

# Neutrini

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url: [www-ttp.physik.uni-karlsruhe.de/~juliet/](http://www-ttp.physik.uni-karlsruhe.de/~juliet/)

# 1. The invention of the neutrino

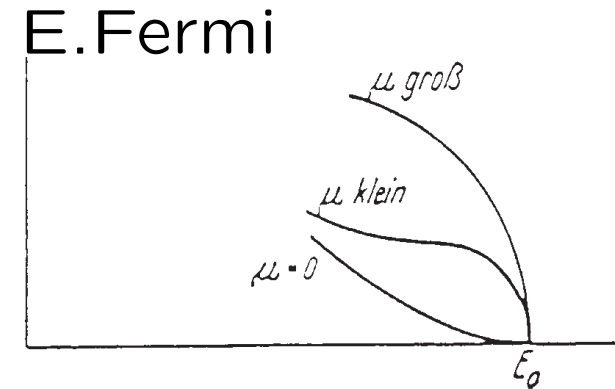
**Chadwick** Continuous  $\beta$ -spectrum,  
1914, 1927

**Bohr** as late as '36 thought energy  
might not be conserved in nuclear  
physics

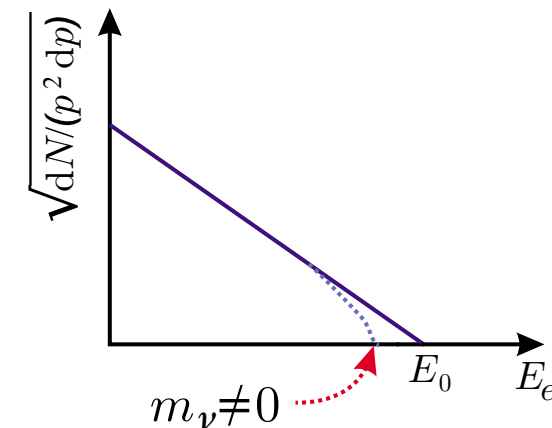
**Pauli**  $\nu$ , 1930 (-1+3), Dear Ra-  
dioactive Ladies and Gentlemen,

**Fermi**, in Zeitschrift für Physik **88**  
161 (1934) (16 January)

**Emmy Noether**, 1918, Noether's  
theorem



Important papers  
were in German

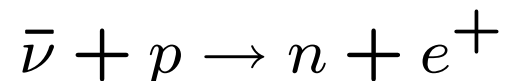


# Discovery

**Bethe & Peierls** 1934.  $\lambda_{\nu\text{-abs}} \sim 10^{19}$  cm, 10 light-years for  $\rho=3$ , will never be observed.

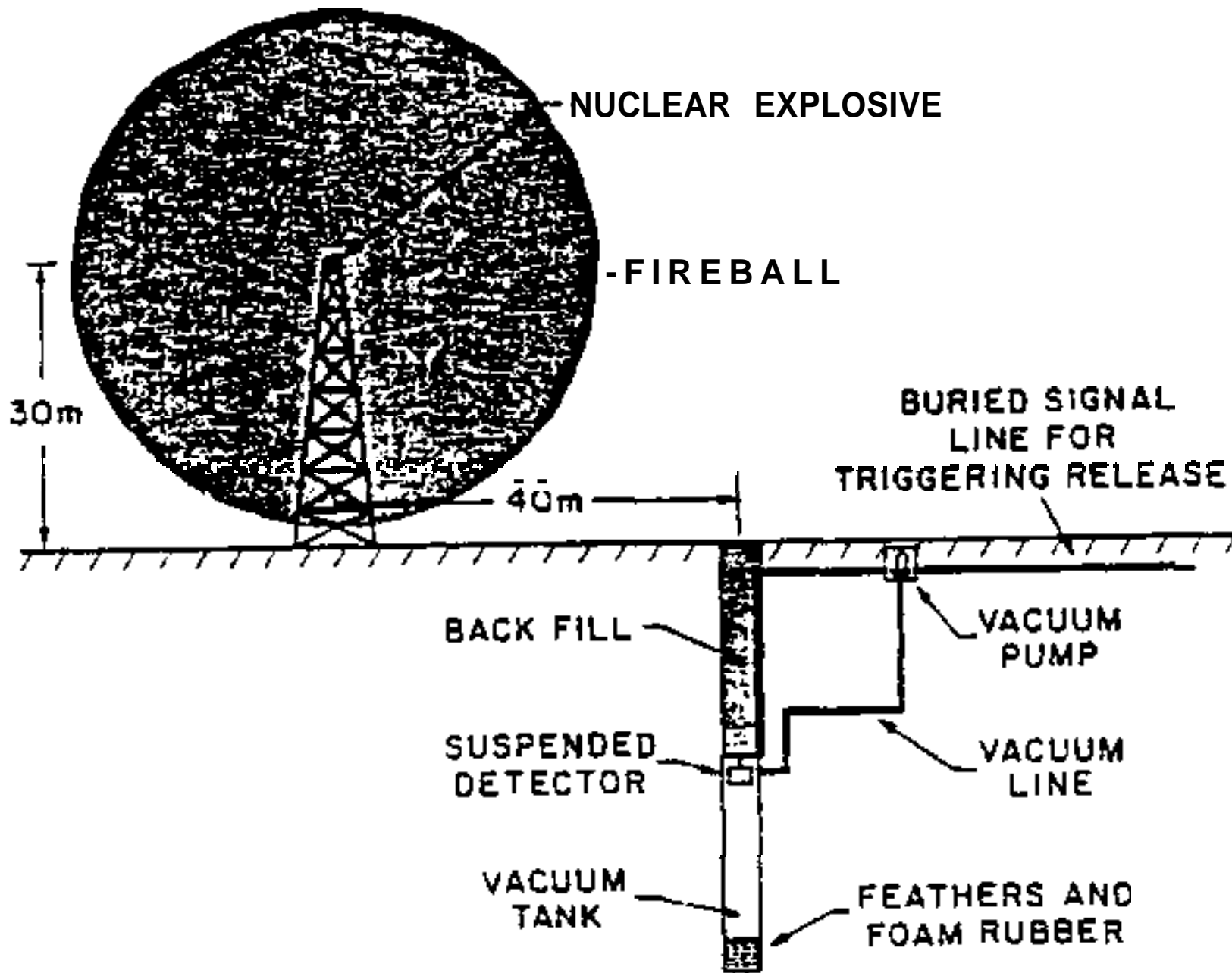
**Reines & Cowan**, try 100 m from an atomic bomb?... Attempt at small breeder, then at large power reactor. June '56 sent a telegram to Pauli to reassure him  $\nu$ 's exist. (24 y vs ~~30~~ 40 y for Higgs)

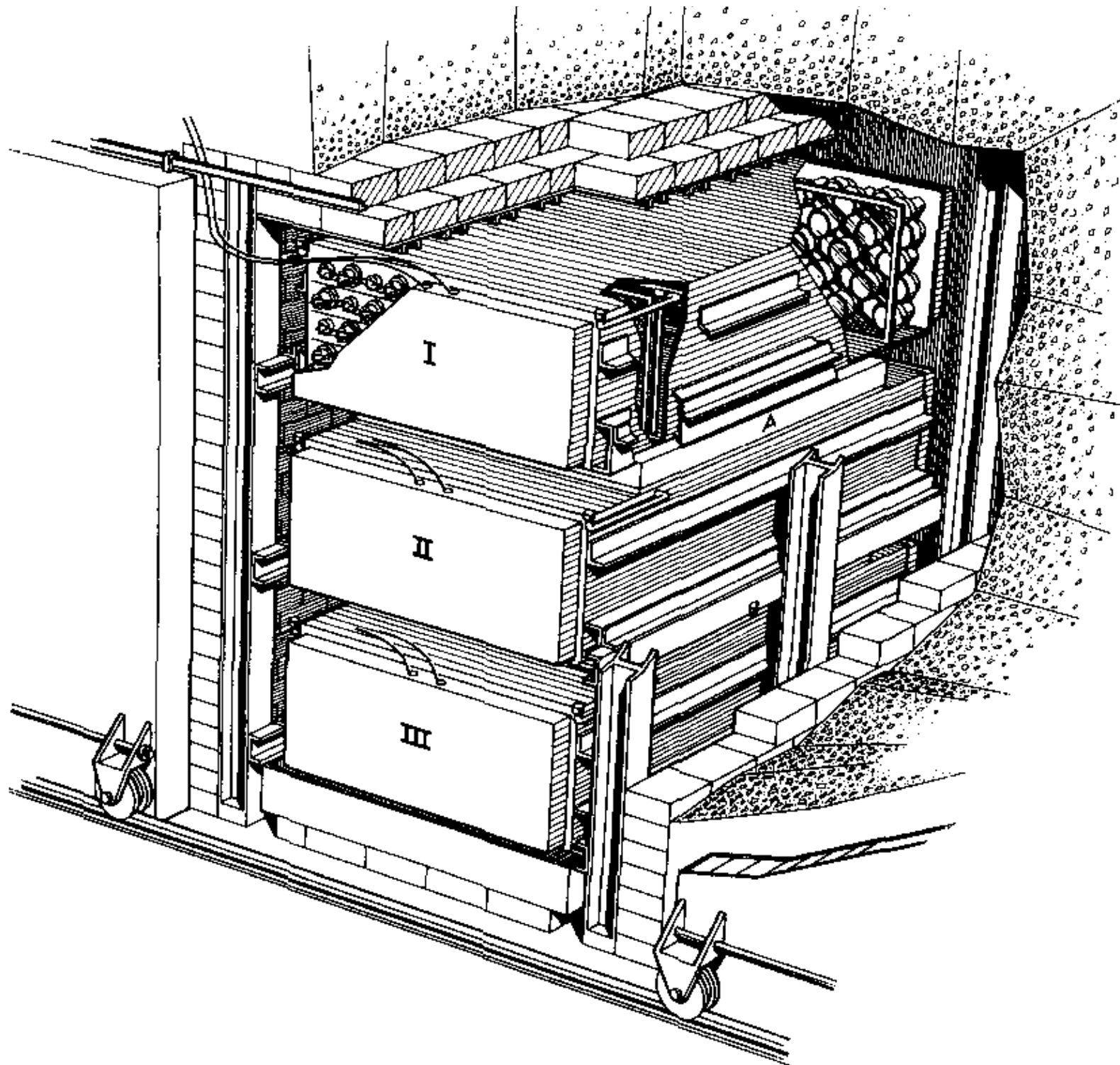
$10^{13}$   $\nu/\text{cm}^2/\text{s} \rightarrow 3$  events/h in  $\sim 1$  ton detector



$$N_{\text{ev}}[\text{/s}] = f[\text{/cm}^2/\text{s}] \times \sigma[\text{cm}^2] \times V[\text{cm}^3] \times \rho_{\text{H}}[g_{\text{H}_2}/\text{cm}^3] \times N[p/g_{\text{H}_2}]$$

$$N = f \times \sigma \times N \times M$$





## 1957 to the 70's and on

Reactor:  $\bar{\nu}$ 's, not  $\nu$ 's; R. Davis, '55, chlorine (BMP)

Parity

$$\mu \not\rightarrow e\gamma, \quad \nu_e \neq \nu_\mu$$

$\nu_e$  and  $\nu_\mu$  helicity

Observation of  $\nu_\mu$

All the way to the SM where neutrinos have zero mass

$$m(\nu_e) < 2.8 \text{ eV}, \quad {}^3\text{H} - \beta \text{ decay}$$

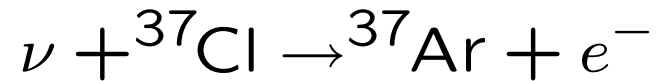
Just 3 neutrinos

If  $m=0$ , helicity is L-invariant and  $\mathcal{H} = \pm 1$  states are independent

$\nu_{\text{right}}$ ,  $\bar{\nu}_{\text{left}}$  need not exist

## Something different

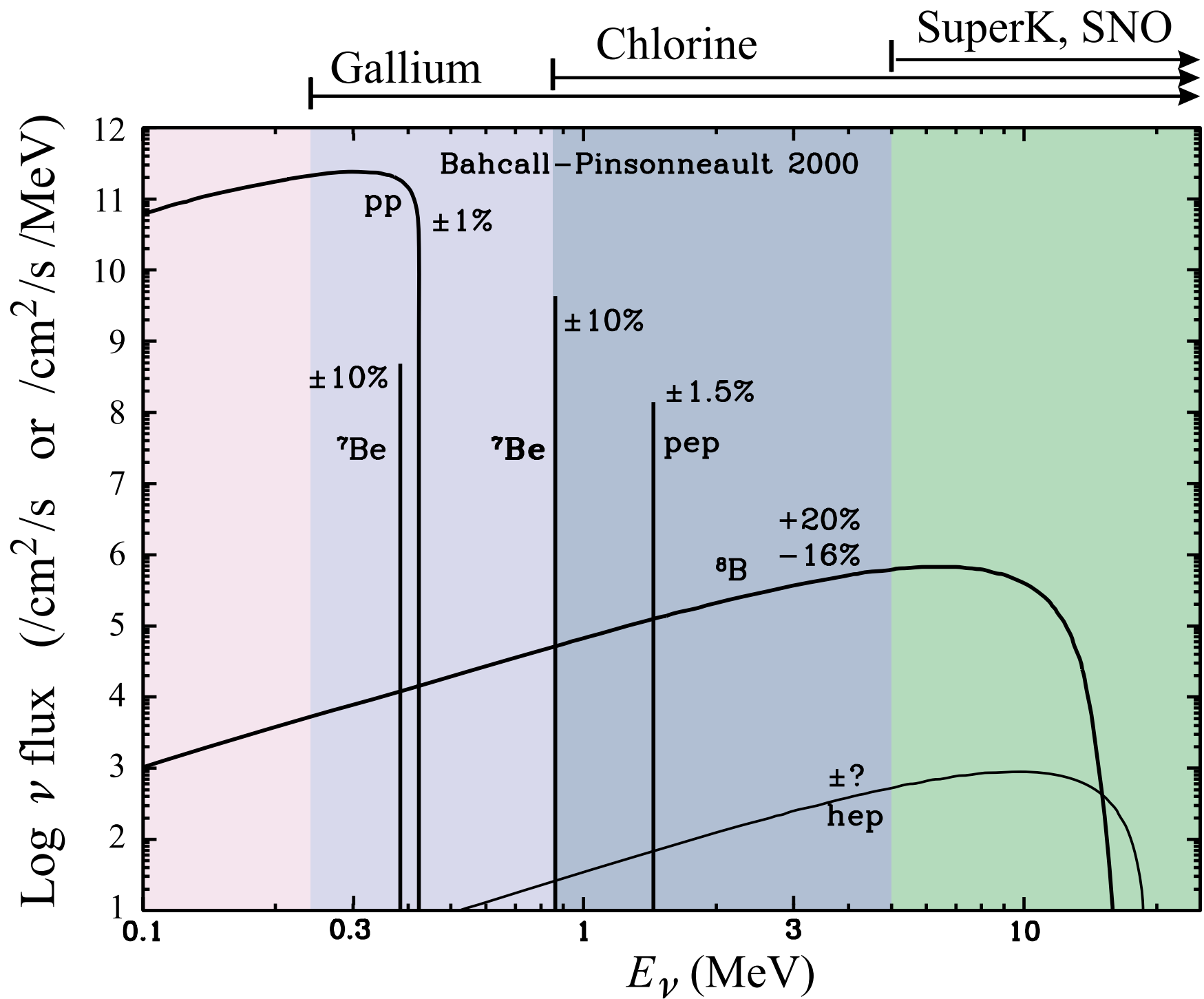
**1964** Look for solar  $\nu$ 's, just to peek inside the sun. 100,000 gallon of tetrachloroethylene - dry cleaning fluid - are enough to observe



as computed in SSM, from the reactions:



