Anomalous signals due to cosmic rays in the Nautilus Gravitational Wave Detector

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- The Nautilus 100 mK resonant bar gravitational wave detector in Frascati
- Cosmic rays and the thermo-acoustical model of interactions of cosmic rays with a bar detector.
- The "expected" low amplitude signals : the results of the October 1998 January 1999 data: (Ph.Rev.Lett. 84 Jan 2000).
- The "unexpected" high amplitude signals: (Ph.Lett. B 499 Jan 2001)
- Conclusions

Gravitational Wave Sources

- Gravitational waves are predicted from the theory of general relativity: needed acceleration of big masses with at least a quadrupole asymmetry.
- Small signals. No possibilities to produce gravitational waves in laboratory.
- Astrophysical sources (stellar collapse, coalescent binary systems, black holes....) Indirect evidence (1993 Taylor-Hulse Nobel prize PSR 1913 +13)
- The sensitivity is generally measured as perturbation h_{ik} of the metric tensor g_{ik} for very short signals and for ratio signal/noise=1
- expected h= 3 x 10⁻¹⁸ for a stellar collapse in the center of the galaxy and 1% of the energy in gravitational waves

• running bar detectors: Antenna hmin

Explorer (CERN)3x10-19Allegro (USA)6x10-19Niobe (Australia)6x10-19NAUTILUS (Frascati)4x10-19AURIGA(Legnaro)4x10-19

• The bar detectors in operation are sensitive to **galactic** supernovae only, rate $\approx 1 \text{ ev}/30$ years

The Nautilus Gravitational Wave bar Detector

- AI 5056 cylindrical bar 2300 Kg (3.0 m and 0.6 m diameter) cooled to a temperature of 100 mK and equipped with a resonant capacitive transducer and a DC SQUID amplifier (see Astrop .Physics 7 231 (1997))
- Central section: two aluminium alloy shields cooled by helium gas, stainless liquid helium reservoir (2000 I), 3 copper rings, 3He 4He diluition refrigerator
- Mechanical isolation: shields are suspended one from the other forming a cascade of low pass mechanical filters; bar final suspension : U-shaped copper cable. 260 db at the bar resonant frequency (~900 Hz)
- First run 1994: several improvements done in 1997-1998 to reduce the mechanical noise.
 =>>Nautilus 2 (started June 1998)
- Similar detector in Legnaro (Italy) Auriga

Nautilus Front View



Nautilus Side View





Nautilus Readout

 Capacitive transducer resonating at the antenna frequency. Gap: 49 micron (Explorer 10 micron).

Voltage \approx 300 Volt. Mode splitting:

$$\Delta f = f_a \sqrt{\mu}$$

where μ is the ratio between the effective masses of transducer disk and the bar.

$$f_a = 915.8Hz$$

 $f_- = 906.96$
 $f_+ = 922.46$

• Superconductive transformer to match the impedance transducer

$$f_{electric} = 1780 Hz$$

 Gain monitored by means of a known injected flux

$$f_{calibration} = 916.15$$

Nautilus Readout



FIG. 6 – Schematic of the electronics of the experimental apparatus. The vibrations of the bar are converted into electrical signals by a resonant capacitive transducer and applied to the SQUID amplifier by a superconducting transformer. The output signals from the SQUID instrumentation contain information on the vibrational state of the antenna and can be properly processed.

Calibration methods

- a second capacitive transducer mounted at the opposite end of the bar
- piezoelectric ceramic glued on the bar near the central section

Nautilus Signal Acquisition And Filtering

- The signal is read using an ADC sampled at 220 Hz and 5KHz from Feb 2000. Using aliasing is possible to study the signal in the 900 Hz region.
- We use mainly the "adaptive matched filter" (P Astone et al. Nuovo Cim 20 C 1997) to extract a delta-like signal from the noise
- The optimum filter parameters are computed from the noise distribution in a time interval ± 1 h.
- GPS and Radio clocks used for timing
- The are also **lock-ins** to extract directly the Fourier components at the mode frequencies. The readout is every 0.29 sec.

