

# Topological semimetals: from Standard Model to flat band



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RUSSIAN ACADEMY OF SCIENCES

L. D. Landau  
INSTITUTE FOR  
THEORETICAL  
PHYSICS



1. Gapless & gapped topological media
2. Fermi surface as topological object
3. Fermi points (Weyl, Majorana & Dirac points) & nodal lines
  - \* superfluid **3He-A**, topological **semimetals** , **cuprate superconductors** , **graphene**  
vacuum of Standard Model of particle physics in massless phase
  - \* QED, QCD and gravity as emergent phenomena; quantum vacuum as 4D graphene
  - \* exotic fermions: quadratic, cubic & quartic dispersion; dispersionless fermions
4. Flat bands on surface of topological matter
  - \* superfluid **3He-A**, **semimetals** , **cuprate superconductors** , **graphene** , graphite
  - \* towards room-temperature superconductivity
5. 1D flat band in the vortex core and Fermi-arc on the surface of topological matter with Weyl points

*Heikkilä, Kopnin, GV*

*arXiv:1012.0905, 1011.4185, 1011.4665, 1103.2033*

6. **Supplemented material:** Fully gapped topological media
  - \* superfluid **3He-B**, **topological insulators** , **chiral superconductors**,  
vacuum of Standard Model of particle physics in present massive phase
  - \* edge states & Majorana fermions ( **planar phase** , **topological insulator** & **3He-B** )

# 3+1 sources of effective Quantum Field Theories in many-body system & quantum vacuum

Lev Landau

I think it is safe to say that no one understands **Quantum Mechanics**

Richard Feynman

**Thermodynamics** is the only physical theory of universal content

Albert Einstein

**Symmetry:** conservation laws, translational invariance,  
spontaneously broken symmetry, Grand Unification, ...

**Topology: winding number**

one can't comb hair on a ball smooth, anti-Grand-Unification



effective theories  
of quantum liquids:  
two-fluid hydrodynamics  
of superfluid  $^4\text{He}$   
& Fermi liquid theory of  
liquid  $^3\text{He}$

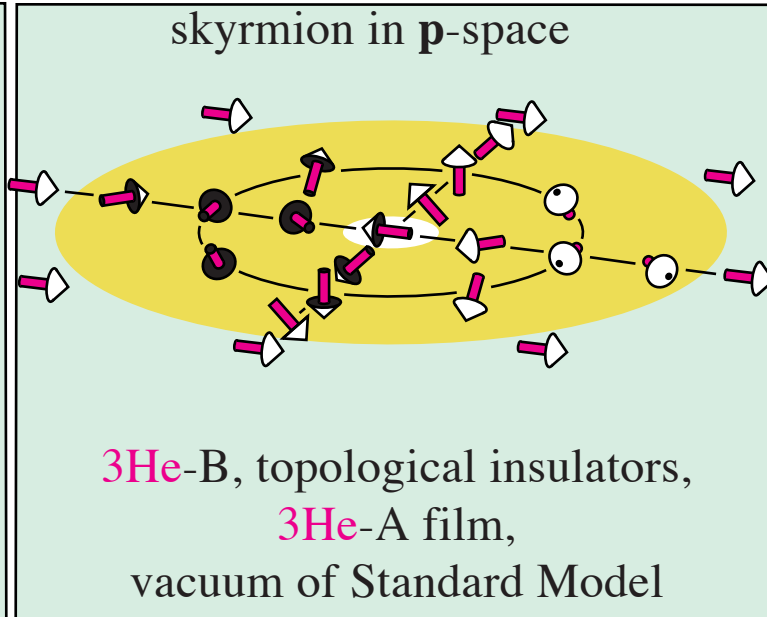
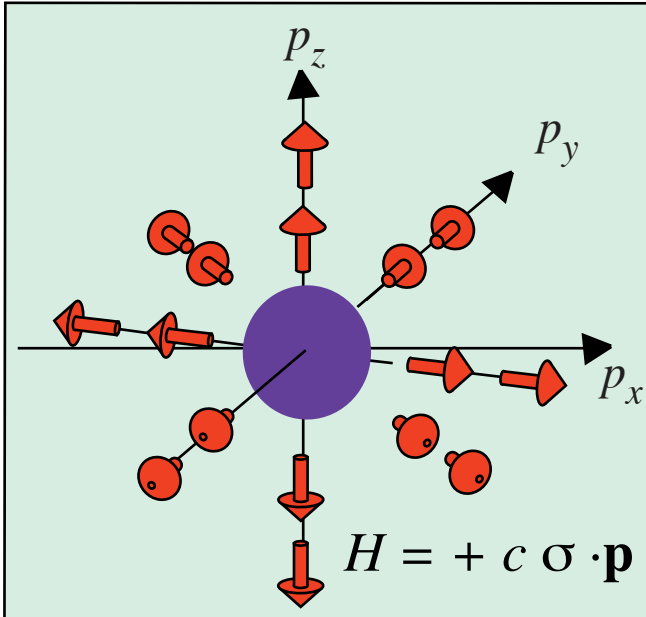
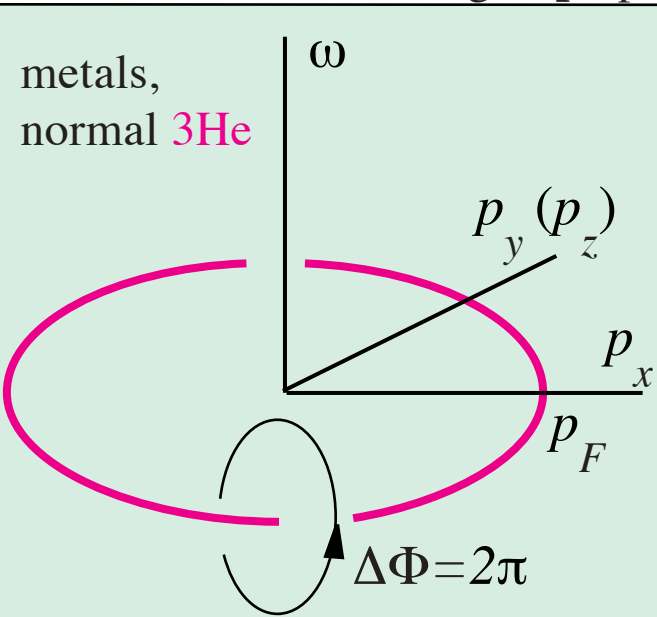
missing ingredient  
in Landau theories



# classes of topological matter as momentum-space objects

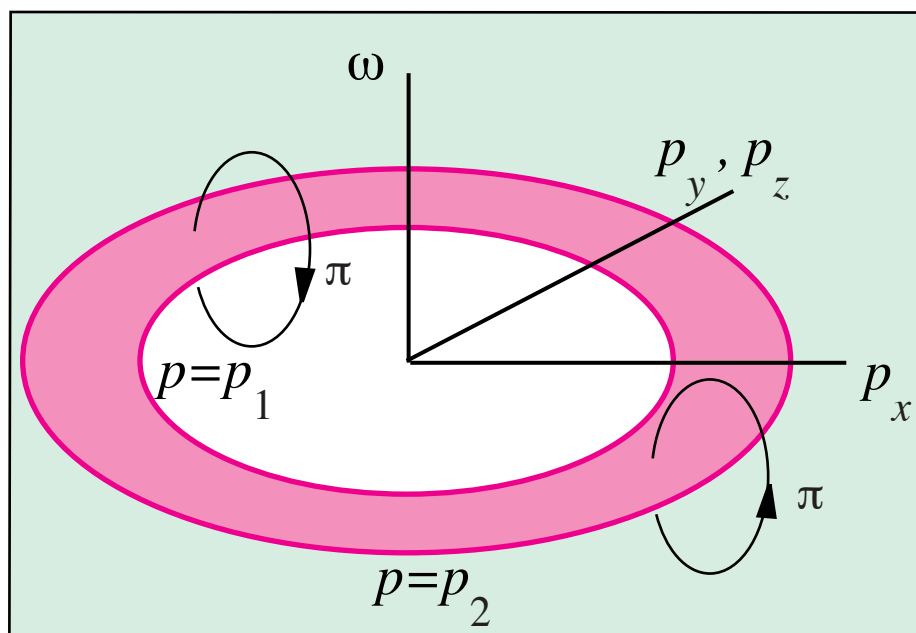
Fermi surface: vortex ring in  $\mathbf{p}$ -space

fully gapped topological matter:

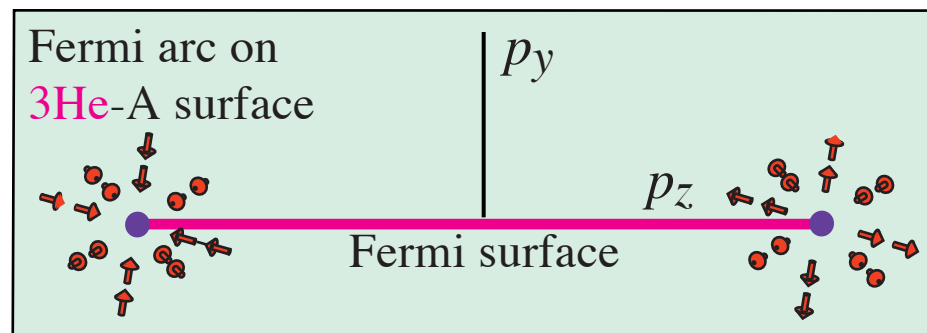


Weyl point - hedgehog in  $\mathbf{p}$ -space

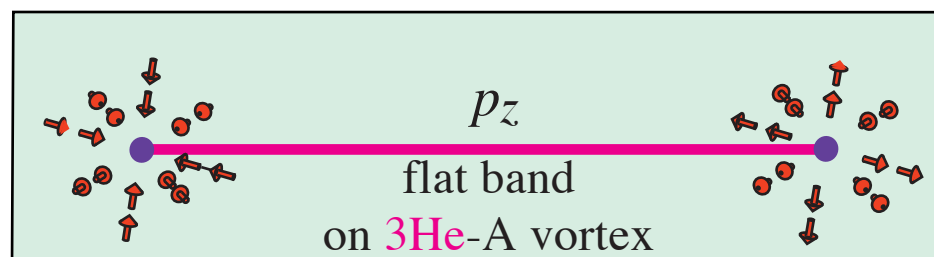
$^3\text{He-A}$ , vacuum of SM, topological semimetals



flat band (Khodel state):  $\pi$ -vortex in  $\mathbf{p}$ -space



Dirac strings in  $\mathbf{p}$ -space terminating on monopole



## **topological correspondence:**

topology in bulk protects gapless fermions on the surface or in vortex core

## **bulk-surface correspondence:**

2D Quantum Hall insulator &  $^3\text{He-A}$  film

chiral edge states

3D topological insulator

Dirac fermions on surface

superfluid  $^3\text{He-B}$

Majorana fermions on surface

superfluid  $^3\text{He-A}$ , Weyl semimetal

Fermi arc on surface

graphene

dispersionless 1D flat band on surface

semimetal with Fermi lines

2D flat band on the surface

## **bulk-vortex correspondence:**

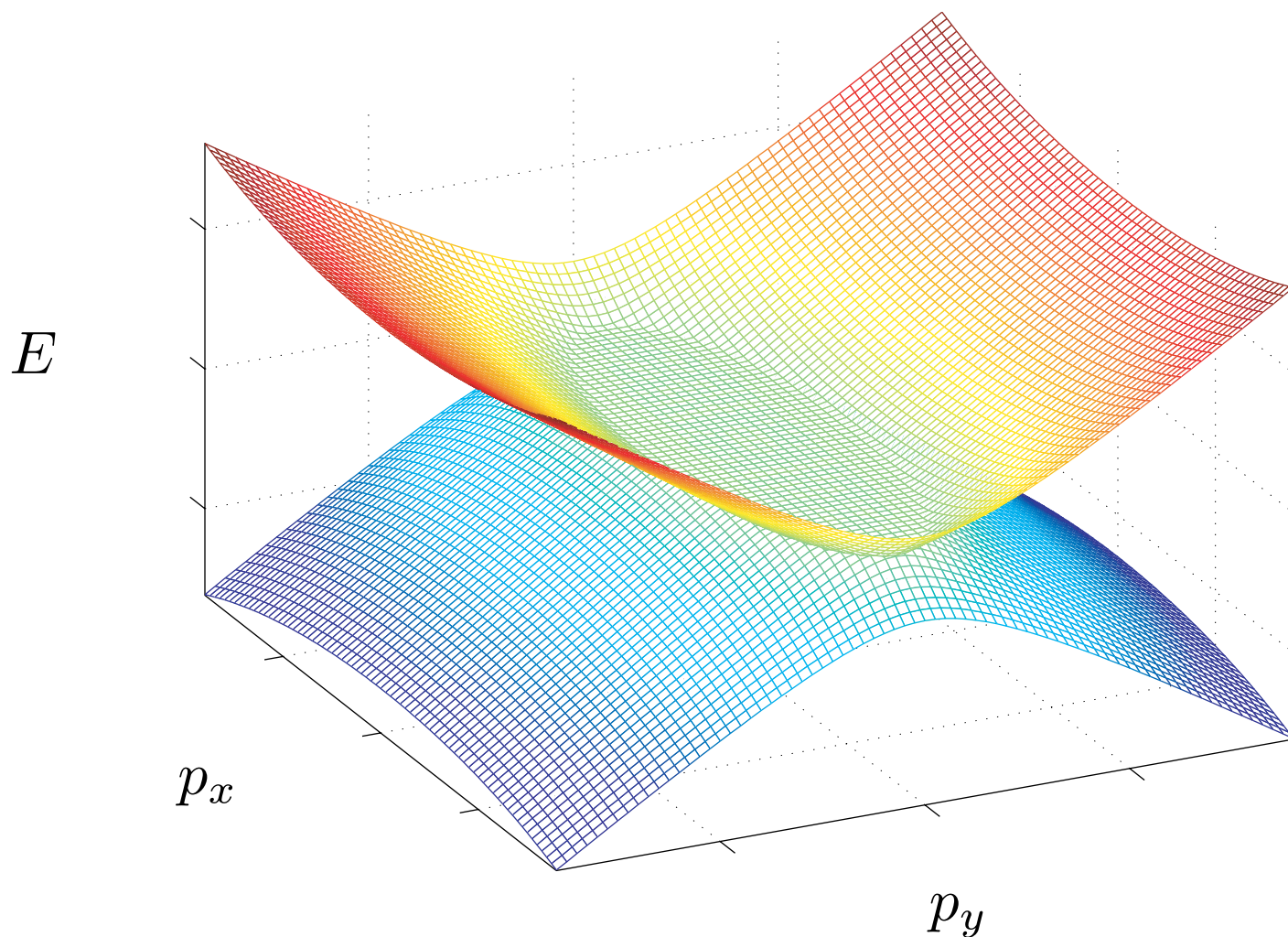
relativistic string / superfluid  $^3\text{He-B}$

1D fermion zero modes (Jackiw-Rossi)

superfluid  $^3\text{He-A}$

1D flat band in the core (Kopnin-Salomaa)

# New topological object in momentum space: flat band with zero energy



**Flat band on the surface of topological matter with nodal lines**

## 2. Effective theory of vacuum with Fermi surface

two major universality classes of gapless fermionic vacua

Landau theory of Fermi liquid

vacuum with Fermi surface:  
metals, normal  $^3\text{He}$

Standard Model + gravity

vacuum with Fermi (Weyl) point:  
 $^3\text{He-A}$ , planar phase, Weyl semimetal,  
vacuum of SM

gravity emerges from  
**Fermi (Weyl) point**  
analog of  
**Fermi surface**

$$\rightarrow g^{\mu\nu}(p_\mu - eA_\mu - e\tau \cdot \mathbf{W}_\mu)(p_\nu - eA_\nu - e\tau \cdot \mathbf{W}_\nu) = 0$$

Theory of topological matter:

Nielsen, So, Ishikawa, Matsuyama, Haldane, Yakovenko, Horava, Kitaev,  
Ludwig, Schnyder, Ryu, Furusaki, S-C Zhang, Kane, Liang Fu, ...

# Topological stability of Fermi surface

Energy spectrum of non-interacting gas of fermionic atoms

$$\varepsilon(p) = \frac{p^2}{2m} - \mu = \frac{p^2}{2m} - \frac{p_F^2}{2m}$$

$$\varepsilon > 0$$

empty levels

$$\varepsilon < 0$$

occupied levels:  
Fermi sea

Fermi surface

$$\varepsilon = 0$$

$$p = p_F$$



*is Fermi surface a domain wall in momentum space?*

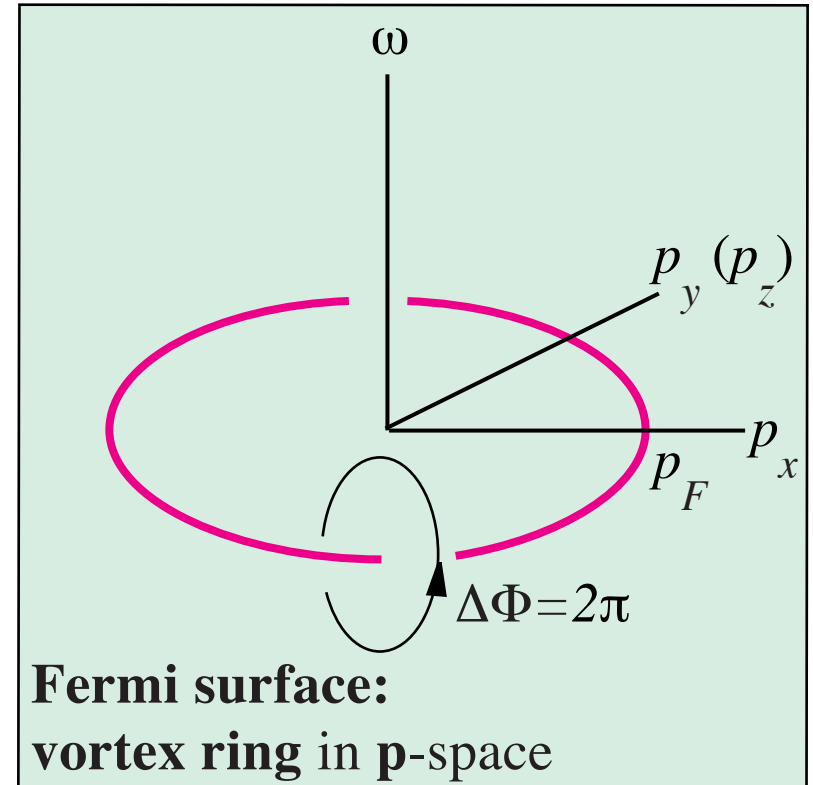


*no!*  
**it is a vortex ring**



Green's function

$$G^{-1} = i\omega - \varepsilon(p)$$



phase of Green's function

$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

has winding number  $N = 1$

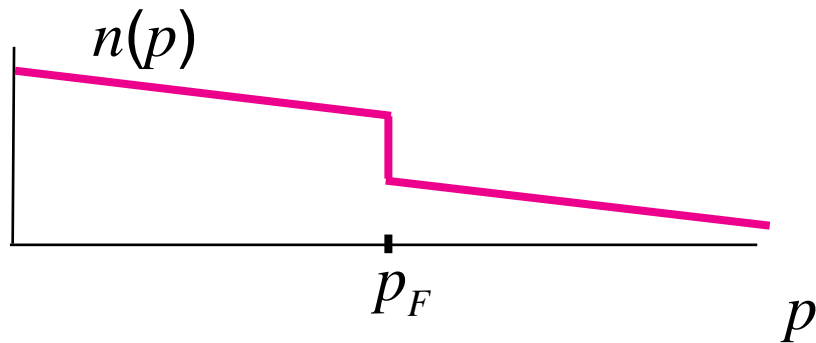


# Migdal jump, non-Fermi liquids & p-space topology

\* Singularity at Fermi surface is robust to perturbations:

*winding number  $N=1$  cannot change continuously, interaction (perturbative) cannot destroy singularity*

\* Typical singularity: Migdal jump



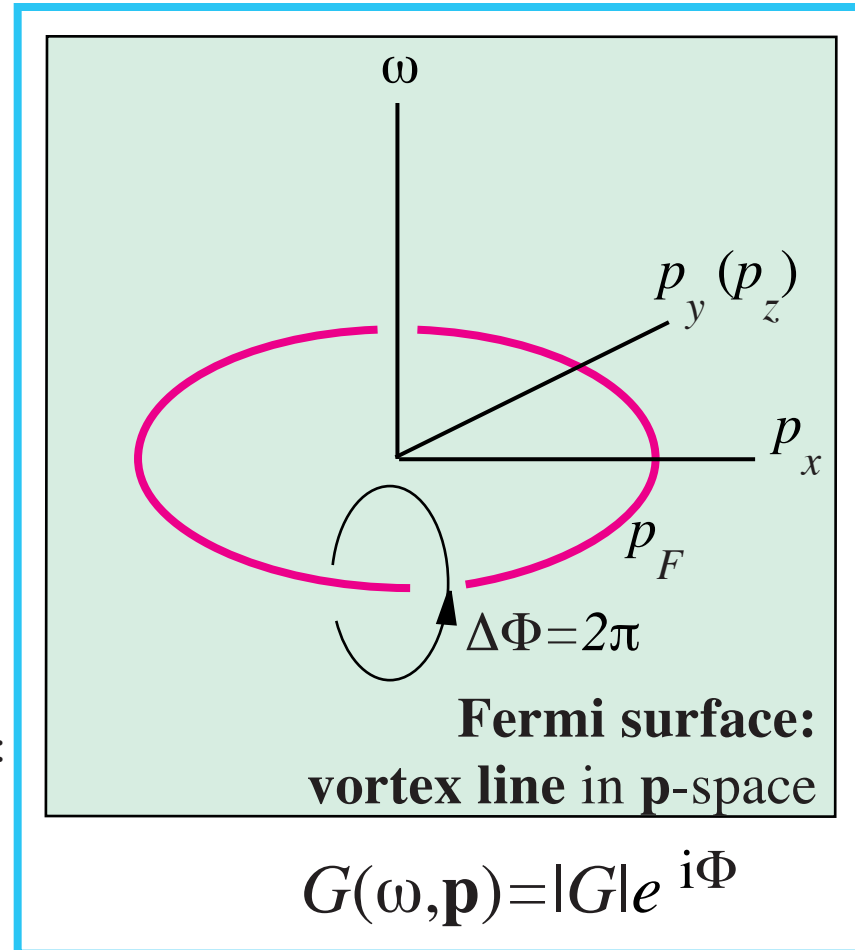
\* Other types of singularity with the same winding number: Luttinger Fermi liquid, marginal Fermi liquid, pseudo-gap ...

\* Example: zeroes in  $G(\omega, \mathbf{p})$  have the same  $N=1$  as poles

$$G(\omega, \mathbf{p}) = \frac{Z(p, \omega)}{i\omega - \varepsilon(p)} \quad Z(p, \omega) = (\omega^2 + \varepsilon^2(p))^\gamma \quad \begin{array}{l} \text{zeroes in } G(\omega, \mathbf{p}) \\ \text{for } \gamma > 1/2 \end{array}$$

\* Important for interacting systems, where quasiparticles are ill defined

\* Fermi surface exists in superfluids/superconductors, examples: 3He-A in flow & Gubankova-Schmitt-Wilczek, PRB74 (2006) 064505, but Luttinger theorem is not applied

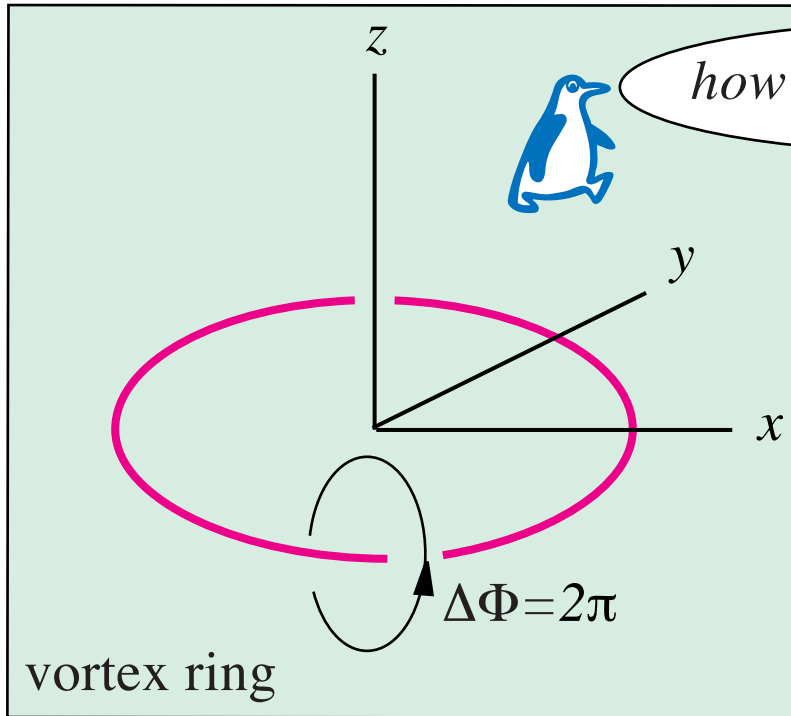




**quantized vortex in  $\mathbf{r}$ -space  $\equiv$  Fermi surface in  $\mathbf{p}$ -space**

homotopy group  $\pi_1$

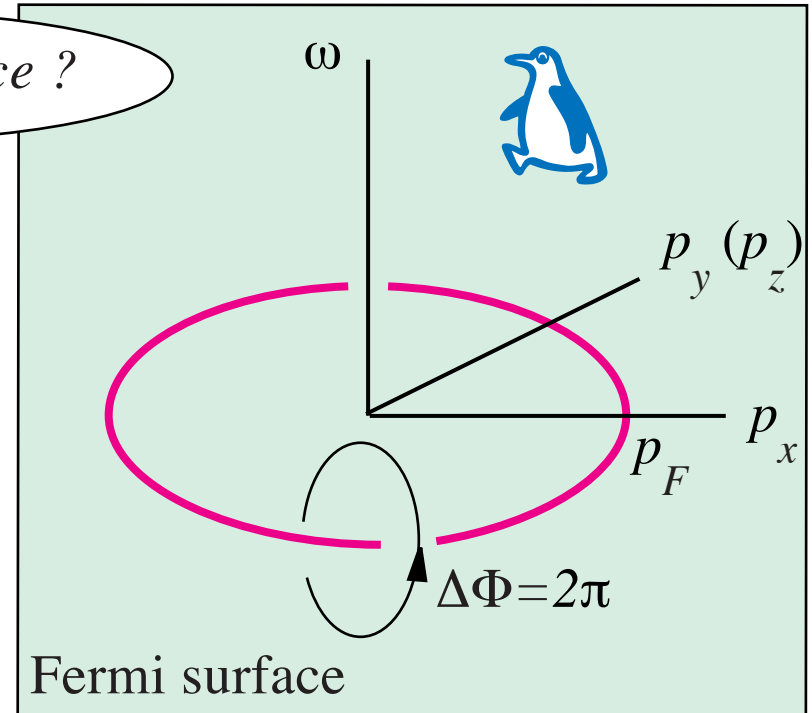
Topology in  $\mathbf{r}$ -space



how is it in  $\mathbf{p}$ -space ?

winding number  
 $N_1 = 1$

Topology in  $\mathbf{p}$ -space



$$\Psi(\mathbf{r}) = |\Psi| e^{i\Phi}$$

scalar order parameter  
of superfluid & superconductor

$$G(\omega, \mathbf{p}) = |G| e^{i\Phi}$$

Green's function (propagator)

classes of mapping  $S^1 \rightarrow U(1)$

manifold of  
broken symmetry vacuum states

classes of mapping  $S^1 \rightarrow GL(n, \mathbb{C})$

space of  
non-degenerate complex matrices

# non-topological flat bands due to interaction

*Khodel-Shaginyan fermion condensate*

JETP Lett. **51**, 553 (1990)

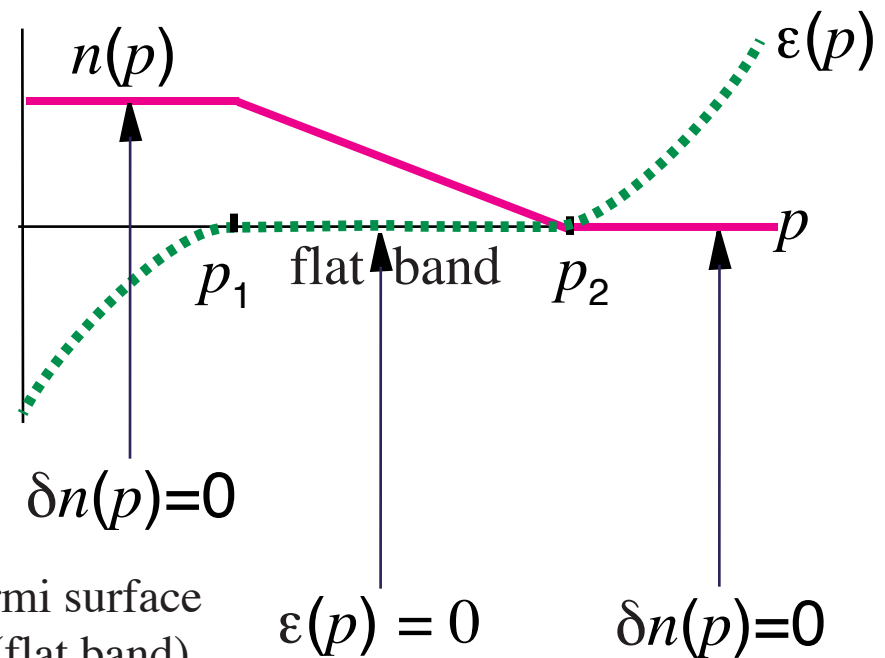
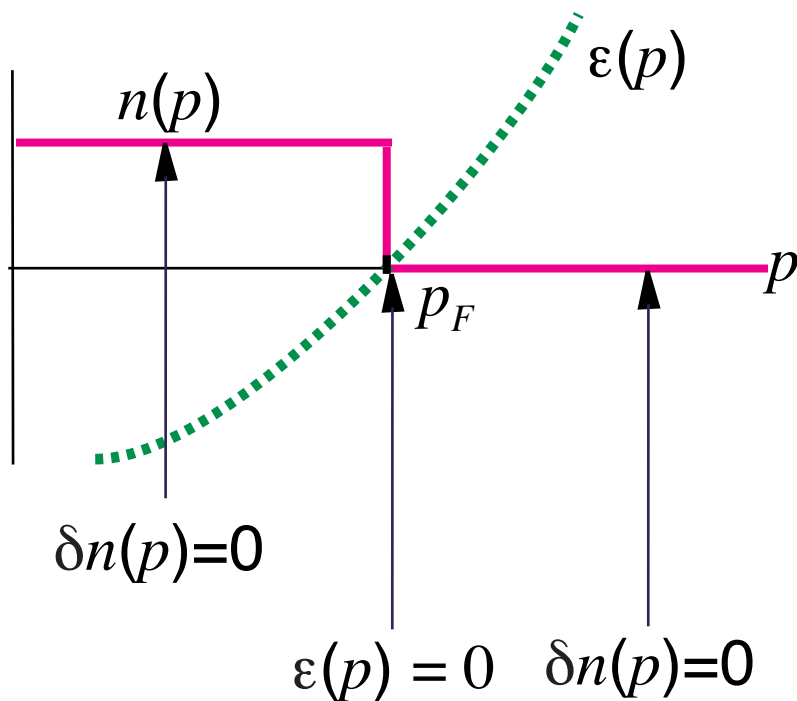
GV, JETP Lett. **53**, 222 (1991)

