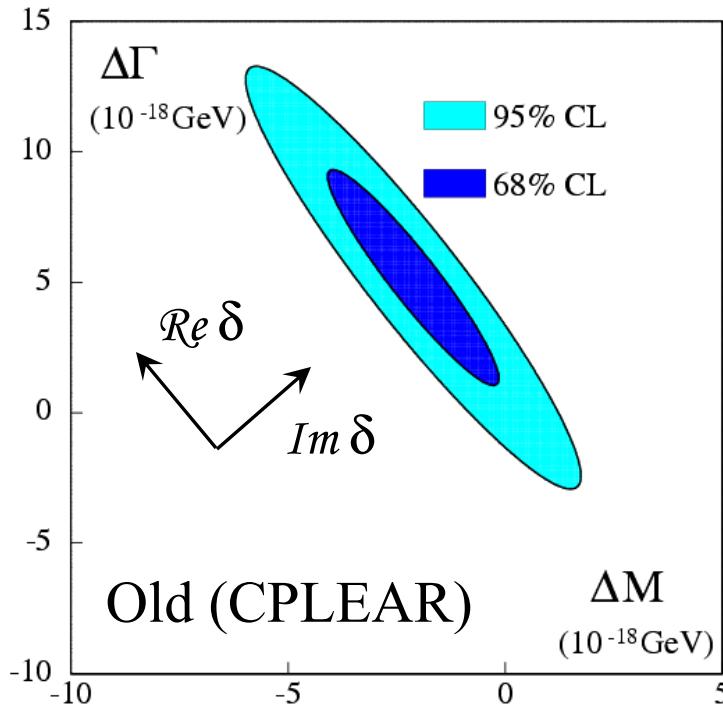
# Inputs to B-S: summary

- Before, the accuracy on  $\Re \epsilon$  and  $\text{Im} \delta$  was dominated by the poor knowledge of  $\eta_{000}$
- Thanks to the KLOE measurements of  $\eta_{000}$  and  $A_S$ , the accuracy on  $\Re \epsilon$  and  $\text{Im} \delta$  improved of a factor  $\sim 2.5$ .
- The limiting quantities are  $\text{Im} x_+$  and  $\phi_+$  for  $\text{Im} \delta$   
 $\eta_+$  and  $\eta_{00}$  for  $\Re \epsilon$ .
- The semileptonic sector contributes by 15% and induces a small correlation between  $\Re \epsilon$  and  $\text{Im} \delta$ .



## CPT test: $\Delta\Gamma$ vs $\Delta m$

From  $Im \delta$  and  $Re \delta$  it is possible to extract limits on  $\Delta m = (m_{K^0} - m_{\bar{K}^0})$   
and  $\Delta\Gamma = (\Gamma_{K^0} - \Gamma_{\bar{K}^0})$



Assuming no CPT violation in the decay ( $\Delta\Gamma = 0$ ) obtain the limit on the neutral kaon mass difference:

$$-4 \times 10^{-19} \text{ GeV} < m_{K^0} - m_{\bar{K}^0} < 7 \times 10^{-19} \text{ GeV}$$

$$R_K = \Gamma(K^+ \rightarrow e^+ \nu) / \Gamma(K^+ \rightarrow \mu^+ \nu)$$

- Present measurement come from 3 experiments of '70. Low energy  $K^+$  beam stopped in a target, decay at rest.

- 2003 data set

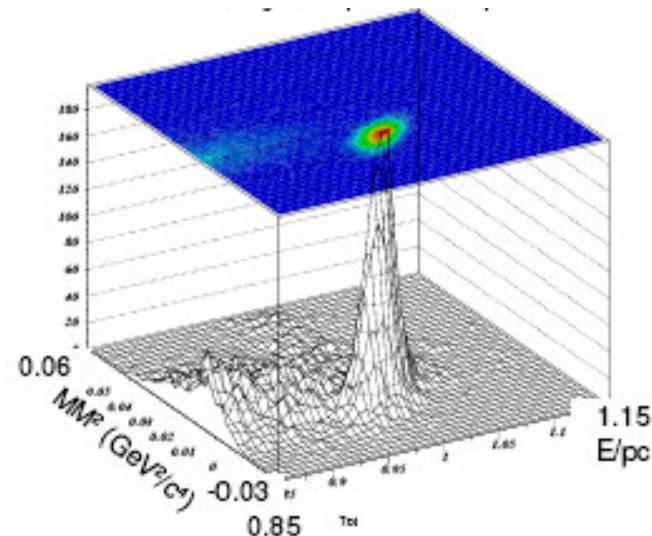
$K^\pm_{e2}$  signature:  $E/p=1$  &  $m_\nu^2=0$

$N_{TOT} = 5329$  (73); Bkg = 659 (26)

$N_{SIG} = 4670$  (77)( $^{+29}_{-8}$ )<sub>SYST</sub>

- Preliminary (EPS05) NA48/2 measurement.

	$R_K \times 10^5$
PDG average	<b>2.45 (11)</b>
SM prediction	<b>2.472 (1)</b>
NA48/2 (2003)	<b>2.416 (43)<sub>STAT</sub>(24)<sub>SYST</sub></b>



### Future:

- **NA48/2** 2004 statistics: about  $\times 2$  of 2003
- **KLOE** complete data set ( $2.5 \text{ fb}^{-1}$ )

- Slight discrepancy between  $R_K$  measurement and the SM prediction
- 2-body  $K$  decays are suppressed (helicity) in SM but generally unsuppressed in SM-extensions (hep-ph/0511289):

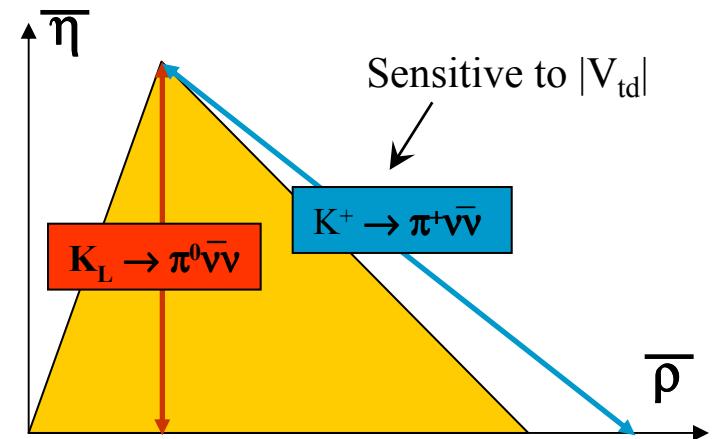
$$R_K = (1 + \Delta r^{e-\mu}_{NP}) \frac{\Gamma(K \rightarrow e\nu_e)_{SM}}{\Gamma(K \rightarrow \mu\nu_\mu)_{SM}}$$

# CKM unitarity and $K \rightarrow \pi v\bar{v}$ decays

- “Golden-plated decays”:  $\text{BR}(K \rightarrow \pi v\bar{v})$  can be predicted in the SM framework with very high theoretical accuracy and may provide grounds for precision tests of the flavor structure of the SM
- $K_L^0 \rightarrow \pi^0 v\bar{v}$  and  $K^+ \rightarrow \pi^+ v\bar{v}$  completely determine the Unitarity Triangle.
- Comparison with Unitarity Triangle from B sector could provide decisive tests in the flavor physics: new physics may differentiate between K and B measurement
- The *a priori* unknown hadronic matrix element obtained from  $K \rightarrow \pi v\bar{v}$  decays.
- **SM predictions:**

$$\text{Br}(K^+ \rightarrow \pi^+ v\bar{v}) \approx (1.6 \times 10^{-5}) |V_{cb}|^4 (\rho \eta^2 + (\rho_c - \rho)^2) \rightarrow (8.0 \pm 1.1) \times 10^{-11}$$

$$\text{Br}(K_L^0 \rightarrow \pi^0 v\bar{v}) \approx (7.6 \times 10^{-5}) |V_{cb}|^4 \eta^2 \rightarrow (3.0 \pm 0.6) \times 10^{-11}$$
- Combined  $K \rightarrow \pi v\bar{v}$  measurement determine  $(\sin 2\beta)_K$  without being affected by the  $|V_{cb}|$  uncertainty.