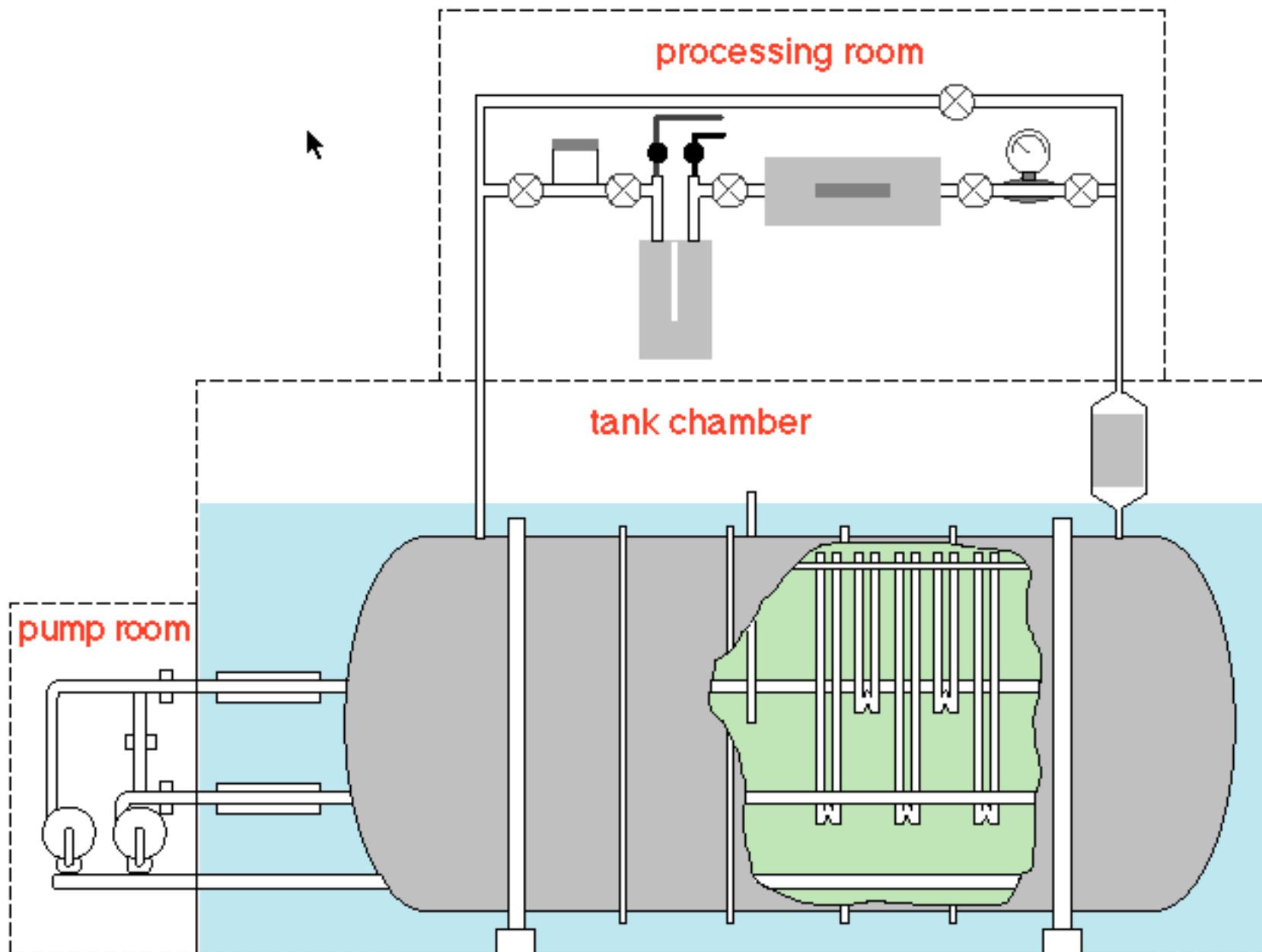
plus all return reactions without  $\nu$ 's.







400 ton  $C_2H_2Cl_4$   
Extract Ar  
Count Ar decays  
Add and recover Ar  
(non radioactive)  
Neutron source  
check  
30 Year Run



SNU  $\equiv$  1 interaction/sec/ $10^{36}$  atoms  $\approx$  1 int./ton/year

**Ray Davis**, chlorine experiment, expected **7 SNU**, gave upper limit of **2.5 by 1968**.  $E_\nu > 0.8$  MeV

Is the experiment wrong? No, all checks OK!

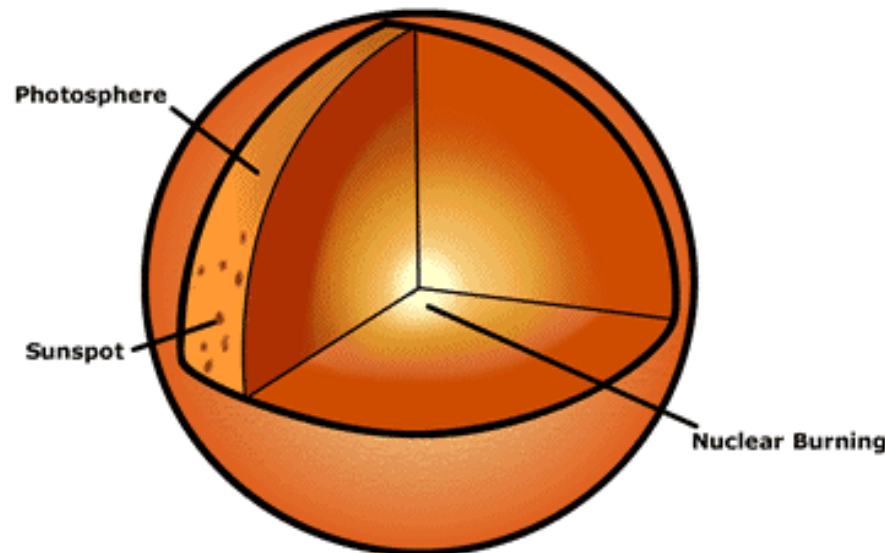
Is the SSM correct? Doubts but with help of Helioseismology and many checks had to be accepted.

New experiments: 1. K-SuperK, H<sub>2</sub>O,  $E_\nu > 6.5$  MeV

2. Gallex-Sage, Ga,  $E_\nu > 0.25$  MeV

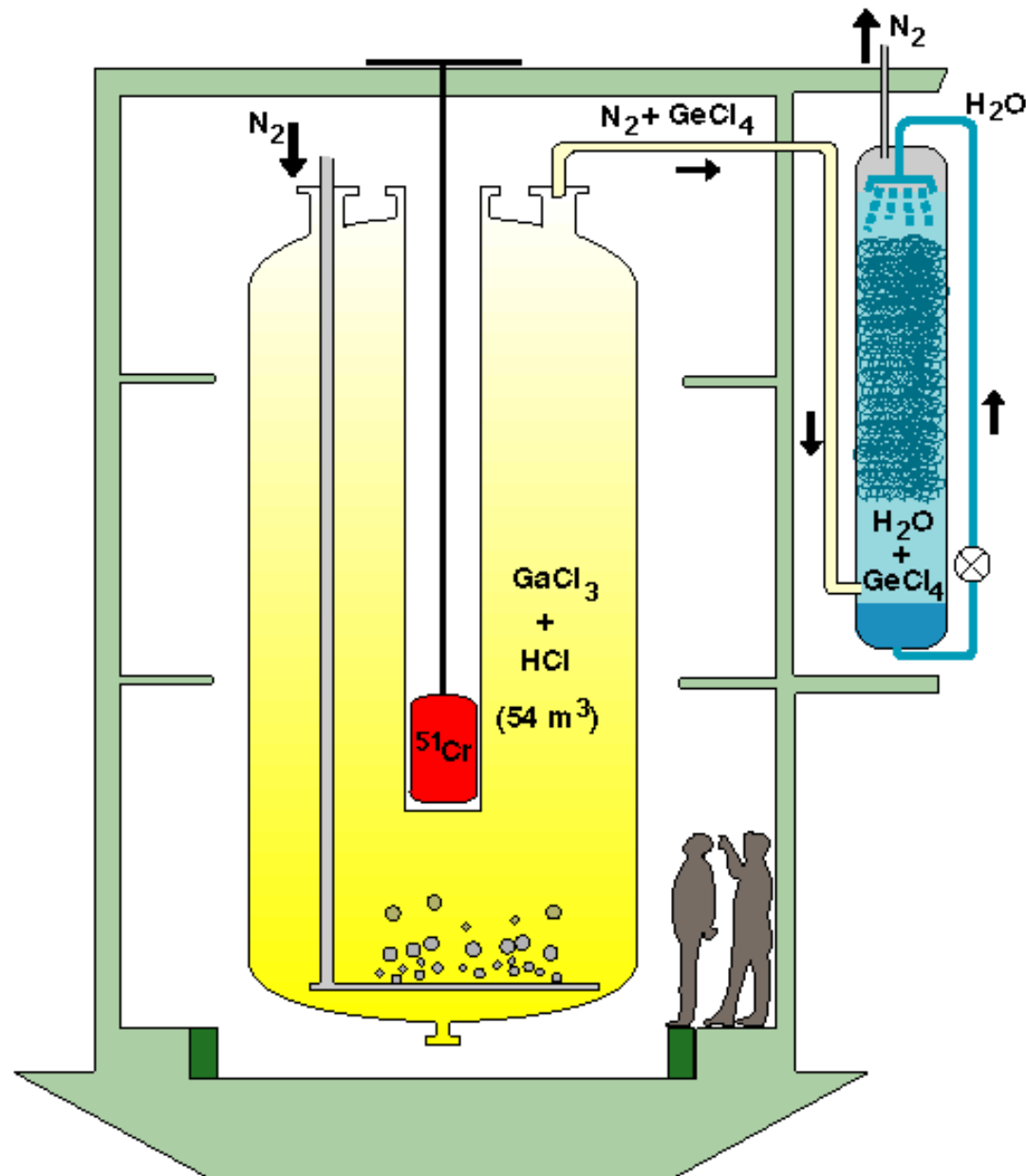
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$$v_{\text{sound}} \propto T^{1/2}$$
$$\phi_\nu(^7\text{Be}) \propto T^{10}$$



Source	Flux ( $10^{10} \text{ cm}^{-2} \text{ s}^{-1}$ )	Cl (SNU)	Ga (SNU)
pp	$5.94 \left( 1.00^{+0.01}_{-0.01} \right)$	0.0	69.6
pep	$1.39 \times 10^{-2} \left( 1.00^{+0.01}_{-0.01} \right)$	0.2	2.8
hep	$2.10 \times 10^{-7}$	0.0	0.0
$^7\text{Be}$	$4.80 \times 10^{-1} \left( 1.00^{+0.09}_{-0.09} \right)$	1.15	34.4
$^8\text{B}$	$5.15 \times 10^{-4} \left( 1.00^{+0.19}_{-0.14} \right)$	5.9	12.4
$^{13}\text{N}$	$6.05 \times 10^{-2} \left( 1.00^{+0.19}_{-0.13} \right)$	0.1	3.7
$^{15}\text{O}$	$5.32 \times 10^{-2} \left( 1.00^{+0.22}_{-0.15} \right)$	0.4	6.0
$^{17}\text{F}$	$6.33 \times 10^{-4} \left( 1.00^{+0.12}_{-0.11} \right)$	0.0	0.1
Total		$7.7^{+1.2}_{-1.0}$	$129^{+8}_{-6}$
Observe		$2.6 \pm .23$	$73 \pm 5$