Nautilus 2(June 1998)

- Modifications to the mechanical structure and to the final thermal connections.
 "spaghetti" Cu connections instead of soft multi-wire copper braids, solution already used from the Auriga group in Legnaro (Italy)
- Remarkable improvements on the stability of the detector. Residual periodical jumps due mainly to the periodical filling of a chamber with He



Nautilus Spectral Amplitude Sensitivity



Figure 1: NAUTILUS experimental spectral amplitude sensitivity at 0.1 K.

Gravitational wave stochastic background limits Phys Lett B 385 (1996).

Limits based on the cross correlation with Explorer.

Nautilus Brownian noise measurement



FIG. 9 – Measurement of the Brownian noise: a) history and b) distribution of the vibration energy of the + mode in a three hours period. The average energy is in agreement with the thermodynamic temperature of the bar.

Nautilus noise measurement

Note the units: meters!!!!! ... (distance between the two faces)

Filter optimized for very short signals



Fig.1 The noise distribution after optimum filtering. The continuos line is a gaussian fit. $T_{eff} = 2.4$ mK for h=4 10⁻¹⁹

Cosmic ray in the bar: Thermo Acoustical Conversion

 under the hypothesis that all the *deposited energy*, is converted in a *local* heating of the medium:



$$\delta T = \frac{\delta E}{\rho C V_0}$$

$$\delta p = \gamma \frac{\delta E}{V_0} \qquad \gamma = \frac{\alpha Y}{\rho C}$$

γ is the Gruneisen "constant"

Y =Young module, C= specific heat, α thermal expansion coefficient

Cosmic ray in the bar: Thermo Acoustical Conversion

$$E_n = \frac{1}{2} \frac{l^2}{V} \frac{G_n^2}{\rho v^2} \gamma^2 \left(\frac{dE}{dX}\right)^2$$

Allega A.M. & Cabibbo N. Lett Nuovo Cim 38 (1983) 263-A. De Rujula & B. Lautrup, Nucl Phys. B242 (1984) 93-144

 G_n form factor

in the case of a cylinder and at the first order in R/L (Barish-Liu Phys Rev Lett 61 1988)

$$T_{eff} = 2.75 * 10^{-9} \left(\frac{dE}{dX}\right)^2 \left(\sin\left(\frac{\pi z}{L}\right) \frac{\sin\left(\frac{\pi l_0 \cos\theta}{2L}\right)}{\frac{\pi R \cos\theta}{L}}\right)^2$$

verified without the R/L<<1 condition and for non axial tracks by Babusci, Quintieri, Raffone with analytic and numerical methods (ANSYS)

Cosmic ray in the bar: Thermo Acoustical Conversion

Pioneer work Beron Hofstander piezoelectric disk on electron beam (Ph.Rev.Let. 23 184 (1969))

The model with the bar has been roughly checked in 3 experiments on a beam:

- 1. Grassi, Strini, Tagliaferri (J. Appl Phys 51 1980)
- 2. J. Oberski et al (Nikehf, Rev Sci Instr 2000) measured conversion factor:

7.4 ±1.4 (nm/J) expected 10.0 (nm/J)

3. Bressi Carugno Conti Onofrio : no

Open question:

- is the Grunesein "constant" really constant? (C==>>0) in superconductor AI
- local heating due to the ionization? Transition superconductor AI to normal?



The passage of a particle **should destroy the Cooper pairs** (0.34 meV binding energy in AI). Transition to normal state.

Thermo Acoustical Conversion: The Nikhef experiment

• 0.76 GeV electron beam 0.01 Joules/burst



Figure 7: Correlation between the Fourier amplitude of the 12.6 kHz vibrational mode and the beam charge. Data points (*) and straight line fit.

Figure 8: The measured, unnormalised Fourier amplitudes (+) and model calculations (-) as a function of the beam hit position along the cylinder axis for the four lowest longitudinal modes of bar BC.