# $K_L \rightarrow \pi^0 VV$ : reminder and outlook

## KEK-E391a Upper Limit

No events in the signal box; S.E.S.= $1.17 \times 10^{-7}$

$\text{BR}(K_L^0 \rightarrow \pi^0 VV) < 2.86 \times 10^{-7} \text{ 90\% CL}$

Preliminary (KAON2005)  $\times 6$  improvement over KTeV one day special run

$\times 2$  improvement over published limit (KTeV Dalitz technique)

## KOPIO @ BNL stopped

In Japan a step by step approach is followed:

### KEK:

E391a has completed data taking (three runs).

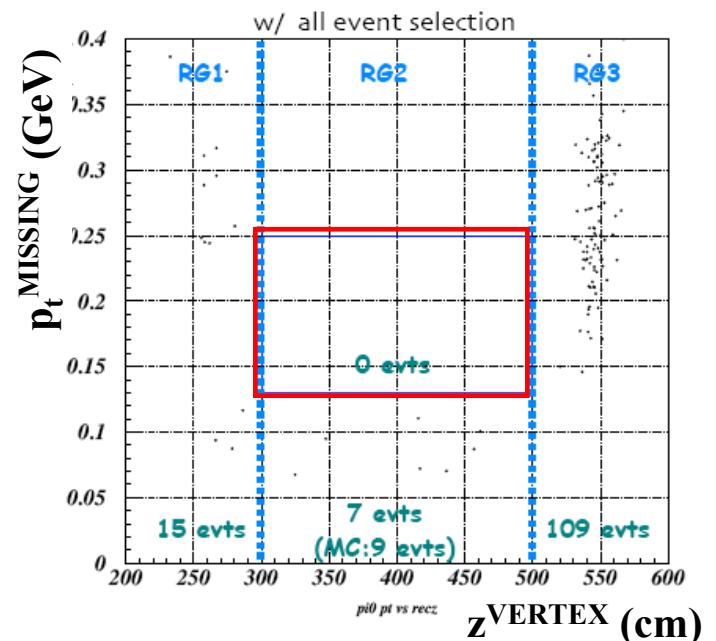
They aim to reach the Grossman-Nir bound from the accumulated data.

### J-PARC:

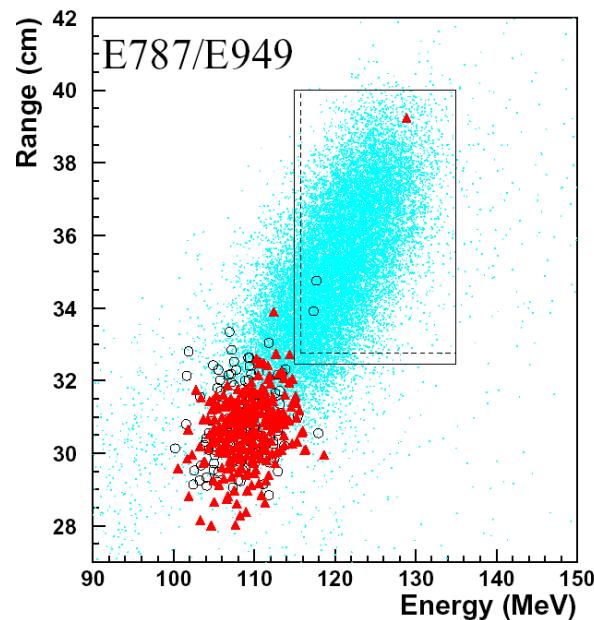
A proposal is being prepared for the new J-PARC hadron facility:

**Step I:** move the E391a detector at J-PARC

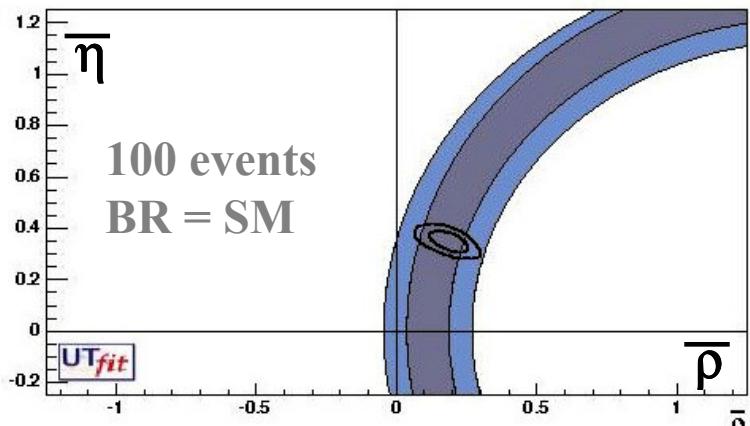
**Step II:** build a new detector and a dedicated beamline to be able reach about 100 SM events



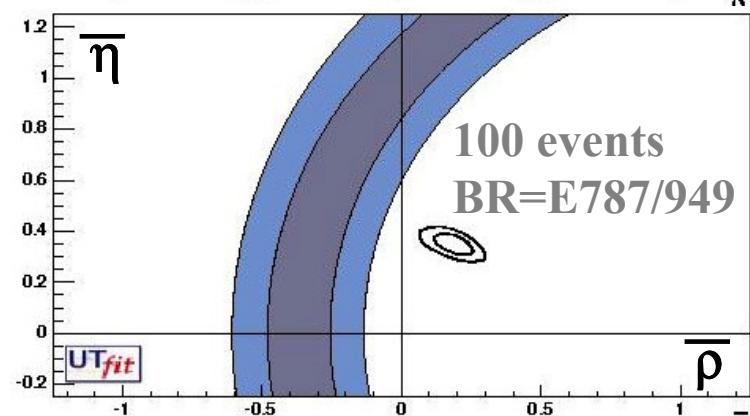
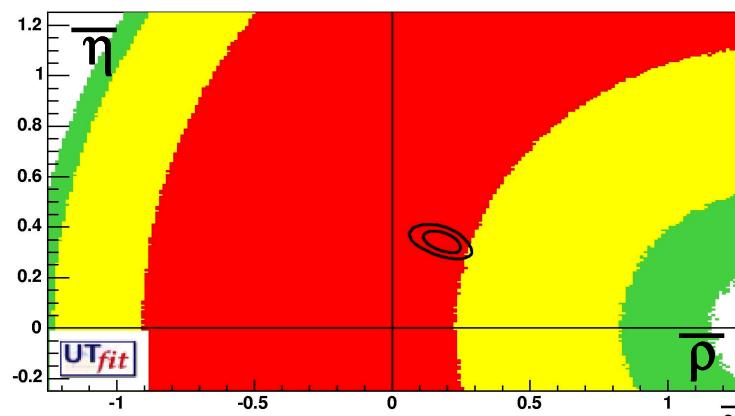
# $K^+ \rightarrow \pi^+ VV$ : reminder and outlook