Nozieres, J. Phys. (Fr.) **2**, 443 (1992)

$$E\{n(p)\}$$

$$\delta E\{n(p)\} = \int \varepsilon(p) \delta n(p) d^d p = 0$$

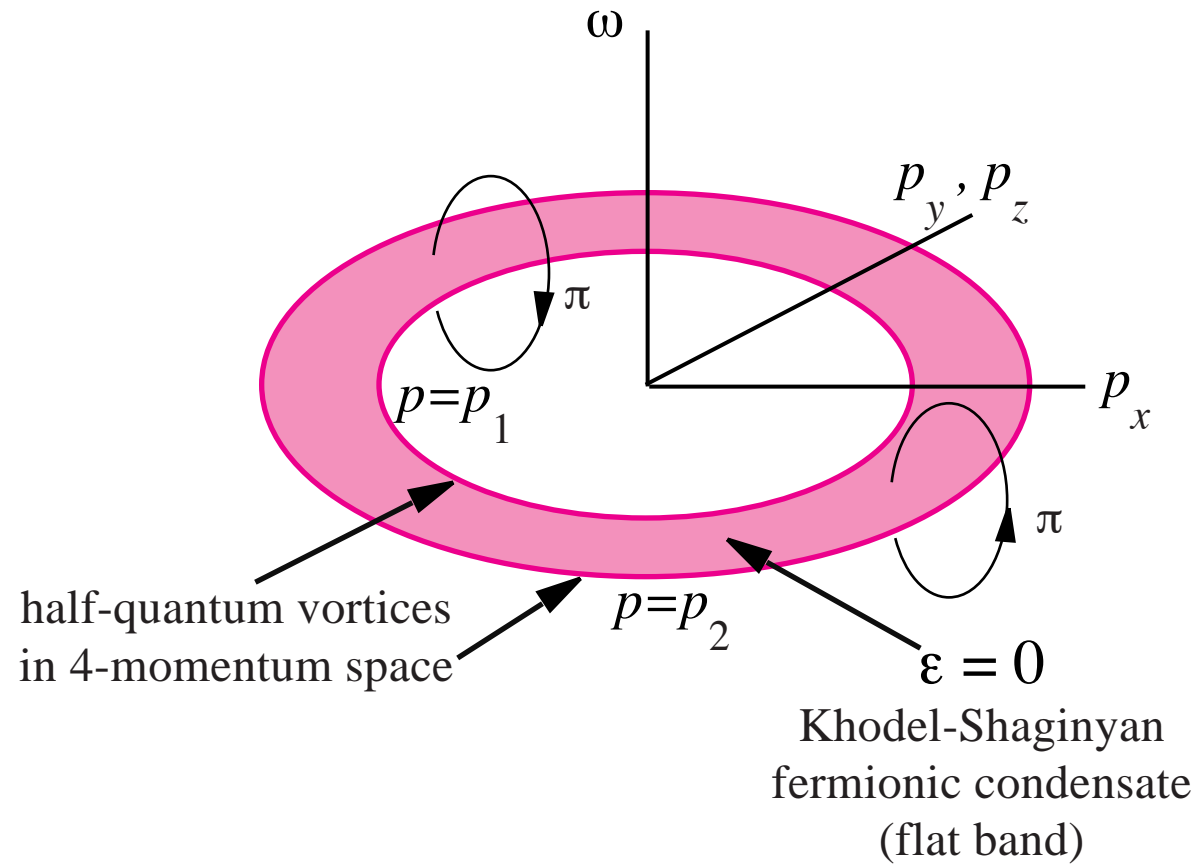
solutions:  $\varepsilon(p) = 0$  or  $\delta n(p) = 0$



splitting of Fermi surface  
to Fermi ball (flat band)

S.-S. Lee  
 Non-Fermi liquid from a charged black hole: A critical Fermi ball  
 PRD 79, 086006 (2009)

# Flat band as momentum-space dark soliton terminated by half-quantum vortices

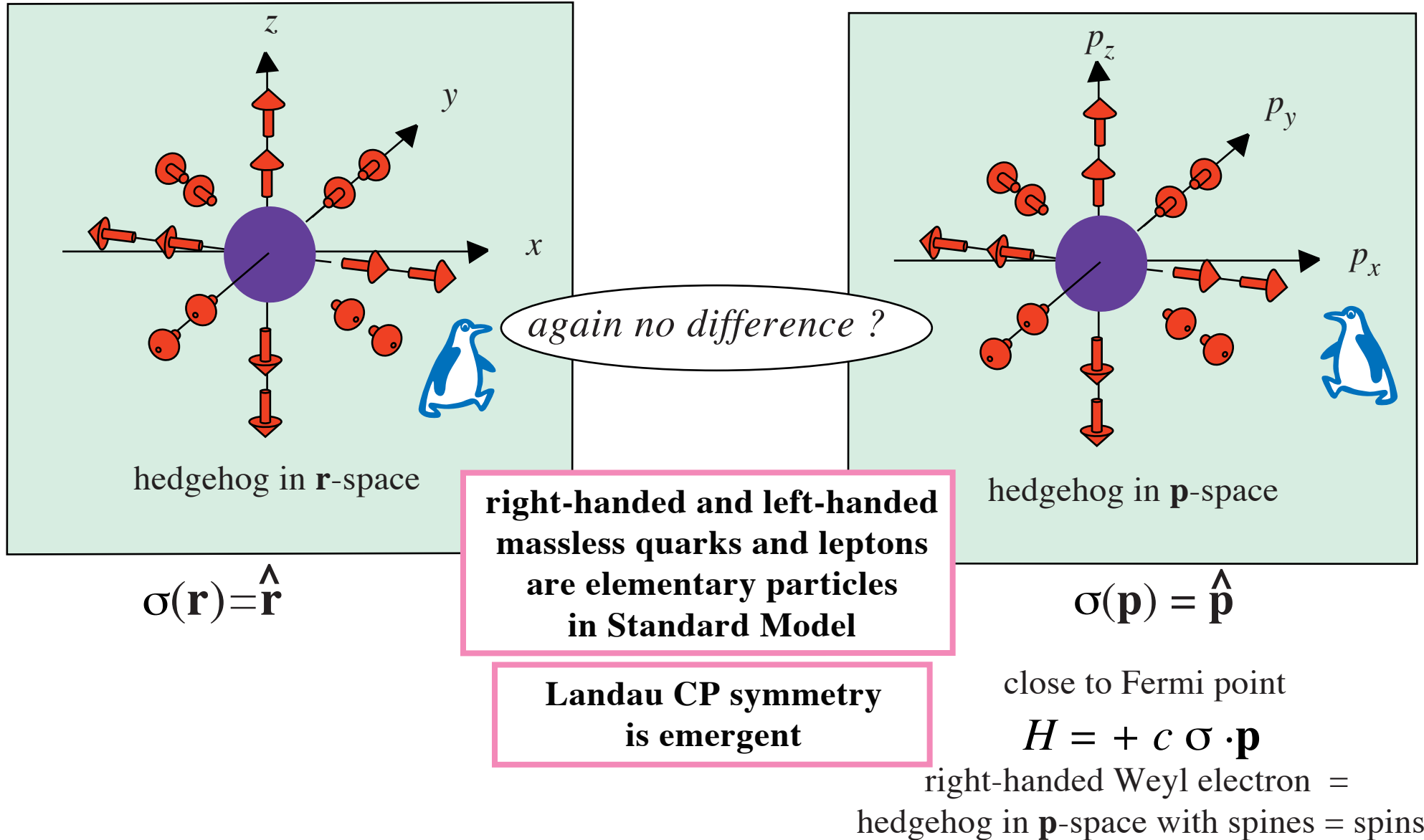


phase of Green's function changes by  $\pi$  across the "dark soliton"

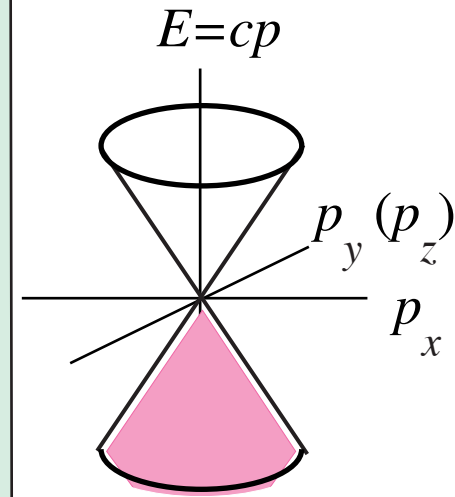
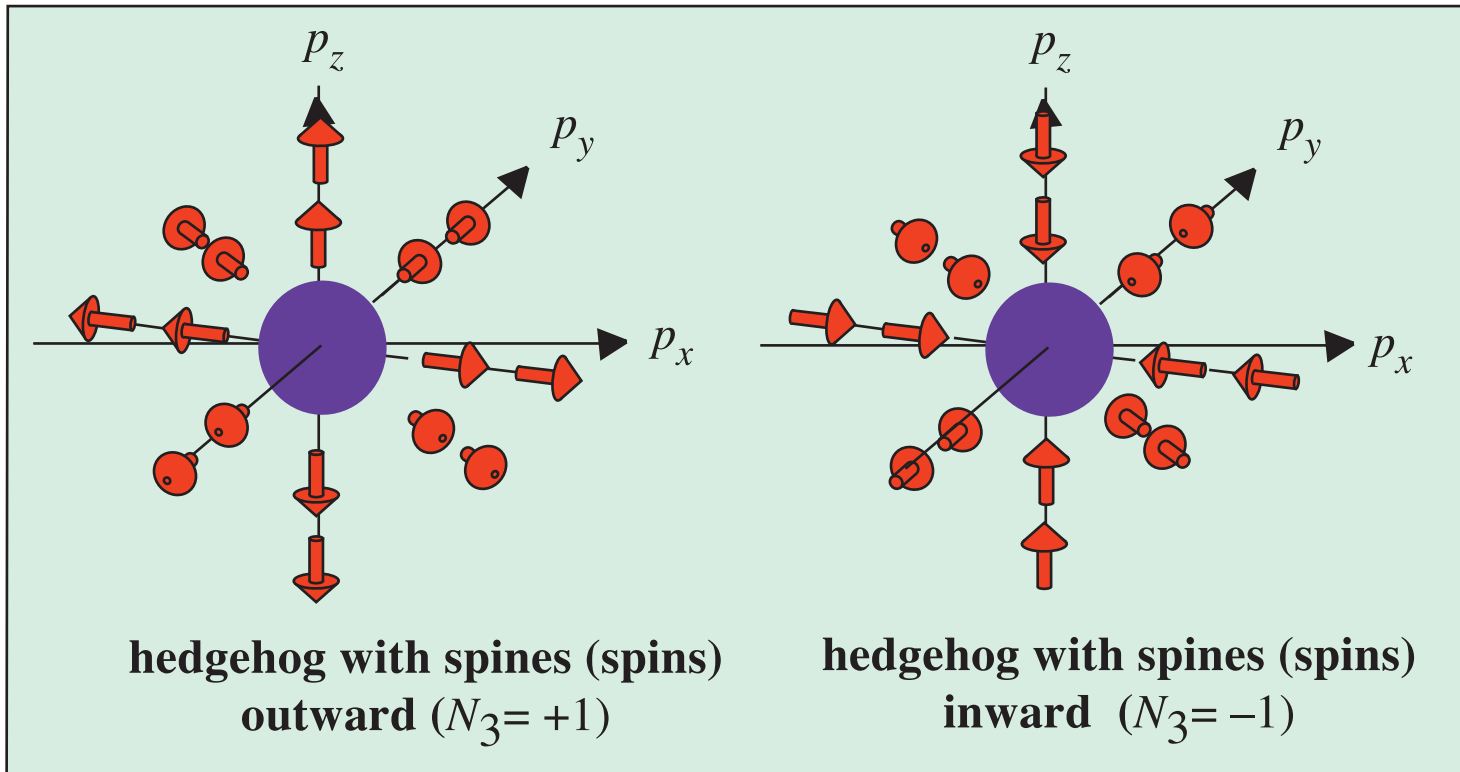
### 3. Classes of Fermi points & nodal lines:

superfluid  $^3\text{He-A}$ , Standard Model, semimetals, graphene, cuprate SC, ...  
 surface of  $^3\text{He-B}$  & topological insulators

magnetic hedgehog vs Weyl point



# Topological invariant for right-handed and left-handed elementary particles



right  
neutrino

$$H = +c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$\mathbf{g}(\mathbf{p}) = +c\mathbf{p}$$

$$H = \boldsymbol{\sigma} \cdot \mathbf{g}(\mathbf{p})$$

$$H = -c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$\mathbf{g}(\mathbf{p}) = -c\mathbf{p}$$

left  
neutrino

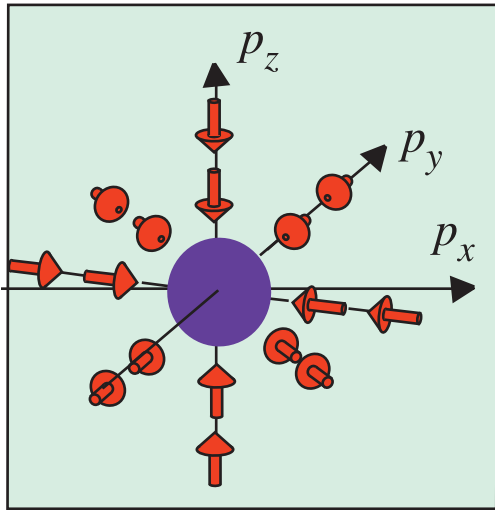
$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface around Fermi point}} dS^i \hat{\mathbf{g}} \cdot (\partial^j \hat{\mathbf{g}} \times \partial^k \hat{\mathbf{g}})$$



# Chiral Weyl fermions in Standard Model

## Family #1 of quarks and leptons

left particles



hedgehog with  
spines (spins)  
inward ( $N_3 = -1$ )

$+2/3$ $\mathbf{u}_L$ $+1/6$	$-1/3$ $\mathbf{d}_L$ $+1/6$
$+2/3$ $\mathbf{u}_L$ $+1/6$	$-1/3$ $\mathbf{d}_L$ $+1/6$
$+2/3$ $\mathbf{u}_L$ $+1/6$	$-1/3$ $\mathbf{d}_L$ $+1/6$

quarks

$SU(3)_C$

$SU(2)_L$

$0$ $\mathbf{\nu}_L$ $-1/2$	$-1$ $\mathbf{e}_L$ $-1/2$
-----------------------------------	----------------------------------

leptons

$+2/3$ $\mathbf{u}_R$ $+2/3$
$+2/3$ $\mathbf{u}_R$ $+2/3$
$+2/3$ $\mathbf{u}_R$ $+2/3$

$-1/3$ $\mathbf{d}_R$ $-1/3$
$-1/3$ $\mathbf{d}_R$ $-1/3$
$-1/3$ $\mathbf{d}_R$ $-1/3$

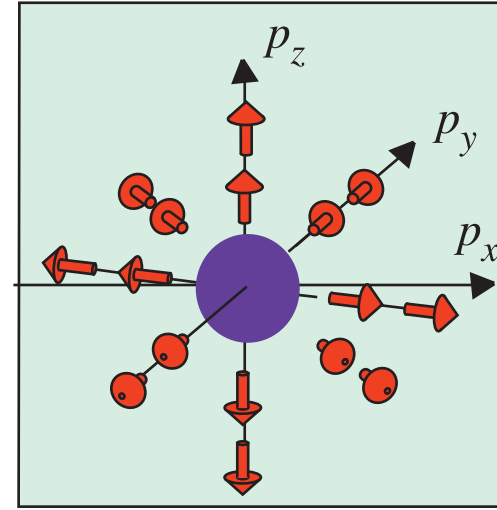
$0$ $\mathbf{\nu}_R$ $0$
--------------------------------

$-1$ $\mathbf{e}_R$ $-1$
--------------------------------

$$H = + c \boldsymbol{\sigma} \cdot \mathbf{p}$$

$$N_3 = +1$$

right particles



hedgehog with  
spines (spins)  
outward ( $N_3 = +1$ )

$$N_3 = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda\gamma} \text{tr} \int_{\text{over 3D surface S in 4D momentum space}} dS^\gamma \mathbf{G}^\mu \mathbf{G}^{-1} \mathbf{G}^\nu \mathbf{G}^{-1} \mathbf{G}^\lambda \mathbf{G}^{-1}$$

general topological invariant  
in terms of Green's function  
for interacting systems

# Standard Model topological invariant

**Topological invariant protected by symmetry**

$$N_K = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda} \operatorname{tr} \int_{\text{over } S^3} dV \mathbf{K} \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1}$$

$\mathbf{G}$  is Green's function,  $\mathbf{K}$  is symmetry operator       $\mathbf{GK} = +/\- \mathbf{KG}$

for Standard Model vacuum  $\mathbf{K} = \exp 2\pi i \tau_3$   
weak isotopic spin

$$N_K = 16 n_g$$

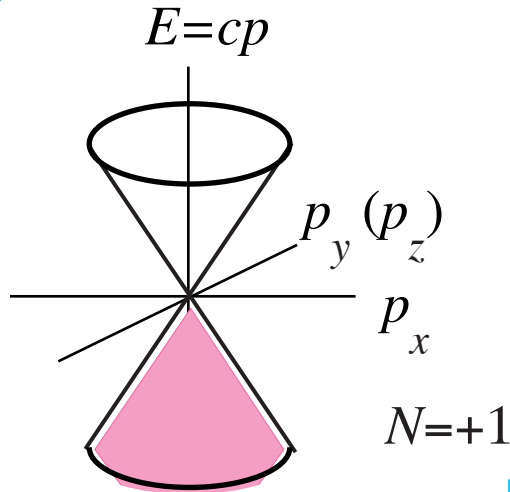
**16 massless Weyl particles in one generation are protected  
by combined symmetry and topology**



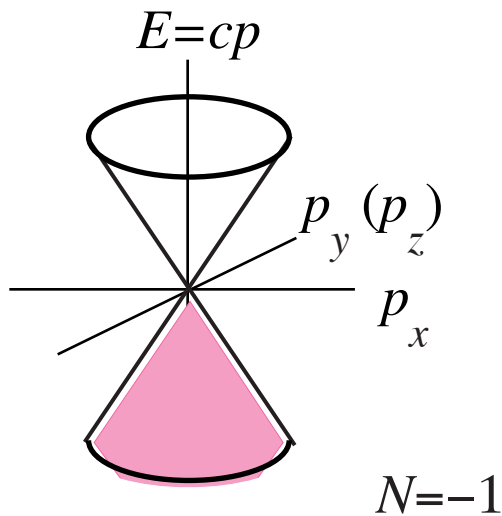
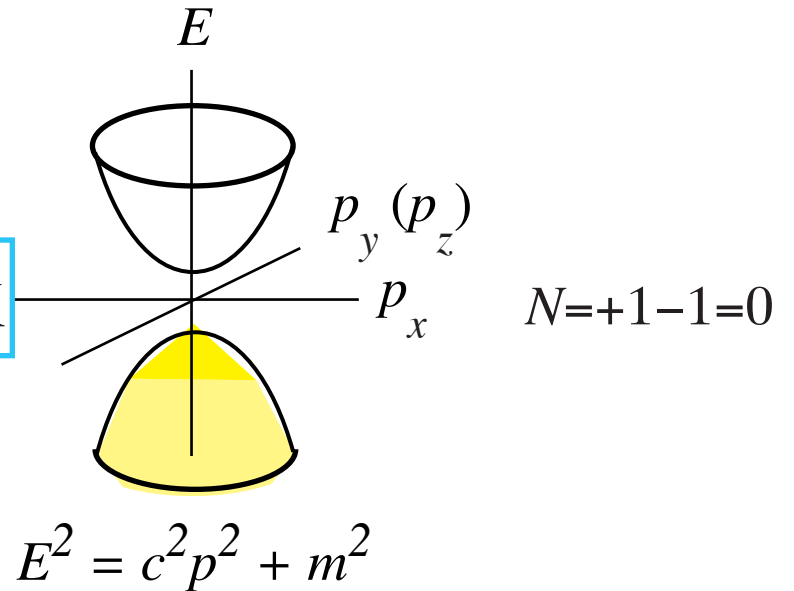
# From massless Weyl particles to massive Dirac particles

where are massive Dirac particles?

Dirac particle - composite object:  
mixture of left and right Weyl particles



$$T_{ew} \sim 1 \text{ TeV} \sim 10^{16} \text{ K}$$



is Dirac vacuum topologically trivial?

fully gapped vacua  
can be also topologically nontrivial  
( $^3\text{He-B}$ , topological insulators, ...)

# Weyl fermions in 3+1 gapless topological cond-mat

topologically protected Weyl points in:

topological semi-metal (Abrikosov-Beneslavskii 1971),  
 $^3\text{He-A}$  (1982), triplet Fermi gases

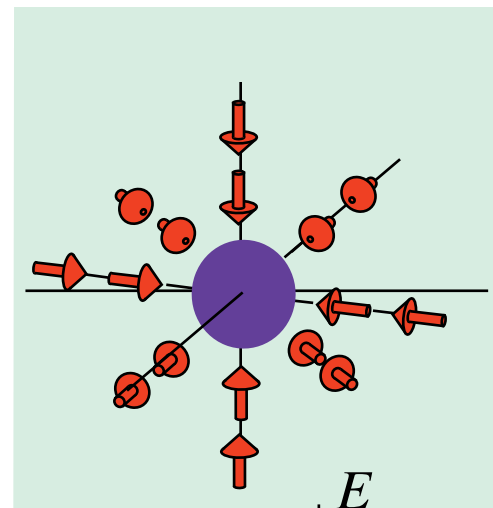
$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface S in 3D p-space}} dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

$$p^2 = p_x^2 + p_y^2 + p_z^2$$

$$\mathbf{H} = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix}$$

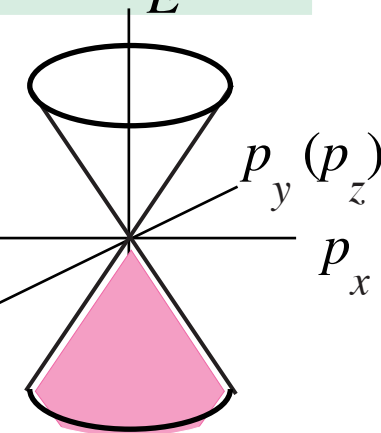
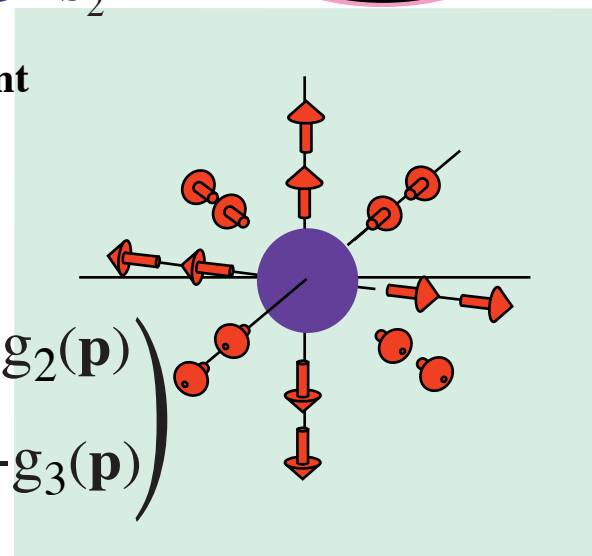
Gap node - Weyl point  
(anti-hedgehog)

$$N_3 = -1$$



$$N_3 = 1$$

Gap node - Weyl point  
(hedgehog)



# emergence of relativistic QFT near Fermi (Dirac) point

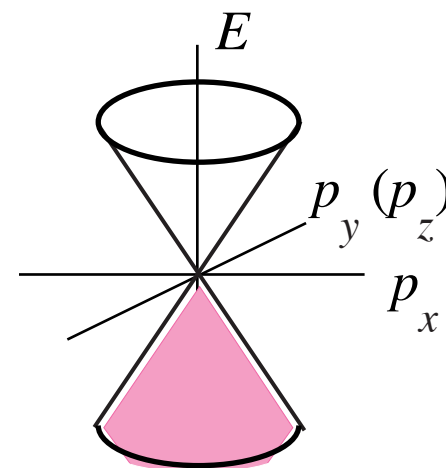
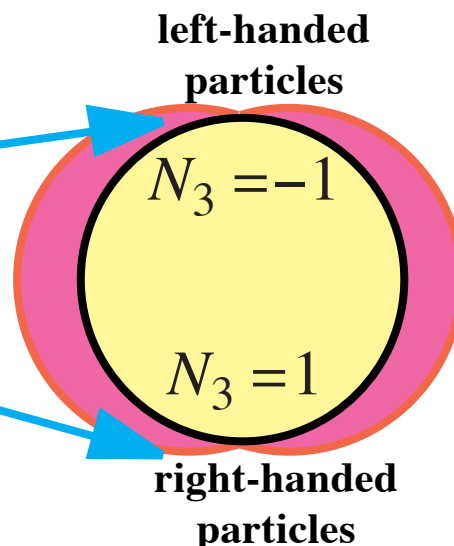
original non-relativistic Hamiltonian

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \boldsymbol{\tau} \cdot \mathbf{g}(\mathbf{p})$$

close to nodes, i.e. in low-energy corner  
relativistic chiral fermions emerge

$$H = N_3 c \boldsymbol{\tau} \cdot \mathbf{p}$$

$$E = \pm cp$$



*chirality is emergent ??*

*top. invariant determines chirality  
in low-energy corner*

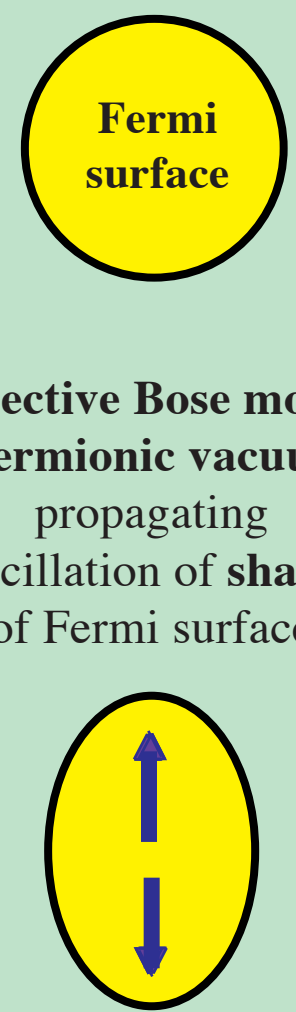
*what else is emergent ?*

*relativistic invariance as well*



# bosonic collective modes in two generic fermionic vacua

## Landau theory of Fermi liquid

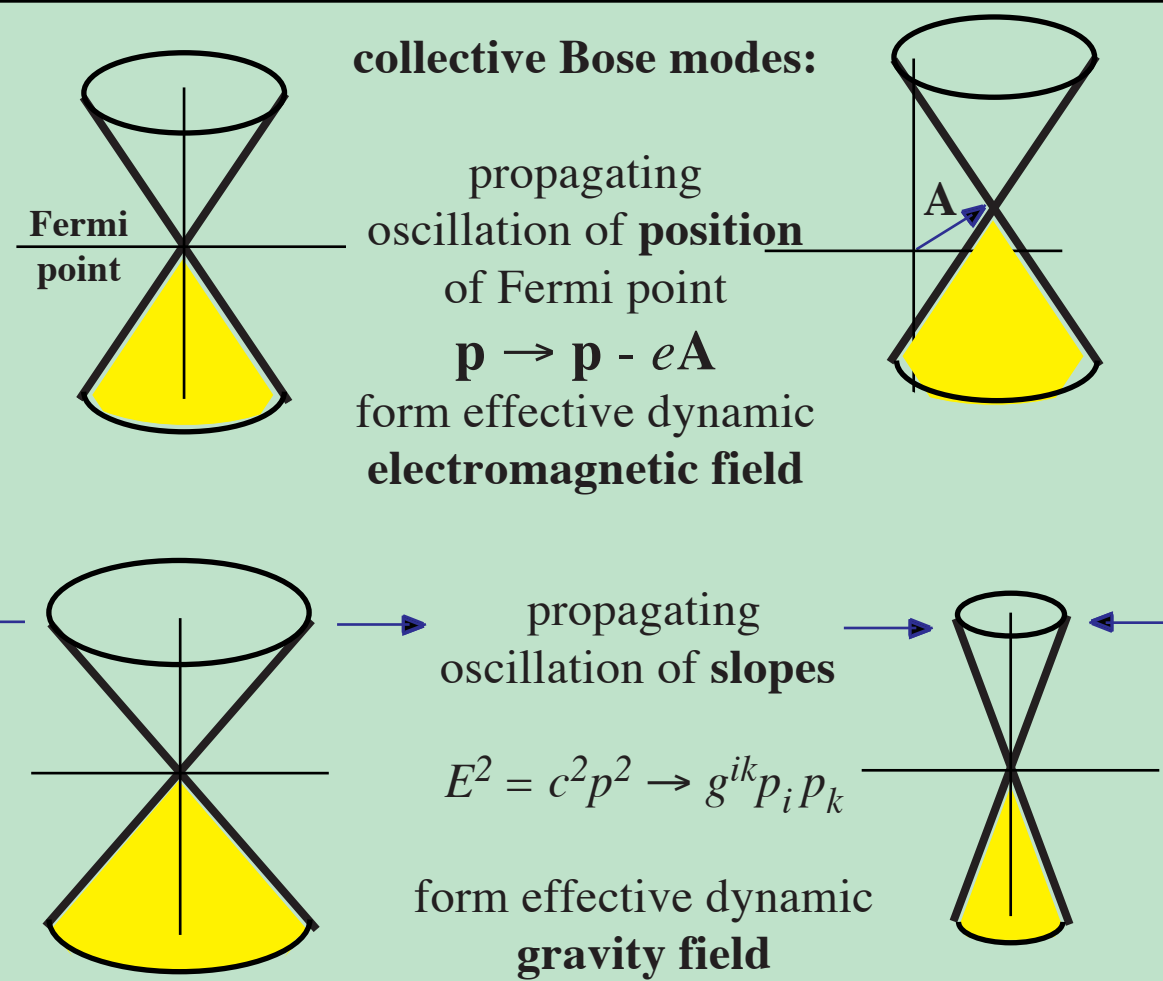


**Fermi surface**

collective Bose modes of fermionic vacuum:  
propagating oscillation of **shape** of Fermi surface