Cosmic rays: a few remarks

- Cosmic Rays at Sea Level are due to particles produced in the interactions of a Primary (Proton or Nuclei) in the Atmosphere
- Energy Spectra (of Primaries) in the range of energies up to 10²⁰ eV

$$\frac{df}{dE} = 1.7E^{-2.67}cm^{-2}\sec^{-1}sr^{-1}GeV^{-1}$$

E<10¹⁵ eV

- The Cascade is a Complex Phenomena not fully understood.
- Complicated Montecarlo Calculations are in a continuos Development. The detailed Simulation of the Cascade is Difficult.
- At the sea level three main components: electrons (+ photons), muons, hadrons.
- At energies < 10¹⁵ eV the cosmic ray are probably due to supernovae.

Cosmic rays: composition (low energies)



Cosmic rays: the knee



The knee is not explained, several hypothesis:

- change of composition 1.
- 2. 3. different production respect to low energy
- unexpected phenomena

Most of the cosmic ray events in Nautilus are coming from the knee region

Cosmic rays: rate of events in the bar

- The three components (muons,hadrons,EAS) arrive together. But for purpose of simplicity the three components have been treated separately in the calculation for the effects on a resonant bar antenna.
- The **maximum energy flow** is in <u>the core</u> of the shower, near the direction of the primary.
- the calculations up to now are done for single components
- large uncertainty for events having many particles (for example multi-hadrons) due to incertitude in the experimental measurements and in the simulations
- Evaluations: E Amaldi G Pizzella Nuovo Cimento 9C 1986 (analytic)

F Ricci NIM A 260 491 (1991) (Montecarlo -muons)

J. Chiang et al (Stanford group) NIM A 311 (1992) (MC muons - single hadrons)

E. Coccia et al (Nautilus group) NIM A 355 (1995) (*MC muons -single hadrons, multi-hadrons, EAS*) recently we have done a full calculation using the Corsika Monte-Carlo+Geant (*single hadrons,multi-hadrons*)

Cosmic ray: rates in the bar

CERN GEANT package to simulate the muonhadron interactions in the bar with the full geometry



Cosmic ray: rates in the bar (events/day)

E (K)	Muon	EAS	Hadro.	Total
10 ⁻⁷	1540	1890	-	8630
10 ⁻⁶	155	323	-	941
10 ⁻⁵	12.7	50	24.2	87
10 ⁻⁴	1.2	7	3.0	11.2
10 ⁻³	0.18	0.8	0.33	1.3
10 ⁻²	0.002	0.1	0.05	0.15

Cosmic ray: rates in the bar (EAS)

particularly important signal has been detected

Analytic rate calculation is based on the following assumption:

1.
$$f(N > N_0) = 0.41 N_0^{-1.32 - 0.038 Log(N_0)}$$
 ev/sec-1

(Cocconi, 1961), in agreement with our data number of particles /m²

 No particle absorption in the bar (radiation length much less than the radiation length of the atmosphere). Actually we see a small increase in the number of particles (critical energy in Aluminium smaller than in air)

Cosmic ray: signal amplitude in the bar (EAS)

average EAS signal computed using



where T_1 is the signal (in temperature) of a single average particle $T_{1\approx} 4.7 * 10^{-10}$ Kelvin

The Nautilus Cosmic Ray Detector

- 116 3 cm² streamer tube chambers of the MACRO type 3 layers on the top 4 on the bottom. Only analogic readout (1 channel/tube).
- One ADC/tube Saturation at about 500 particles
- To increase the maximum measured multiplicity in 15 chambers there is a second ADC with an attenuated signal (1/10)



Previous Searches

- usually done looking for events in coincidence
- Gravitational wave event ==>> threshold (50 mK or more)
- low expected rates



A. Marini et Al. OMNI-1 Proceeding, Brazil 1996

Other Experiments: Ezrow, Wall, Weber, Yodh Phys Rev Lett 24 945 (1970) Moskowitz : Grosmann meeting on General Rel. (1986)

Zero-Threshold Search for low amplitude signals:

- Selection of high multiplicity events>600 part/m²
- Average of all the signals respect to the cosmic arrival time (we use the output of the fast matched filter with 0 threshold, 220 samples/sec)
- It is possible to shows that this method has better sensitivity than the "event" method for the expected "small" signals.