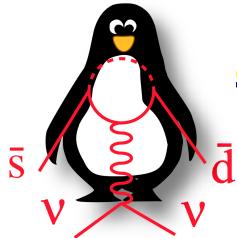


BNL-E787/E949:  
Stopped  $K^+$ , ~0.1% acceptance  
 $\text{BR}(K^+ \rightarrow \pi^+ VV) = 1.47^{+1.30}_{-0.89} 10^{-10}$   
hep-ex/0403036 PRL93 (2004)



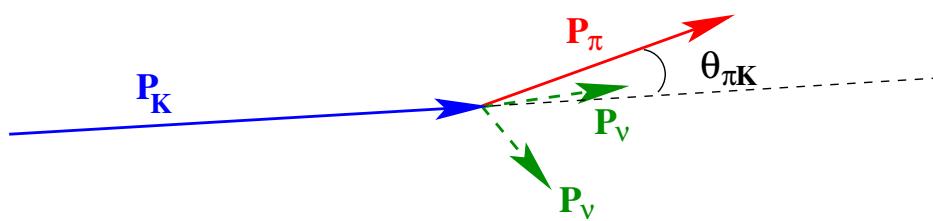
- Current constraint on  $(\bar{\rho}, \bar{\eta})$  plane:





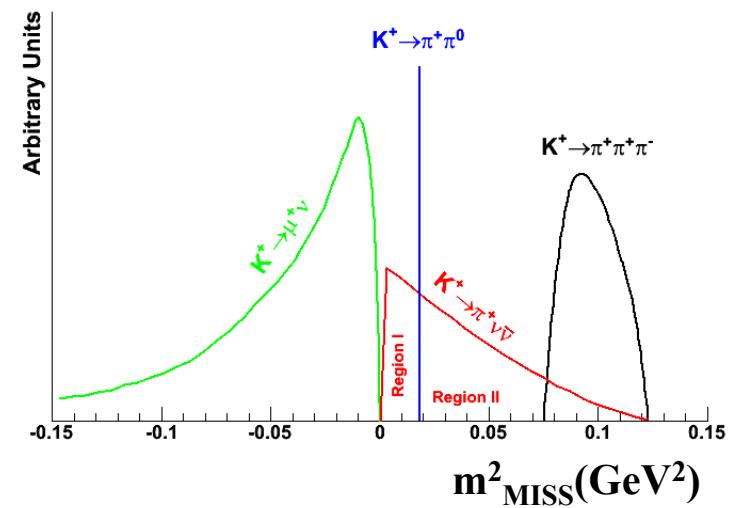
### P326 (a.k.a. NA48/3)

- Proposal to measure the rare decay  $\text{BR}(\text{K}^+ \rightarrow \pi^+ \nu \bar{\nu})$  at the CERN SPS (CERN-SPS-2005-013, SPSC-P-326)
- Aims to receive full approval by end of 2006... to be able to start data taking some time in 2009-2010



- Kinematic rejection ( $m_{\text{MISS}}^2$ )+photon veto+PID.
- To reject  $\text{K}^+ \rightarrow \pi \pi^0$  events:  $10^{-8}$   $\pi^0$  rejection.  
(require single photon rejection  $10^{-5}$  for  $E_\gamma > 1$  GeV)
- GIGA-tracker.
- Expect ~100 events in two year with ~10% of background.

## $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ : outlook



Region I:  $0 < m_{\text{miss}}^2 < 0.01 \text{ GeV}^2$   
 Region II:  $0.026 < m_{\text{miss}}^2 < 0.068 \text{ GeV}^2$

# *Conclusions*

---

Plenty of new results in **kaon physics** since PIC05.

- Totally unexpected  $\pi\pi$  scattering length measurement from  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  decays
- Precise bounds on direct CP-violation measured in  $K \rightarrow 3\pi$  charged kaons decays.
- New  $V_{us}$  world average. Unitarity of CKM matrix tested at  $1\sigma$  level.
- New determination of CP and CPT parameters: the accuracy on  $\Re \epsilon$  and  $\Im \delta$  improved of a factor  $\sim 2.5$ .
- Renewed interest in  $K^\pm \rightarrow \ell^\pm \nu$  decays as new physics probe.
- Status and perspectives of the  $K \rightarrow \pi \nu \bar{\nu}$  measurements.

**Kaons offer a unique playground to test SM and  
to shed light on physics beyond SM.**

Thanks to G.Isidori and F.Mescia for illuminating discussions in preparing this talk.

# *Spare slides*

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# *Extraction of $\delta_0 - \delta_2$*

Cirigliano et al. '04 (EPJC 33 369)

**Using KLOE '02 result for  $\Gamma(\pi^+\pi^-)/\Gamma(\pi^0\pi^0)$ :**

Isospin-conserving treatment:

$\Gamma(K_S \rightarrow \pi\pi)$  fully inclusive of  $\pi\pi\gamma$  channel

$$\chi_0 - \chi_2 \\ (48.6 \pm 2.6)^\circ$$

Isospin breaking in amplitudes (mainly EM):

$$A_I \rightarrow A_I + \delta A_I$$

$$\chi_0 - \chi_2 \\ (54.6 \pm 2.2_{\text{exp}} \pm 0.9_{\text{th}})^\circ$$

$A_0, A_2$  amplitudes mixed

$\Delta I = 5/2$  component introduced

Small contribution from strong isospin breaking



Isospin breaking in final state phase shifts:

$$\delta_I = \chi_I - \gamma_I$$

$$\delta_0 - \delta_2$$

$$\delta_0 - \delta_2 = (-6 \pm 3)^\circ \quad [\chi\text{PT } O(e^2 p^2)]$$

$$(60.8 \pm 2.2_{\text{exp}} \pm 3.1_{\text{th}})^\circ$$

**For comparison:**

$\chi\text{PT}$  estimate (Gasser & Meissner '91)

$$\delta_0 - \delta_2$$

$$(45 \pm 6)^\circ$$

$\pi\pi$  scattering (Colangelo et al. '01  $a_0 - a_2 = 0.265 \pm 0.004$ )

$$(47.7 \pm 1.5)^\circ$$

(Peláez & Ynduráin '04  $a_0 - a_2 = 0.277 \pm 0.014$ )

$$(52.9 \pm 1.6)^\circ$$

# Generators for radiative K decays

Generators for neutral kaon decays include radiation, no cutoff energy

- Full  $O(\alpha)$  amplitudes (real and virtual contributions) summed to all orders in  $\alpha$  by exponentiation (soft-photon approximation)
- Carefully checked against all available data and calculations