# Gallium: $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$ : Sage and Gallex



# Are there any $\nu$ from the sun?

## Super-Kamiokande

A H<sub>2</sub>O Cerenkov detector. 41.4 m *h* × 39.3 m *dia*

50,000 tons of pure water

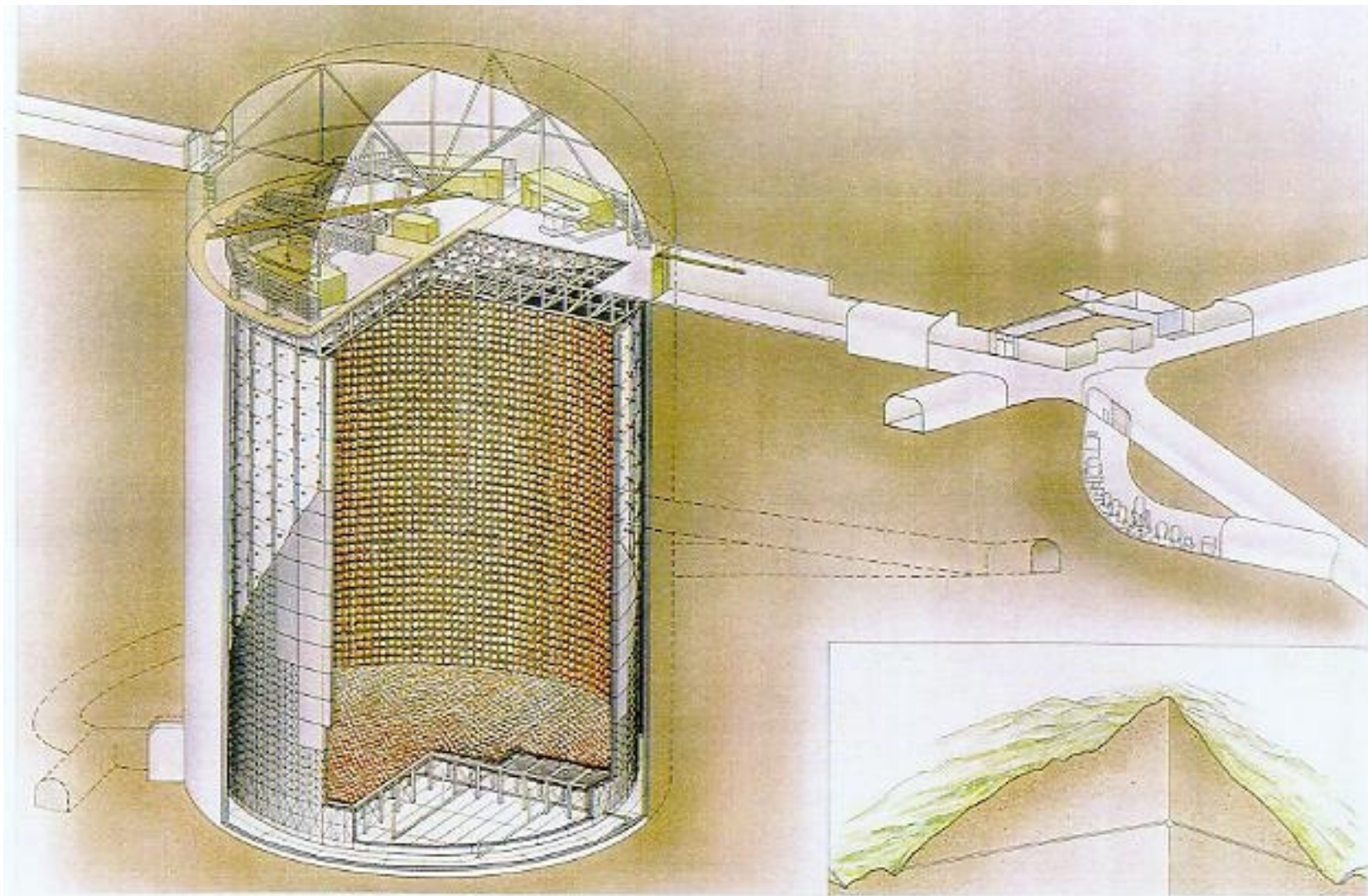
11,200 50 cm dia. PMTs, plus more, outer det.

$\nu$  events point to the sun ok

$E_{\max} \leq 15$  MeV ok

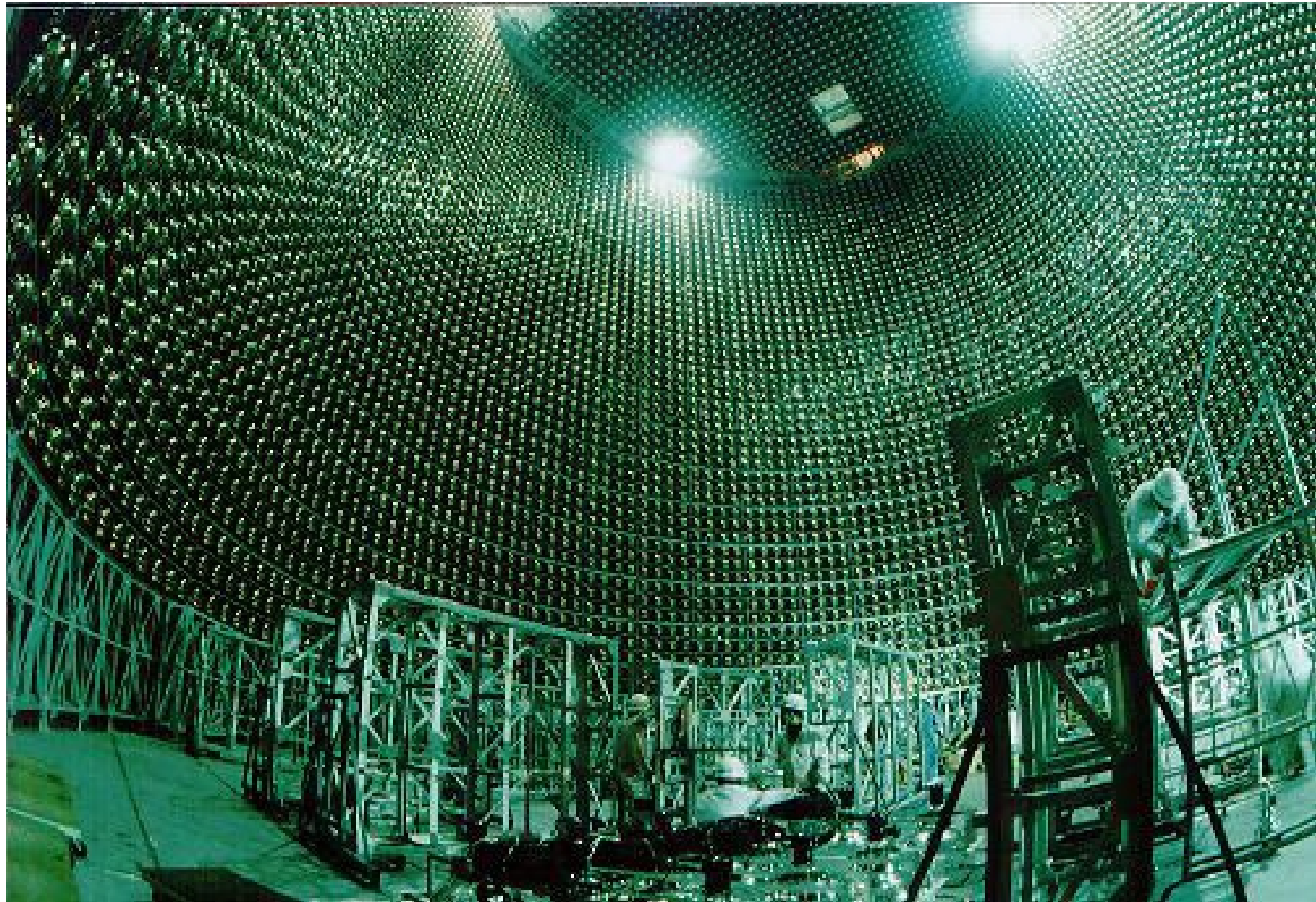
but

$$\frac{\text{SuperK } \nu}{\text{SSM}} = 0.44 \pm 0.03$$

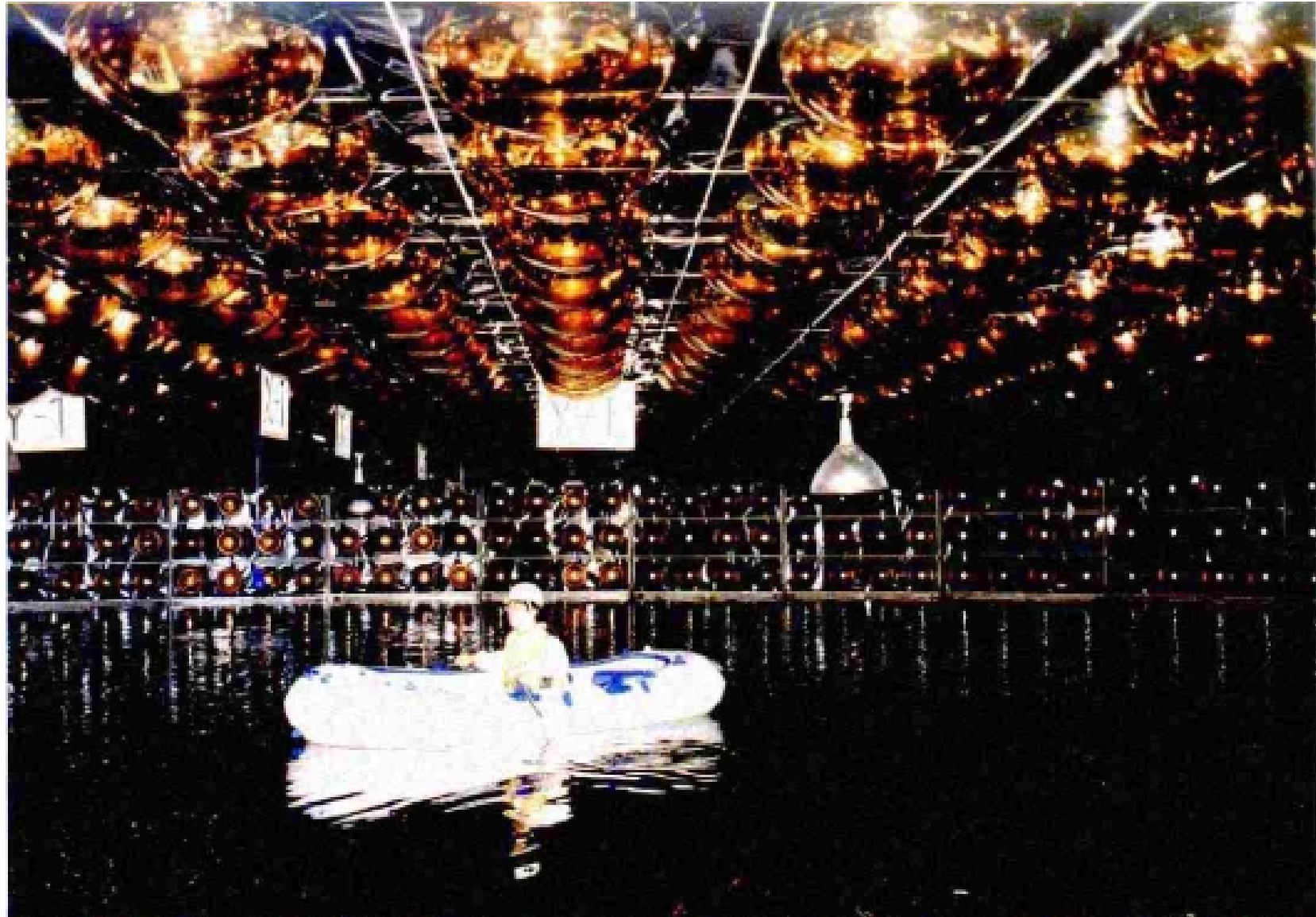


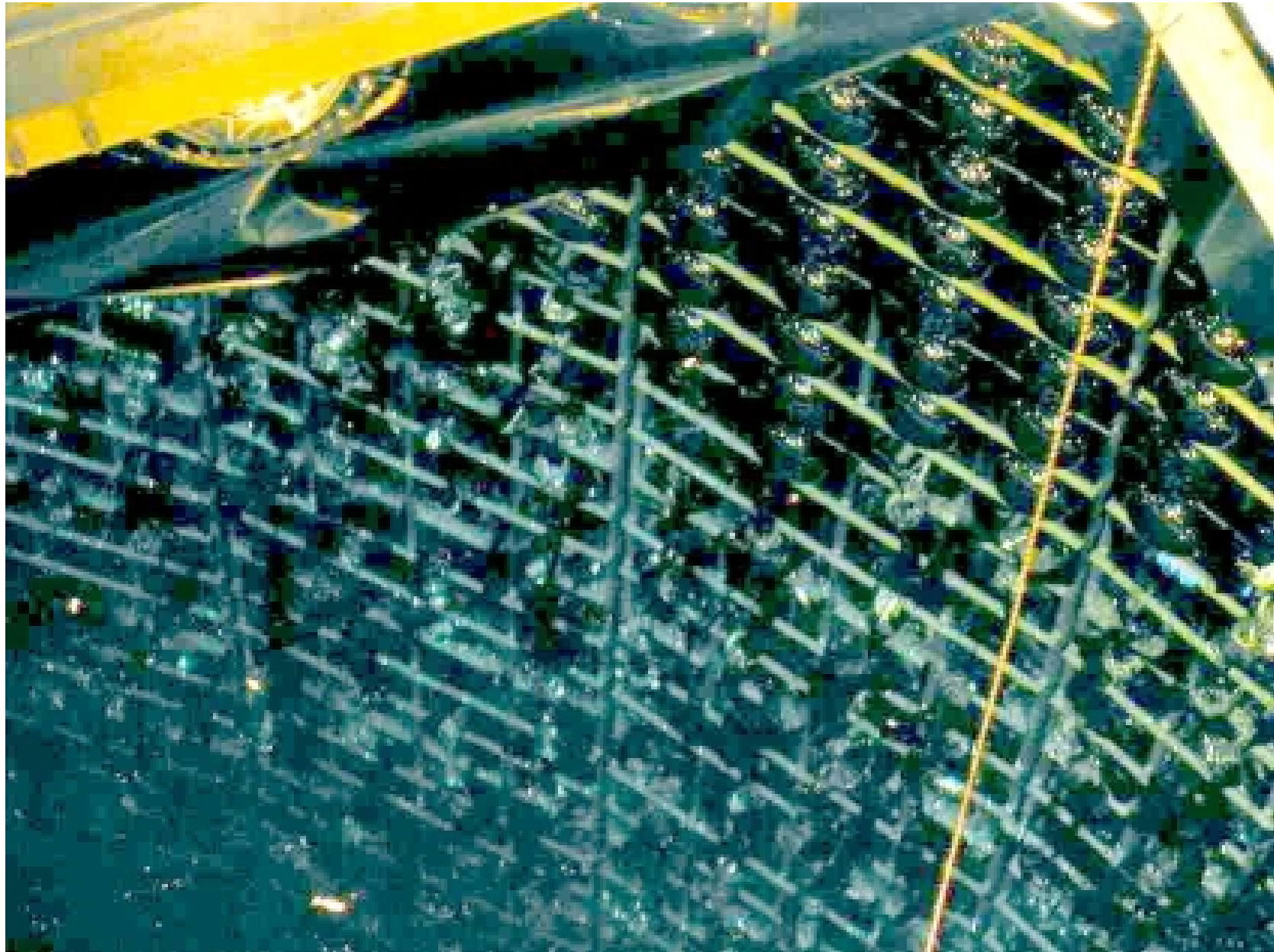
SUPERKAMIOKANDE INSTITUT FÜR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO

MICKEN SEKKE









# Neutrinos disappear

In the E-W SM, neutrinos have no mass and  $\nu_e \neq \nu_\mu \neq \nu_\tau$

Pontecorvo in '67 had speculated on what could happen if lepton flavor is not conserved and neutrinos have mass.