Landau, ZhETF **32**, 59 (1957)

## Standard Model + gravity



collective Bose modes:

propagating oscillation of **position** of Fermi point  
 $\mathbf{p} \rightarrow \mathbf{p} - e\mathbf{A}$   
 form effective dynamic **electromagnetic field**

propagating oscillation of **slopes**  
 $E^2 = c^2 p^2 \rightarrow g^{ik} p_i p_k$   
 form effective dynamic **gravity field**

two generic quantum field theories of interacting bosonic & fermionic fields

# relativistic quantum fields & gravity emerging near Weyl point

Atiyah-Bott-Shapiro construction:

linear expansion of Hamiltonian near the nodes in terms of Dirac  $\Gamma$ -matrices

$$E = v_F (p - p_F)$$

emergent relativity

linear expansion near  
Fermi surface

$$H = e_a^k \Gamma^a \cdot (p_k - p_k^0)$$

linear expansion near  
Weyl point

primary object:

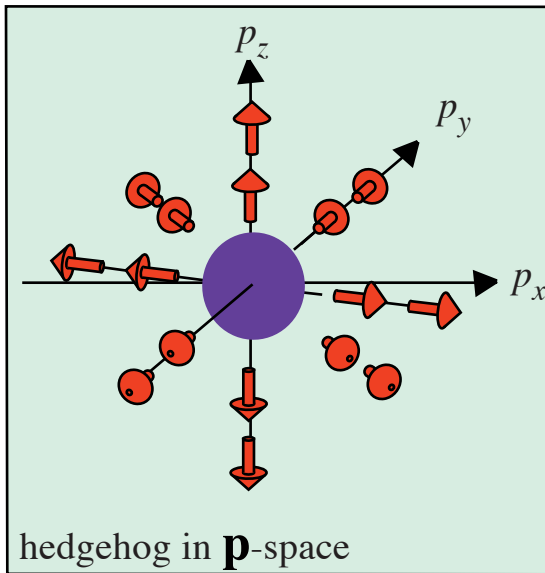
tetrad

$$e_a^\mu$$

secondary object:

metric

$$g^{\mu\nu} = \eta^{ab} e_a^\mu e_b^\nu$$



$$g^{\mu\nu} (p_\mu - eA_\mu - e\tau \cdot \mathbf{W}_\mu) (p_\nu - eA_\nu - e\tau \cdot \mathbf{W}_\nu) = 0$$

effective metric:  
emergent gravity

effective  
 $SU(2)$  gauge  
field

effective  
isotopic spin

effective  
electromagnetic  
field

effective  
electric charge  
 $e = +1$  or  $-1$

**all ingredients of Standard Model :**  
chiral fermions & gauge fields  
emerge in low-energy corner

together with spin, Dirac  $\Gamma$ -matrices, gravity & physical laws:  
Lorentz & gauge invariance, equivalence principle, etc

*gravity & gauge fields  
are collective modes  
of vacua with Weyl point*



# crossover from Landau 2-fluid hydrodynamics to Einstein general relativity

*they represent two different limits of hydrodynamic type equations*

equations for  $g^{\mu\nu}$  depend on hierarchy of ultraviolet cut-off's:  
Planck energy scale  $E_{\text{Planck}}$  vs Lorentz violating scale  $E_{\text{Lorentz}}$



$E_{\text{Planck}} \gg E_{\text{Lorentz}}$   
**emergent Landau  
two-fluid hydrodynamics**

$E_{\text{Planck}} \ll E_{\text{Lorentz}}$   
**emergent general covariance  
& general relativity**



**$^3\text{He-A}$  with Fermi point**

$E_{\text{Lorentz}} \ll E_{\text{Planck}}$   
 $E_{\text{Lorentz}} \sim 10^{-3} E_{\text{Planck}}$

**Universe**

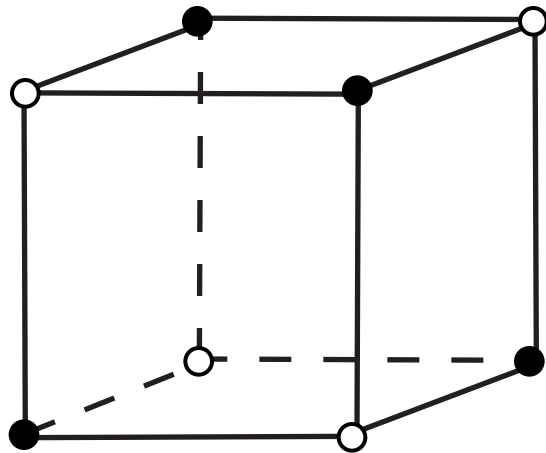
$E_{\text{Lorentz}} \gg E_{\text{Planck}}$   
 $E_{\text{Lorentz}} > 10^9 E_{\text{Planck}}$

# quantum vacuum as crystal



# 4D graphene

Michael Creutz JHEP 04 (2008) 017



- Fermi (Dirac) points with  $N_3 = +1$
- Fermi (Dirac) points with  $N_3 = -1$



## topology of graphene nodes

$$N = \frac{1}{4\pi i} \text{tr} [\mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H}]$$

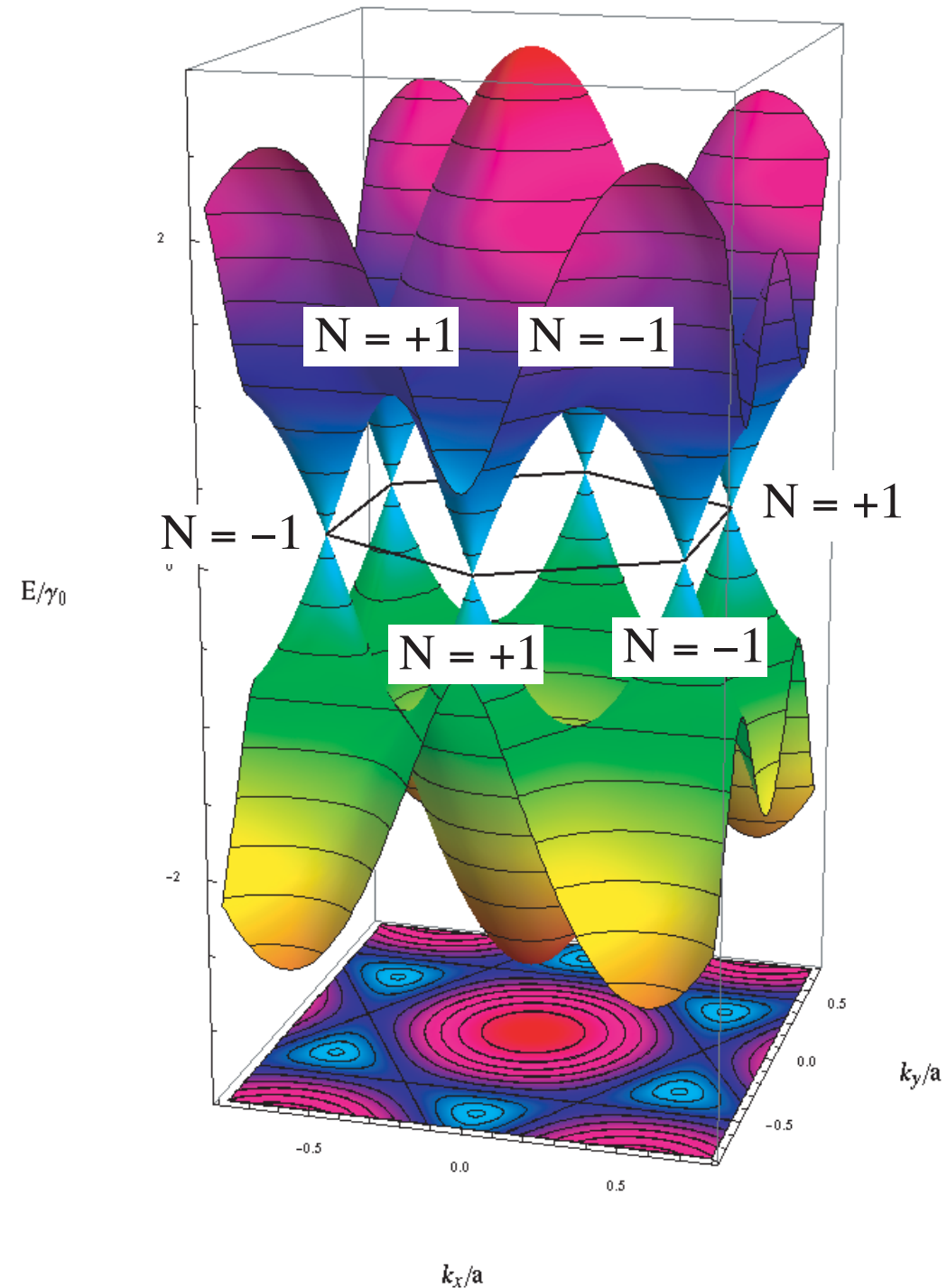
$\mathbf{K}$  - symmetry operator,  
commuting or anti-commuting with  $\mathbf{H}$

close to nodes:

$$\mathbf{H}_{N=+1} = \tau_x p_x + \tau_y p_y$$

$$\mathbf{H}_{N=-1} = \tau_x p_x - \tau_y p_y$$

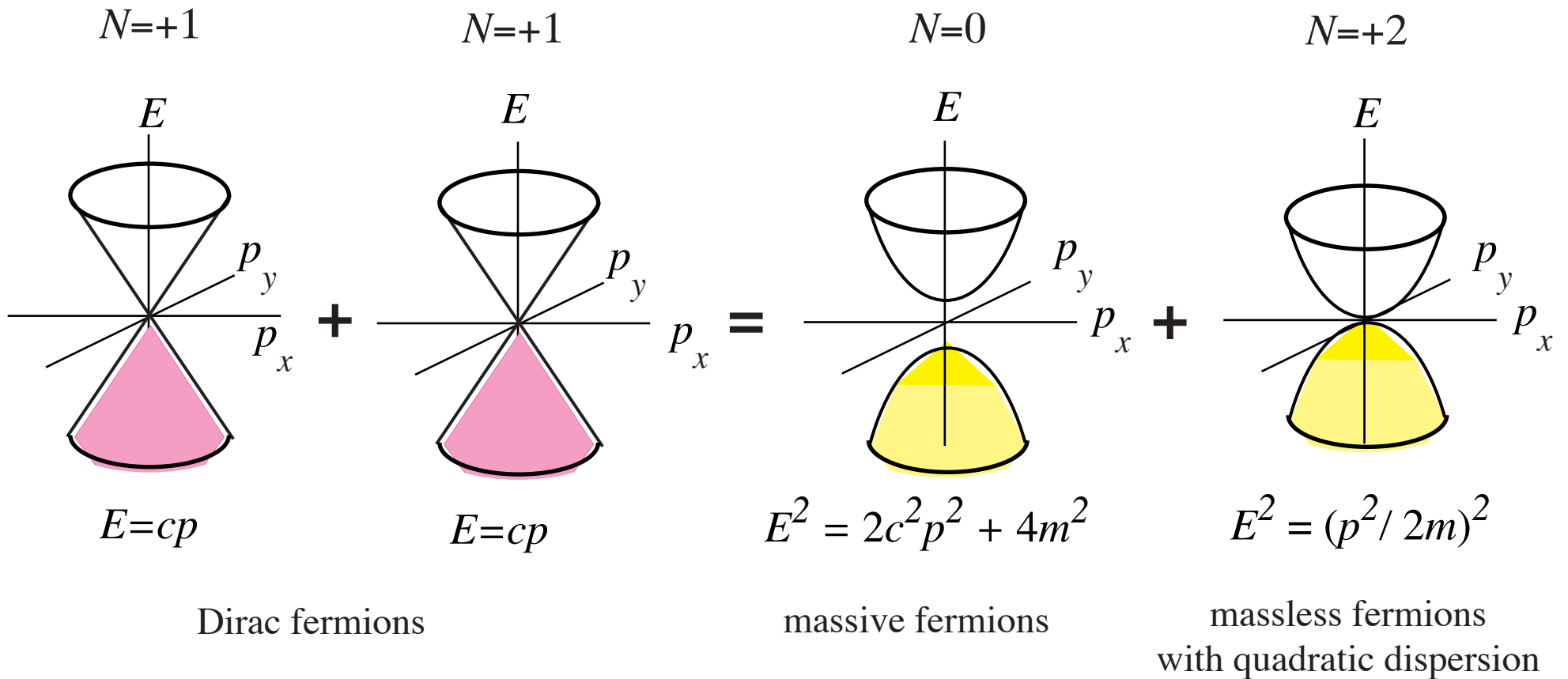
$$\mathbf{K} = \tau_z$$



**exotic fermions:**  
**massless fermions with quadratic dispersion**  
**semi-Dirac fermions**  
**fermions with cubic and quartic dispersion**

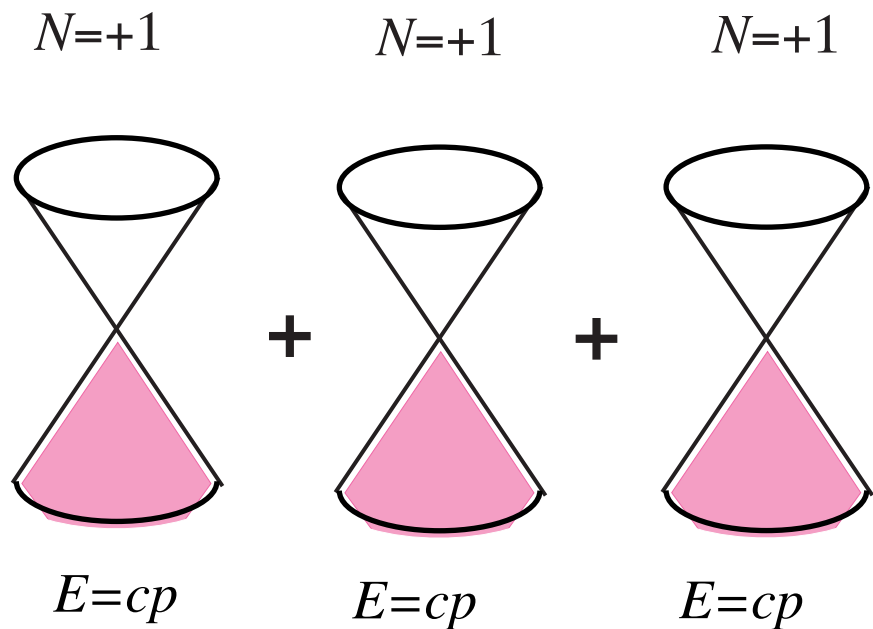
**bilayer graphene**  
**double cuprate layer**  
**surface of top. insulator**  
**neutrino physics**

$$N = \frac{1}{4\pi i} \text{tr} \left[ \mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

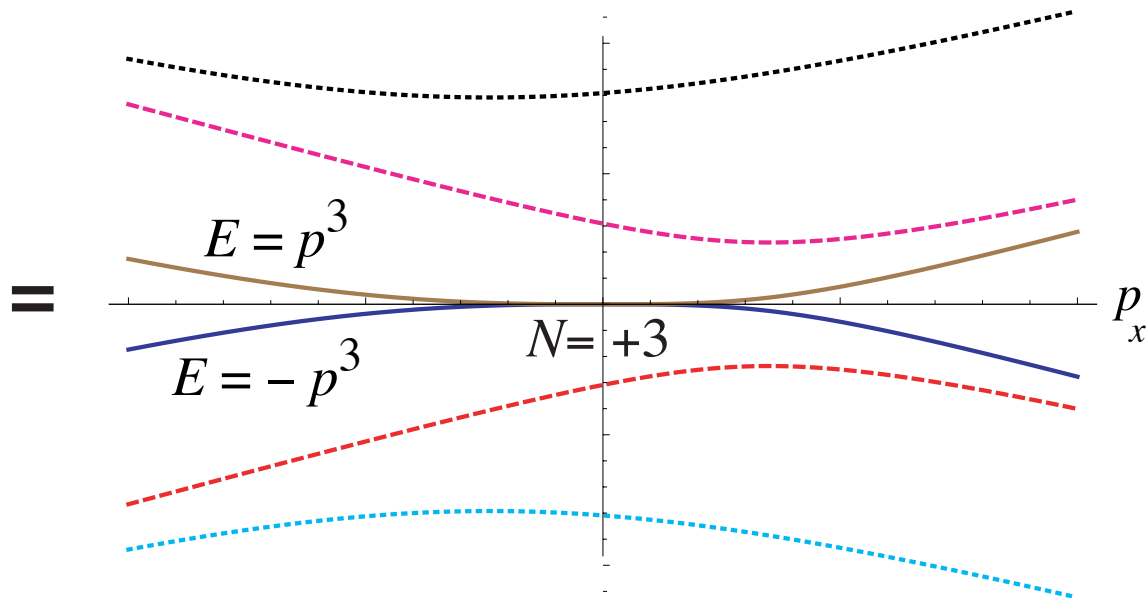


# multiple Fermi point

## cubic spectrum in trilayer graphene



$$N = 1 + 1 + 1 = 3$$



## multilayered graphene

$$N = 1 + 1 + 1 + \dots$$

## spectrum in the outer layers

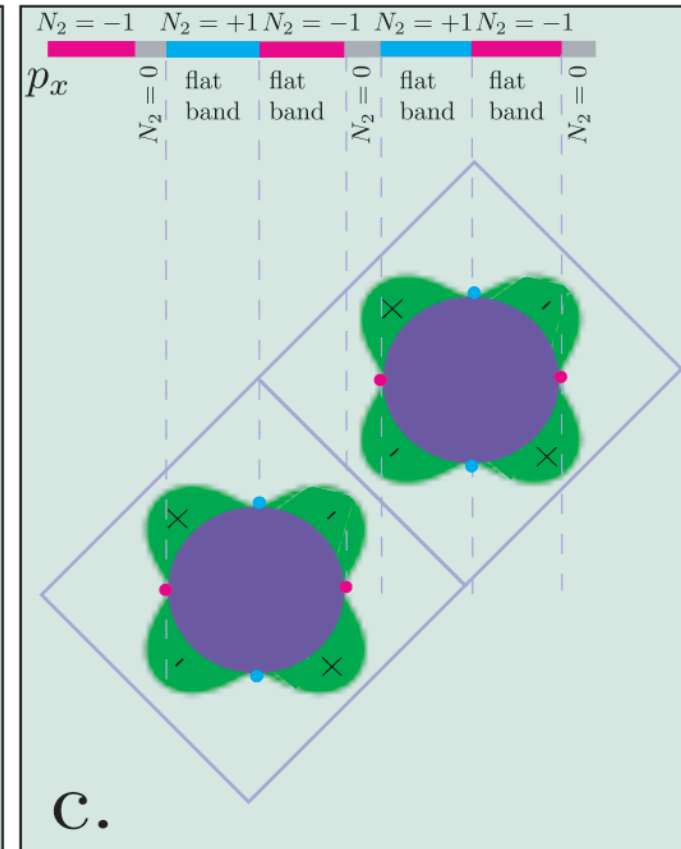
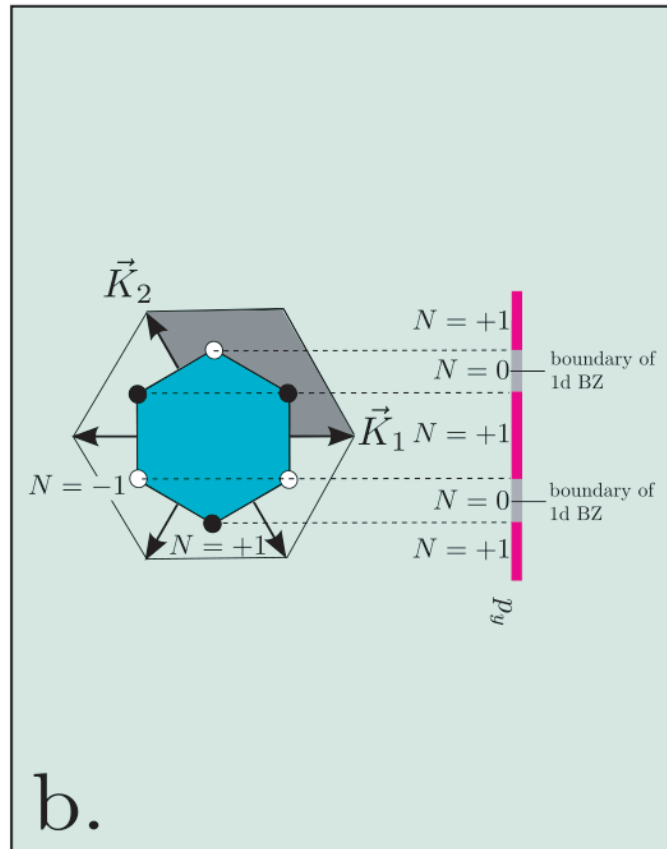
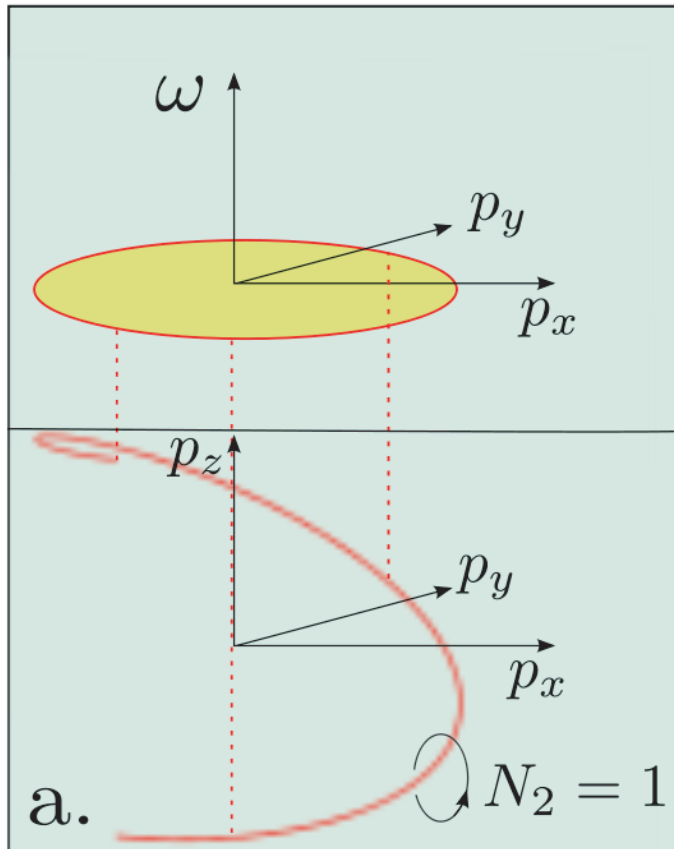
$$E = p^N$$
$$E = -p^N$$

*what kind of induced gravity emerges near degenerate Fermi point?*



route to topological flat band on the surface of 3D material

# Flat bands in topological matter



nodal spiral in multilayered graphene  
generates flat band with zero energy  
in the top and bottom layers

Hekilla, Kopnin, GV

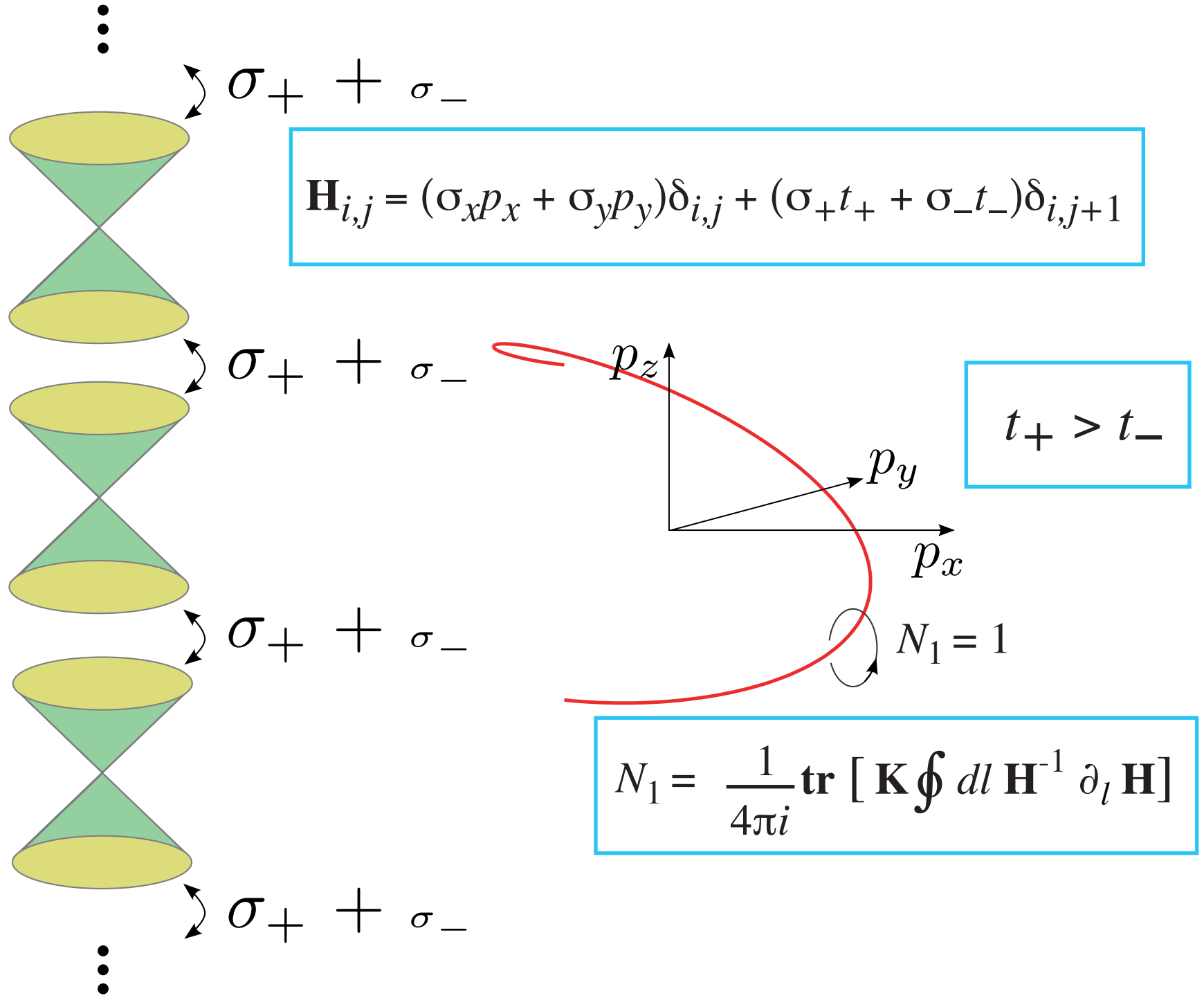
nodes in graphene  
generate flat band on zigzag edge

nodal lines  
in cuprate superconductors  
generate flat band on side surface

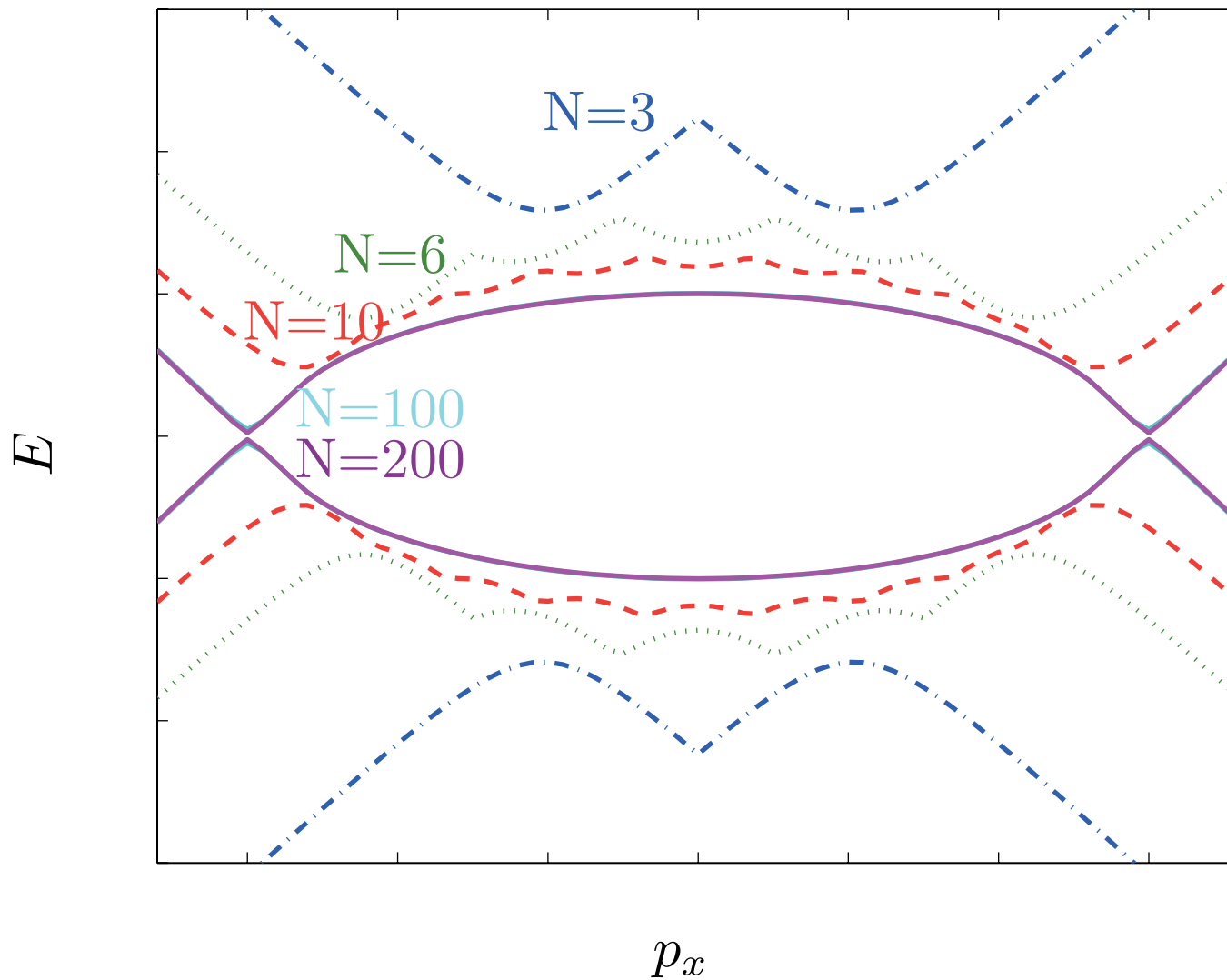
Shinsei Ryu

approximate flat band on side surface  
of graphite

formation of nodal spiral in bulk (together with flat band on the surface)  
by stacking of graphene layers