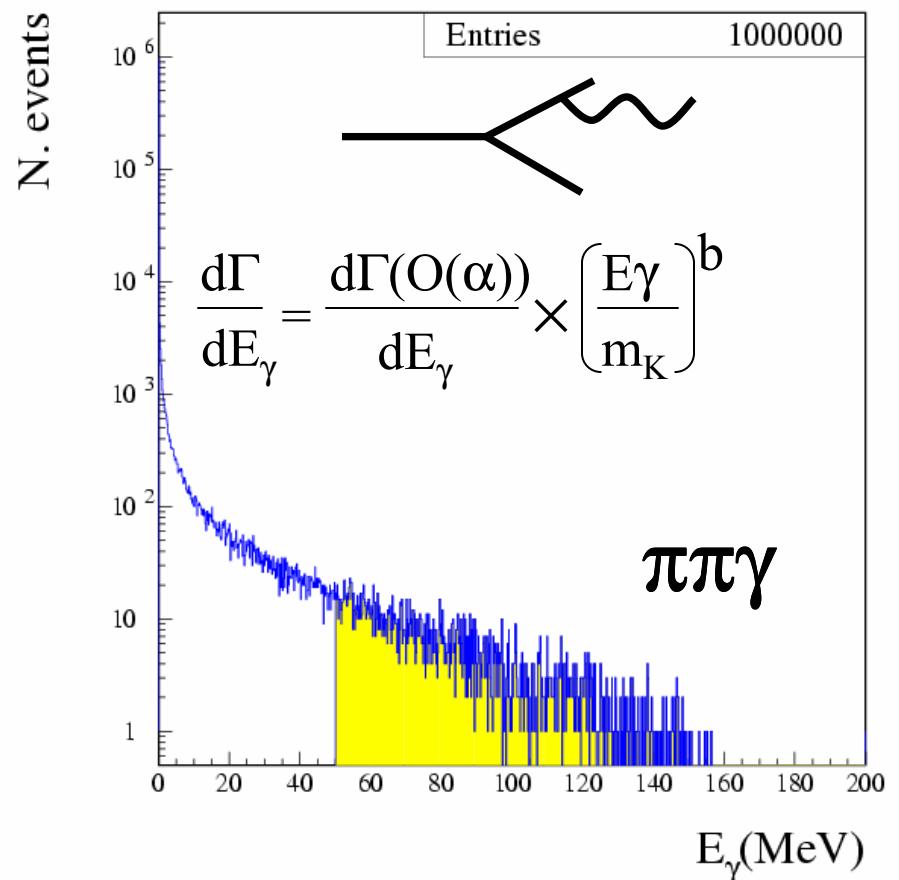
$$\frac{BR(K_L \rightarrow \pi e \nu \gamma, E_\gamma > 30 \text{ MeV} \theta_{e\gamma} > 20^\circ)}{BR(K_L \rightarrow \pi e \nu)} =$$

$kTeV \quad (0.908 \pm 0.015) \times 10^{-2}$

*Bijnens et al*  $0.93 \times 10^{-2}$

*MC*  $0.93 \times 10^{-2}$

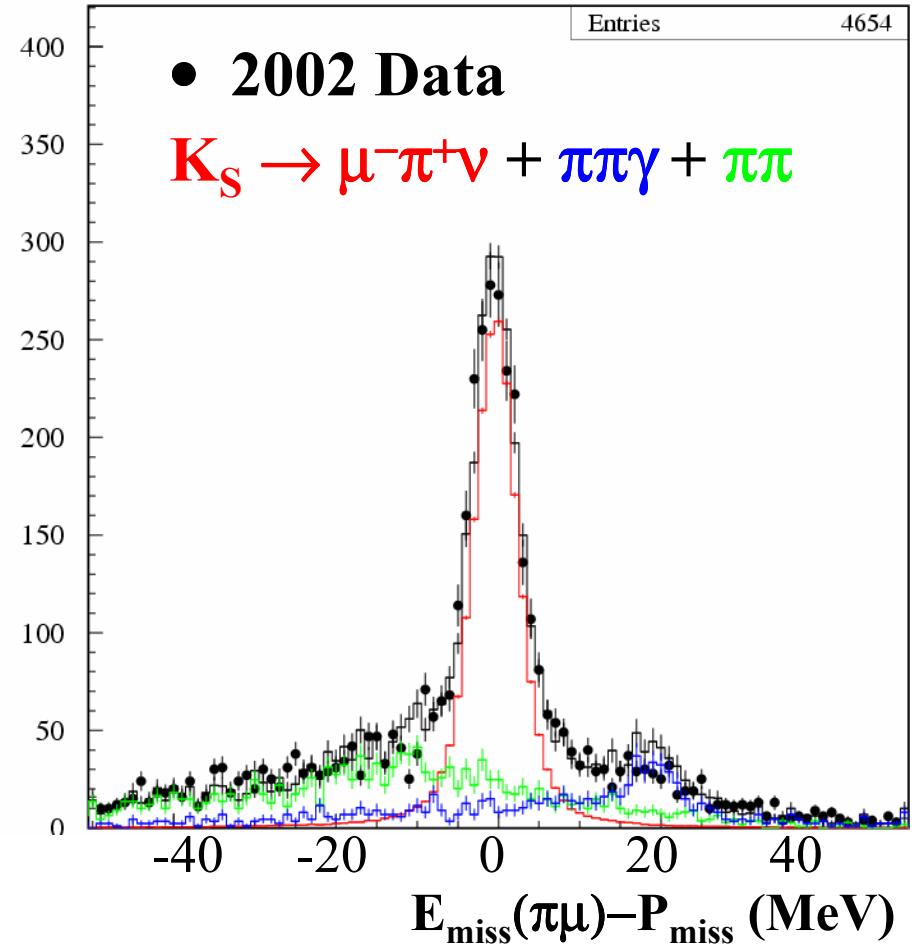
$$\frac{BR(K_S \rightarrow \pi \pi \gamma, E_\gamma > 50 \text{ MeV})}{BR(K_S \rightarrow \pi \pi)} = \frac{E731 \quad (2.56 \pm 0.09) \times 10^{-3}}{MC \quad 2.6 \times 10^{-3}}$$



**KLOE Note 194**  
<http://www.lnf.infn.it/kloe>

# $K_S \rightarrow \pi\mu\nu$ : first observation

- Measurement never done before
- More difficult than  $K_{S\pi^3}$ :
  - 1) Lower BR: expect  $4 \times 10^{-4}$
  - 2) Background events from  $K_S \rightarrow \pi\pi$ ,  $\pi \rightarrow \mu\nu$ : same PIDs of the signal
- Event counting from the fit to  $E_{\text{miss}}(\pi\mu) - P_{\text{miss}}$  distribution
- Efficiency estimate from  $K_{L\mu 3}$  early decays and from MC + data control samples.
- Selected about 4500 events per charge in  $\sim 400 \text{ pb}^{-1}$ . ( $\sim 3\%$  stat error)



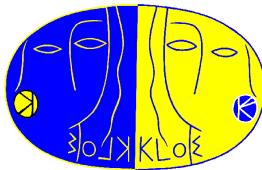
## *Situation before 2004*

---

Apart from unitarity problem,  $V_{us}$  seemed to be well understood before the new data has arrived:

- Measured with  $K_L e3$  ( $0.2182 \pm 0.0012$ exp),  $K^\pm e3$  ( $0.2208 \pm 0.0016$ exp) and Hyperon decays ( $0.2176 \pm 0.0026$ ). The most precise measurement came from  $K_L e3$  decays.
- $K_L e3$  branching fraction is extracted from various measurements of 36 different experiments performed between 1967-1995, they show good internal agreement
- $f_+(t)$  form factor is measured by  $\sim 10$  experiments, well described by linear  $\lambda^+$  term. The value of  $\lambda^+$  is consistent between  $K^\pm$  ( $0.028 \pm 0.003$ ) and  $K_L$  ( $0.030 \pm 0.002$ ) as well as with theory (chiral QCD) expectations ( $\sim 0.028$ ).
- $f_+(0)$  is calculated by Leutweyler and Roos in 1984, their analysis shows that  $K^\pm e3$  and  $K_L e3$  data are consistent.