Mass eigenstates are distinct from flavor eigenstates, and connected by a unitary mixing matrix.

$$\mathbf{V}_f = \mathbf{U}\mathbf{V}_m \quad \mathbf{V}_m = \mathbf{U}^\dagger\mathbf{V}_f$$

where

$$\mathbf{V}_f = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \quad \mathbf{V}_m = \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

are the flavor and mass neutrino eigenstates.

Example. Two flavors,  $e, \mu$ ; two masses, 1, 2

$$\begin{aligned} |\nu_e\rangle &= \cos\theta|\nu_1\rangle + \sin\theta|\nu_2\rangle & |\nu_\mu\rangle &= -\sin\theta|\nu_1\rangle + \cos\theta|\nu_2\rangle \\ |\nu_1\rangle &= \cos\theta|\nu_e\rangle - \sin\theta|\nu_\mu\rangle & |\nu_2\rangle &= \sin\theta|\nu_e\rangle + \cos\theta|\nu_\mu\rangle. \end{aligned}$$

If at time  $t = 0$ ,  $\Psi(t) = |\nu_e\rangle$  the state evolves as:

$$\Psi_e(t) = \cos\theta|\nu_1\rangle e^{iE_1t} + \sin\theta|\nu_2\rangle e^{iE_2t}.$$

Substitute and project out the  $e, \mu$  amplitudes

$$A(\nu_e, t) = \cos^2\theta e^{iE_1t} + \sin^2\theta e^{iE_2t}$$

$$A(\nu_\mu, t) = -\cos\theta\sin\theta e^{iE_1t} + \cos\theta\sin\theta e^{iE_2t}$$

The intensities then are:

$$I(\nu_e, t) = \cos^4 \theta + \sin^4 \theta + 2 \cos^2 \theta \sin^2 \theta \cos |E_1 - E_2|t$$

$$I(\nu_\mu, t) = 2 \cos^2 \theta \sin^2 \theta (1 - \cos |E_1 - E_2|t).$$

more conveniently

$$I(\nu_e, t) = 1 - \sin^2 2\theta \sin^2 \left( \frac{E_1 - E_2}{2} t \right)$$

$$I(\nu_\mu, t) = \sin^2 2\theta \sin^2 \left( \frac{E_1 - E_2}{2} t \right).$$

or ( $\Delta E = \Delta m^2/2E$ ,  $t = l/c$ , using  $\hbar = c = 1$ )

$$I(\nu_e, t) = 1 - \sin^2 2\theta \sin^2 \left( \frac{1.27 \times \Delta m^2 \times l}{E} \right)$$

$$I(\nu_\mu, t) = \sin^2 2\theta \sin^2 \left( \frac{1.27 \times \Delta m^2 \times l}{E} \right).$$

with  $E$  in MeV (GeV),  $\Delta m^2$  in  $\text{eV}^2$  and  $l$  in meters (km).

$\nu_e$  oscillate from 1 to 0 and back and forth...

$\nu_\mu$  appear and then fade and so on...

That of course if

1. You are lucky
2. You are in control of the experiment

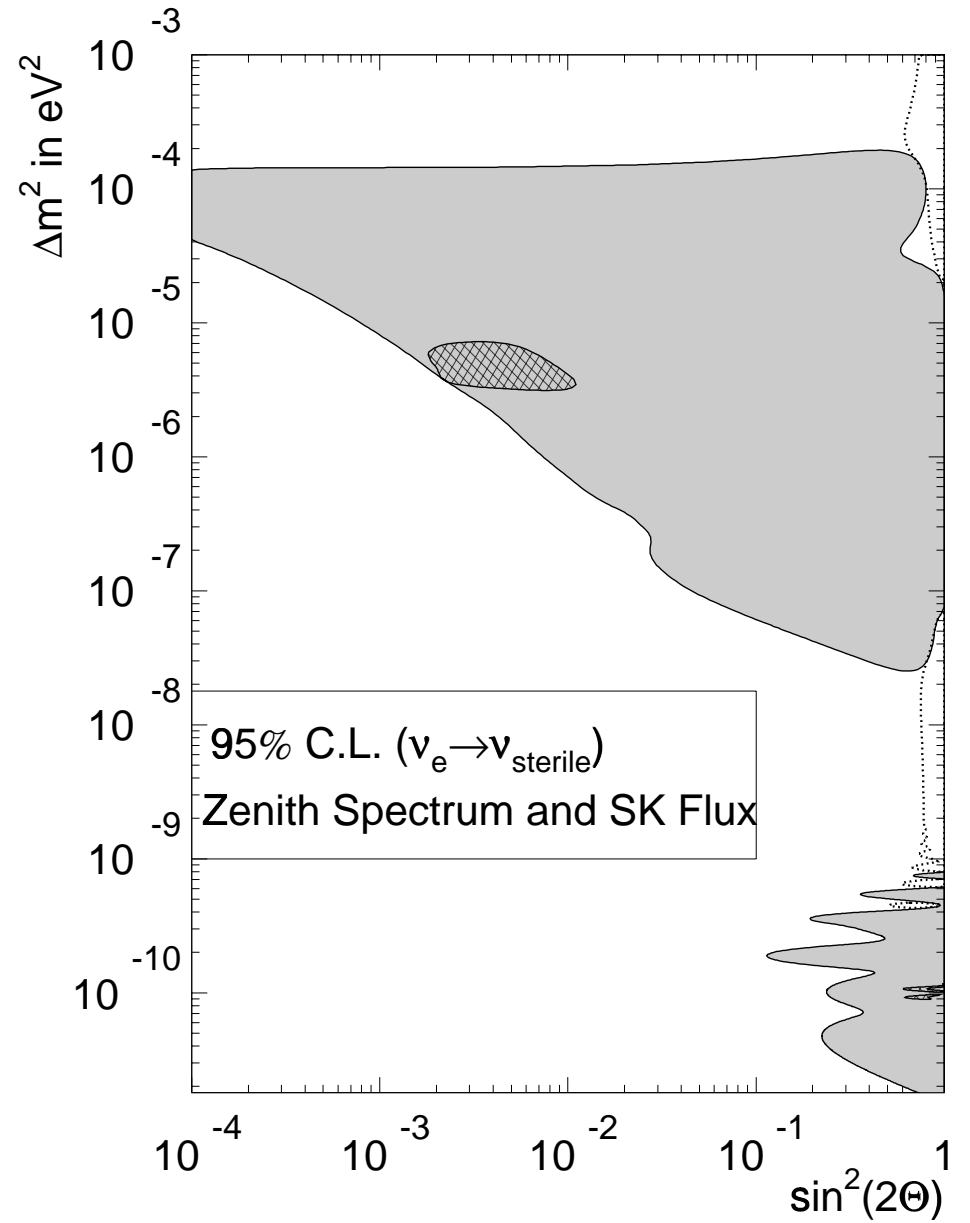
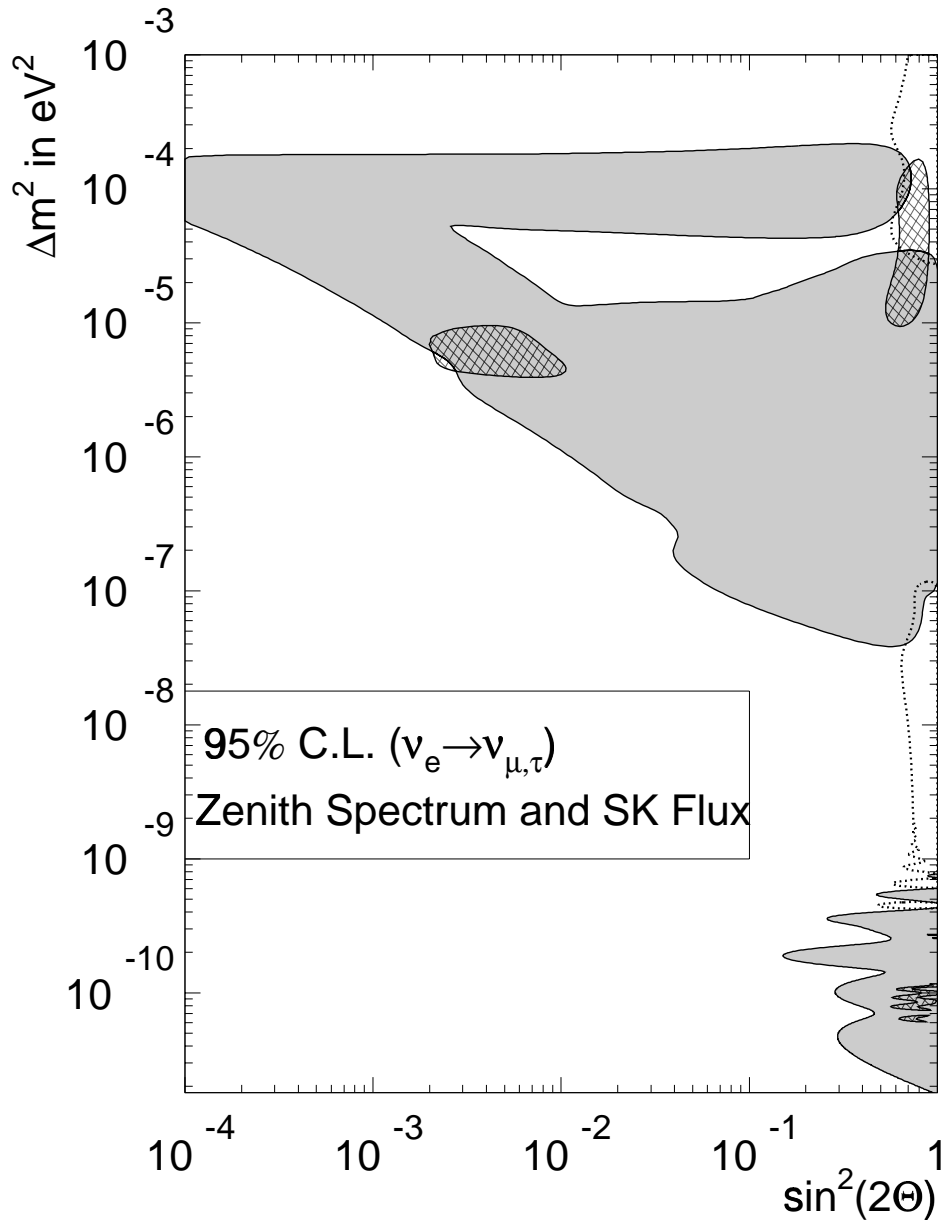
Typically, neutrinos have a continuous spectrum. Then in average some of the  $\nu_e$  disappear and just as many  $\nu_\mu$  appear. For just two species, the limit is 1/2 disappearance and 1/2 appearance.

With solar neutrinos,  $E < 15$  MeV, the muon (tau) neutrino are not positively observable because  $\nu_\mu + X \rightarrow \mu + X'$  is energetically impossible.

1. This is encouraging but not quite enough...
2. No oscillation has ever been seen, except...
3. The missing neutrinos can be detected by scattering
4. There is more: atmospheric  $\nu$ 's

But, before that, what does one get from solar  $\nu$ 's?

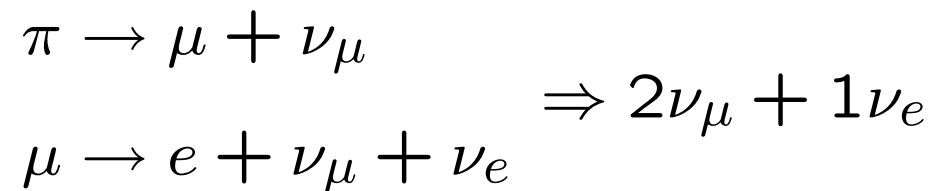
In fact many solutions, must include oscillations in matter (MSW).  
Wave length changes in matter.