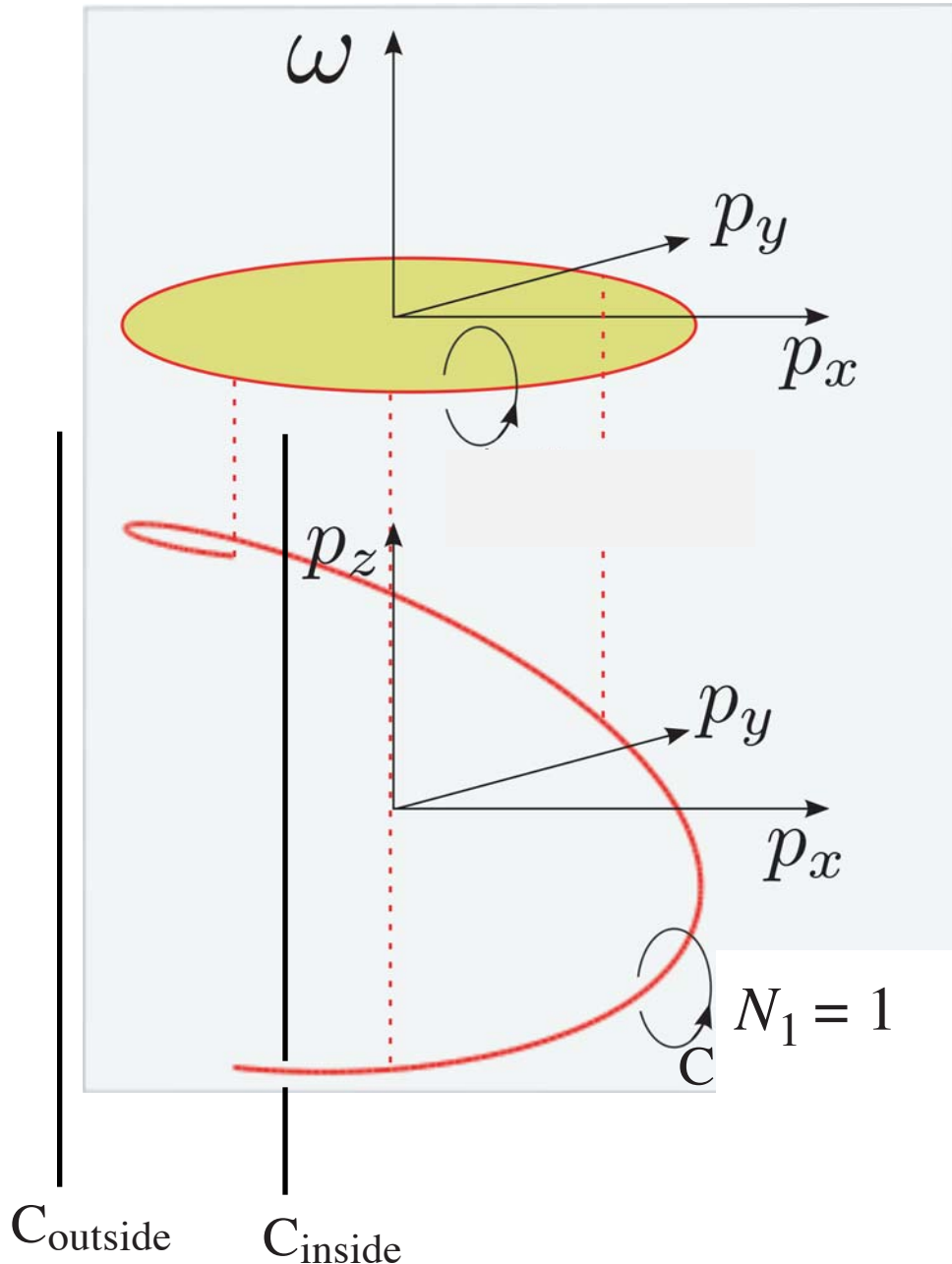


# Emergence of nodal line from gapped branches by stacking graphene layers



# Nodal spiral generates flat band on the surface

projection of spiral on the surface determines boundary of flat band



$$N_1 = \frac{1}{4\pi i} \text{tr} \left[ \mathbf{K} \oint_C dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

at each  $(p_x, p_y)$  except the boundary of circle one has 1D gapped state (insulator)

$N_{\text{outside}} = 0$  trivial 1D insulator

$N_{\text{inside}} = 1$  topological 1D insulator

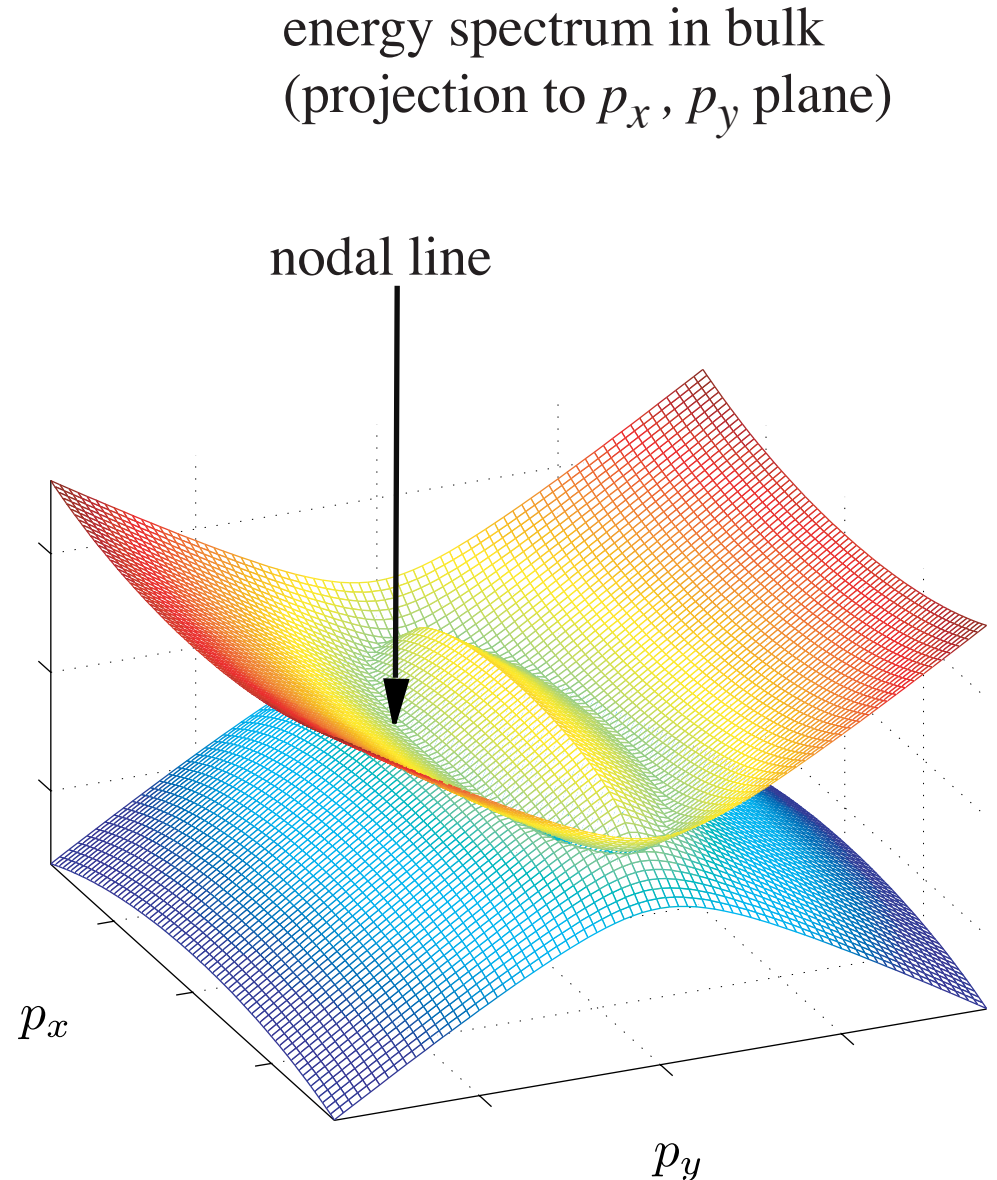
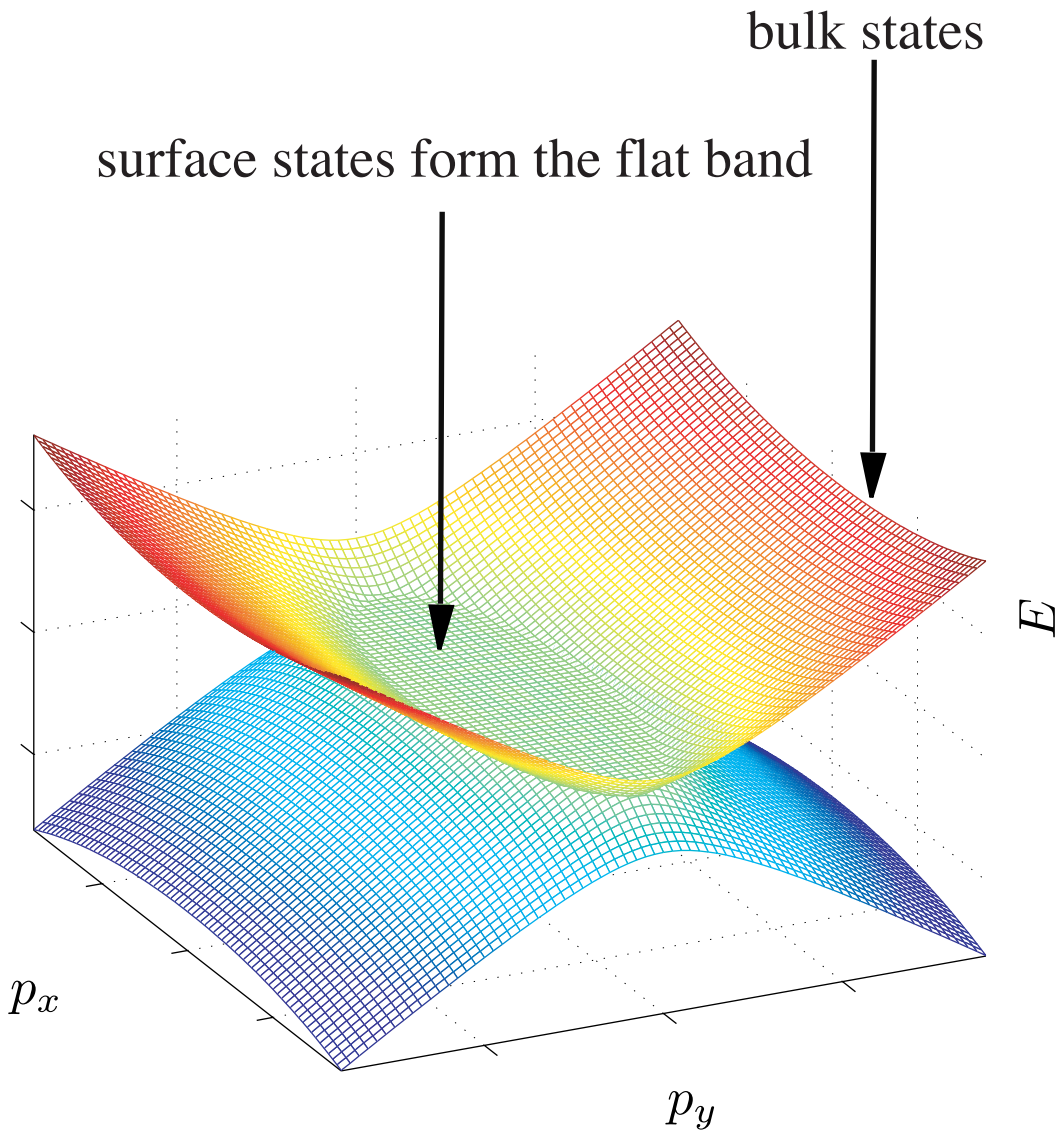
at each  $(p_x, p_y)$  inside the circle one has 1D gapless edge state  
this is flat band



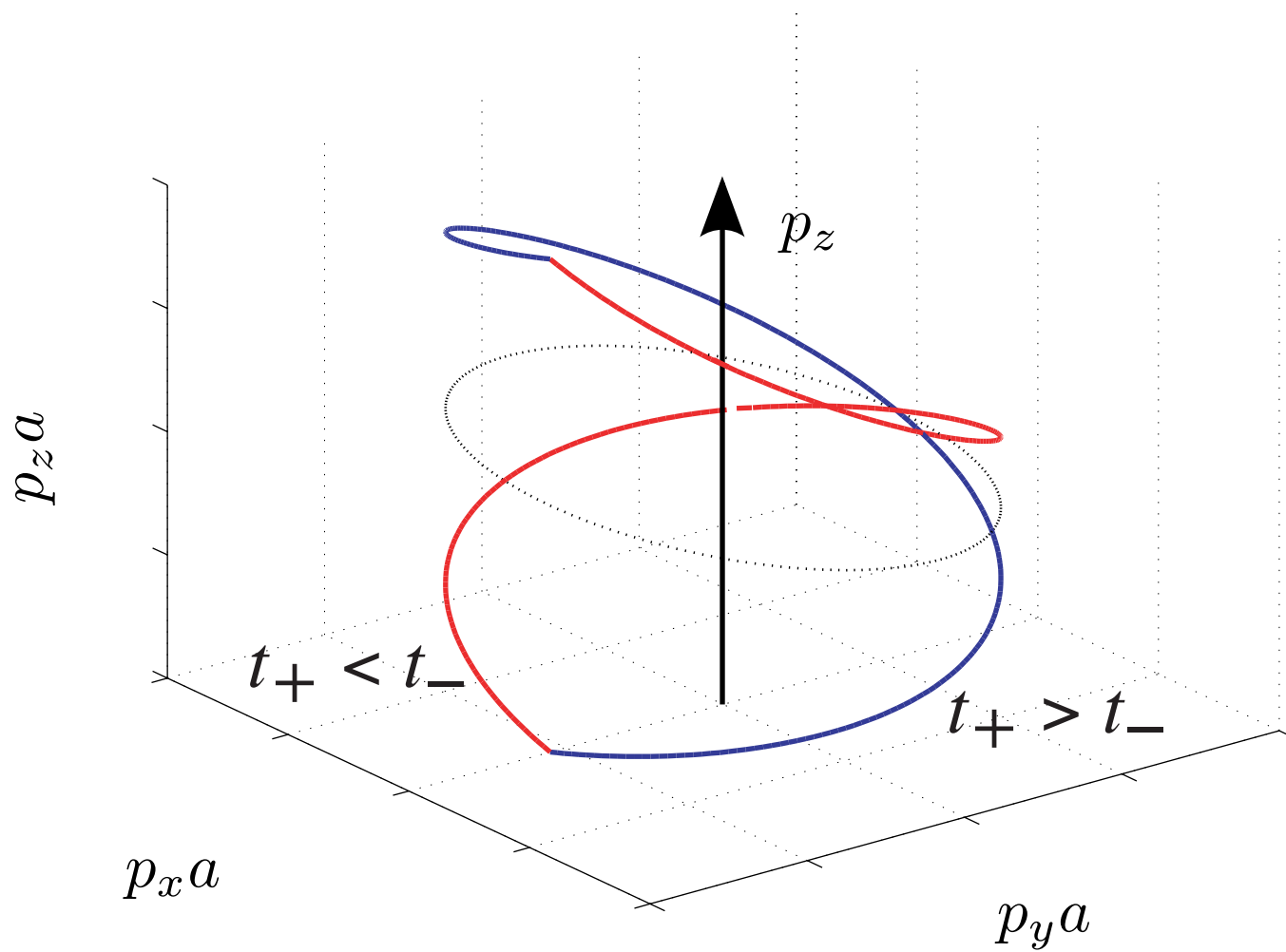
# Nodal spiral generates flat band on the surface

projection of nodal spiral on the surface determines boundary of flat band

lowest energy states:



# Helicity of nodal spiral



# Modified nodal spiral in rhombohedral graphite: spiral of Fermi surfaces (McClure 1969)

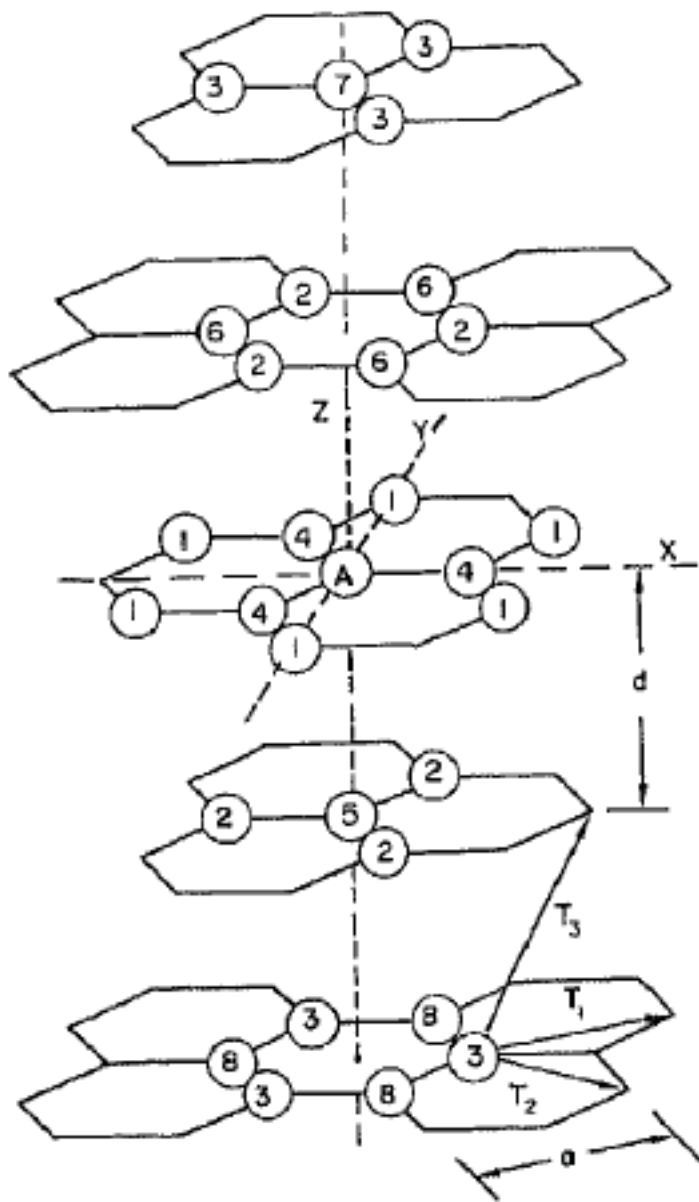


Fig. 1. The crystal lattice of rhombohedral graphite. The numbering of the groups of neighbors of the central *A* atom is explained in the text.

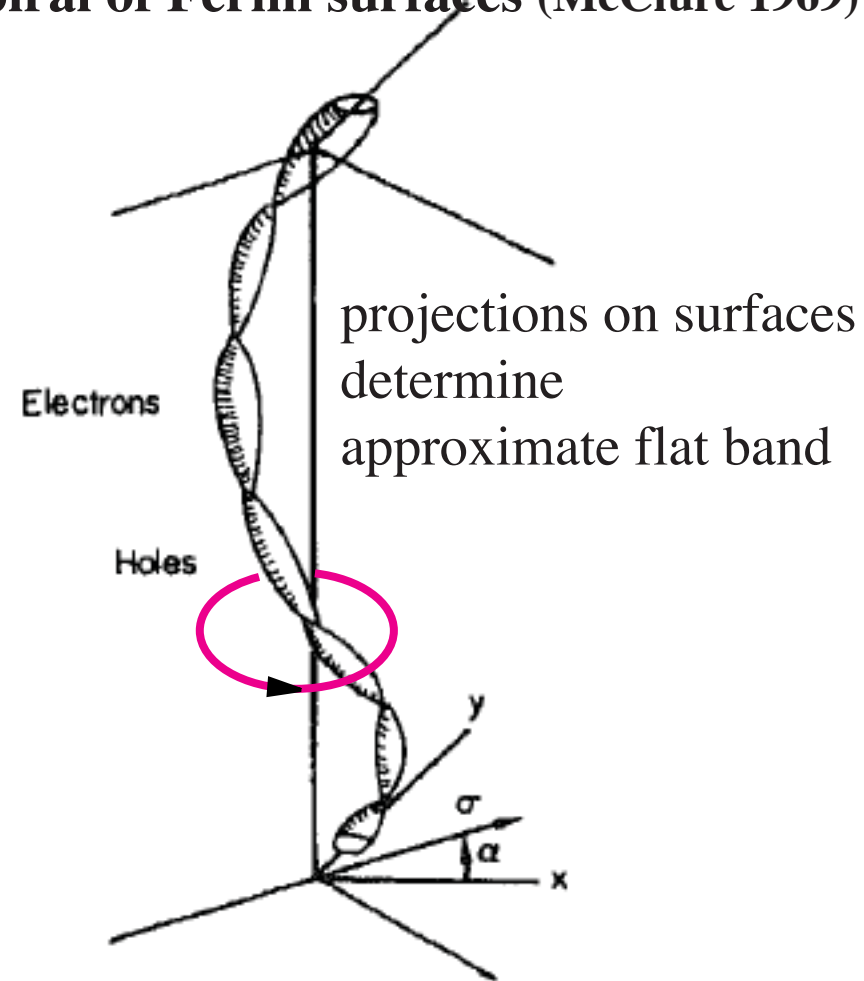
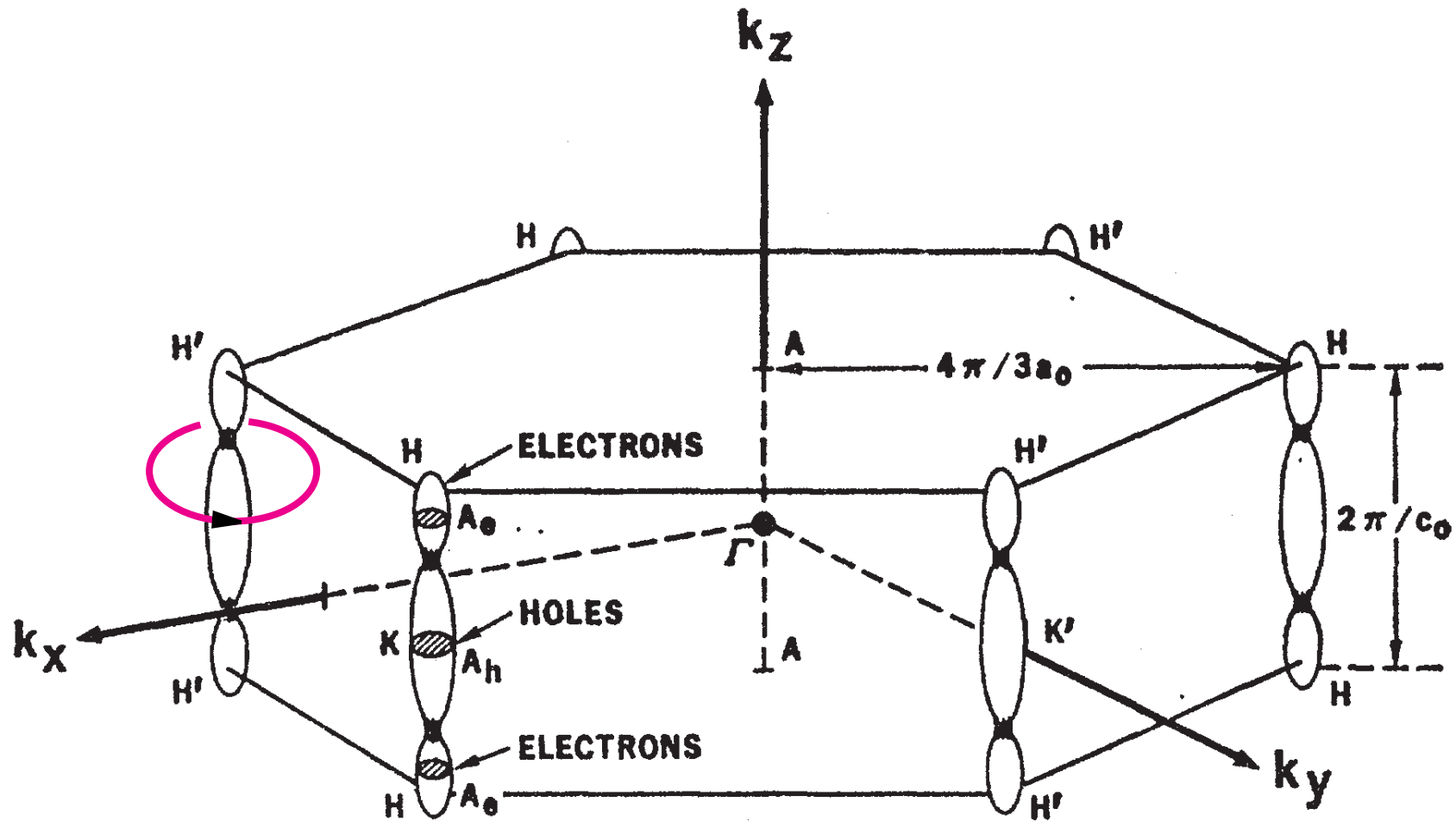


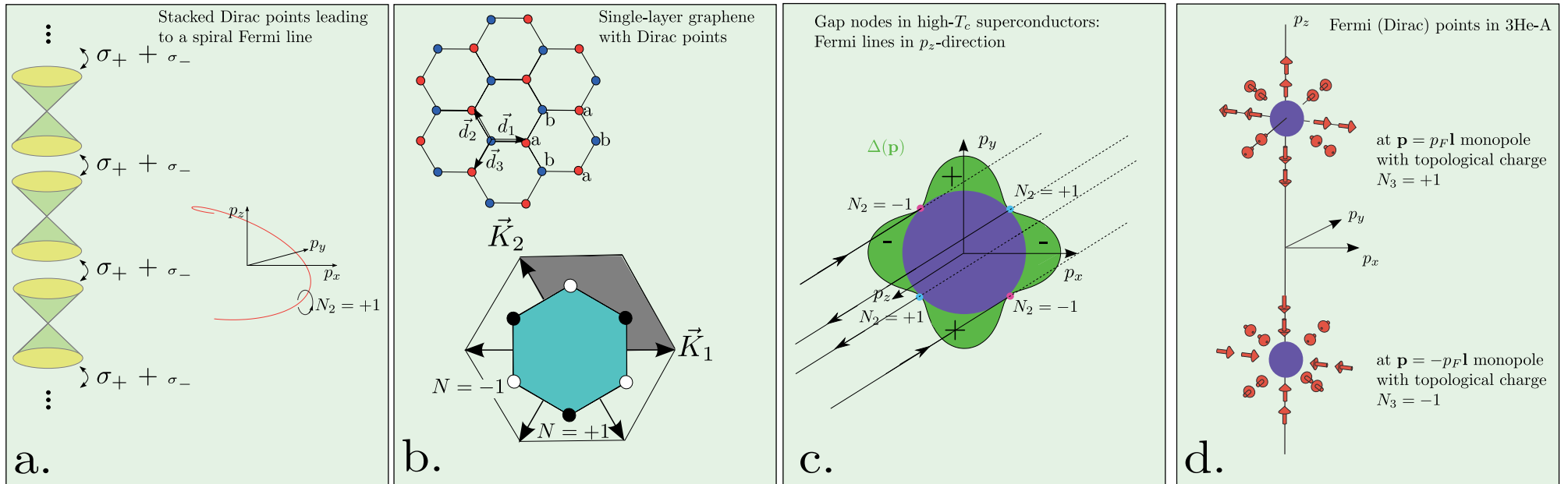
Fig. 2. The Fermi surface of rhombohedral graphite. The surface is centered on one of the six vertical zone edges. The widths of the surfaces have been magnified by more than an order of magnitude.