The only problem in this picture was BNL E865 determination of  $V_{us}$  based on  $K^\pm e3$  data (PRL **91** 261802, published on 31 Dec 2003) which triggered a lot of new experimental activity.



# Dominant $K_L$ branching ratios

**Absolute BR measurements to 0.5-1%**

from '01-'02 data set ( $328 \text{ pb}^{-1}$ )

$K_L$  tagged by  $K_S \rightarrow \pi^+\pi^-$ :

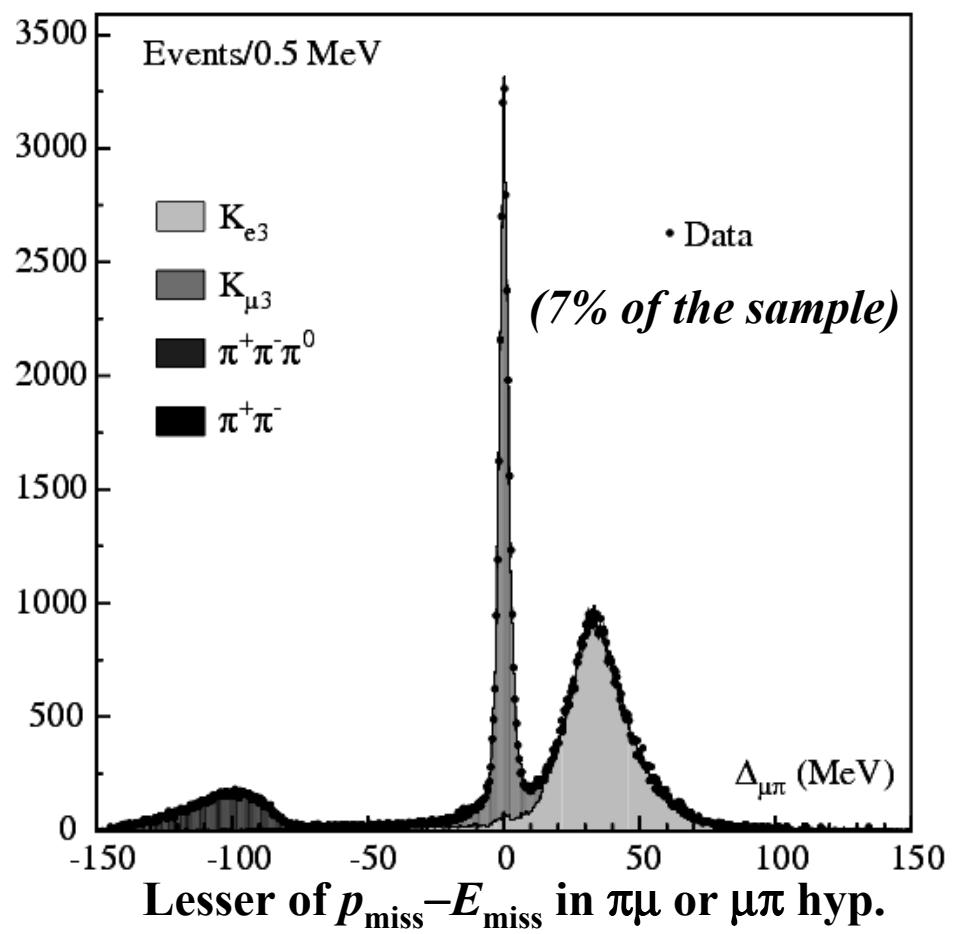
- $13 \times 10^6$  for the measurement
- $4 \times 10^6$  used to evaluate efficiencies

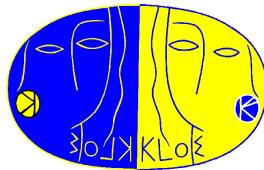
**BR's to  $\pi e\nu$ ,  $\pi\mu\nu$ , and  $\pi^+\pi^-\pi^0$ :**

- $K_L$  vertex reconstructed in DC
- PID using decay kinematics
- Fit with MC spectra including radiative processes and optimized EmC response to  $\mu/\pi/K_L$

**BR to  $\pi^0\pi^0\pi^0$ :**

- Photon vertex reconstructed by TOF using EmC (3 clusters)
- $E_{\text{rec}} = 99\%$ , background  $< 1\%$





# Direct measurement of $K_L$ lifetime

Measure using  $K_L \rightarrow \pi^0\pi^0\pi^0$

- Require  $\geq 3$   $\gamma$ 's
- $\epsilon(L_K) \sim 99\%$ , uniform in L
- $\sigma_L(\gamma\gamma) \sim 2.5$  cm
- Background  $\sim 1.3\%$

Use  $K_L \rightarrow \pi^+\pi^-\pi^0$  to determine:

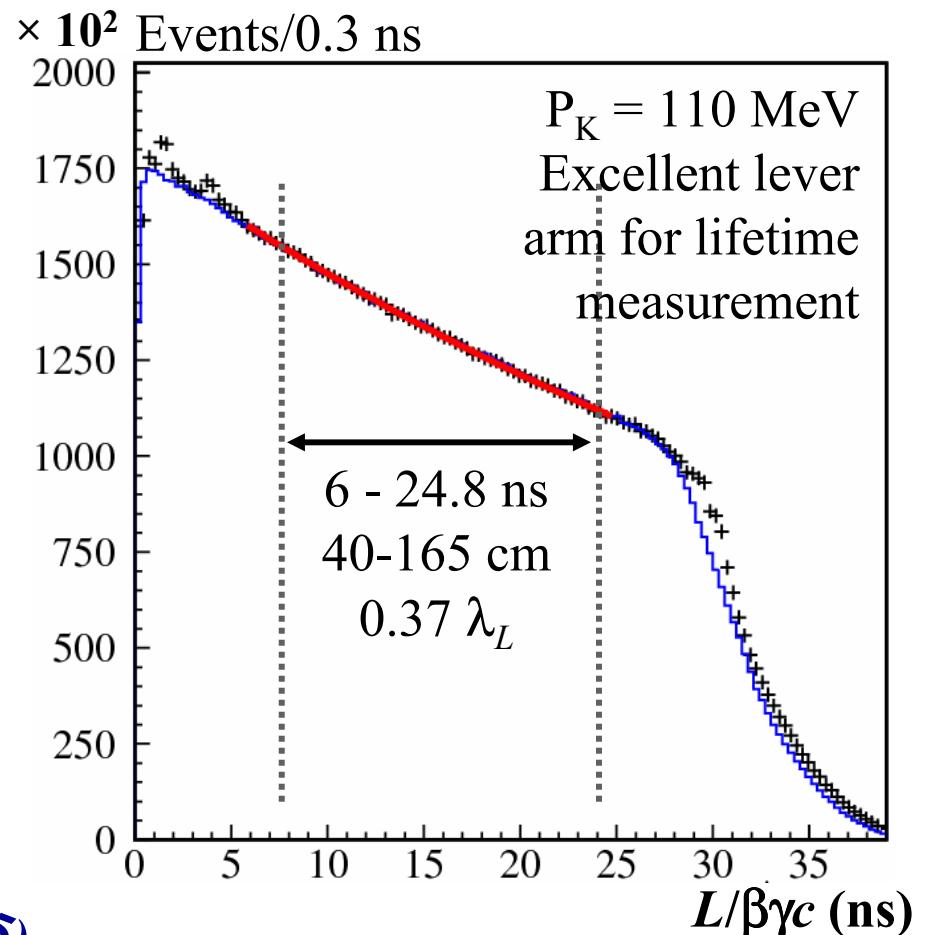
- EmC time scale
- Photon vertex efficiency