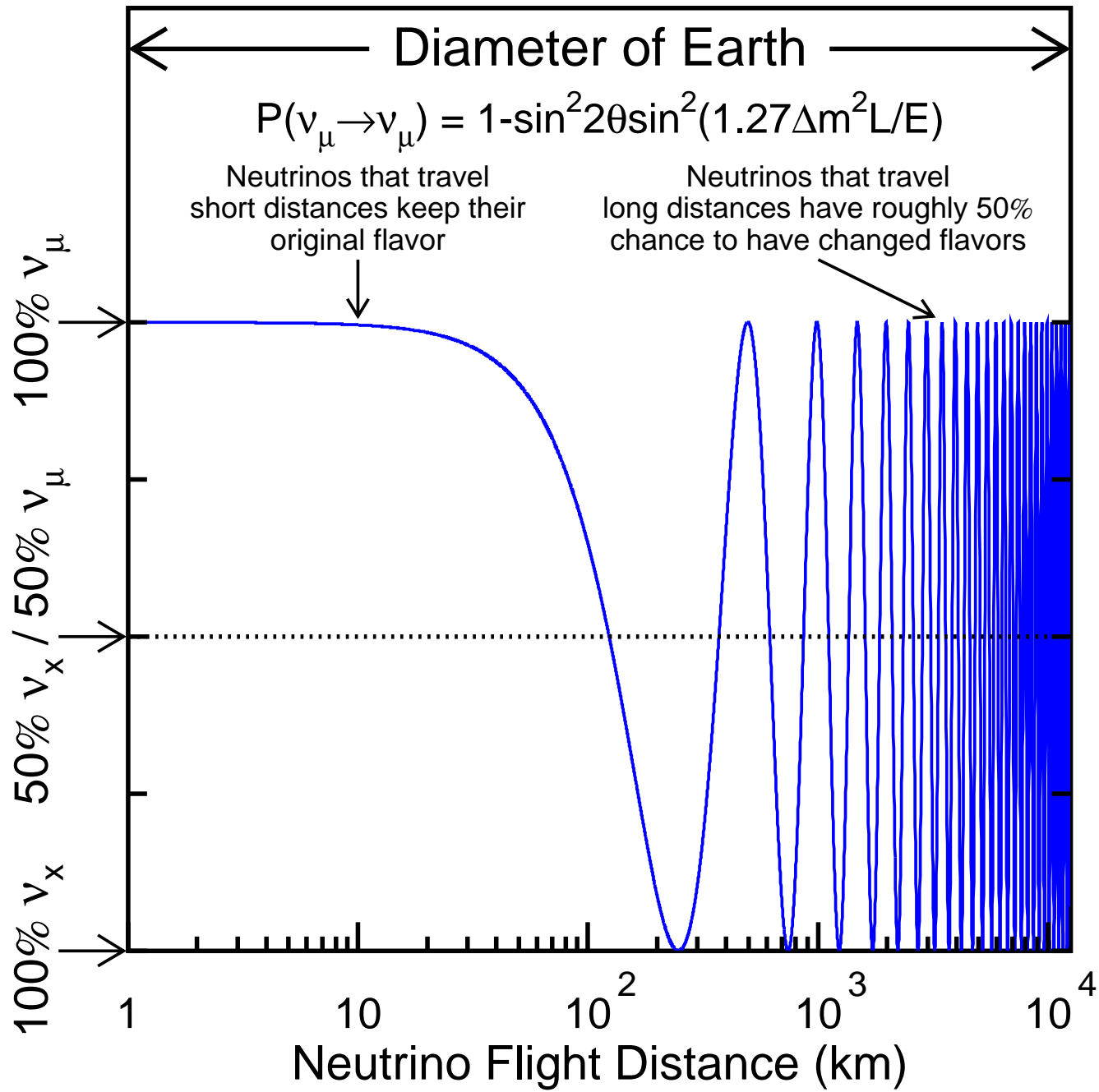
## Atmospheric neutrinos

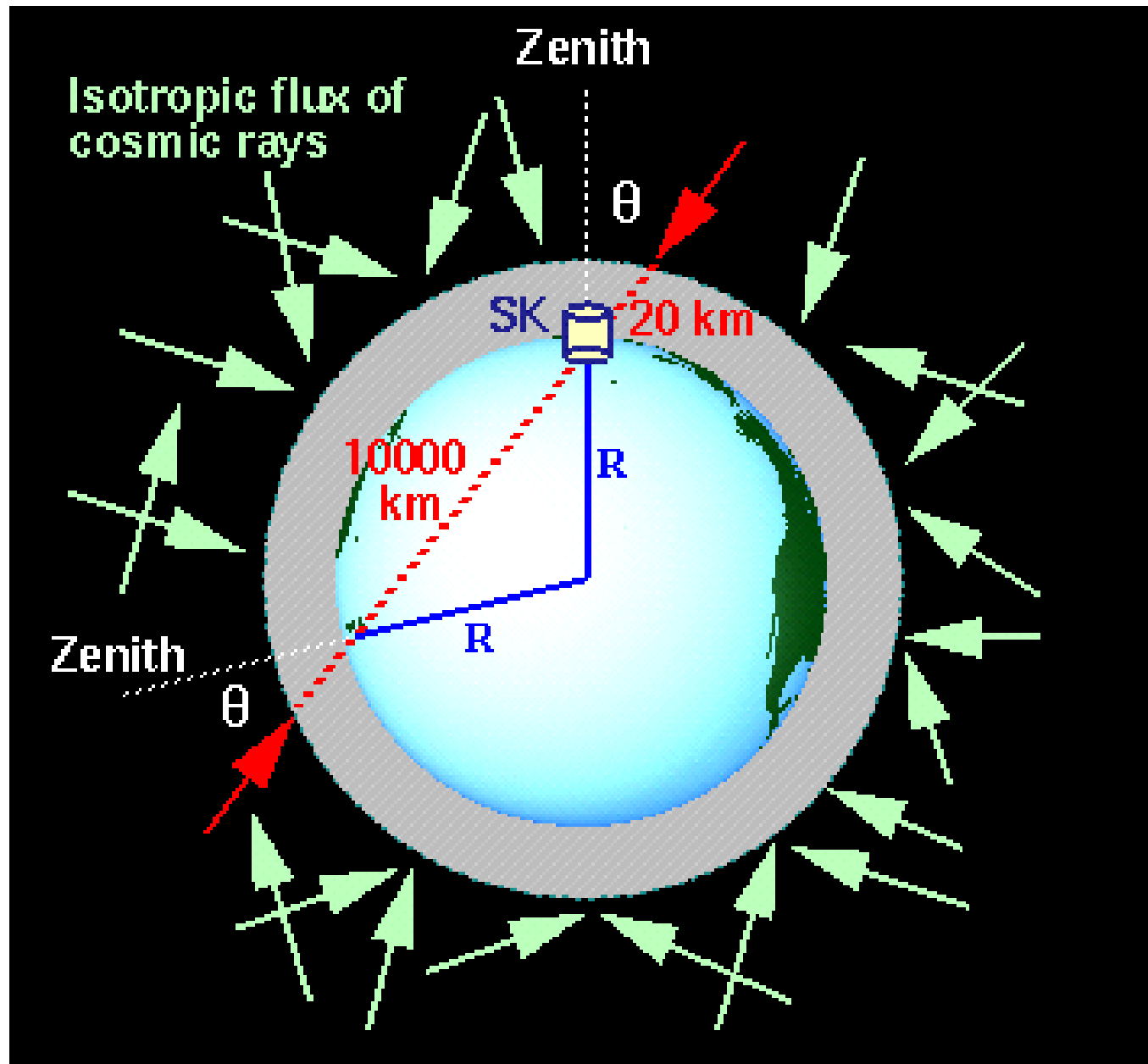
IMB, Kamiokande and **SuperK** find that high energy  $\nu_\mu$  are not twice  $\nu_e$ . High energy  $\nu$ 's come from cosmic rays as:

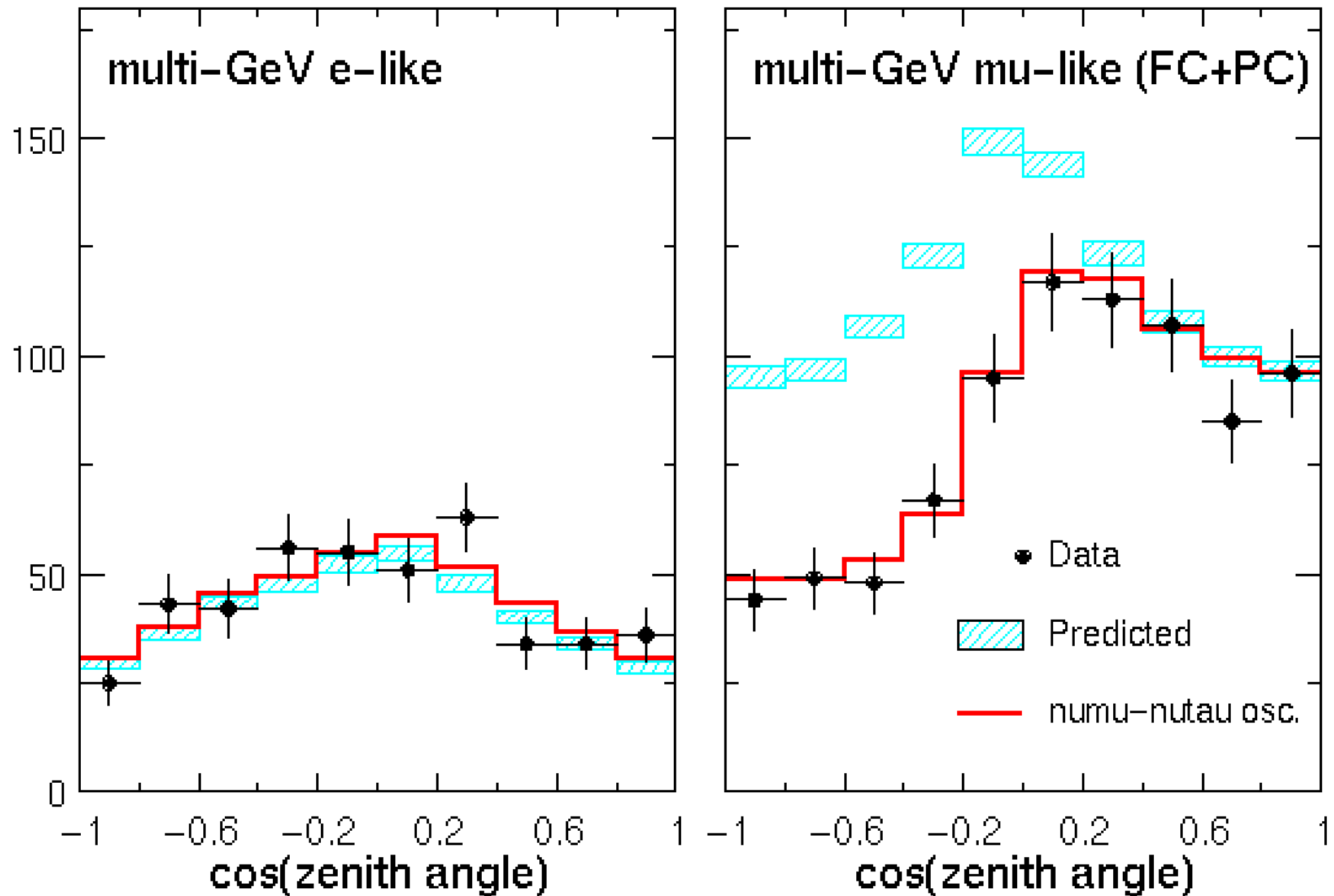


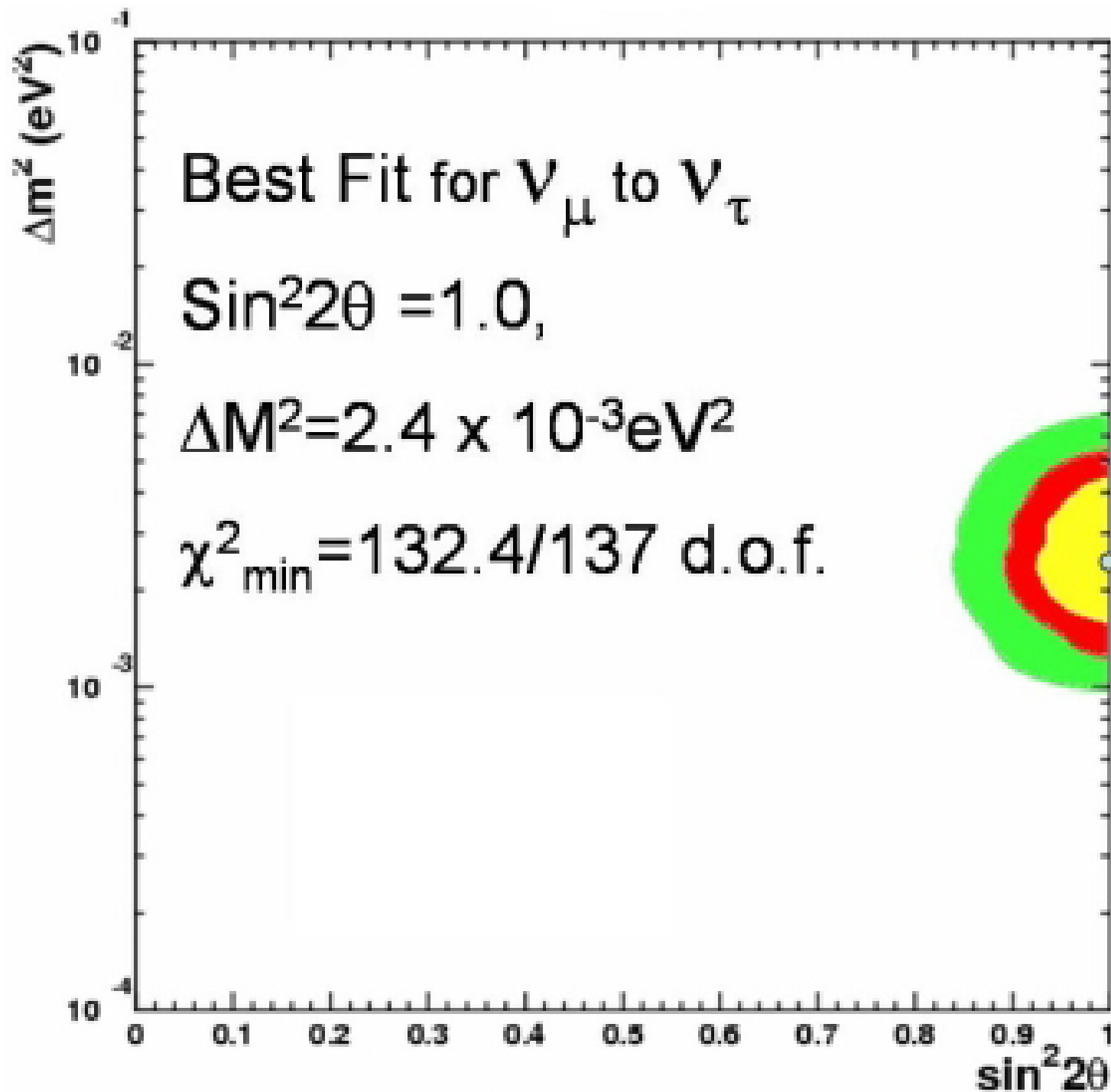
Super-K gives  $(\nu_\mu/\nu_e)_{\text{obs.}}/(\nu_\mu/\nu_e)_{\text{SSM}}=0.63$ . Striking for high  $E$ , upward  $\nu$ 's. Also seen in Macro at Gran Sasso.