# Nodal lines in graphite transformed to chain of electron and hole FS



for conventional graphite:  
approximate flat band  
on the lateral surface

# Gapless topological matter with protected flat band on surface or in vortex core



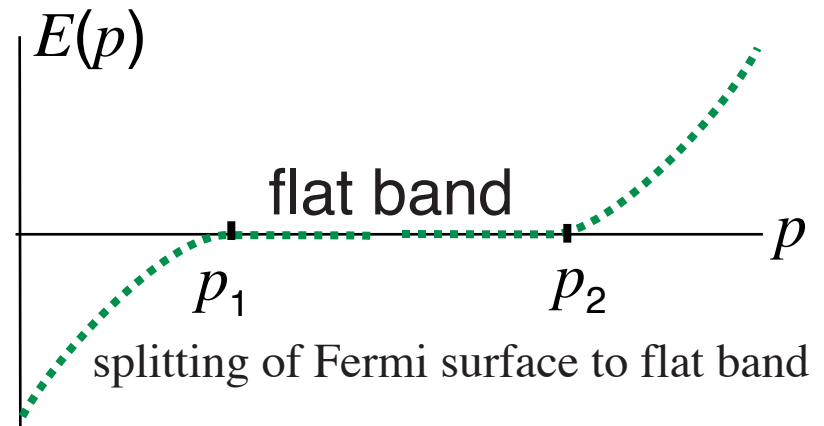
## non-topological flat bands due to interaction

### *Khodel-Shaginyan fermion condensate*

JETP Lett. **51**, 553 (1990)

GV, JETP Lett. **53**, 222 (1991)

Nozieres, J. Phys. (Fr.) **2**, 443 (1992)



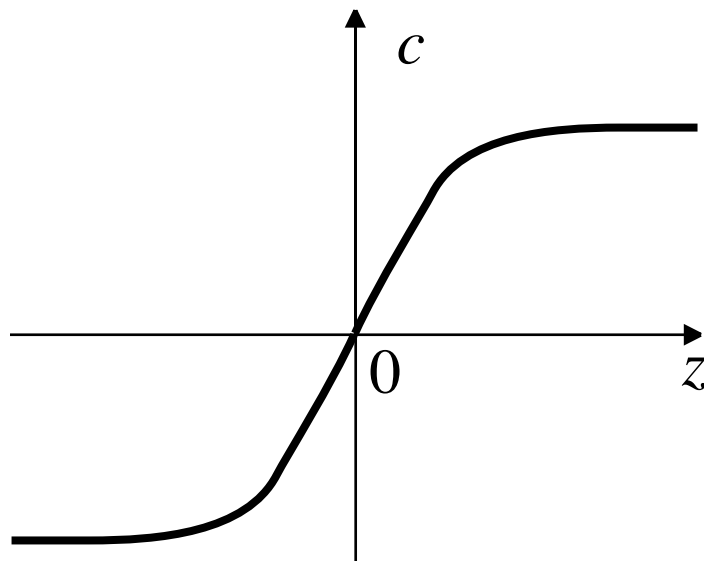
## flat band in soliton

$$H = \tau_3 (p_x^2 + p_z^2 - p_F^2) / 2m + \tau_1 c(z) p_z$$

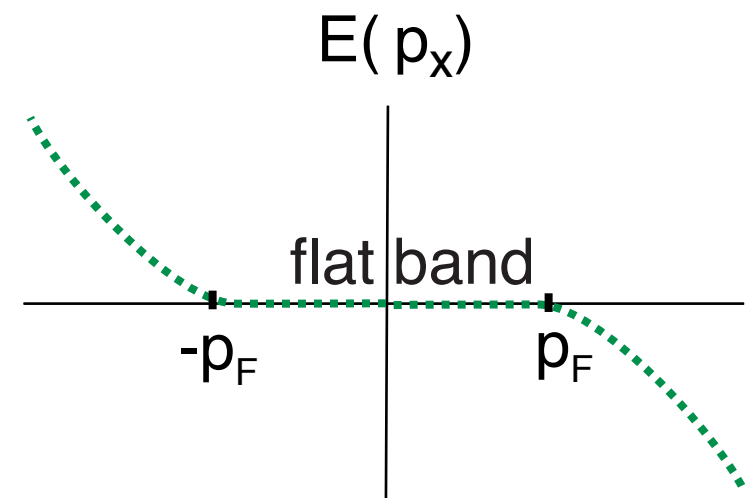
nodes at  $p_z = 0$  and  $p_x^2 = p_F^2$

$$N = \frac{1}{4\pi i} \text{tr} \left[ \mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

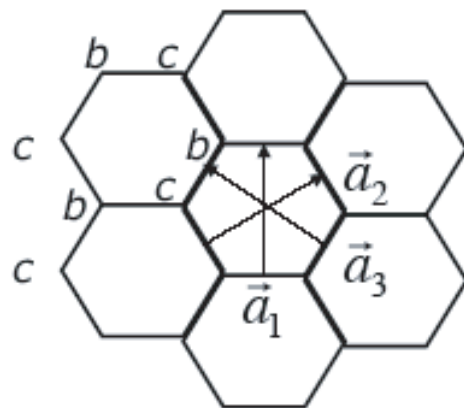
soliton



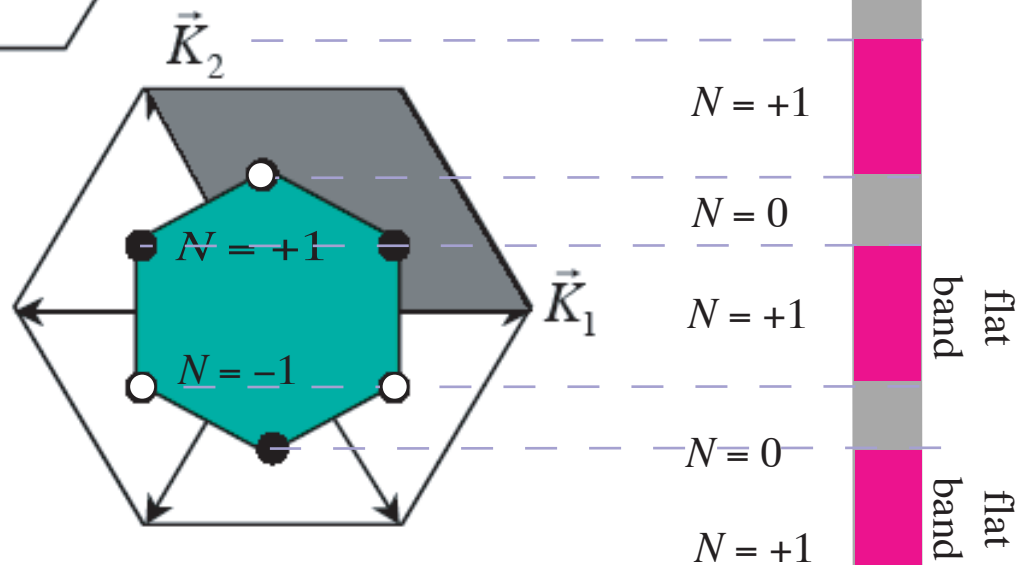
spectrum in soliton



# Flat band on the graphene edge

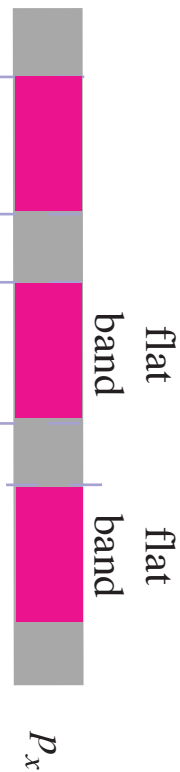
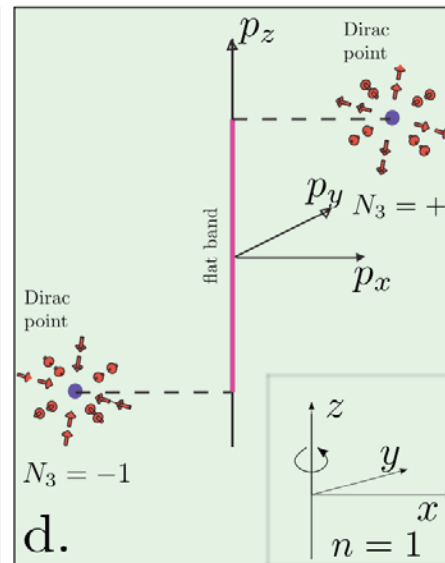
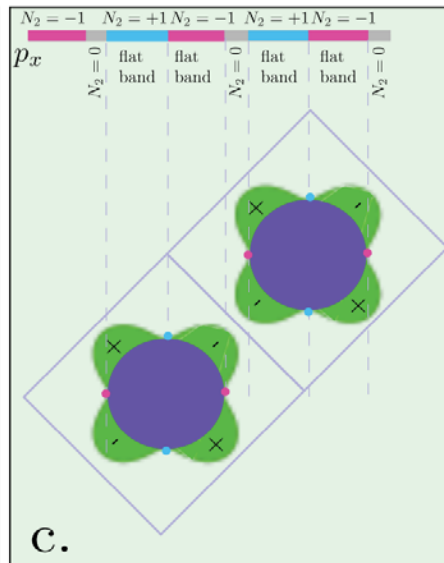
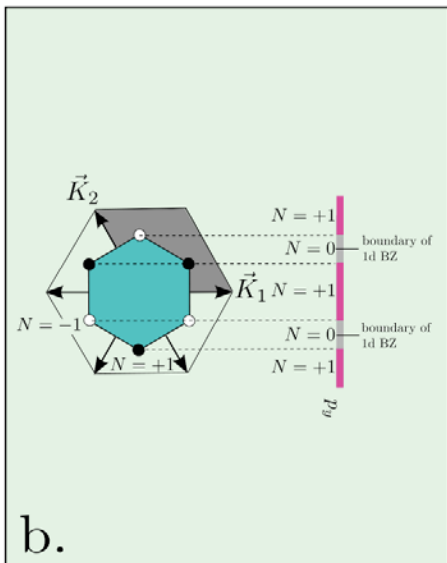
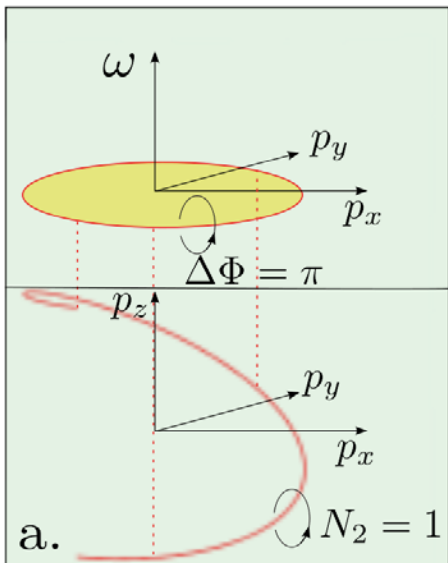


$$N = \frac{1}{4\pi i} \text{tr} \left[ \mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$



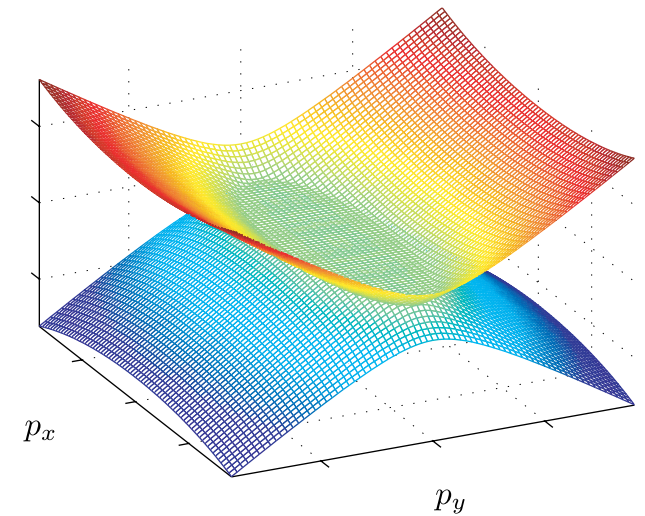
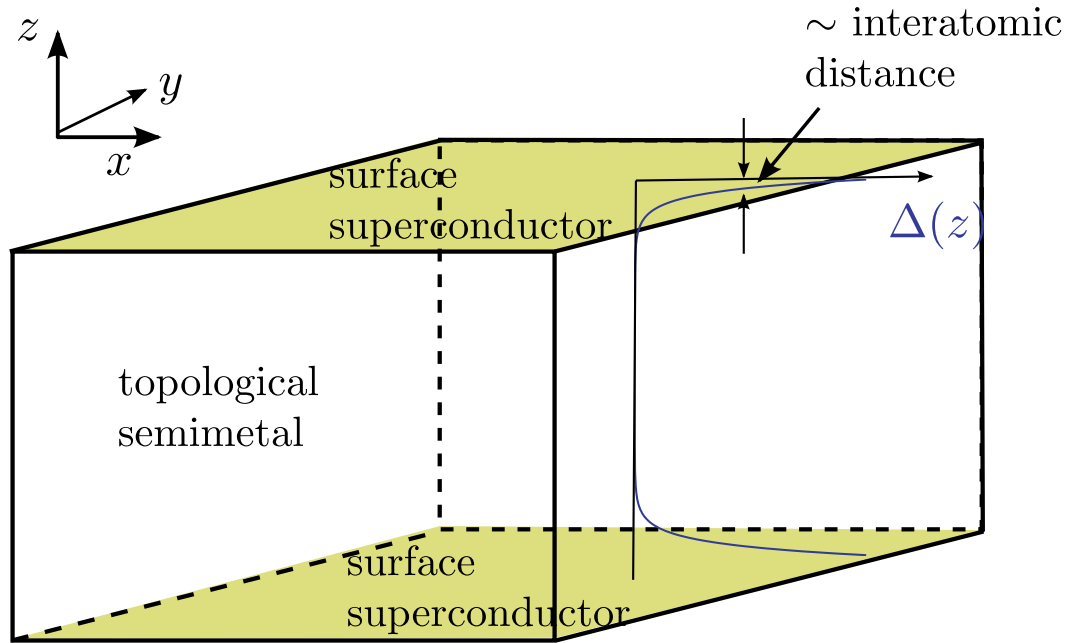
flat band: half-quantum vortex in  $\mathbf{p}$ -space

flat band in the vortex core





# Surface superconductivity in topological semimetals: route to room temperature superconductivity



## Extremely high DOS of flat band gives high transition temperature:

normal superconductors:  
exponentially suppressed  
transition temperature

$$1 = g \int \frac{d^2 p}{2h^2} \frac{1}{E(p)}$$

flat band superconductivity:  
linear dependence  
of  $T_c$  on coupling  $g$

$$T_c = T_F \exp(-1/g\nu)$$

*interaction* ↑ ↑ *DOS*

"Recent studies of the correlations between the internal microstructure of the samples and the transport properties suggest that superconductivity might be localized at the interfaces between crystalline graphite regions of different orientations, running parallel to the graphene planes." PRB. 78, 134516 (2008)

$$T_c \sim g S_{\text{FB}}$$

*interaction* ↑ ↑ *flat band area*





# Stripes of increased diamagnetic susceptibility in underdoped superconducting $\text{Ba}(\text{Fe}_{1-x}\text{Co}_x)_2\text{As}_2$ single crystals: Evidence for an enhanced superfluid density at twin boundaries

B. Kalisky,<sup>1,2,\*</sup> J. R. Kirtley,<sup>1,2,3</sup> J. G. Analytis,<sup>1,2,4</sup> Jiun-Haw Chu,<sup>1,2,4</sup> A. Vailionis,<sup>1,4</sup>  
I. R. Fisher,<sup>1,2,4</sup> and K. A. Moler<sup>1,2,4,5,\*</sup>‡

Kathryn Moler:  
possible 2D superconductivity of twin boundaries

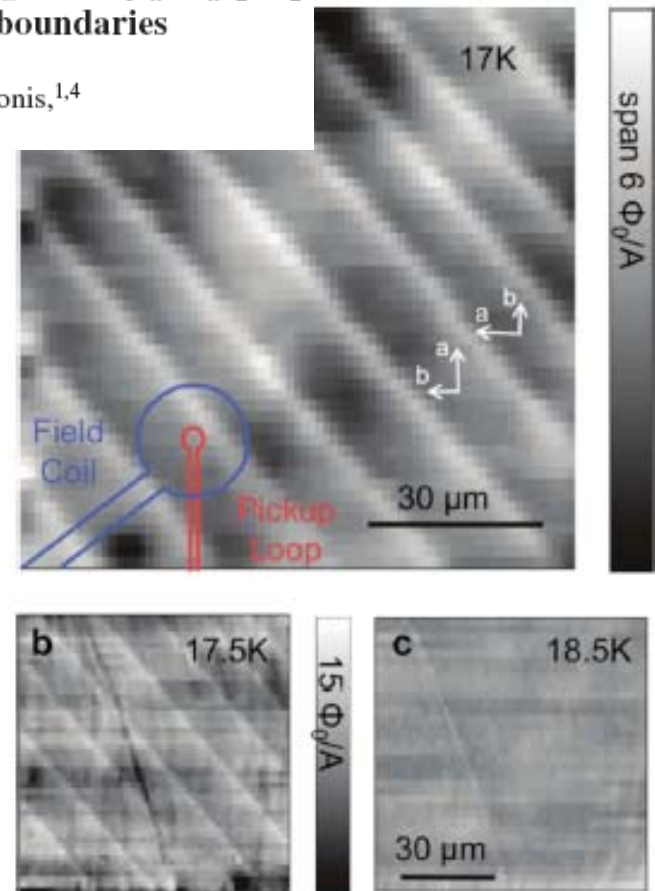
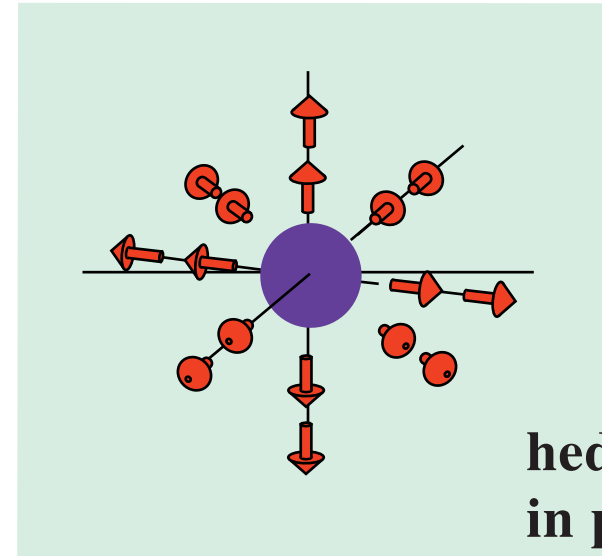
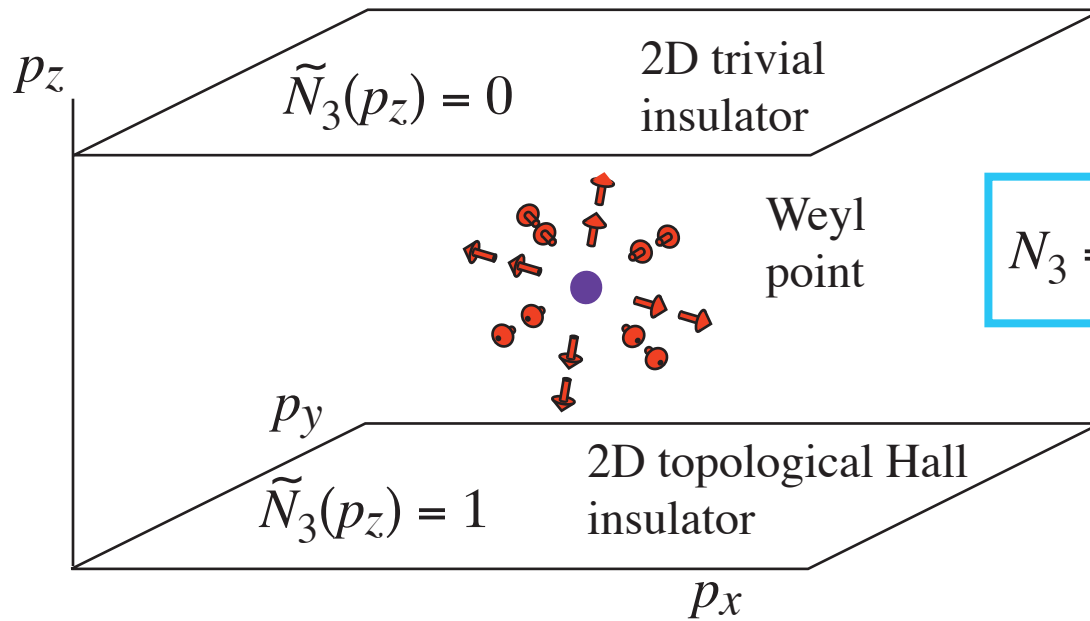


FIG. 1. (Color online) Local susceptibility image in underdoped  $\text{Ba}(\text{Fe}_{1-x}\text{Co}_x)_2\text{As}_2$ , indicating increased diamagnetic shielding on twin boundaries. (a) Local diamagnetic susceptibility, at  $T=17$  K, of the  $ab$  face of sample UD1 ( $x=0.051$  and  $T_c=18.25$  K), showing stripes of enhanced diamagnetic response (white). In addition there is a mottled background associated with local  $T_c$  variations that becomes more pronounced as  $T \rightarrow T_c$ . Overlay: sketch of the scanning SQUID's sensor. The size of the pickup loop sets the spatial resolution of the susceptibility images. [(b) and (c)] Images of the same region at (b)  $T=17.5$  K and (c) at  $T=18.5$  K show that the stripes disappear above  $T_c$ . A topographic feature (scratch) appears in (b) and (c).

# From Weyl point to quantum Hall topological insulators

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int_{\text{over 2D surface S in 3D momentum space}} dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

top. invariant for Weyl point in 3+1 system



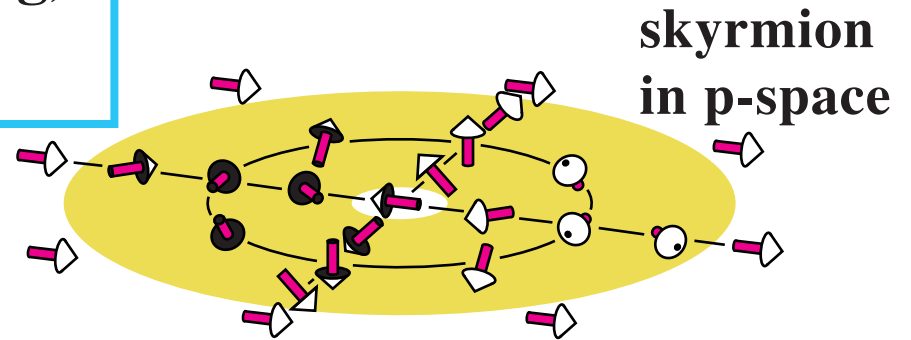
hedgehog in p-space

$$N_3 = \tilde{N}_3(p_z < p_0) - \tilde{N}_3(p_z > p_0)$$

at each  $p_z$  one has 2D insulator or fully gapped 2D superfluid

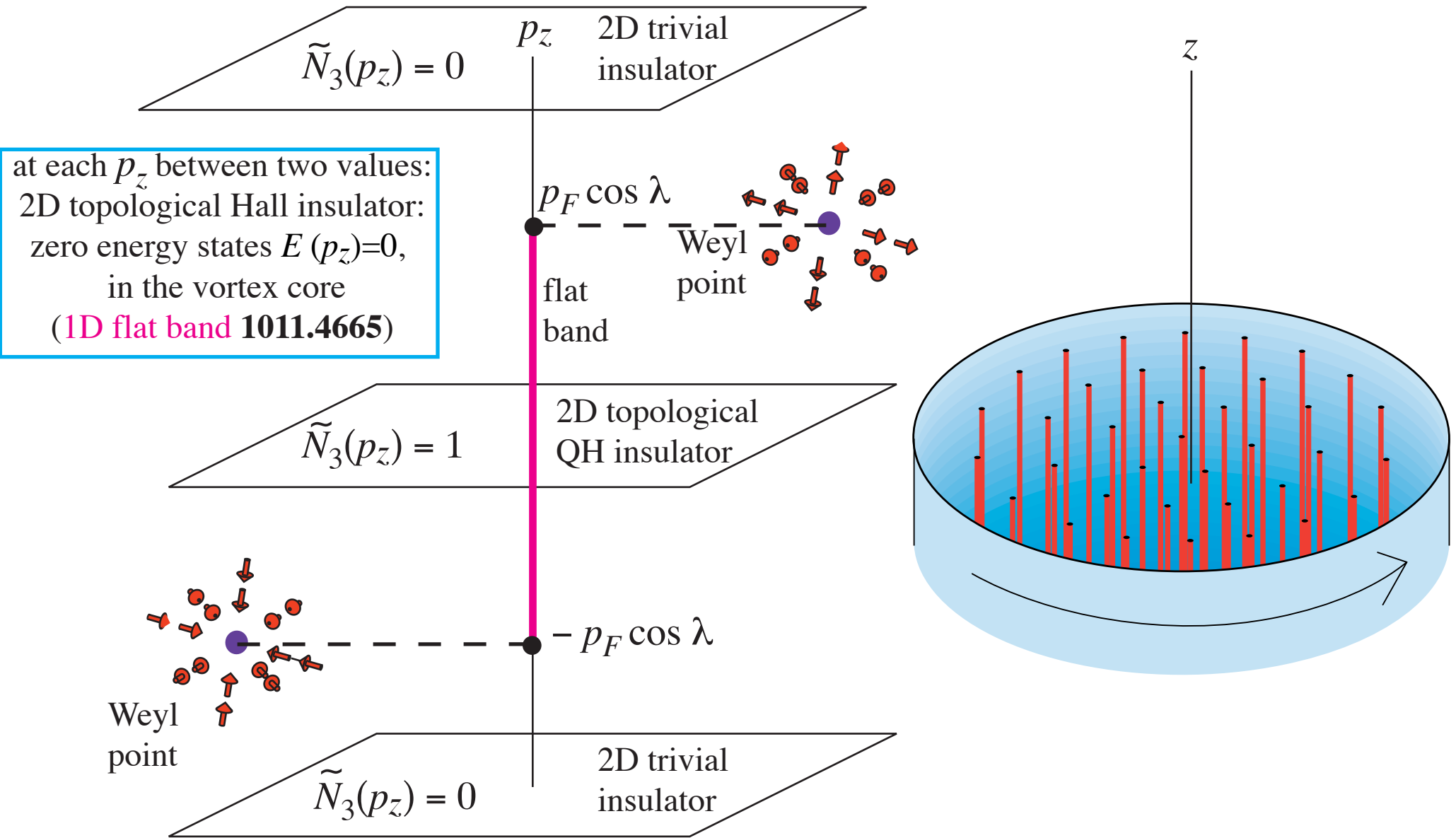
$$\tilde{N}_3(p_z) = \frac{1}{4\pi} \int_{\text{over the whole 2D momentum space or over 2D Brillouin zone}} dp_x dp_y \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

top. invariant for fully gapped 2+1 system



skyrmion in p-space

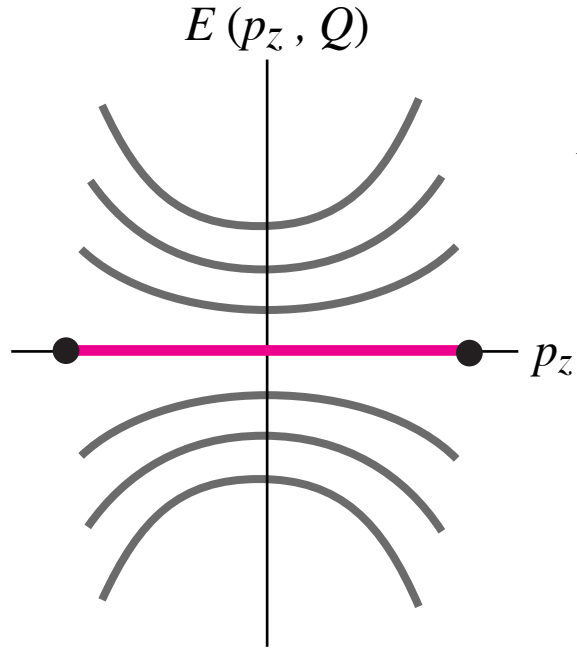
# 3D matter with Weyl points: Topologically protected flat band in vortex core



$$\tilde{N}_3(p_z) = \frac{1}{4\pi^2} \text{tr} \int dp_x dp_y d\omega \mathbf{G} \partial_\omega \mathbf{G}^{-1} \mathbf{G} \partial_{p_x} \mathbf{G}^{-1} \mathbf{G} \partial_{p_y} \mathbf{G}^{-1}$$

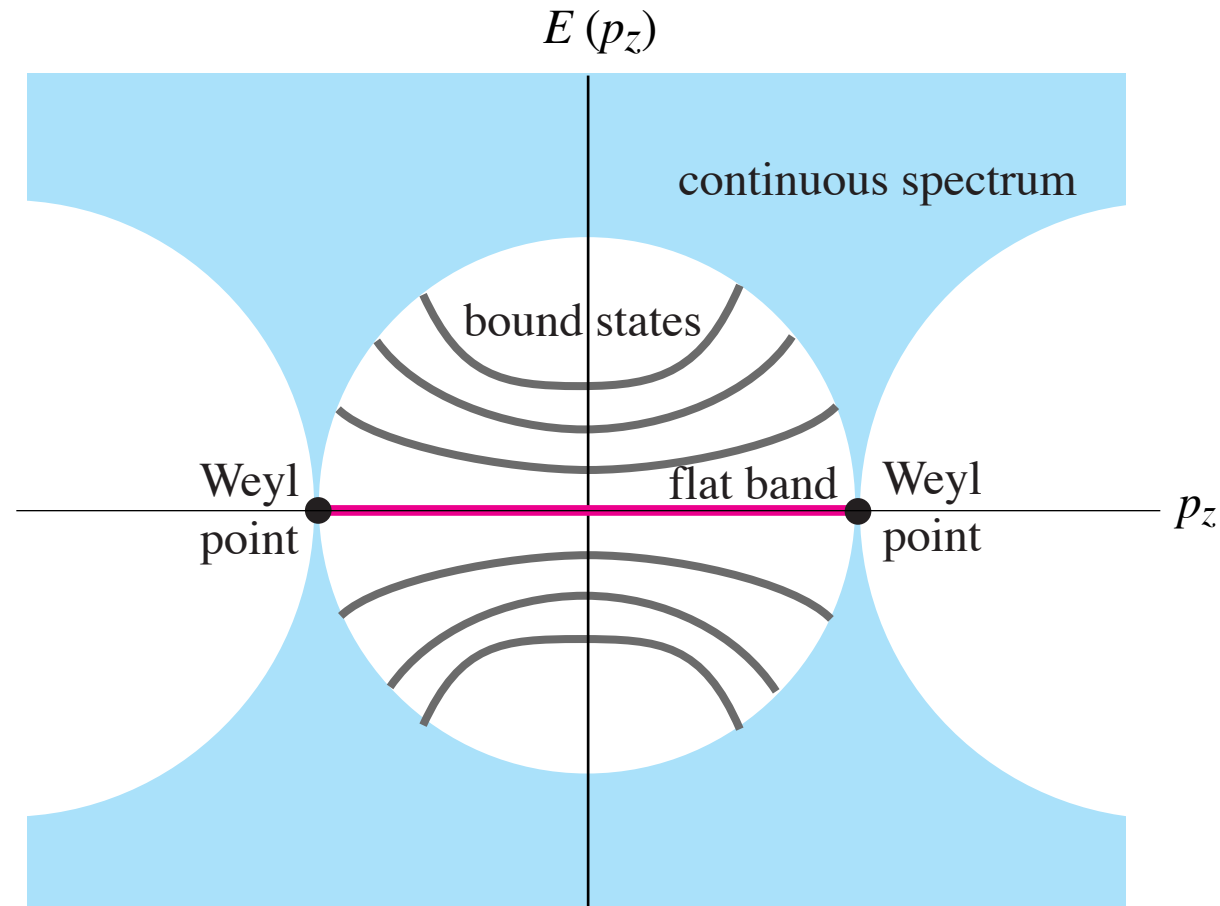
GV & Yakovenko  
(1989)