**KLOE 400 pb<sup>-1</sup>:**

$10^7 K_L \rightarrow \pi^0\pi^0\pi^0$  evts

Published  
PLB626(2005) 15

$$\tau_L = 50.92 \pm 0.17 \pm 0.25 \text{ ns}$$



Average with result from  $K_L$  BR's:

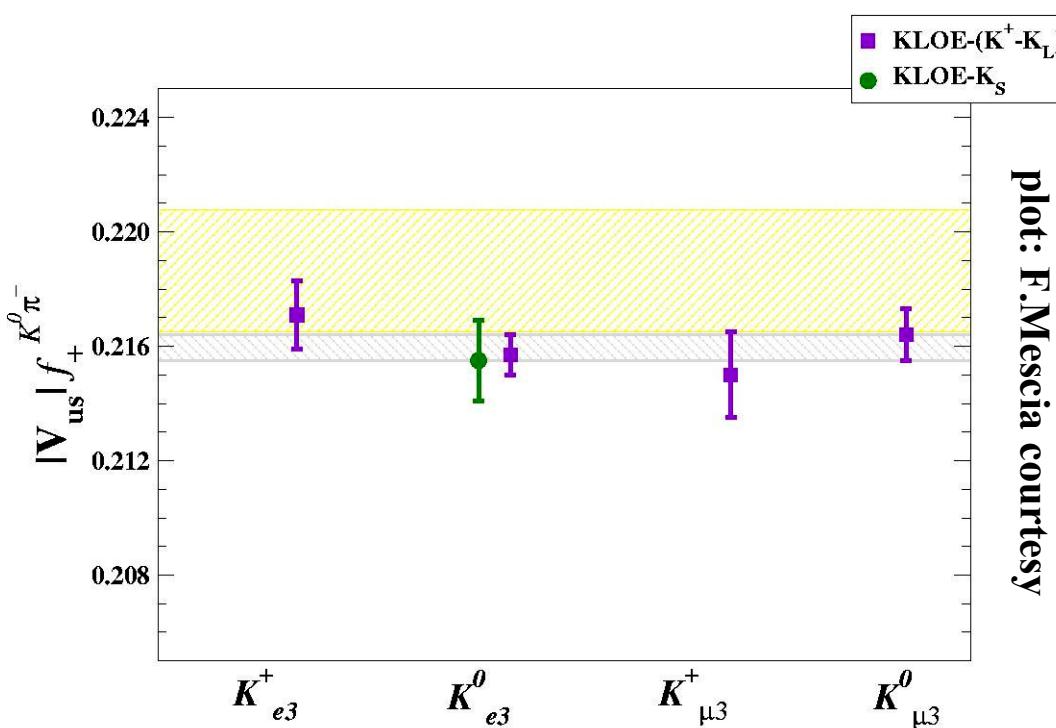
$$\tau_L = 50.84 \pm 0.23 \text{ ns}$$

Vosburg, '72:

$$\tau_L = 51.54 \pm 0.44 \text{ ns}$$

# $V_{us}$ from KLOE results

	$K_L e3$	$K_L \mu 3$	$K_S e3$	$K^\pm e3$	$K^\pm \mu 3$
BR	0.4007(15)	0.2698(15)	$7.046(91) \times 10^{-4}$	0.05047(46)	0.03310(40)
$\tau$	50.84(23) ns		89.58(6) ps		12.384(24) ns



$$\langle V_{us} \times f_+(0) \rangle_{\text{KLOE AV.}} = 0.2160(5)$$

CKM unitarity within  $\sim 1\sigma$

Slopes

$$\lambda'_+ = 0.02542(31)$$

$$\lambda''_+ = 0.00129(3)$$

(Pole model: KLOE,  
KTeV, and NA48 ave.)

$$\lambda_0 = 0.01587(95)$$

(KTeV and Istra+ ave.)

From unitarity

- $f_+(0) = 0.961(8)$

Leutwyler and Roos Z.  
[Phys. C25, 91, 1984]

- $V_{ud} = 0.97377(27)$

Marciano and Sirlin  
[Phys. Rev. Lett. 96 032002, 2006]

**$V_{us} \times f_+(0) = 0.2187(22)$**

# Dominant $K_L$ branching ratios

- KTeV measures 5  $K_L$  decay ratios of 6 decay modes:  
 $\Gamma(e3)/\Gamma(\mu3)$ ,  $\Gamma(\pi^+\pi^-\pi^0)/\Gamma(e3)$ ,  $\Gamma(\pi^0\pi^0\pi^0)/\Gamma(e3)$ ,  $\Gamma(\pi^+\pi^-)/\Gamma(e3)$ ,  $\Gamma(\pi^0\pi^0)/\Gamma(\pi^0\pi^0\pi^0)$
- These 6 decay modes account for 99.93% of  $K_L$  decays and the ratio can be combined to extract BR, i.e.:

$$B_{Ke3} = \frac{0.9993}{1 + \frac{\Gamma_{K\mu 3}}{\Gamma_{Ke3}} + \frac{\Gamma_{000}}{\Gamma_{Ke3}} + \frac{\Gamma_{+-0}}{\Gamma_{Ke3}} + \frac{\Gamma_{+-}}{\Gamma_{Ke3}} + \frac{\Gamma_{00}}{\Gamma_{Ke3}}} \quad [\text{PRD 70 (2004)}]$$

- Results are:

$$\text{BR}(K_L \rightarrow \pi e \nu) = 0.4067 \pm 0.0011$$

$$\text{BR}(K_L \rightarrow \pi \mu \nu) = 0.2701 \pm 0.0009$$

$$\text{BR}(K_L \rightarrow \pi \pi \pi^0) = 0.1252 \pm 0.0007$$

$$\text{BR}(K_L \rightarrow \pi^0 \pi^0 \pi^0) = 0.1945 \pm 0.0018$$

$$\text{BR}(K_L \rightarrow \pi^+ \pi^-) = (1.975 \pm 0.012) \times 10^{-3}$$

$$\text{BR}(K_L \rightarrow \pi^0 \pi^0) = (0.865 \pm 0.010) \times 10^{-3}$$

- Hadronic radiative decays of neutral kaons with real and virtual photons give insight into structure of the kaon
- Summary status of the results presented in La Thuile 2006