The only hint for oscillation



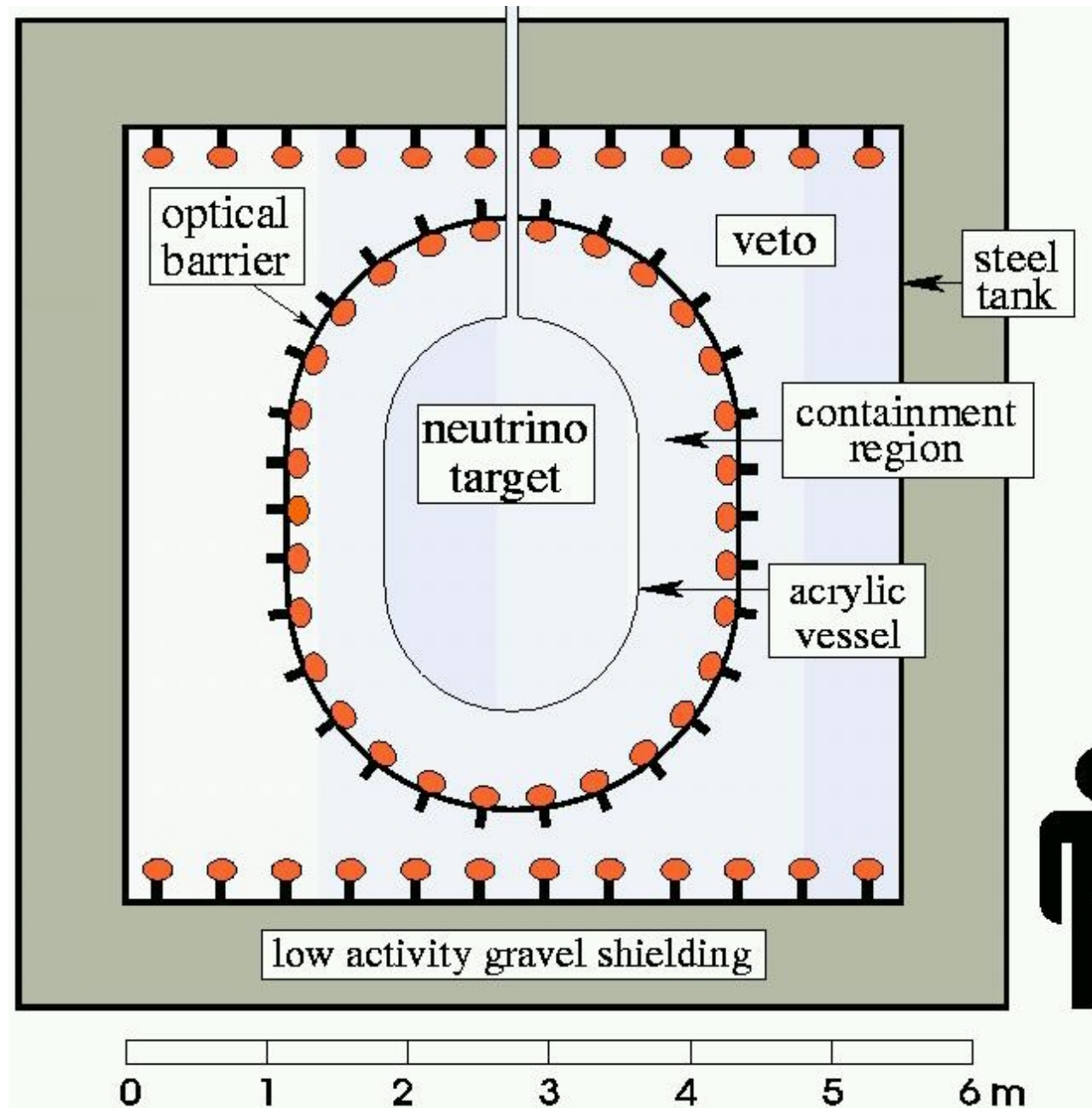


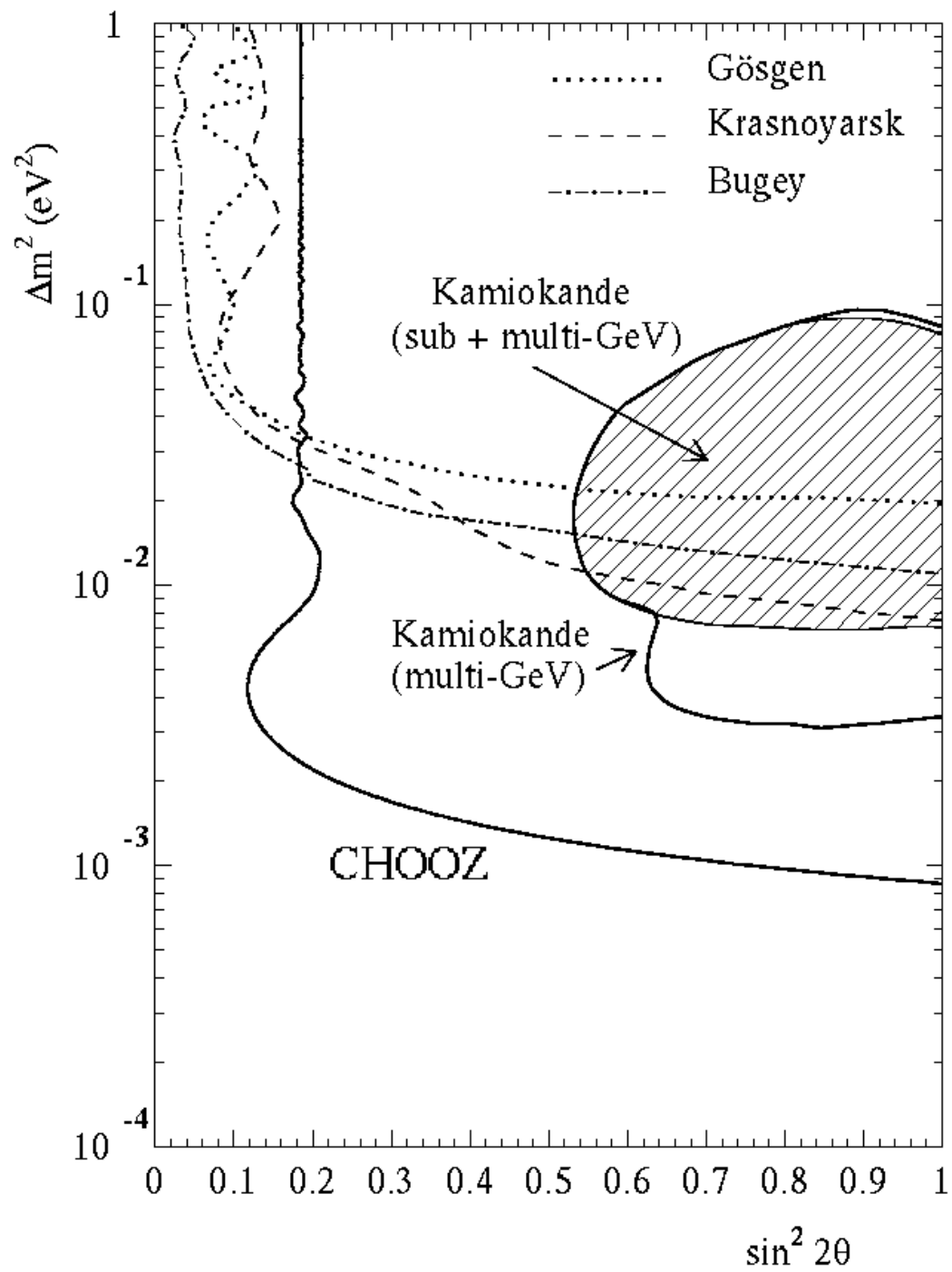




# Reactor experiments. Example: Chooz

1 km to reactor  
300 ℓ liq. scint.  
Lots of  $\bar{\nu}$ 's

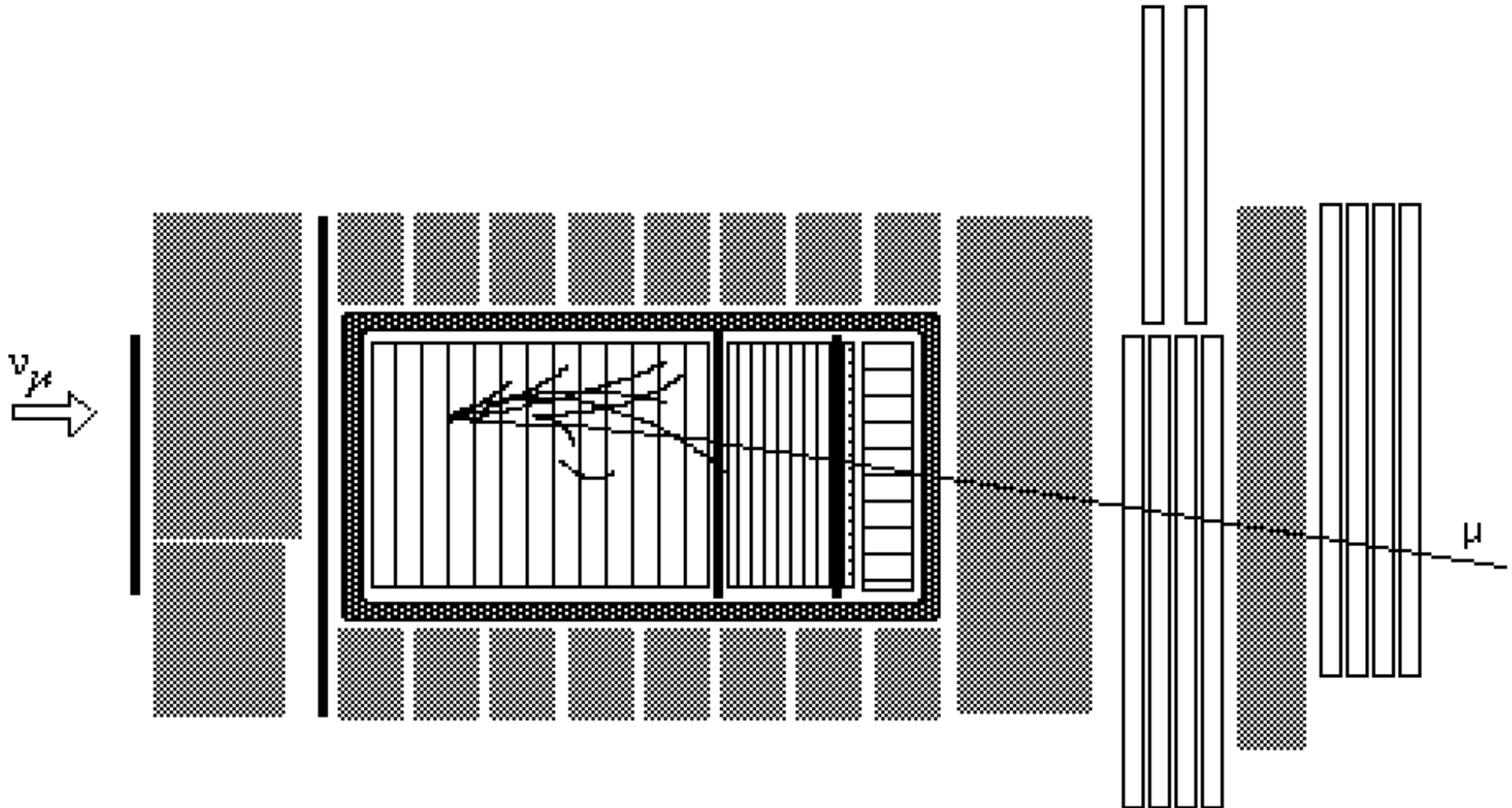




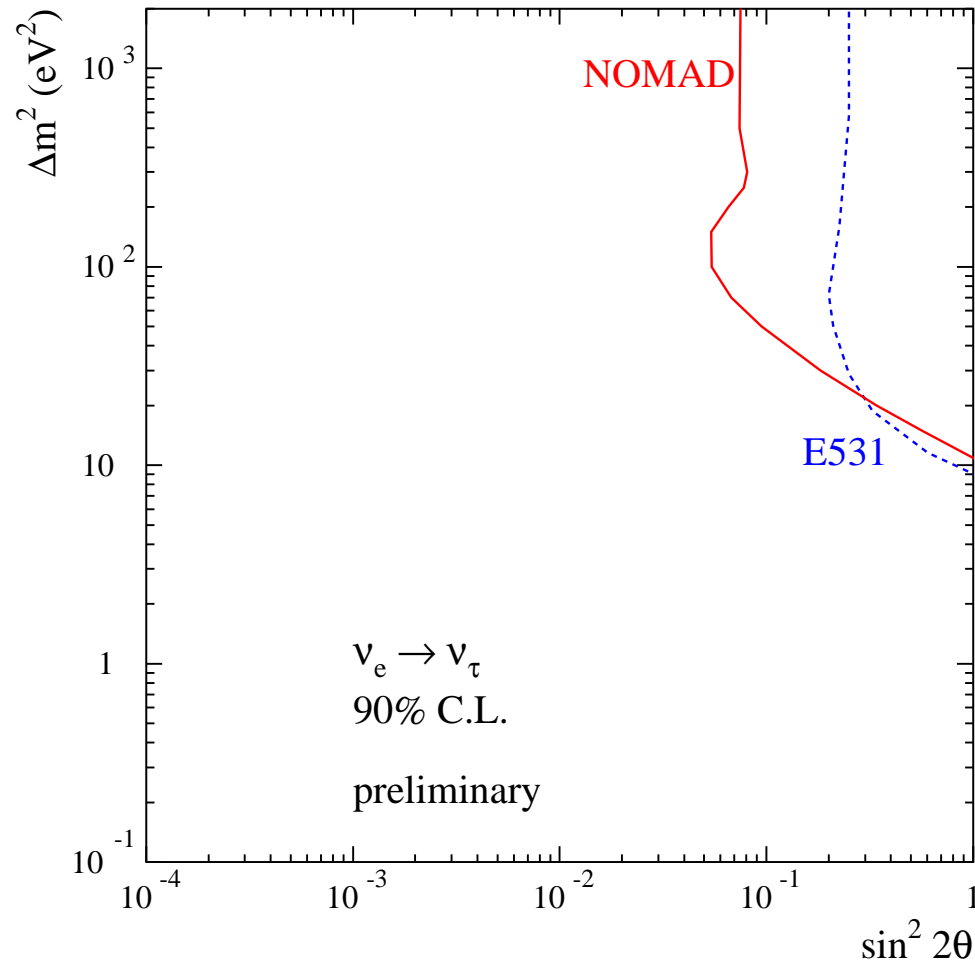
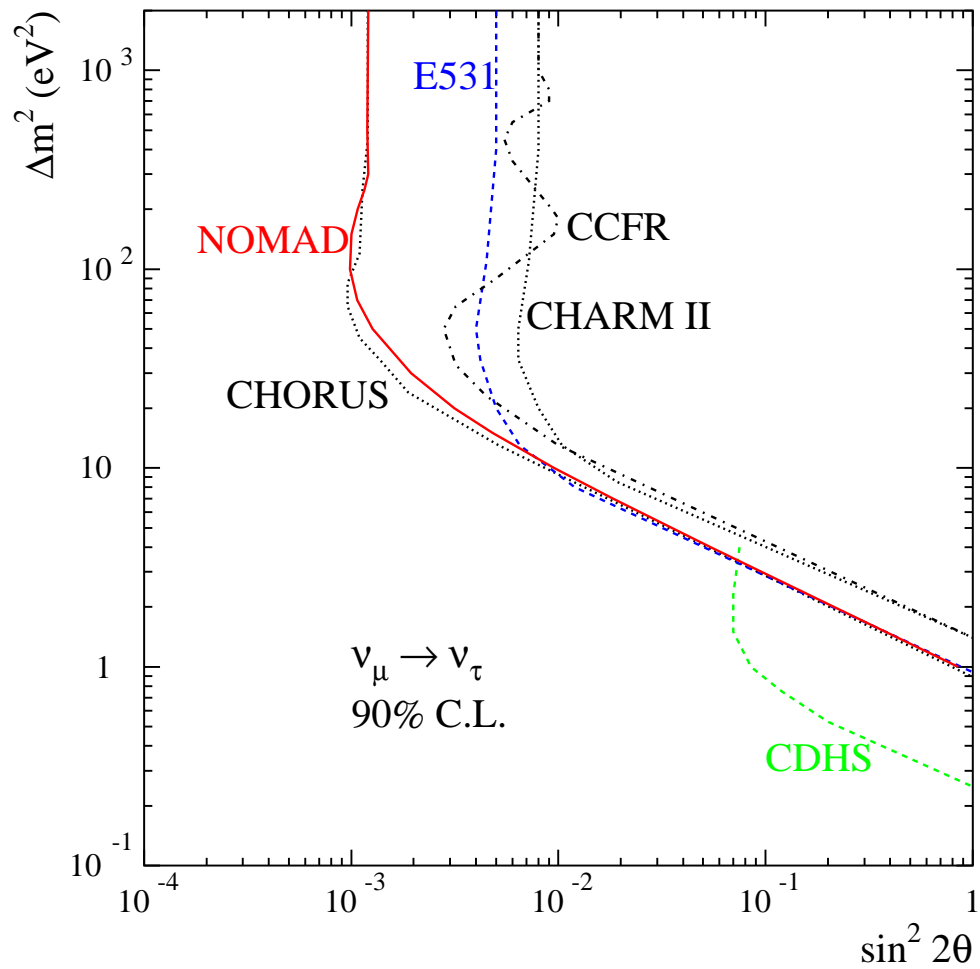
# Conventional high energy $\nu$ beams