# Topologically protected flat band in vortex core of superfluids with Weyl points



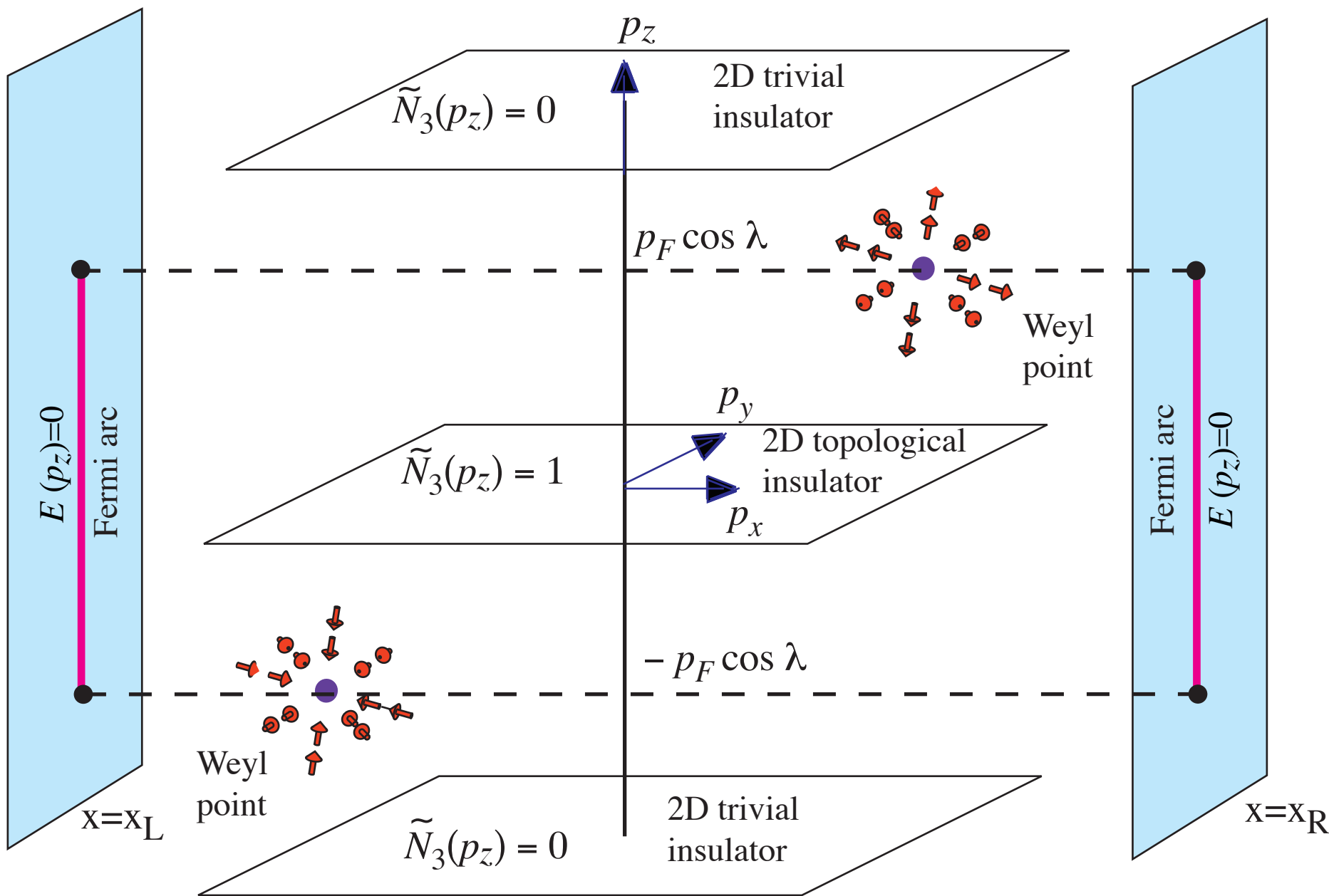
flat band  
in spectrum of fermions  
bound to core of  $^3\text{He-A}$  vortex  
(Kopnin-Salomaa 1991)

flat band of bound states  
terminates on zeroes  
of continuous spectrum  
(i.e on Weyl points)

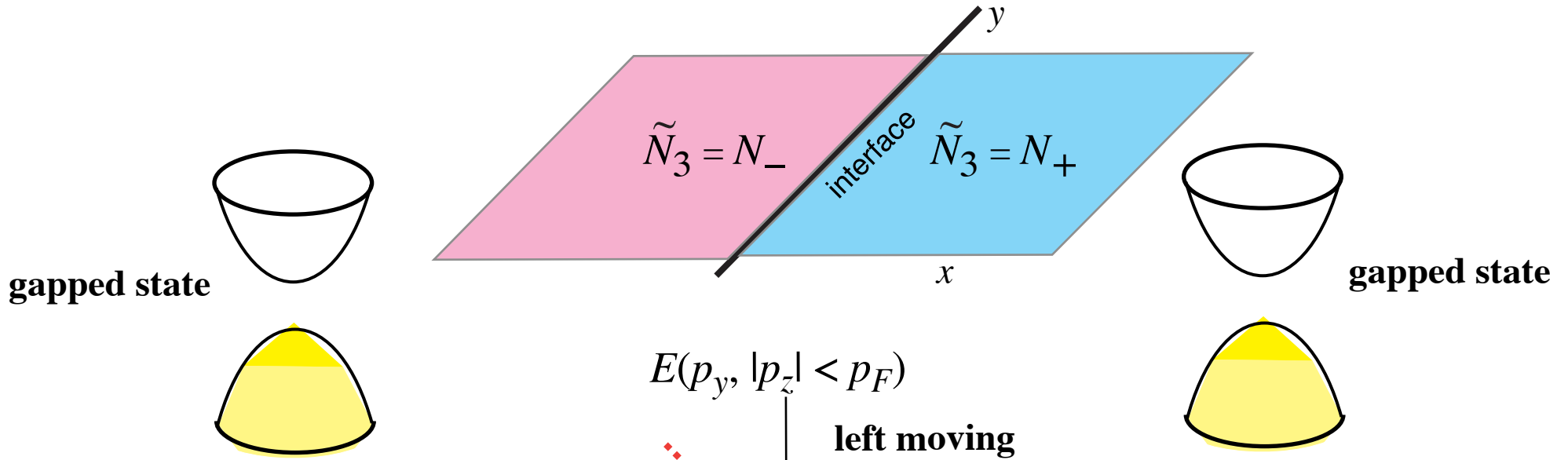


**3He-A with Weyl points:  
Topologically protected  
Dirac valley (Fermi arc) on surface**

for each  $|p_z| < p_F \cos \lambda$   
one has 2D topological Hall insulator with  
zero energy edge states  $E(p_z)=0$   
(Dirac valley PRB 094510 or Fermi arc PRB 205101)



# Edge states at interface between effective two 2+1 topological insulators & Fermi arc



**Index theorem:  
number of fermion zero modes  
at interface:**

$$\nu = N_+ - N_-$$

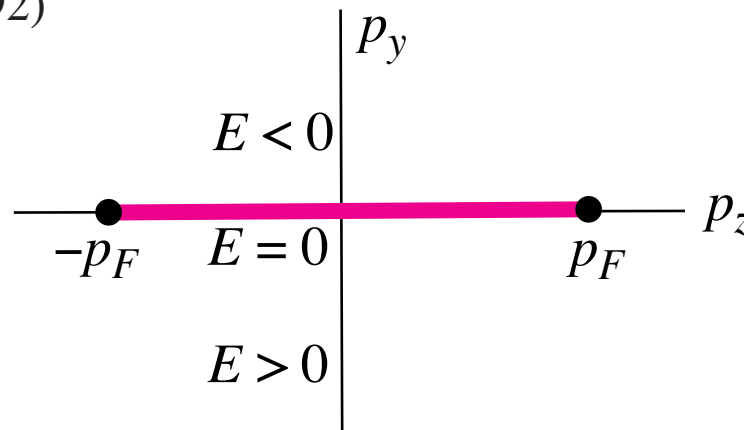
**on the edge of insulator with**

$$\tilde{N}_3 = 1$$

**one fermion zero mode**

$$\nu = 1$$

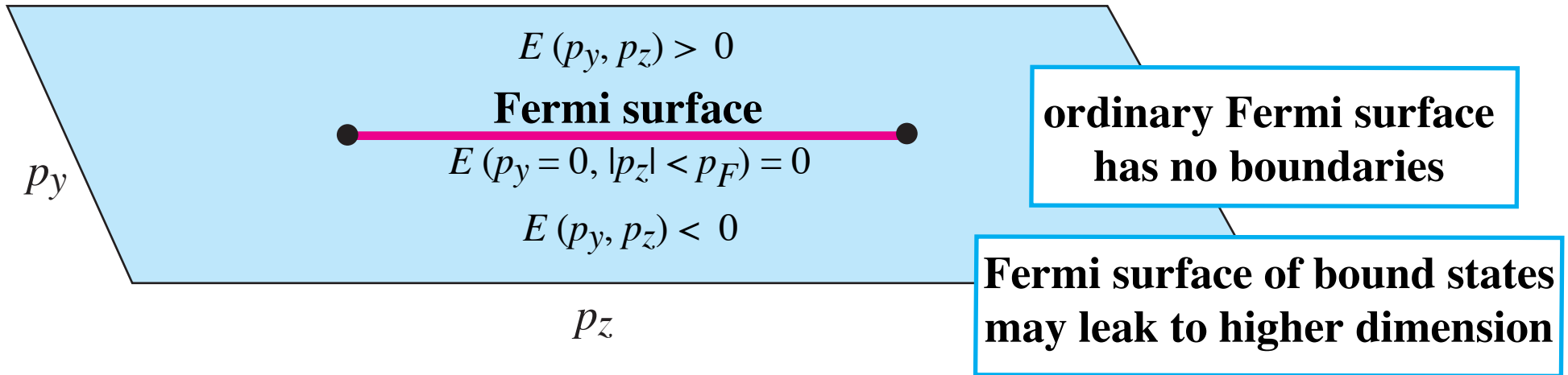
GV JETP Lett. **55**, 368 (1992)



**Fermi arc in 2D:  
Fermi surface which terminates  
on two points:  
projections of Weyl points**

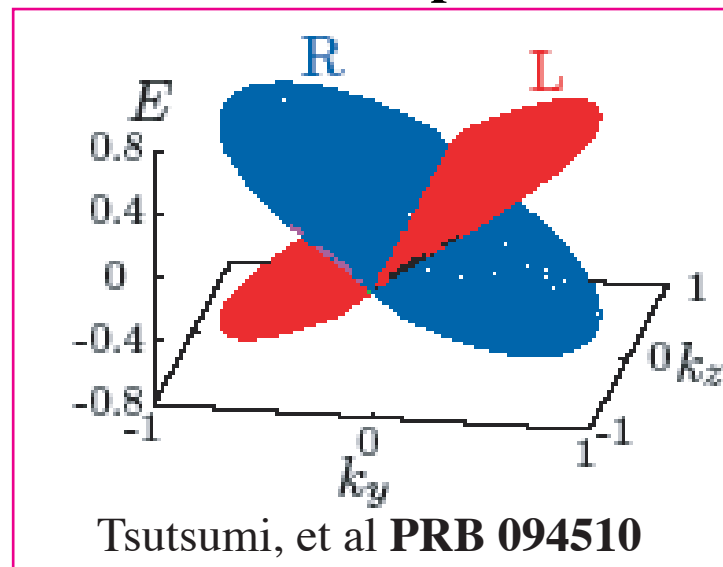
## Fermi arc:

Fermi surface separates positive and negative energies, but has boundaries



Fermi surface of localized states is terminated by projections of Weyl points when localized states merge with continuous spectrum

**L** spectrum of edge states on left wall



**R** spectrum of edge states on right wall

# Horava anisotropic scaling gravity

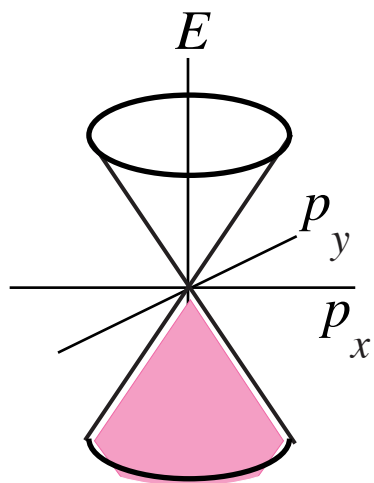
anisotropic  $z=3$  scaling:  $x = b x'$ ,  $t = b^3 t'$

$$S_{\text{grav}} = \int \frac{d^3 x}{b^3} \frac{dt}{b^3} \frac{R^3}{b^{-6}}$$

## Horava anisotropic scaling in bilayered graphene

$$N = \frac{1}{4\pi i} \text{tr} \left[ \mathbf{K} \oint dl \mathbf{H}^{-1} \partial_l \mathbf{H} \right]$$

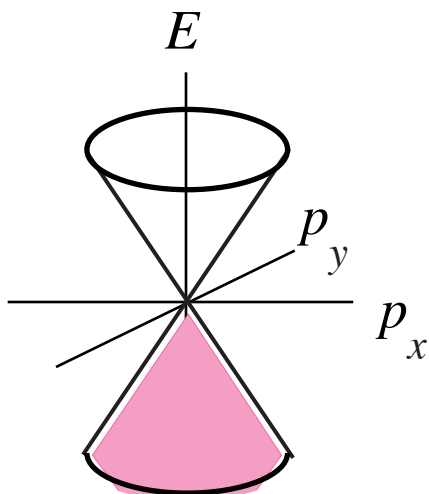
$N=+1$



$$E = cp$$

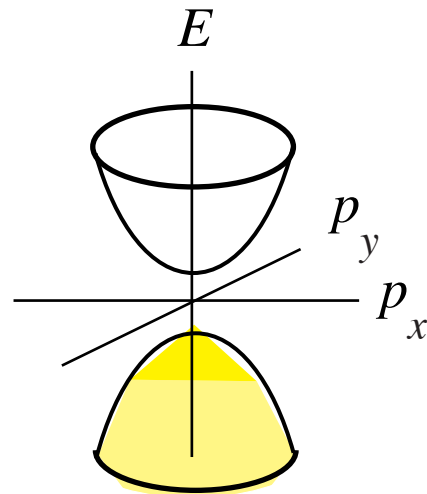
2+1 massless Dirac fermions

$N=+1$



$$E = cp$$

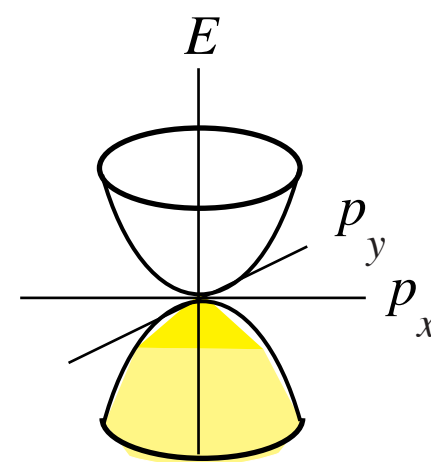
$N=0$



$$E^2 = 2c^2 p^2 + 4m^2$$

massive fermions

$N=+2$



$$E^2 = (p^2 / 2m)^2$$

massless Dirac fermions  
with quadratic dispersion



# relativistic quantum fields and gravity emerging near Weyl point

Atiyah-Bott-Shapiro construction:

linear expansion of Hamiltonian near the nodes in terms of Dirac  $\Gamma$ -matrices

$$H = e_i^k \Gamma^i \cdot (p_k - p_k^0)$$

linear expansion near Weyl point

effective tetrad:  
emergent gravity

emergent  $\Gamma$ -matrices

$$g^{\mu\nu} (p_\mu - eA_\mu - e\tau \cdot \mathbf{W}_\mu) (p_\nu - eA_\nu - e\tau \cdot \mathbf{W}_\nu) = 0$$

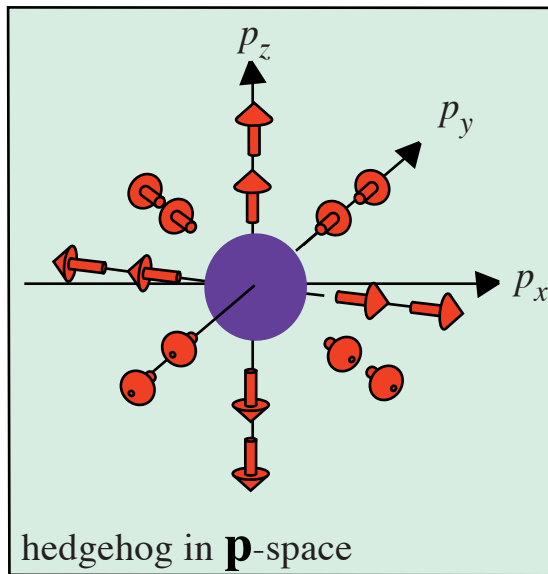
effective metric:  
emergent gravity

effective  
 $SU(2)$  gauge  
field

effective  
isotopic spin

effective  
electromagnetic  
field

effective  
electric charge  
 $e = +1$  or  $-1$



*what gravity & gauge fields emerge in vacua with quadratic Dirac point in bilayer graphene ?*



**all ingredients of Standard Model :  
chiral fermions & gauge fields  
emerge in low-energy corner**

**together with spin, Dirac  $\Gamma$ -matrices, gravity & physical laws:  
Lorentz & gauge invariance, equivalence principle, etc**

# Fermions in 2+1 bylayer graphene

single layer

$$H = \begin{pmatrix} 0 & p_x + ip_y \\ p_x - ip_y & 0 \end{pmatrix} = \sigma_x p_x + \sigma_y p_y = \begin{pmatrix} 0 & \text{zweibein} \\ \text{zweibein} & 0 \end{pmatrix} \begin{pmatrix} (\mathbf{e}_1(\mathbf{p}) + i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A}) \\ (\mathbf{e}_1(\mathbf{p}) - i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A}) \end{pmatrix}$$

double layer

$$H = \begin{pmatrix} 0 & (p_x + ip_y)^2 \\ (p_x - ip_y)^2 & 0 \end{pmatrix} = \begin{pmatrix} 0 & \text{zweibein} \\ \text{zweibein} & 0 \end{pmatrix} \begin{pmatrix} [(\mathbf{e}_1(\mathbf{p}) + i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A})]^2 \\ [(\mathbf{e}_1(\mathbf{p}) - i \mathbf{e}_2) \cdot (\mathbf{p} - \mathbf{A})]^2 \end{pmatrix}$$

anisotropic scaling:  $x = b x'$ ,  $t = b^2 t'$

## 2+1 anisotropic QED emerging in bylayer graphene

$$H = \begin{pmatrix} 0 & (p_x + ip_y)^2 \\ (p_x - ip_y)^2 & 0 \end{pmatrix} = \begin{pmatrix} 0 & [(\mathbf{e}_1(\mathbf{p}) + i \mathbf{e}_2)(\mathbf{p} - \mathbf{A})]^2 \\ [(\mathbf{e}_1(\mathbf{p}) - i \mathbf{e}_2)(\mathbf{p} - \mathbf{A})]^2 & 0 \end{pmatrix}$$

anisotropic scaling:  $x = b x'$ ,  $t = b^2 t'$ ,  $B = b^{-2} B'$ ,  $E = b^{-3} E'$ ,  $S = S'$

$$S_{\text{QED}} = \int d^2x dt \left( \frac{B^2}{b^2} - \frac{E^{4/3}}{b^2} - \frac{E}{b^4} \square^{-1} E \right)$$

## 3+1 isotropic QED emerging in Weyl semimetal

isotropic scaling:  $x = b x'$ ,  $t = b t'$ ,  $B = b^{-2} B'$ ,  $E = b^{-2} E'$ ,  $S = S'$

$$S_{\text{QED}} = \int d^3x dt \left( \frac{B^2}{b^3} - \frac{E^2}{b} \right)$$

## 2+1 isotropic QED emerging in single layer graphene

$$S_{\text{QED}} = \int d^2x dt \left( \frac{B^2}{b^2} - \frac{E^2}{b} \right)^{3/4}$$

# Conclusion

**Momentum-space topology** determines:

universality classes of quantum vacua

effective field theories in these quantum vacua

topological quantum phase transitions (Lifshitz, plateau, etc.)

quantization of Hall and spin-Hall conductivity

topological Chern-Simons & Wess-Zumino terms

quantum statistics of topological objects

spectrum of edge states & fermion zero modes on walls & quantum vortices

chiral anomaly & vortex dynamics, etc.

flat band & room-temperature superconductivity

**superfluid phases**  $^3\text{He}$  serve as primer for topological matter:

quantum vacuum of Standard Model, topological superconductors & topological insulators, etc.

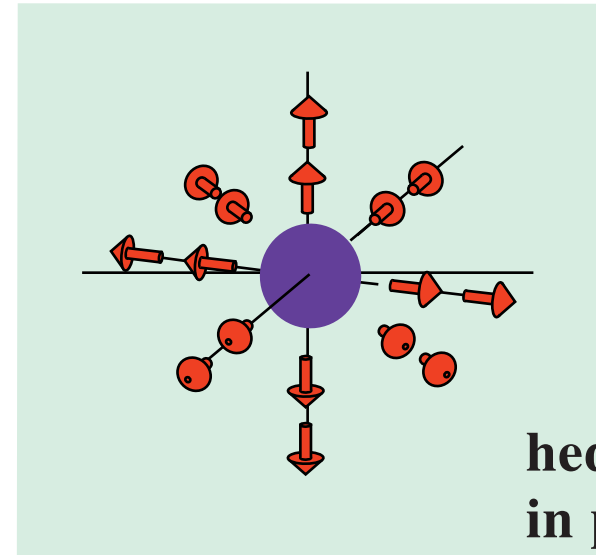
**we need:** low T, high H, miniaturization, atomically smooth surface, nano-detectors, ...  
and fabrication of samples with room-temperature surface superconductivity

## 4. From Fermi point to intrinsic QHE & topological insulators

$$N_3 = \frac{1}{8\pi} \epsilon_{ijk} \int dS^k \hat{\mathbf{g}} \cdot (\partial_{p_i} \hat{\mathbf{g}} \times \partial_{p_j} \hat{\mathbf{g}})$$

over 2D surface S  
in 3D momentum space

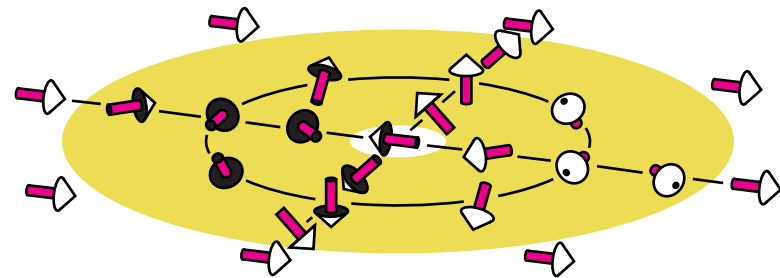
3+1 vacuum with Fermi point



hedgehog  
in p-space

dimensional reduction

Fully gapped 2+1 system



skyrmion  
in p-space

$$\tilde{N}_3 = \frac{1}{4\pi} \int dp_x dp_y \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

over the whole 2D momentum space  
or over 2D Brillouin zone

# topological insulators & gapped superconductors in 2+1

topological insulator =  
bulk insulator  
with topologically protected  
gapless states on the boundary

topological gapped superconductor =  
superconductor with gap in bulk  
but with topologically protected  
gapless states on the boundary

*p*-wave 2D superconductor (Sr<sub>2</sub>RuO<sub>4</sub> ?), <sup>3</sup>He-A thin film,  
CdTe/HgTe/Cd insulator quantum well, planar phase film



*who protects gapless states?*

generic example:

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} \quad p^2 = p_x^2 + p_y^2$$

How to extract useful information on energy states from this Hamiltonian  
without solving equation

$$H\psi = E\psi$$

# Topological invariant in momentum space

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix}$$

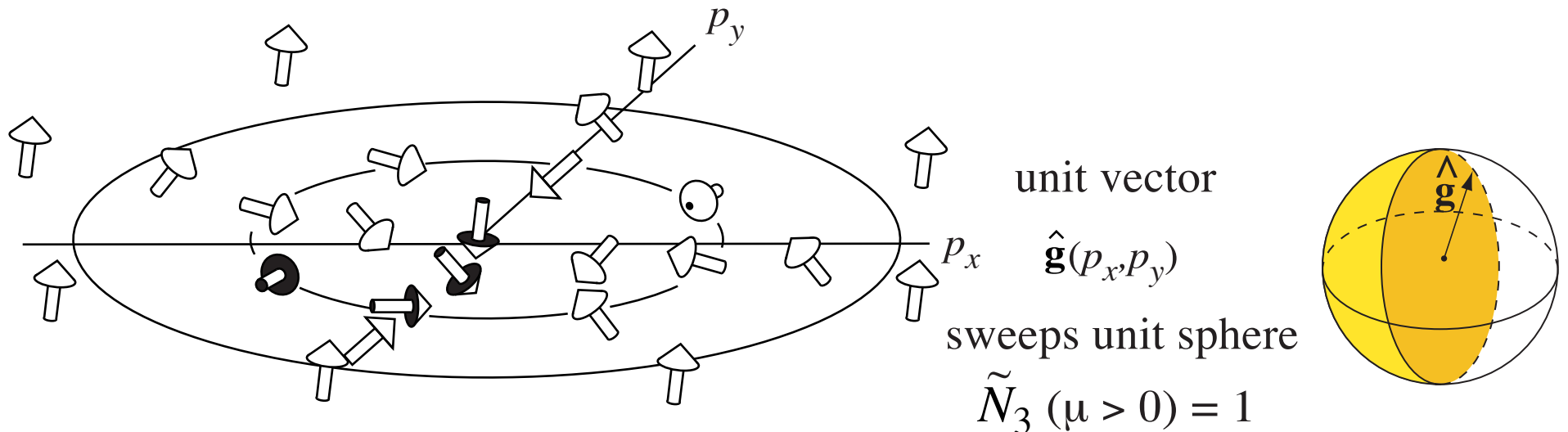
$$H = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \boldsymbol{\tau} \cdot \mathbf{g}(\mathbf{p})$$

$$p^2 = p_x^2 + p_y^2$$

fully gapped 2D state at  $\mu \neq 0$

$$\tilde{N}_3 = \frac{1}{4\pi} \int d^2p \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}}) \quad \text{GV, JETP 67, 1804 (1988)}$$

## Skyrmion (coreless vortex) in momentum space at $\mu > 0$

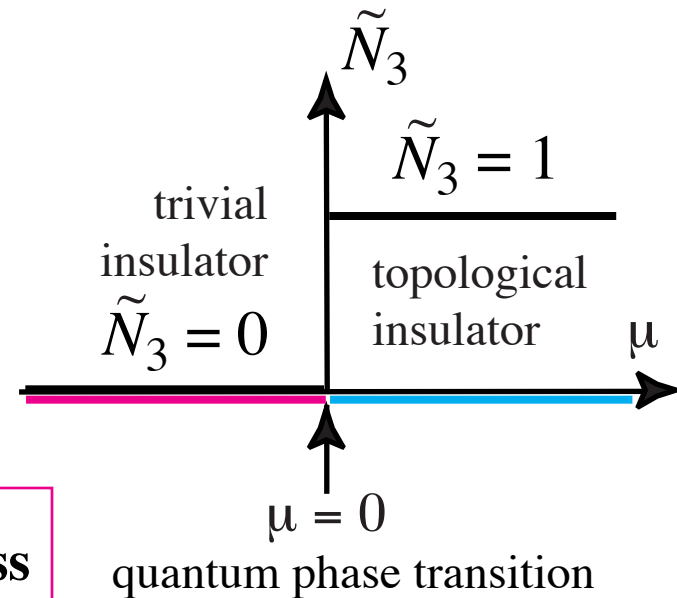


**quantum phase transition:  
from topological to non-topological insulator/superconductor**

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + ip_y) \\ c(p_x - ip_y) & -\frac{p^2}{2m} + \mu \end{pmatrix} = \begin{pmatrix} g_3(\mathbf{p}) & g_1(\mathbf{p}) + i g_2(\mathbf{p}) \\ g_1(\mathbf{p}) - i g_2(\mathbf{p}) & -g_3(\mathbf{p}) \end{pmatrix} = \boldsymbol{\tau} \cdot \mathbf{g}(\mathbf{p})$$

**Topological invariant in momentum space**

$$\tilde{N}_3 = \frac{1}{4\pi} \int d^2p \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$