Real $\gamma$	Virtual $\gamma^* \rightarrow e^+e^-$
$K_L \rightarrow \pi^0\pi^0\gamma$ Preliminary results	$K_L \rightarrow \pi^0\pi^0e^+e^-$ Published
$K_L \rightarrow \pi^+\pi^-\gamma$ New Preliminary results	$K_L \rightarrow \pi^+\pi^-e^+e^-$ New results, accepted in PRL
$K_L \rightarrow \pi^+\pi^-\pi^0\gamma$ New Preliminary results	$K_L \rightarrow \pi^+\pi^-\pi^0e^+e^-$ New Preliminary results
$K_L \rightarrow \pi^0\pi^0\pi^0\gamma$ Analysis in progress	$K_L \rightarrow \pi^0\pi^0\pi^0e^+e^-$ Analysis in progress

Hadronic radiative decays of neutral kaons with real and virtual photons give insight into structure of the kaon

$$K^0_L \rightarrow \pi^+ \pi^- \gamma$$

$$g_{M1} = 1.198 \pm 0.035 \text{ (stat)} \pm 0.086 \text{ (syst)}$$

$$a_1/a_2 = -0.738 \pm 0.007 \text{ (stat)} \pm 0.018 \text{ (syst)}$$

$$g_{E1} < 0.21 \text{ (90\% CL)}$$

$$\text{BR}(K^0_L \rightarrow \pi^0 \pi^0 \gamma) < 2.52 \cdot 10^{-7} \text{ 90\%CL}$$

$$\text{BR}(K^0_L \rightarrow \pi e^+ e^- \gamma, M_{ee} > 5 \text{ MeV}) = 1.606 \pm 0.012 \text{ (stat)} {}^{+0.026}_{-0.016} \text{ (syst)} \pm 0.045 \text{ (ext.)} \cdot 10^{-5}$$

$$\text{BR}(\pi^0 \rightarrow e^+ e^-, X > 0.95) = 6.56 \pm 0.26 \text{ (stat)} \pm 0.23 \text{ (syst)} \cdot 10^{-8}$$

$$K^0_L \rightarrow e^+ e^- \gamma$$

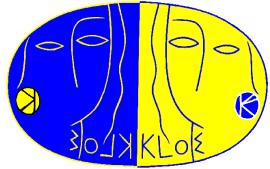
$$\text{BR}(K^0_L \rightarrow e^+ e^- \gamma) = 9.25 \pm 0.03 \text{ (stat)} \pm 0.07 \text{ (syst)} \pm 0.26 \text{ (ext.)} \cdot 10^{-6}$$

$$C\alpha K^* = -0.517 \pm 0.030 \text{ (fit)} \pm 0.022 \text{ (syst)}$$

$$\alpha_{DIP} = -1.729 \pm 0.043 \text{ (fit)} \pm 0.028 \text{ (syst)}$$

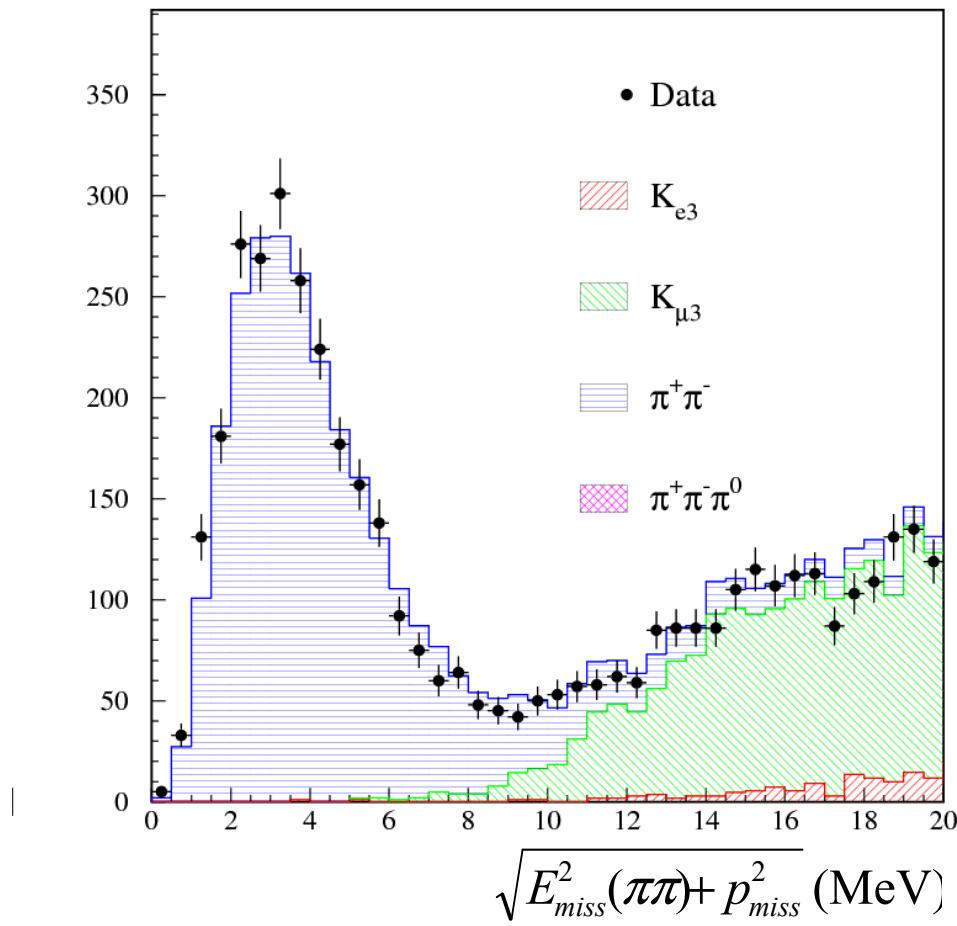
$$\text{BR}(K^0_L \rightarrow \pi^+ \pi^- \pi^0 \gamma) = 1.70 \pm 0.03 \text{ (stat)} \pm 0.04 \text{ (syst)} \pm 0.03 \text{ (norm)} \cdot 10^{-4}$$

$$\text{BR}(K^0_L \rightarrow \pi^+ \pi^- \pi^0 e^+ e^-, E_{ee} > 20 \text{ MeV/c}^2) = 1.60 \pm 0.18 \text{ (stat)} \cdot 10^{-7}$$



$$BR(K_L \rightarrow \pi^+ \pi^- (\gamma_{IB}))$$

PID using decay kinematics  
Normalize to  $K_L \rightarrow \pi \mu \nu$  events



$$\text{BR} = (1.963 \pm 0.012 \pm 0.017) \times 10^{-3}$$

$$\sigma_{\text{rel}}: 1.1\% = 0.6\%_{\text{stat}} \oplus 0.9\%_{\text{syst}}$$

- in agreement with KTeV 2004  
 $\text{BR} = (1.975 \pm 0.012) \times 10^{-3}$
- it confirms the 4- $\sigma$  discrepancy with PDG04 =  $(2.080 \pm 0.025) \times 10^{-3}$
- we get:

$$|\eta_{+-}| = (2.216 \pm 0.013) \times 10^{-3}$$

[  $\text{BR}(K_S \rightarrow \pi\pi)$  and  $\tau_L$  from KLOE,  $\tau_S$  from PDG04]

$$\text{PDG04: } |\varepsilon| = (2.280 \pm 0.013) \times 10^{-3}$$

PLB638 (hep-ex/0603041)

# Lepton Universality from KTeV

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Consistency of BRs and Form Factors results with lepton universality.