• cuts was decided before data analysis

Zero-Threshold Search Results



Ti is the noise temperature around the cosmic ray events. Cut Ti < 5 mK==>> 47.7 days

Similar results with the other filters :ZOP-Wiener, but less sensitivity

Zero-Threshold Search>900 part/m²



Zero-Threshold - Zoom



The periodicity is in *good agreement* with the beat period due to the two resonance modes (64 msec)

From the theory of the filter the envelope depends on the time as

$$E(t) = E_0 e^{-2\beta_3 t}$$
$$\beta_3 = \pi \Delta f$$

 Δf is the detector frequency bandwidth (0.24,0.30) to be compared with Δf = 0.27±0.03 (from $\beta_{\rm 3}$)

The theoretical response for a delta input signal

See P Astone et al Nuovo Cim 20 C 9 (1997) fast matched filter



The response is computed using a model for the antenna, transducer and the electrical circuit.

Some parameters (for example the resonant frequencies) could modify the results

The Power Spectra

As a check of the mechanical excitation (and not electrical) of the bar we have done the power spectra **in time (red)**, before the cosmic rays (-45 -26.8 sec) and after (26.8 45.4)



The excitation is only at the resonant frequencies and not outside . The dip is due to the calibration signal

The Power Spectra Zoom



1= in time, 2 before, 3 after the cosmic ray

The Energy Distribution

The signal is due **to several events** (not just one big event)



The Statistical Significance

The statistical significance is computed from the RMS of the signals out of time

Threshold	noise mk	σnoise	excess mk	σ in excess
600 part/m2	2.89	0.43	8.6	20
900 part/m2	2.89	0.57	15.9	28

eliminating the two largest events

==>> > 10 σ in excess

The Comparison with the expectations

Large uncertainty due to:

- particle multiplicity measurement ±30%
- ADC and streamer tube saturation at high multiplicity
- we can not measure the multiplicity inside the bar but only before or after hadrons not taken into account
- the procedure to add the signal in phase could suffer of the limited time precision (≈10 msec)
- statistical fluctuations

Threshold	excess mk	using the measured multiplicity	EAS theoretical calculation
600±200 part/m2	8.6-21	2.3	2.4-16
900±300 part/m2	16-31		8-26

Electrical noise signal?

Signal is a mechanical signal in the bar

An electric signal could induce a mechanical signal in the bar (via transducer) "back-action" For a single particle in a streamer tube we have typically 50 mV/ 50 ohm for 100 ns

W= 5 *10-12 joules

for n=10000 particles and 7 layers:

W= 35 *10-8 joules

It is a **very small** number compared to other possible sources of electrical noise around the antenna (pumps, lamps, various electronic devices..).

We have, anyway, done tests using sparks with energy

E≈1 joule (>6 order of magnitude larger than the signal with 10000 particles)==> No induced signal

All the test that we have done are **consistent** with a mechanical signal only. (Fourier spectra, Signal shape)

Other possible sources of spurious signal

SQUID sensitivity to charged particles

Measured at the PSI (Muhfelder,Carelli et al) with electrons/proton (54-280 MeV) beams. Signals in the SQUID are seen starting at 10^3 protons/mm²/sec Squid loop area \approx 1mm². Interactions of EAS particles with the Squid should not be a problem (10-2 particles mm²)

Interactions of particles with the transducer (\approx 140 cm²) gap = 49 µ E \approx 60 KV/cm. In principle the transducer could work as a spark chamber. But there is vacuum!

Recently we have found very big signals. The timing is good enough to exclude electric signal or a signal in the transducer (see later)

The simplest way to explain the signal is just the one of the thermo-acustical model

But... February 2000

detection of the first very big event (10 kelvin)

then an analysis to search for big signals using the event list that was posted for the IGEC (Internation Gravitational Collaboration)

Surprise! In the 1998 data there was a **58** Kelvin event!. This event was missed! The reason was due to the cut on the analysis requiring an average value of noise less than 5 mK (this was done including the event). There was also saturation of some electronics channels of the acquisition at 0.29 Hz.