**intermediate state at  $\mu = 0$  must be gapless**

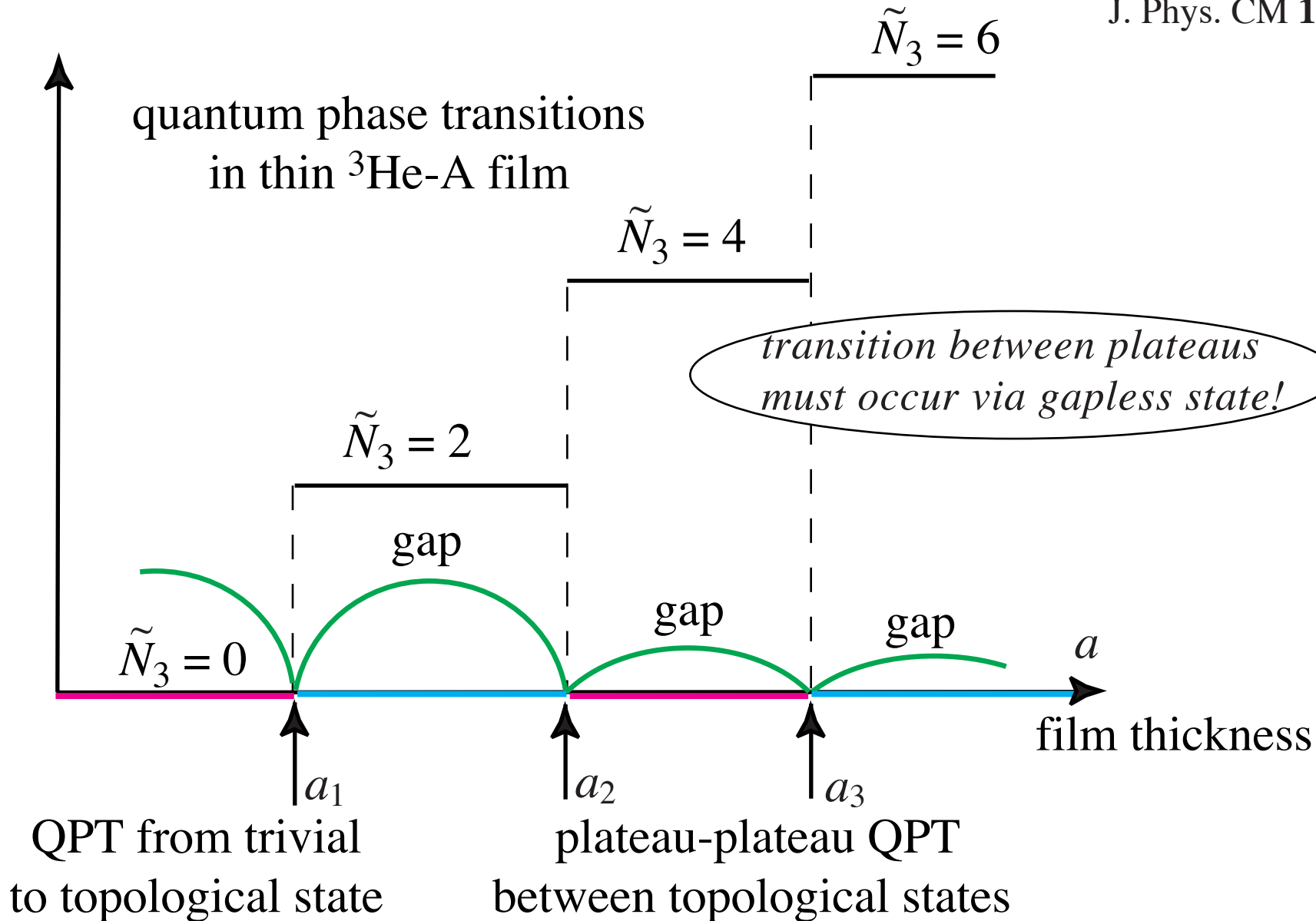
**$\Delta\tilde{N}_3 \neq 0$  is origin of fermion zero modes  
at the interface between states with different  $\tilde{N}_3$**



# $p$ -space invariant in terms of Green's function & topological QPT

$$\tilde{N}_3 = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda} \text{tr} \int d^2p d\omega \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1}$$

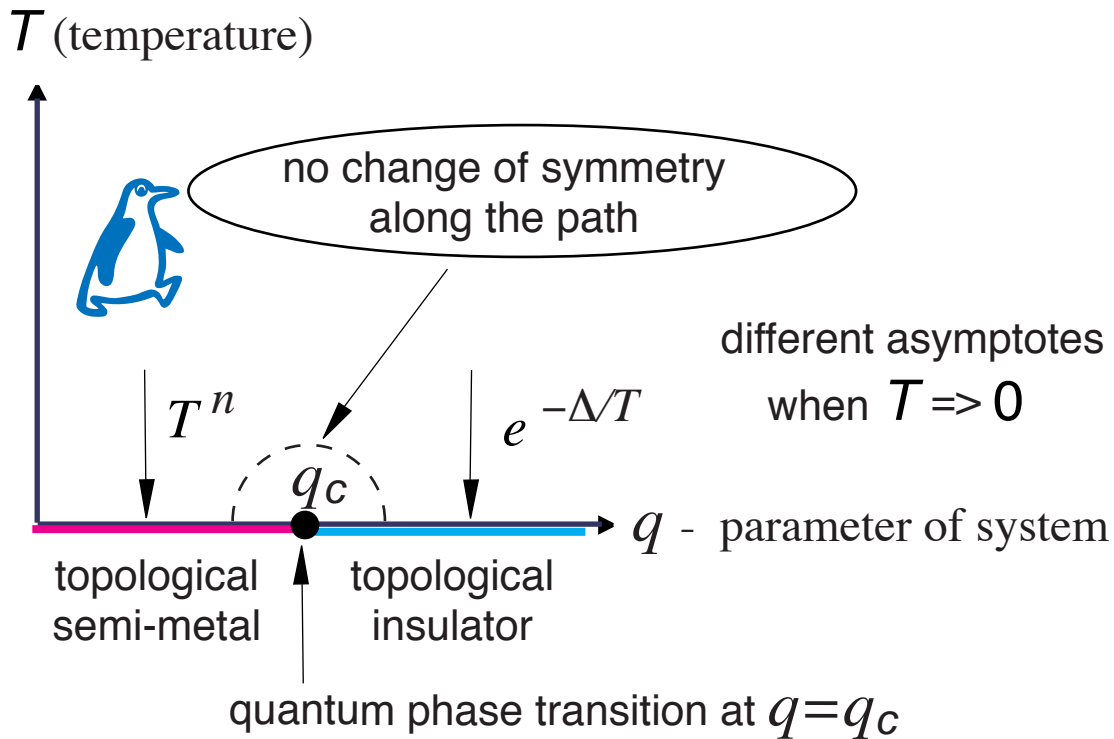
GV & Yakovenko  
J. Phys. CM **1**, 5263 (1989)



# topological quantum phase transitions

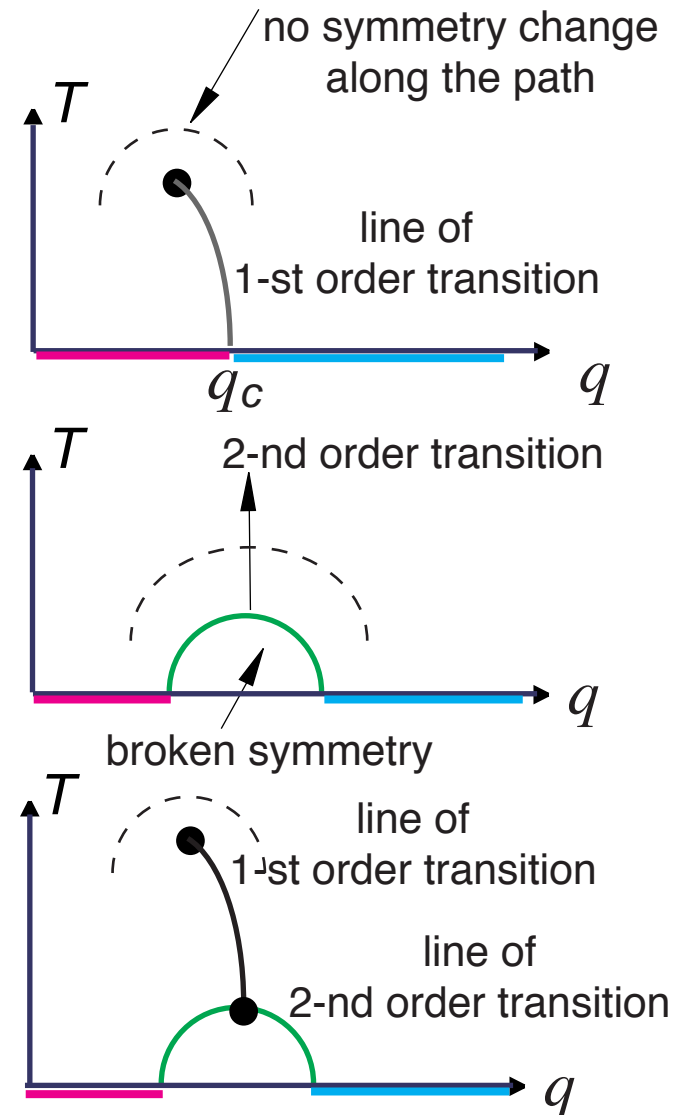
transitions between **ground states (vacua)** of the **same symmetry**,  
but **different topology** in **momentum space**

example: QPT between gapless & gapped matter



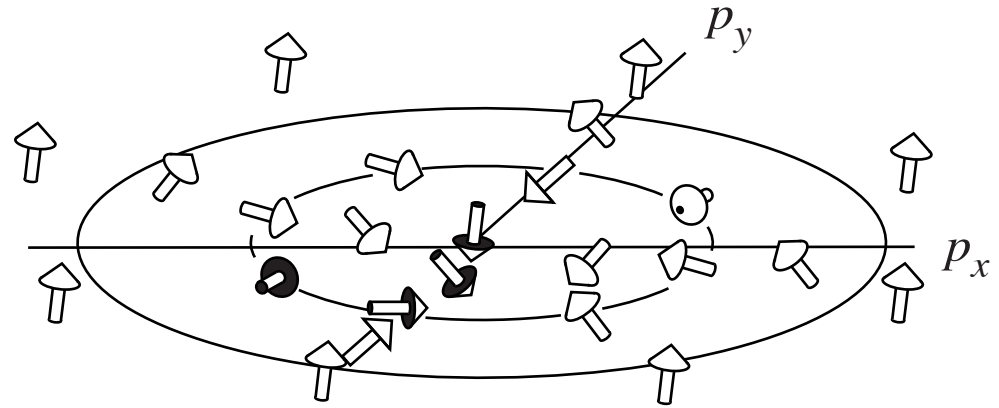
other topological QPT:  
Lifshitz transition,  
transition between topological and nontopological superfluids,  
plateau transitions,  
confinement-deconfinement transition, ...

QPT interrupted  
by thermodynamic transitions



# Zero energy states on surface of topological insulators & superfluids

Fully gapped 2+1 system

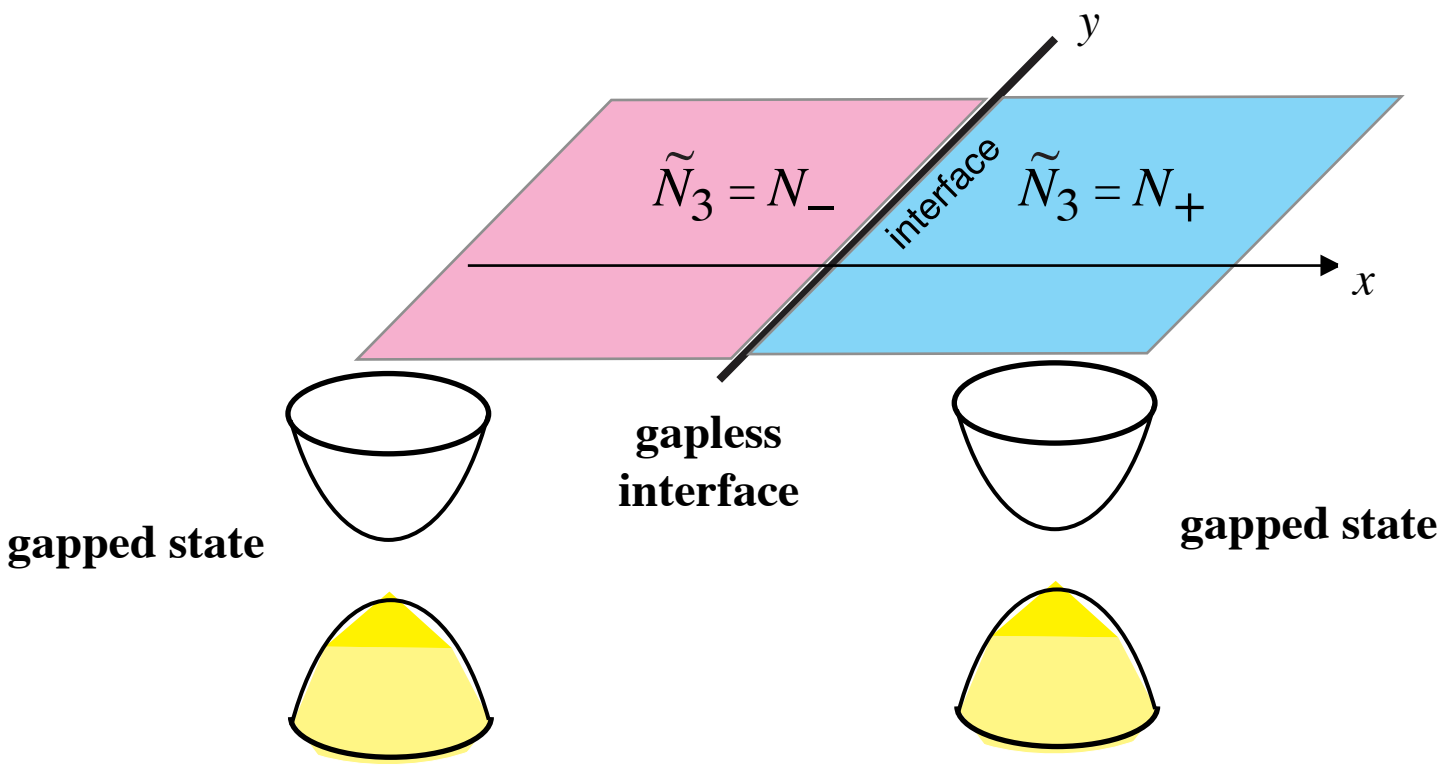


$$\tilde{N}_3 = \frac{1}{4\pi} \int dp_x dp_y \hat{\mathbf{g}} \cdot (\partial_{p_x} \hat{\mathbf{g}} \times \partial_{p_y} \hat{\mathbf{g}})$$

Fully gapped 3+1 system

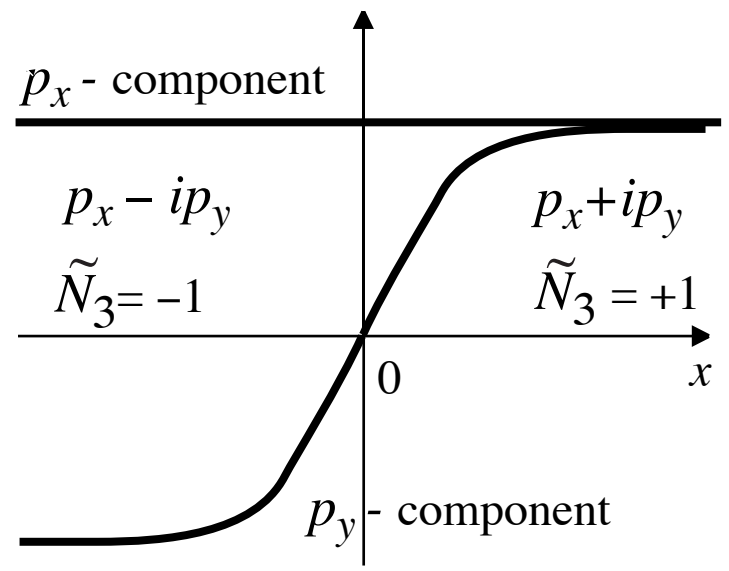
Majorana fermions on the surface  
and in the vortex cores

# interface between two 2+1 topological insulators or gapped superfluids

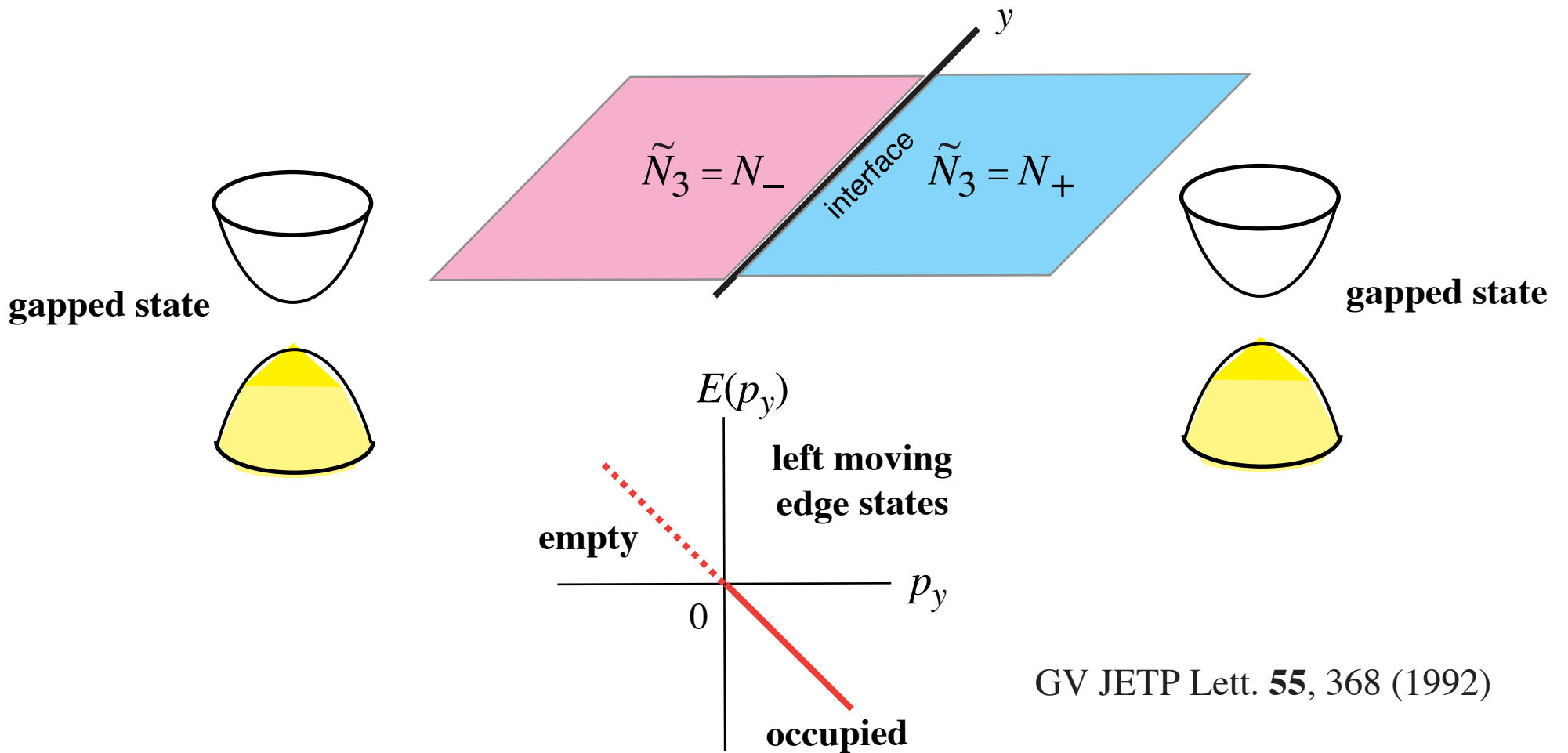


\* domain wall in 2D chiral superconductors:

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + i p_y \tanh x) \\ c(p_x - i p_y \tanh x) & -\frac{p^2}{2m} + \mu \end{pmatrix}$$



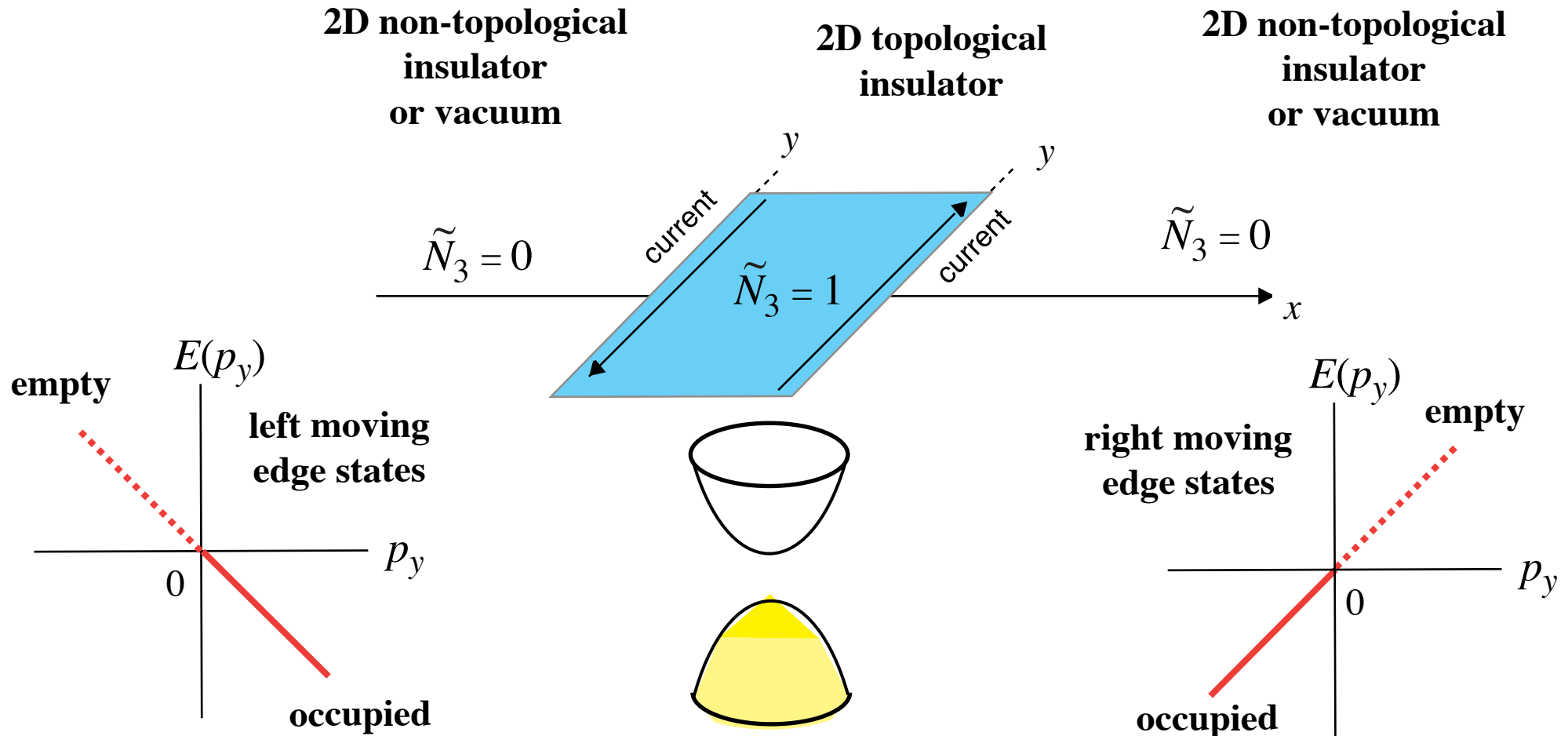
# Edge states at interface between two 2+1 topological insulators or gapped superfluids



**Index theorem:  
number of fermion zero modes  
at interface:**

$$\nu = N_+ - N_-$$

# Edge states and currents



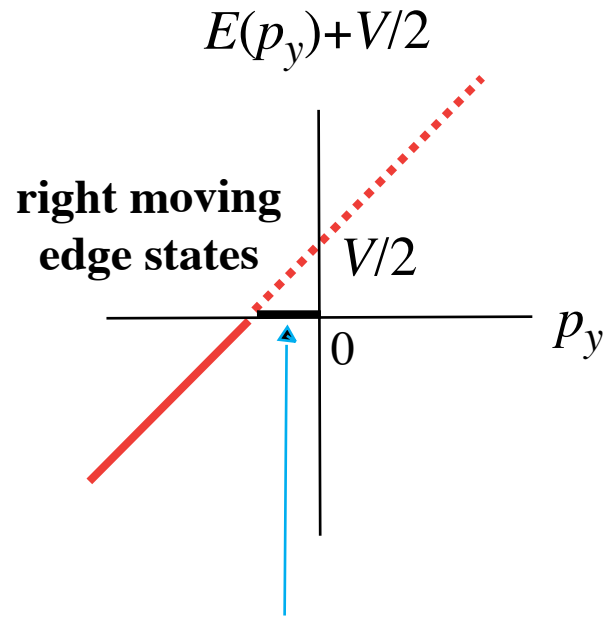
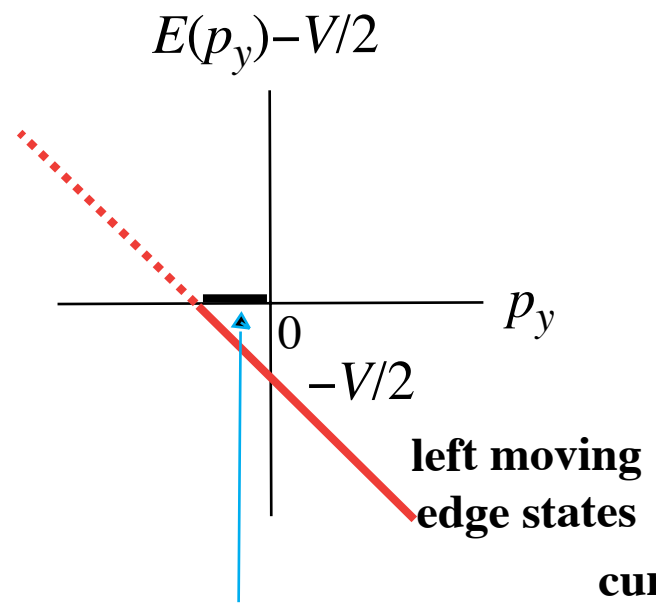
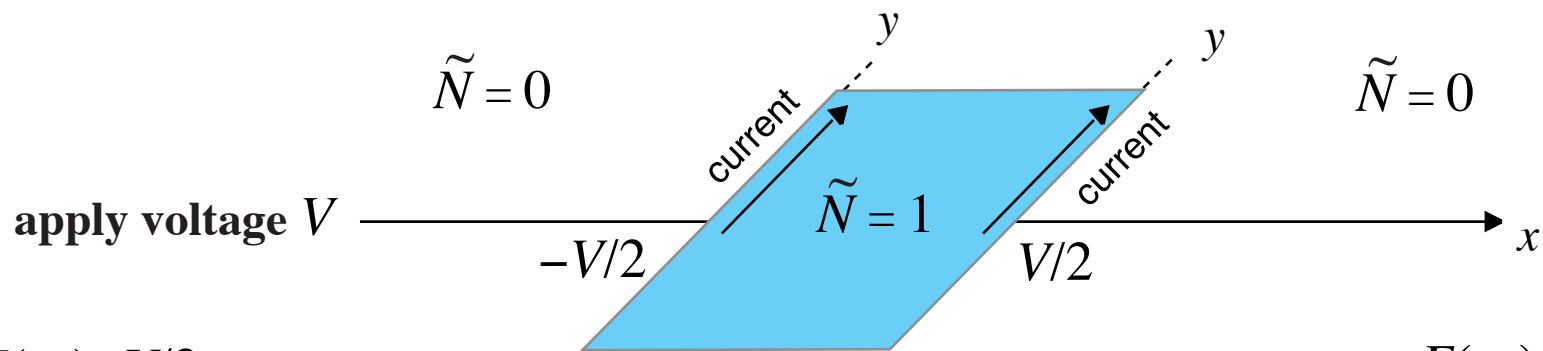
current  $J_y = J_{\text{left}} + J_{\text{right}} = 0$

# Edge states & intrinsic QHE: topological invariant determines Hall quantization

2D non-topological insulator or vacuum

2D topological insulator

2D non-topological insulator or vacuum



current  $J_y = J_{\text{left}} + J_{\text{right}} = \sigma_{xy} E_y$

extra number of left moving states

deficit of right moving states

$$\sigma_{xy} = \frac{e^2}{4\pi} \tilde{N}$$

# Intrinsic quantum Hall effect & momentum-space invariant

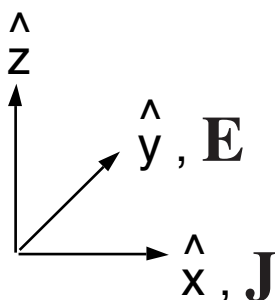
$$S_{\text{CS}} = \frac{e^2}{16\pi} \tilde{N}_3 e^{\mu\nu\lambda} \int d^2x dt A_\mu F_{\nu\lambda}$$

$\mathbf{p}$ -space invariant

$\mathbf{r}$ -space invariant

$A_\mu$  - electromagnetic field

electric current  $J_x = \delta S_{\text{CS}} / \delta A_x = \frac{e^2}{4\pi} \tilde{N}_3 E_y$