Recent example.

Nomad, closed. 450 GeV  $p$  produce  $10^{13}$   $\nu_{\mu}$  every 13 s



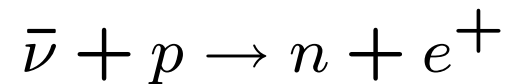
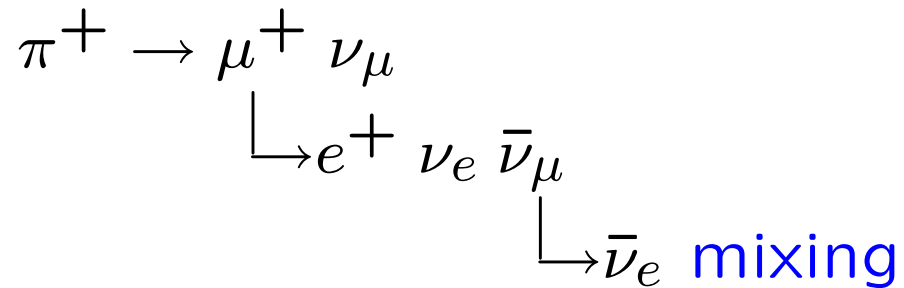




$$\Delta m^2 \lesssim 1 \text{ eV}^2$$

# LSND - Karmen

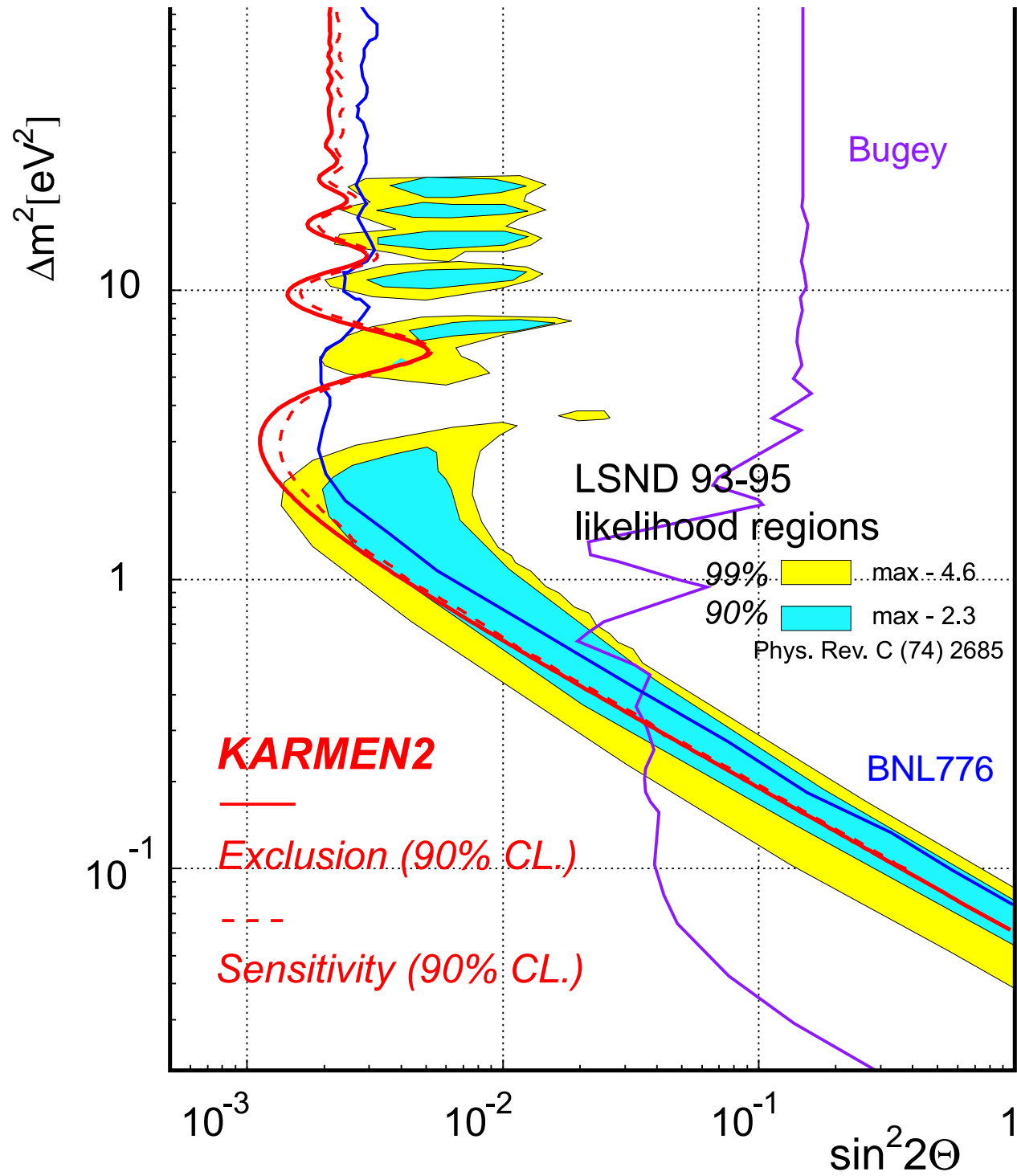
The only appearance experiments



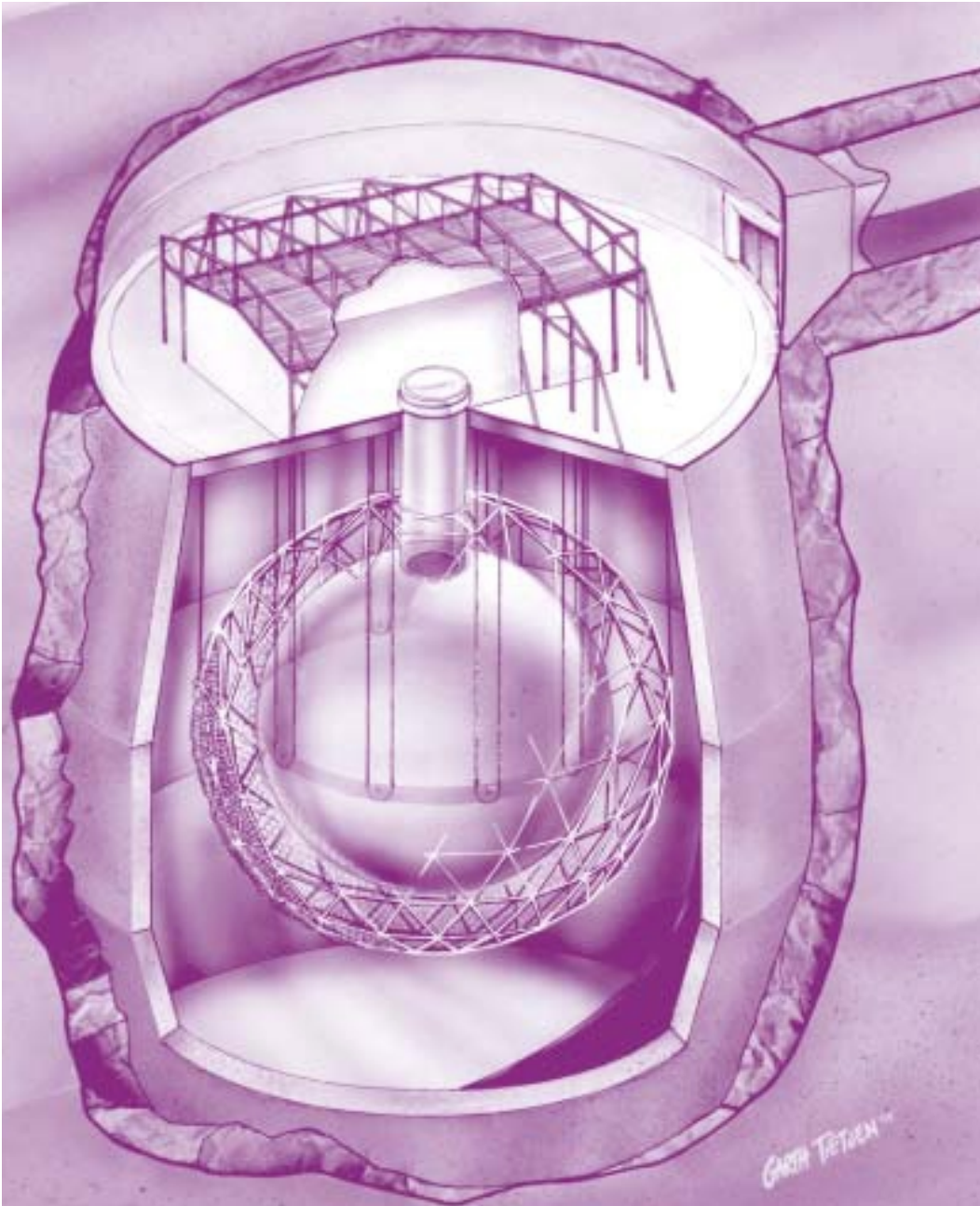
Prompt  $e$  and delayed  $n$  ( $n + p \rightarrow d + \gamma$ )

**LSND**  $51 \pm 20 \pm 8$  events

**Karmen** No signal, lower sensitivity



# SNO. A new kind of detector



D<sub>2</sub>O Cerenkov

1000 ton heavy water inside

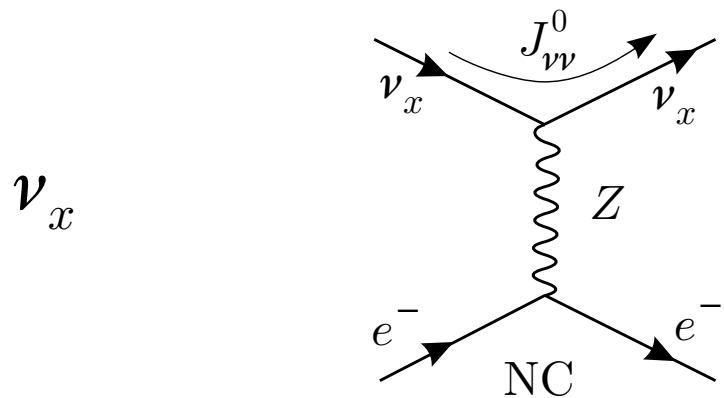
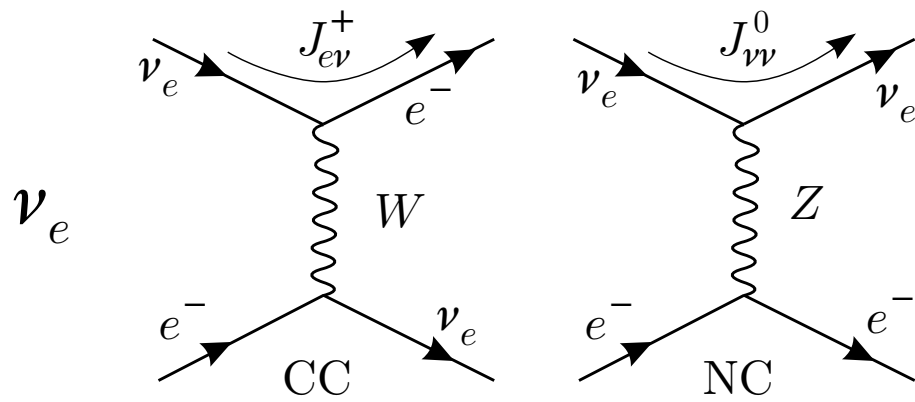
7000 ton water

Reactions:

$\nu e \rightarrow \nu e$ , El. scatt, ES

$\nu_e d \rightarrow p p e^-$ , CC

$\nu d \rightarrow p n \nu$ , NC



$\sigma(\nu_e e \rightarrow \nu_e e) \sim 6.5 \times \sigma(\nu_x e \rightarrow \nu_x e)$   
 $\sigma(\nu_e d \rightarrow p p e) \sim 10 \times \sigma(\nu_e e \rightarrow \nu_e e)$   
 From measurements of CC and ES  
 can find flux of  $\nu_e$  and  $\nu_x$  from sun  
 to earth.