The livetime in this analysis is bigger (less restrictive cuts on the antenna noise)

The "big" event (1998) (58 Kelvin ==> 87 TeV)



Figure 4: Time behavior of the largest NAUTILUS event in coincidence with an extensive air shower detected by the cosmic ray detector. The particle density in the extensive air shower is 3500 particles/ m^2 . In the upper figure we show the NAUTILUS signal (volt squared) before optimum filtering versus the UT time expressed in seconds, from the preceding midnight. From the decay we evaluate the merit factor of the apparatus, Q=1.7 105. The lower plot shows the data after filtering, in units of kelvin.

The "big" event (1998)



One of the largest events with the 5 kHz acquisition ~9 Kelvin (June 2000)

GPS timing : 200µsec precision

The event is so big that no sophisticated filtering is necessary



Evento 4298 60222 Giugno 2000







The search for coincidences (1998 data)



Fig. 1: Coincidences between the g.w. detector NAUTILUS and the c.r. detector. The asterisks show the integral numi observed coincidences versus the particle density observed by the c.r. counters located under the NAUTILUS cryostat continuous line shows the estimated number of accidental coincidences.

day	hour	min	S	energy of the	noise of the	up particle	down particle
				event	g.w.detector	density	density
				[K]	T_{eff} in mK	$[m^{-2}]$	$[m^{-2}]$
262	23	11	29.581	2.28	0.003	37	312
277	22	26	35.771	0.04	0.002	118	405
285	17	2.3	14.9779	0.06	0.002	1238	2494
286	0	35	23.9222	57.89	0.004	2442	3556
295	21	0	34.3376	0.07	0.003	235	536
297	21	38	49.9765	0.37	0.011	547	1374
303	10	38	36.5147	0.42	0.016	227	360
306	8	19	59.5765	0.12	0.006	629	1409
311	15	24	27.1148	0.12	0.003	751	390
311	15	26	21.0289	0.14	0.004	148	623
311	23	2.2	8.4868	0.45	0.021	223	407
324	14	-14	47.3926	1.14	0.044	258	785
350	20	56	18.6130	0.22	0.004	392	1323
354	23	-54	19.2230	0.37	0.004	1064	1972
356	3	17	35.7440	0.09	0.004	434	2169
358	0	19	21.9564	0.04	0.002	286	1234
361	12	49	13.9211	0.09	0.003	258	983
365	12	35	40.6593	0.32	0.007	324	1490

Table 1: List of eighteen coincidences between NAUTILUS and the c.r. detector

Correlation with the particle density (1998 data)



Fig. 3: Correlation between the NAUTILUS signals and the c.r. particle density. The upper graph shows the correlation of the NAUTILUS energy at zero delay (respect to the c.r. events) versus the corresponding c.r. lower particle density, for the 92 data points considered in the previous analysis. The correlation coefficient is 0.30, with a probability to be accidental of less than 1%. If we eliminate the three largest data points with energy greater than 100 mK, which belong also to the family of events of Table 1, the correlation coefficient increases to 0.42 with 89 data points, with a probability smaller than 10^{-4} for the correlation to be accidental. Instead the lower plot shows no correlation between the energy of the NAUTILUS coincident events analysed in this paper and the corresponding c.r. particle density.

For big events no correlation with the particle density (excluding the Big One)

E.A.S. showers and thermo-acustical model unable to explain data

Hadrons in the core of EAS?

Integral Distribution as function of energy calculated with the themoacustical model



Our calculation is in agreement with the direct measurement (Cascade experiment) taking into account the small energy contaiment in the antenna (a few percent at the energy of interest)

Event Rate 2 order of magnitude higher than expected or...