Compare  $\Gamma$ 's for  $K_{e3}$  and  $K_{\mu 3}$ :

$$\Gamma_{Kl3} = \frac{G_F^2 M_K^5}{192\pi^3} S_{EW} \left(1 + \delta_K^l\right) |V_{us}|^2 |f_+^2(0)| I_K^l$$

$$\left[ \frac{\Gamma_{K\mu 3}}{\Gamma_{Ke3}} \right]_{PRED} = \left( \frac{1 + \delta_K^\mu}{1 + \delta_K^e} \right) \left( \frac{I_K^\mu}{I_K^e} \right)$$

↑     
 ↑

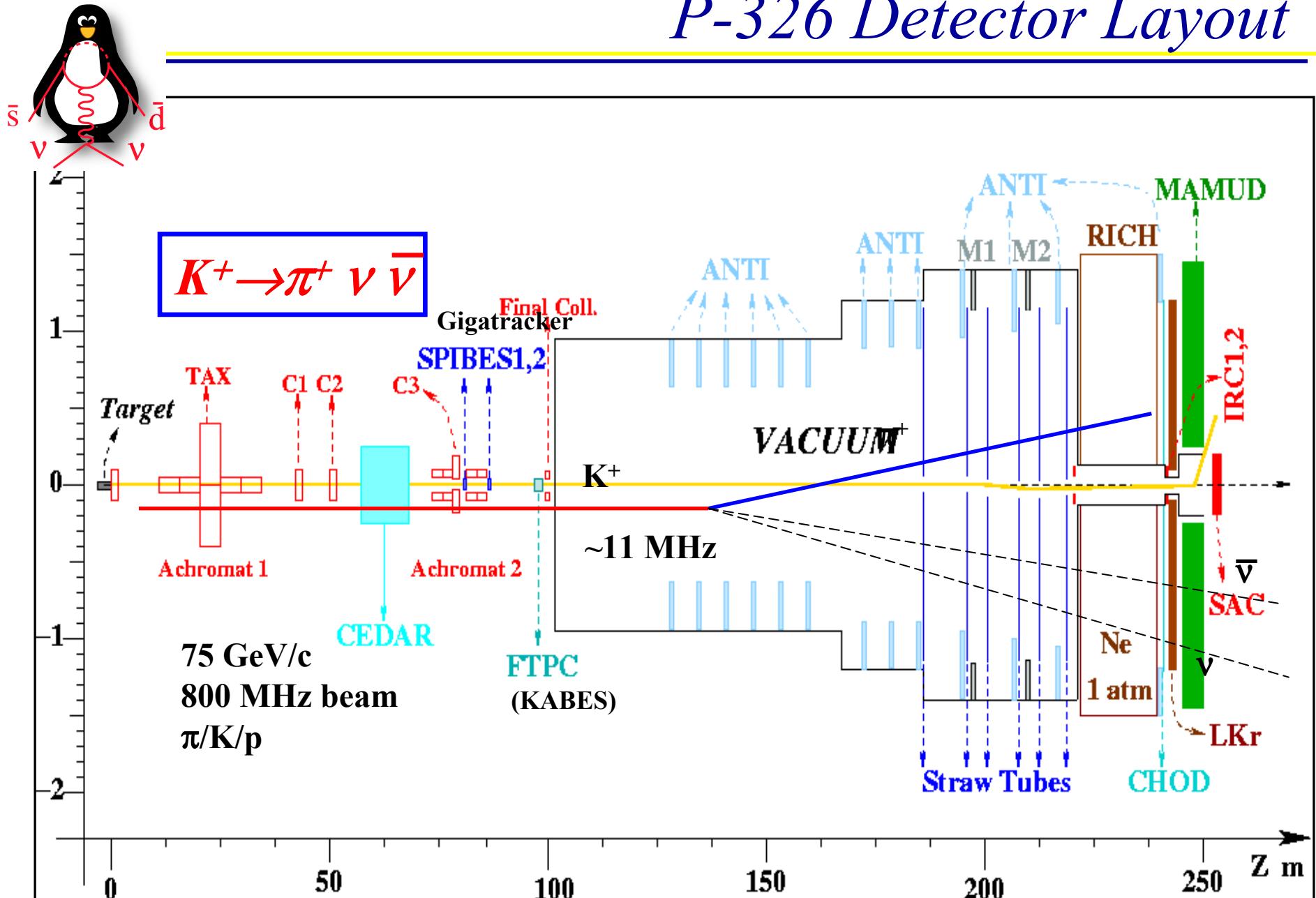
1.0058(10)  
 from T. Andre
 0.6622(18)  
 from KTeV

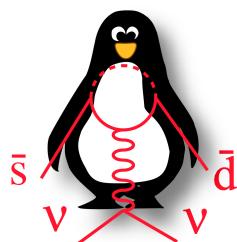
$$\left[ \frac{\Gamma_{K\mu 3}}{\Gamma_{Ke3}} \right]_{MEAS} / \left[ \frac{\Gamma_{K\mu 3}}{\Gamma_{Ke3}} \right]_{PRED} = 0.9969 \pm 0.0048 = \left( \frac{G_F^\mu}{G_F^e} \right)$$

Same test with PDG values gives  $1.0270 \pm 0.0182$

P326

# P-326 Detector Layout





# Signal & bkg from $K$ decays/year\*

	Total	Region I	Region II
<b>Signal (SM)</b>	<b>65</b>	<b>16</b>	<b>49</b>
$K^+ \rightarrow \pi^+ \pi^0$	$2.7 \pm 0.2$	$1.7 \pm 0.2$	$1.0 \pm 0.1$
$K_{\mu 2}$	$1.2 \pm 0.3$	$1.1 \pm 0.3$	$< 0.1$
$K_{e4}$	$2 \pm 2$	negligible	$2 \pm 2$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$ and other 3-tracks bckg.	$1 \pm 1$	negligible	$1 \pm 1$
$K_{\pi 2} \gamma$	$1.3 \pm 0.4$	negligible	$1.3 \pm 0.4$
$K_{\mu 2} \gamma$	$0.4 \pm 0.1$	$0.2 \pm 0.1$	$0.2 \pm 0.1$
$K_{e3}, K_{\mu 3}$ , others	negligible	—	—
<b>Total bkg</b>	<b><math>9 \pm 3</math></b>	<b><math>3.0 \pm 0.2</math></b>	<b><math>6 \pm 3</math></b>

\* Before taxes. Proposal quotes 40 evt/year @ $\text{BR}=10^{-10}$

- SPS used as **LHC injector** (so it will run in the future)
- **No flagrant time overlap** with CNGS
- P-326 **fully compatible** with the rest of CERN fixed target because P-326 needs only ~1/20 of the SPS protons
- Beam time estimates based on **decennial** NA48 experience at SPS