$$\phi_{\text{SNO}}^{\text{ES}}(\nu_x) = 2.39 \pm 0.34 \pm 0.15 \times 10^6 \text{ /cm}^2/\text{s}$$

$$\phi_{\text{SNO}}^{\text{CC}}(\nu_e) = 1.75 \pm 0.07 \pm 0.11 \pm 0.05 \times 10^6 \text{ /cm}^2/\text{s}$$

$$\Delta\phi = 1.6 \sigma$$

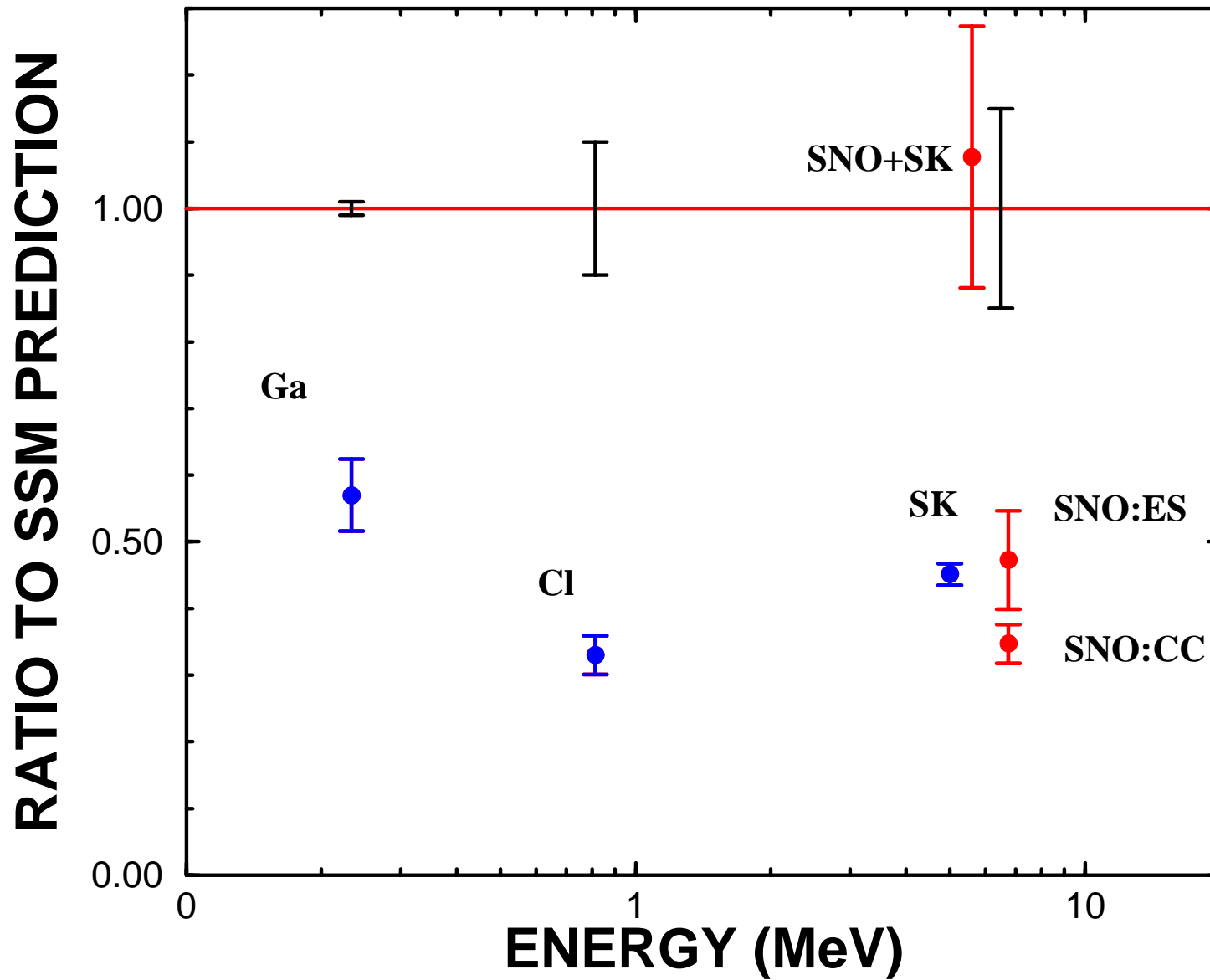
Therefore use SuperK result:

$$\phi_{\text{SK}}^{\text{ES}}(\nu_x) = 2.32 \pm 0.03 \pm 0.1.08 \times 10^6 \text{ /cm}^2/\text{s}$$

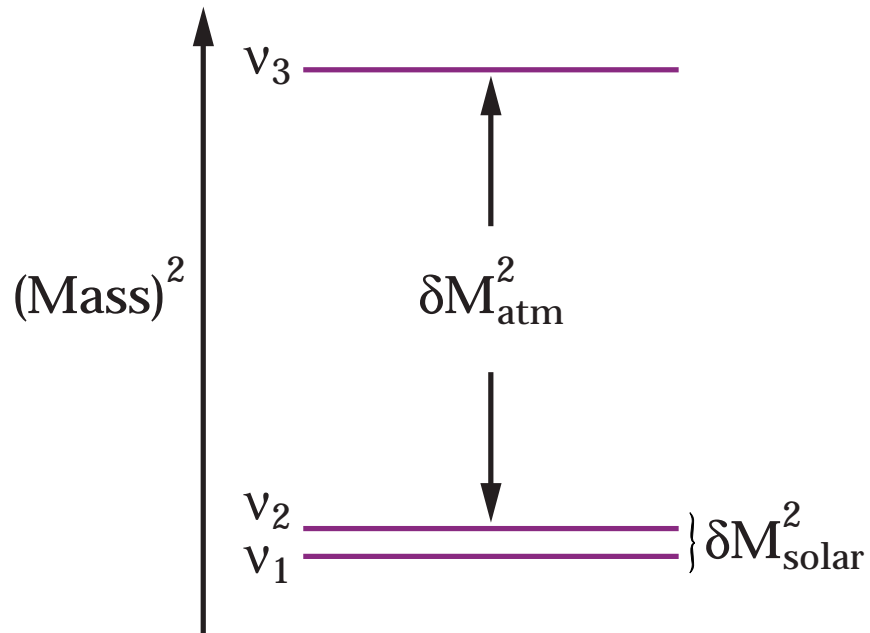
$$\Delta\phi = 3.3 \sigma$$

ES data contain all  $\nu$ 's ( $\nu_e$  favored by 6.5 to 1) while CC data only due to  $\nu_e$ . The difference is therefore evidence for non- $e$  neutrinos from the sun.

SNO, LP01, summer 2001: found missing solar  $\nu$ 's



# Neutrinos have mass



Consistent for solar,  
atmospheric, reactor data  
LSND requires a fourth,  
sterile neutrino



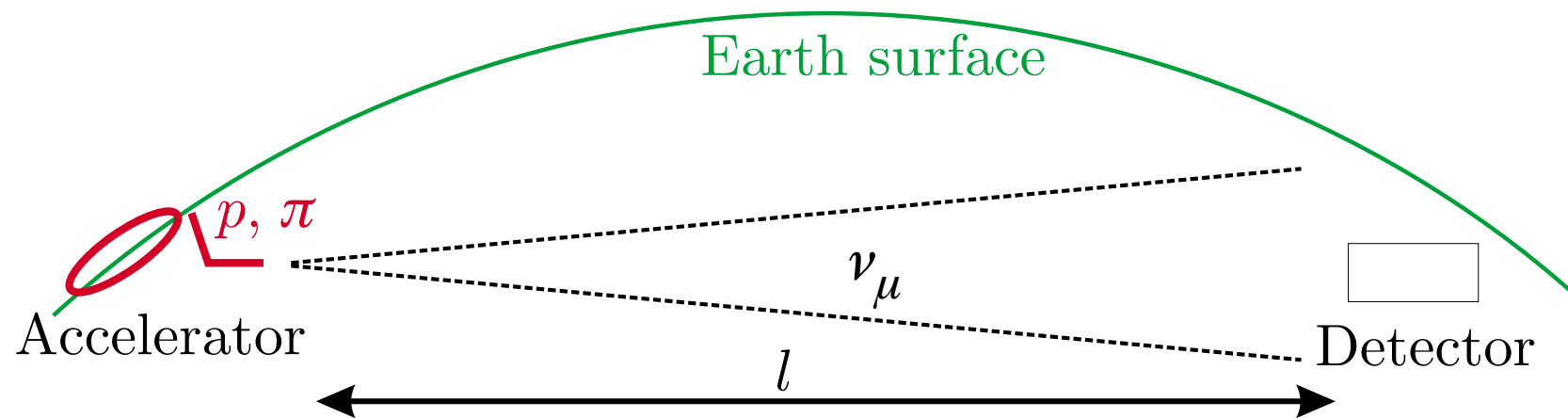
Most of the detector that led to the above results were not originally meant for measuring neutrino masses.

Reactor Experiments	Verify $\nu$ existence
Underground Experiments	Sun dynamics Proton decay
Accelerator experiments	Verify $\nu$ existence <sup>†</sup> Hadron structure E-W parameters

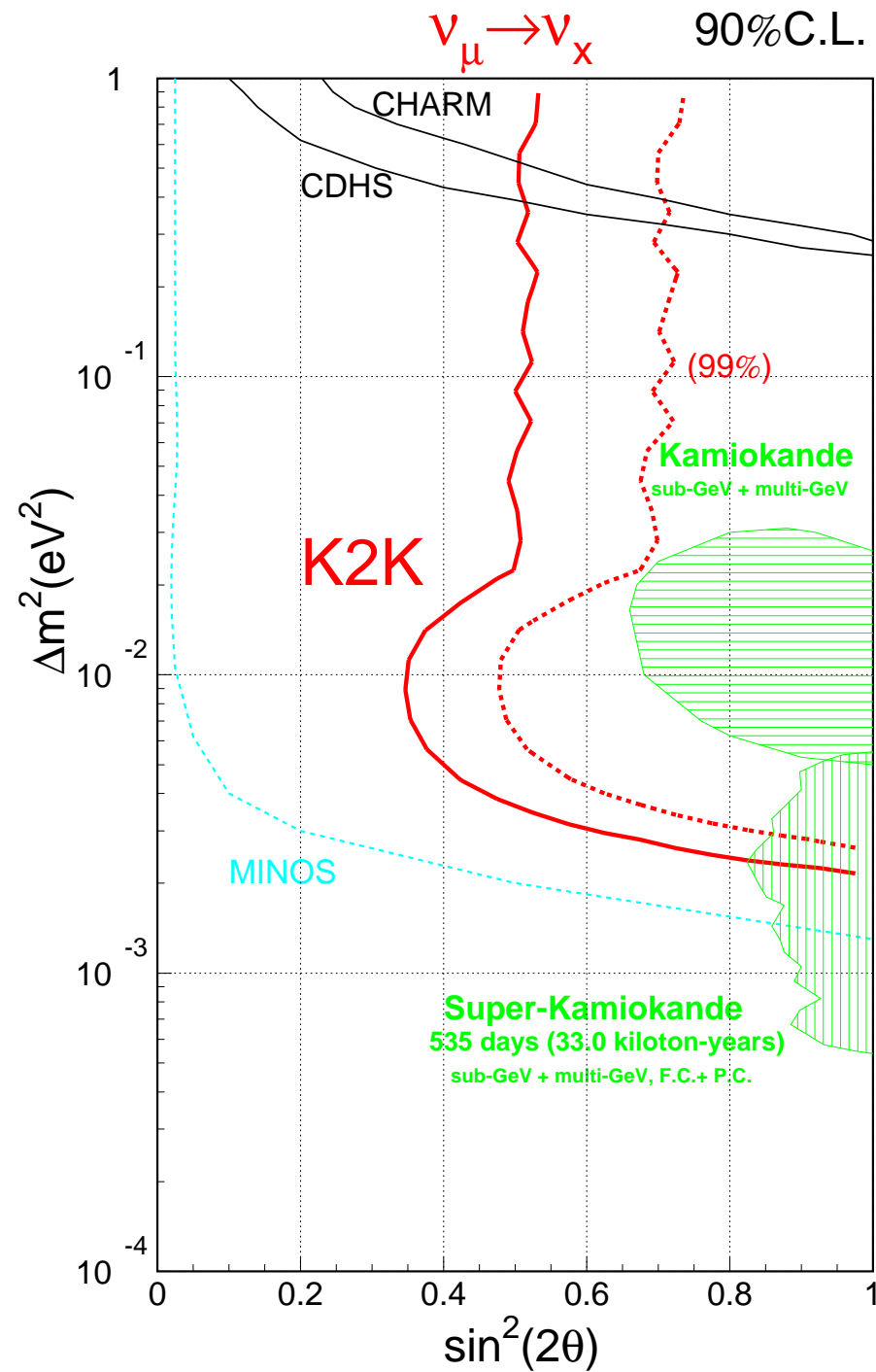
The results were surprising. What is still mostly missing are clear observations of oscillation and appearance of different flavors.

<sup>†</sup>Donut has reported observation of 4  $\nu_\tau \rightarrow \tau$  events.

The future will be dominated by the so-called long baseline experiments. If  $\Delta m$  is small one needs large  $l$ .



KEK has been sending  $\nu$ 's to SuperK, 250 km away. for a year and events have been observed.



Two new projects are underway. MINOS in the USA,  $l=730$  km to the Soudan Mine site. CERN-Gran Sasso, with  $l=732$ . Ultimately one would like to see the appearance of  $\nu_\tau$ .

MiniBooNE will begin data taking this year to confirm or otherwise the LSND claim, which seem to need a fourth neutrino.

More sensitive reactor experiments are on the way.

A real time experiment in Gran Sasso will measure the  ${}^7\text{Be}$  flux in real time by  $\nu - e$  elastic scattering.

There will also be experiments under water: Nestor, Baikal, Dumand. And also under ice, Amanda and over, RAND.

We will know more, but not very soon.

In the meantime we have to change the SM and possibly understand the origin of fermion masses.

Neutrinos have added a new huge span to the values covered.

Theories are around but which is the right way?