Energy 2 order of magnitude higher than the one computed with the thermoacustical model

Hadrons + thermo-acustical model unable to explain the data

Fraction of energy deposited in Aluminium



Possibilities to explain data

Wrong calculations, we are confident no mistake at a level of 2 order of magnitude

Exotics in the cosmic rays at the energy of interest (energies in the region of the knee of the cosmic ray)

Detector (Nautilus) dependent effect:

- the cosmic rays could trigger a release of non elastic audiofrequency modes
- effects related to the superconductivity:

The normal assumption is that the passage of a particle destroys the Cooper pairs (0.34 meV binding energy in Al). Therefore in the thermo-acustical model is assumed normal Alluminium, but there are no experimental data for this model

or

the cosmic rays trigger some sort of metastable state due to the superconductivity

1)The cosmic ray possibility to explain data

- as was pointed by Barish-Liu acustical detectors are different from normal particle detector based on ionization.
- In a gas detector for example you need to excite some atomic level==>> threshold in velocity (around β≈10-3)

In acoustical detectors there is no threshold

- several kind of massive slow particles proposed in the past (monopoles, nuclearites, etc...)
- very good limits (for example MACRO) for underground experiments but not for experiment at sea level
- the energy of interest is in the region of the cosmic ray knee where we know that something should happen

But

the exotic particle should come together with a shower. This is not impossible but it is unlikely.

Nuclearites and Bar Detectors

The principal energy-loss mechanism for a nuclearing passing through matter is via atomic collisions. According to Refs. [2-4] when a nuclearite of mass m and velocity βc goes through an aluminum body, the rate of energy loss is

$$\frac{dE}{dx} = 480 \frac{\text{GeV}}{\text{cm}} \left[\frac{\beta \theta(m)}{10^{-3}} \right]^2,$$

where the mass dependence is





FIG. 2. Acceptance of the gravitational wave detector at the energy thresholds $\Delta T = 1 \ \mu K$, 1 mK, 0.08 K, and 4.6 K. The vertical axis has to be divided by 2 if m < 0.1 g (nuclearites that cannot penetrate the Earth).

Explorer 1993 PRD 47 4770

2)Non elastic energy release

- the possibility to have non elastic energy release triggered by gravitational waves (or cosmic rays) was suggested by *Fitzgerald,E.R., Nature, 252, 638 (1974)* It will be very nice because this means an higher sensitivity.
- It is a well know noise widely studied for example in Virgo, depending from temperature, history of the material, stress etc... typically ≈10⁻⁹ joules ≈10GeV.



3)Superconductivity

It is the preferred explanation at the time of this talk. After August 2000 Nautilus is working at a temperature > 1 Kelvin: normal state for Aluminium. Apparently no more big events. Warning the analysis is preliminary! (on-line data)

Feb-July 2000 (T≈100 mKelvin)

Emin	Segn/noise	Noise max	Tempo vivo	Casuali	Eventi
0.1	20	0.05	69	2.5 ± 0.5	12
0	20	1	79	2.8 ± 0.5	14

Aug- January 12 2001 (T>≈1.1 Kelvin)

Emin	Segn/noise	Noise max	Tempo vivo	Casuali	Eventi
0.1	20	0.05	66.3	4.1 ± 0.6	6
0	20	1	75	10 ± 1	11

So at the moment it seems that the **effect** depends from the temperature (~2 standard deviation).

Why two category of events one with normal signal and another with large signals?

The interaction of a particle with a superconductor is an interesting problem. Theoretician are working.

Summary 1

- We have found for the first time the cosmic rays induced signal in a resonant cryogenic detector.
- Very nice technical result ($\Delta x \approx 10^{-17}$ meters!)
- Several checks show that we have indeed a **mechanical excitation of the bar**.
- The thermo-acustical model is ≈ correct for most of the events. But for a fraction ≈ 20% of the showers we have signals much larger (2 order of magnitude) than expected.
- Interesting problem involving gravitational waves, cosmic rays, particle detection and low temperature physics
- Perhaps no more large signals for nonsuperconductor Aluminium...but not yet firm
- conclusion (≈2 standard deviation)

Summary 2

- The understanding of this phenomena is important for:
- Sensitivity for gravitational waves (the amplification effect could exist also for gravitational waves)
- Applications to exotic particle searches with bar detectors with geometry optimized for particle detectors. Calorimetry for very high energy particles/beams.
- Study of analysis techniques. For example to search small signals with repetition: (gamma-burst)
- Study of the limitations due to the cosmic rays in **future detectors** of improved sensitivity