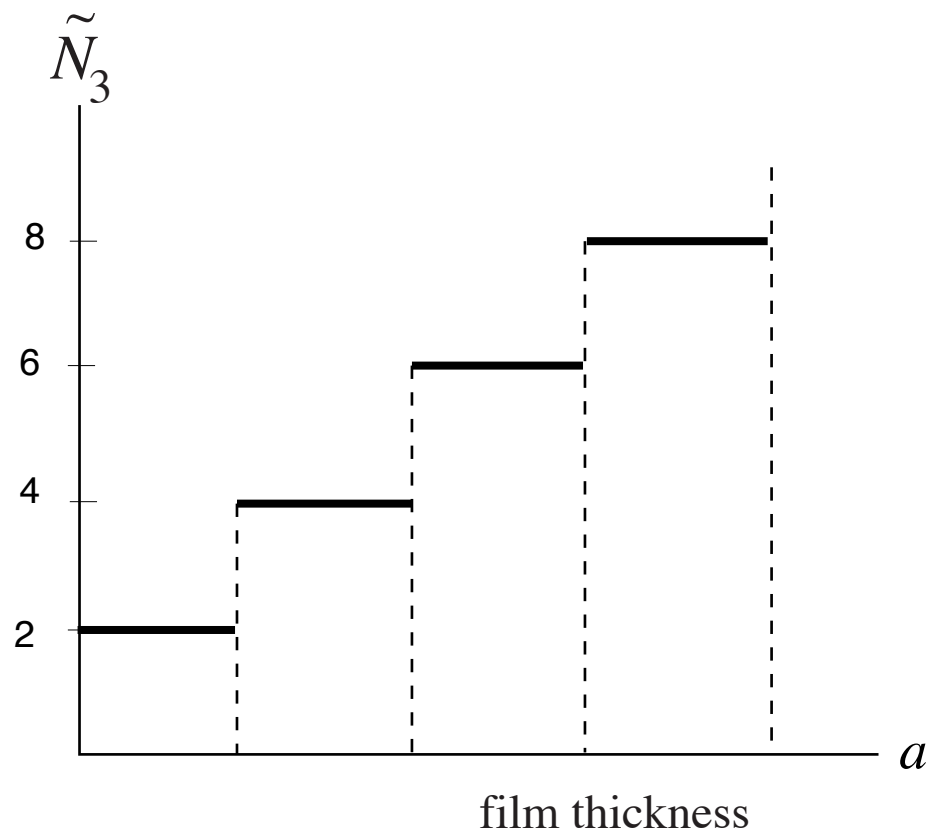


quantized intrinsic Hall conductivity  
(without external magnetic field)

$$\sigma_{xy} = \frac{e^2}{4\pi} \tilde{N}_3$$

GV & Yakovenko  
J. Phys. CM 1, 5263 (1989)

film of topological quantum liquid





# general Chern-Simons terms & momentum-space invariant

(interplay of  $r$ -space and  $p$ -space topologies)

$$S_{\text{CS}} = \frac{1}{16\pi} \tilde{N}_{3\text{IJ}} e^{\mu\nu\lambda} \int d^2x dt A_{\mu}^{\text{I}} F_{\nu\lambda}^{\text{J}}$$

$r$ -space invariant

$p$ -space invariant protected by symmetry

$$\tilde{N}_{3\text{IJ}} = \frac{1}{24\pi^2} e_{\mu\nu\lambda} \text{tr} \left[ \int d^2p d\omega K_{\text{I}} K_{\text{J}} \mathbf{G} \partial^{\mu} \mathbf{G}^{-1} \mathbf{G} \partial^{\nu} \mathbf{G}^{-1} \mathbf{G} \partial^{\lambda} \mathbf{G}^{-1} \right]$$

$K_{\text{I}}$  - charge interacting with gauge field  $A_{\mu}^{\text{I}}$

$K=e$  for electromagnetic field  $A_{\mu}$

$K=\hat{\sigma}_z$  for effective spin-rotation field  $A_{\mu}^z$  ( $A_0^z = \gamma H^z$ )

$$id/dt - \gamma \hat{\sigma} \cdot \mathbf{H} = id/dt - \hat{\sigma} \cdot \mathbf{A}_0$$

applied Pauli magnetic field plays the role of components of effective SU(2) gauge field  $A_{\mu}^i$

*gauge fields can be  
real, artificial or auxiliary*



# Intrinsic spin-current quantum Hall effect & momentum-space invariant

$$\text{spin current } J_x^z = \frac{1}{4\pi} (\gamma N_{ss} dH^z/dy + N_{se} E_y)$$

spin-spin QHE

spin-charge QHE

2D singlet superconductor:

$$\sigma_{xy}^{\text{spin/spin}} = \frac{N_{ss}}{4\pi}$$

$s$ -wave:  $N_{ss} = 0$   
 $p_x + ip_y$ :  $N_{ss} = 2$   
 $d_{xx-yy} + id_{xy}$ :  $N_{ss} = 4$

film of planar phase of superfluid  $^3\text{He}$

$$\sigma_{xy}^{\text{spin/charge}} = \frac{N_{se}}{4\pi}$$

GV & Yakovenko  
 J. Phys. CM **1**, 5263 (1989)

## planar phase film of $^3\text{He}$ & 2D topological insulator

$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c(p_x + i p_y \sigma_z) \\ c(p_x - i p_y \sigma_z) & -\frac{p^2}{2m} + \mu \end{pmatrix}$$

$$\tilde{N}_3 = \frac{1}{24\pi^2} e_{\mu\nu\lambda} \text{tr} \left[ \int d^2p d\omega \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1} \right] = 0$$

$$\tilde{N}_{se} = \frac{1}{24\pi^2} e_{\mu\nu\lambda} \text{tr} \left[ \int d^2p d\omega \sigma_z \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1} \right]$$

$$\tilde{N}_3^+ = +1 \quad \tilde{N}_3^- = -1$$

$$\tilde{N}_3 = \tilde{N}_3^+ + \tilde{N}_3^- = 0 \quad \tilde{N}_{se} = \tilde{N}_3^+ - \tilde{N}_3^- = 2$$

## spin quantum Hall effect

$$\text{spin current } J_x^z = \frac{1}{4\pi} N_{se} E_y$$

spin-charge QHE

$$\sigma_{xy}^{\text{spin/charge}} = \frac{N_{se}}{4\pi}$$

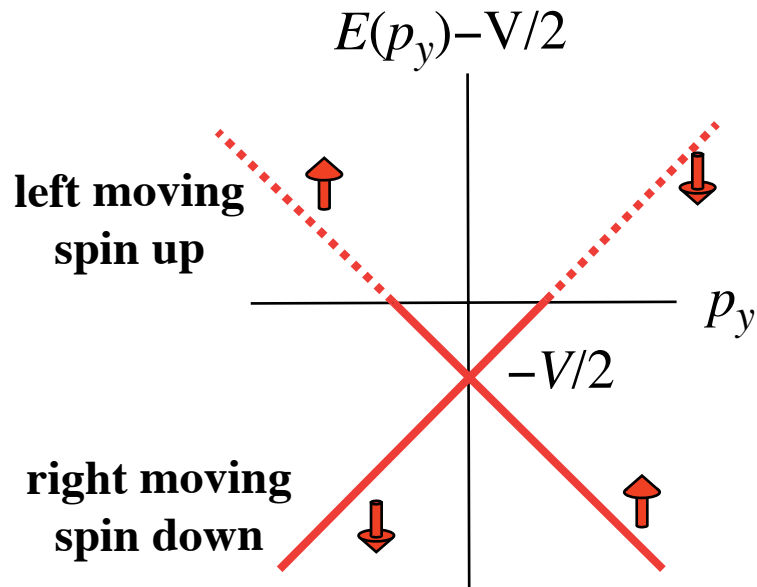
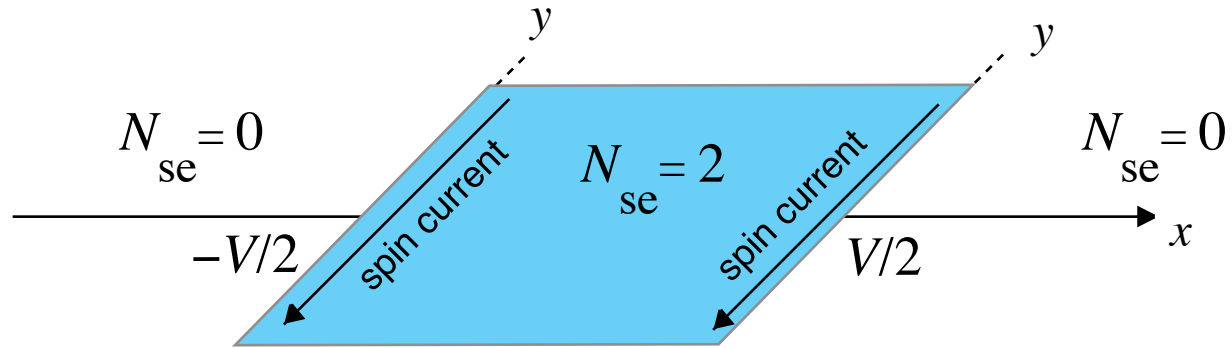
$$N_{se} = 2$$

GV & Yakovenko  
J. Phys. CM **1**, 5263 (1989)

# Intrinsic spin-current quantum Hall effect & edge state

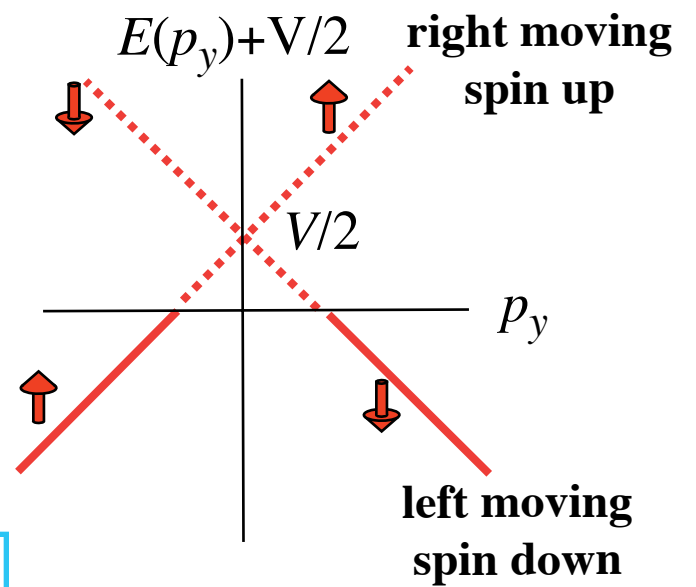
spin current  $J_x^z = \frac{1}{4\pi} (\gamma N_{ss} dH^z/dy + N_{se} E_y)$

spin-charge QHE



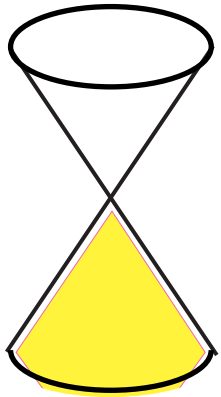
$\sigma_{xy}^{\text{spin/charge}} = \frac{N_{se}}{4\pi}$

electric current is zero  
spin current is nonzero



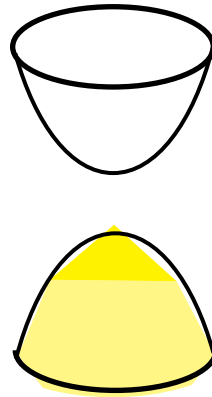
# 3D topological superfluids / insulators / semiconductors / vacua

gapless topologically  
nontrivial vacua



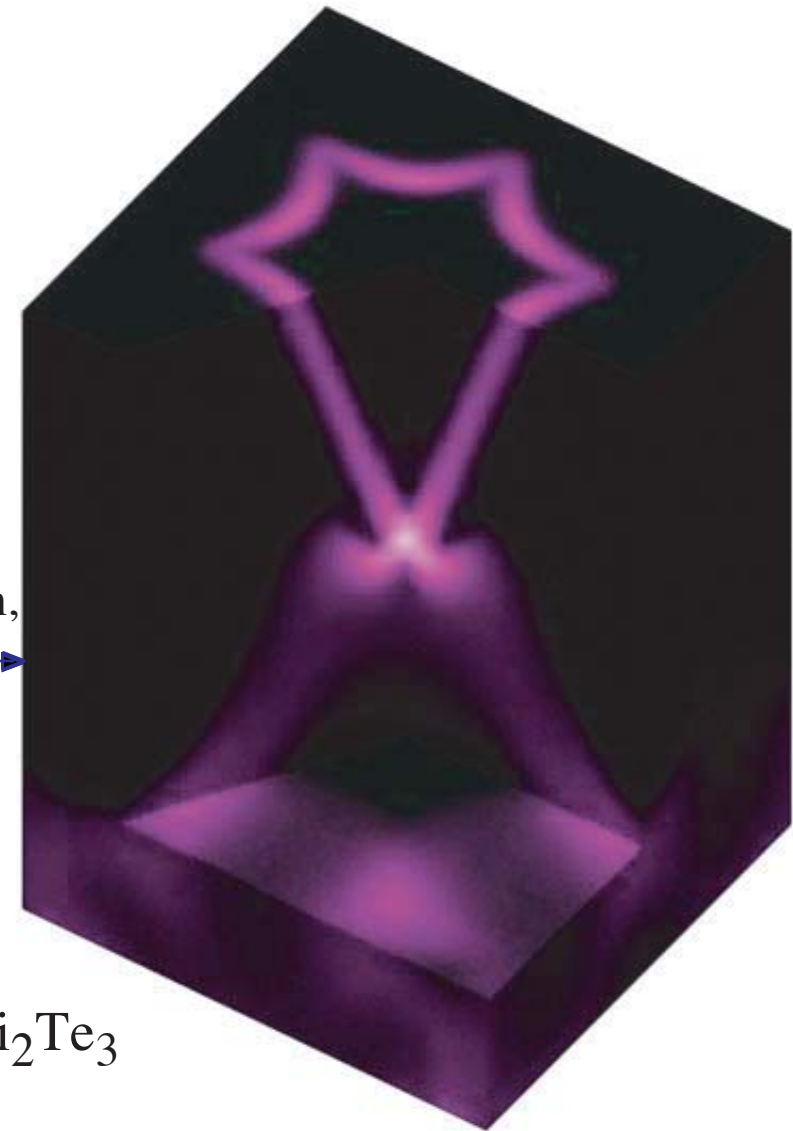
3He-A,  
Standard Model  
above electroweak transition,  
semimetals,  
4D graphene  
(cryocrystalline vacuum)

fully gapped topologically  
nontrivial vacua

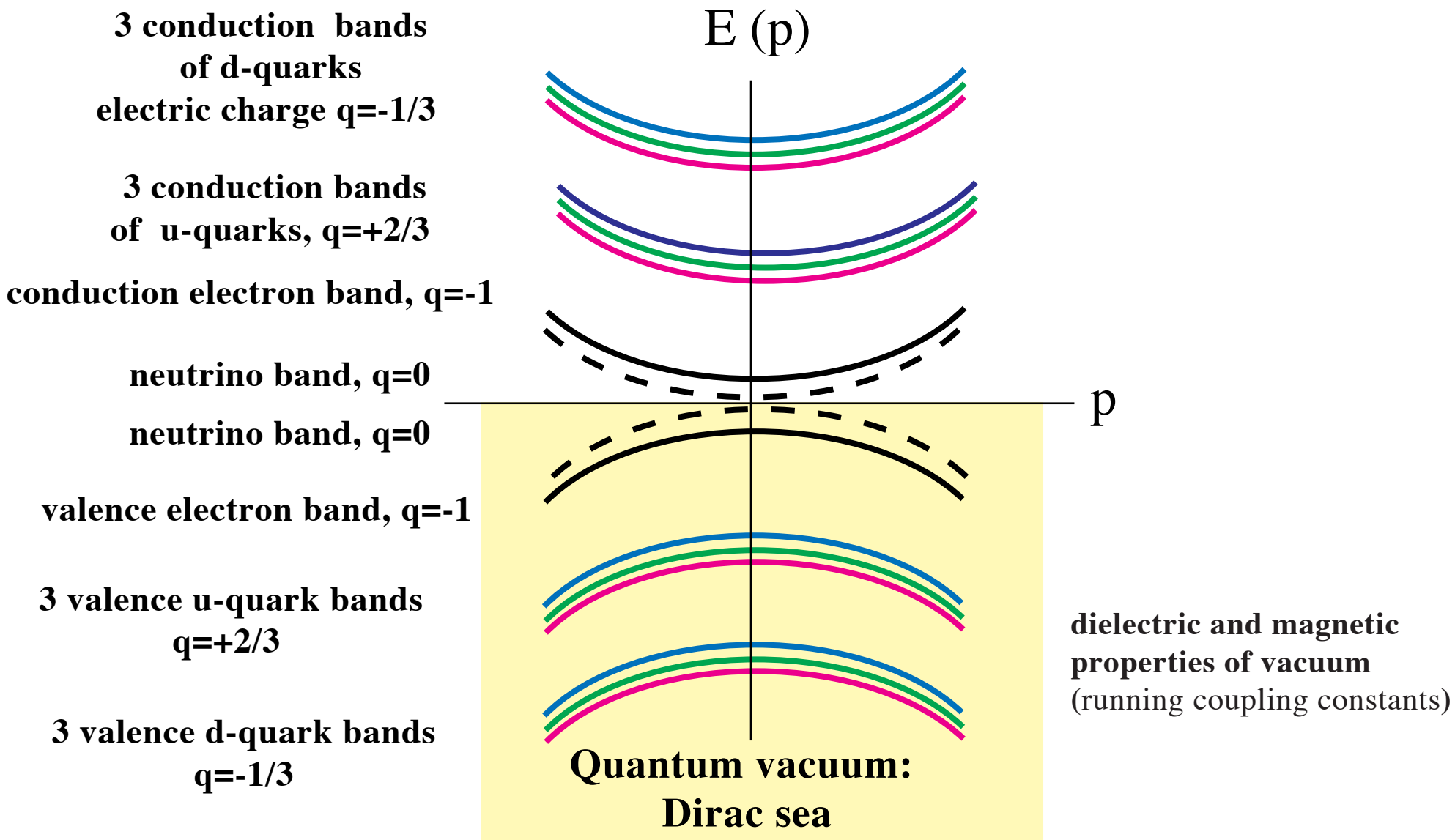


3He-B,  
Standard Model  
below electroweak transition,  
topological insulators, →  
triplet & singlet  
chiral superconductor, ...

$\text{Bi}_2\text{Te}_3$



# Present vacuum as semiconductor or insulator



electric charge of quantum vacuum

$$Q = \sum_a q_a = N [-1 + 3 \times (-1/3) + 3 \times (+2/3)] = 0$$

# fully gapped 3+1 topological matter

superfluid  $^3\text{He-B}$ , topological insulator  $\text{Bi}_2\text{Te}_3$ , present vacuum of Standard Model

\* **Standard Model vacuum as topological insulator**

**Topological invariant protected by symmetry**

$$N_K = \frac{1}{24\pi^2} \epsilon_{\mu\nu\lambda} \text{tr} \int dV \mathbf{K} \mathbf{G} \partial^\mu \mathbf{G}^{-1} \mathbf{G} \partial^\nu \mathbf{G}^{-1} \mathbf{G} \partial^\lambda \mathbf{G}^{-1}$$

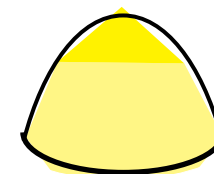
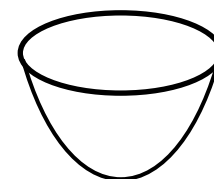
over 3D momentum space

$\mathbf{G}$  is Green's function at  $\omega=0$ ,  $\mathbf{K}$  is symmetry operator  $\mathbf{G}\mathbf{K} = +/\!-\mathbf{K}\mathbf{G}$

Standard Model vacuum:  $\mathbf{K}=\gamma_5$   $\mathbf{G}\gamma_5 = -\gamma_5\mathbf{G}$

$$N_K = 8n_g$$

**8 massive Dirac particles in one generation**



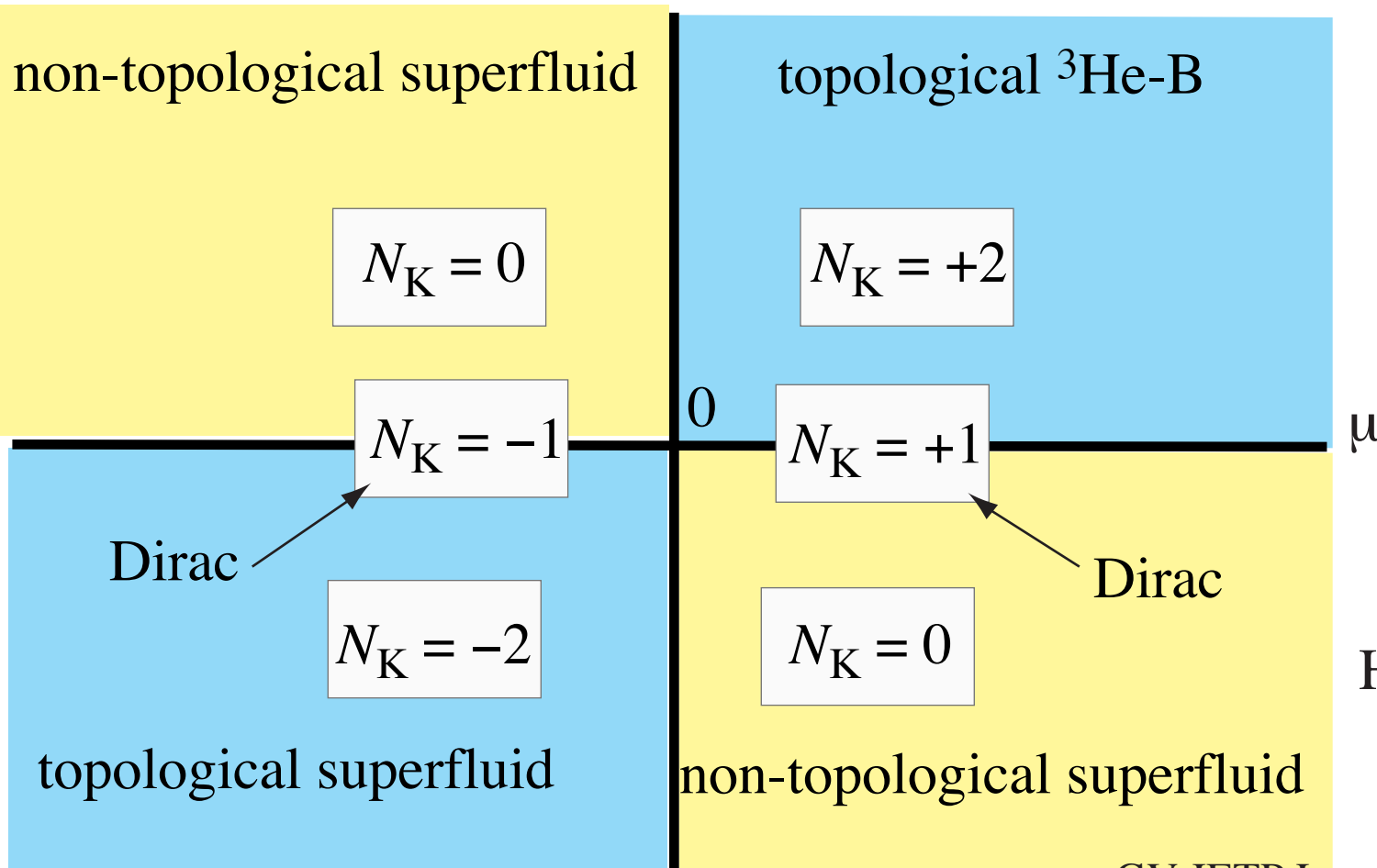
# topological superfluid $^3\text{He-B}$

$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu \end{pmatrix} = \left( \frac{p^2}{2m^*} - \mu \right) \tau_3 + c_B \boldsymbol{\sigma} \cdot \mathbf{p} \tau_1$$

$$H \tau_2 = - \tau_2 H$$

$$K = \tau_2$$

$1/m^*$



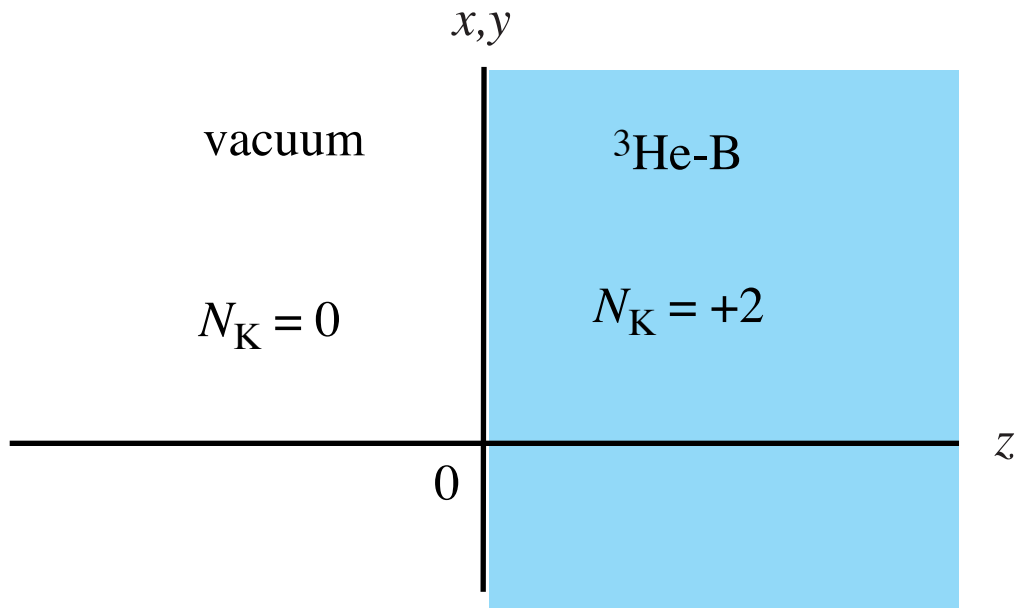
## Dirac vacuum

$$1/m^* = 0$$

$$H = \begin{pmatrix} -M & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & +M \end{pmatrix}$$



# Boundary of 3D gapped topological superfluid



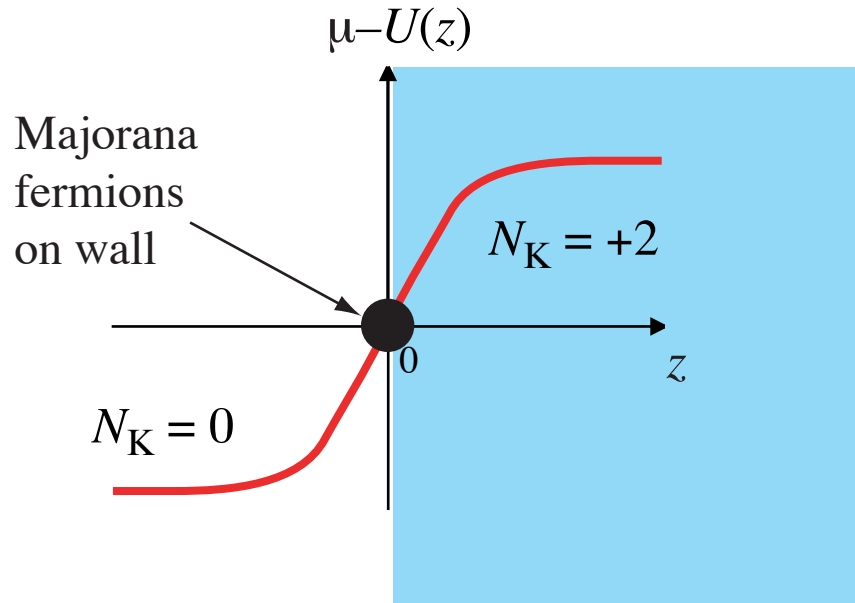
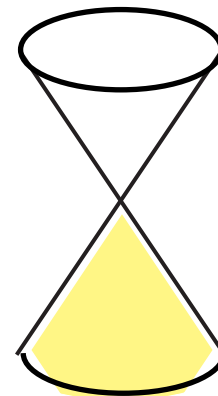
$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu + U(z) & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu - U(z) \end{pmatrix}$$

Majorana particle = Majorana anti-particle  
 1/2 of fermion:  $\mathbf{b} = \mathbf{b}^\dagger$

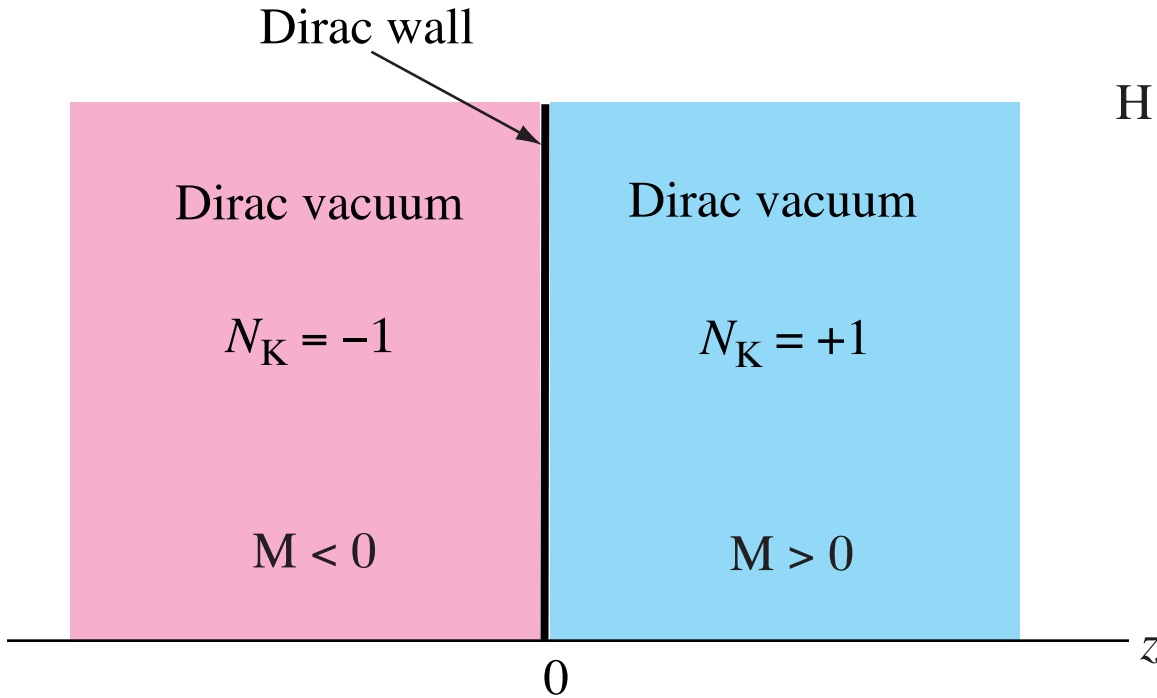
spectrum of Majorana zero modes

$$H_{ZM} = c_B \hat{\mathbf{z}} \cdot \boldsymbol{\sigma} \times \mathbf{p} = c_B (\sigma_x p_y - \sigma_y p_x)$$

helical fermions

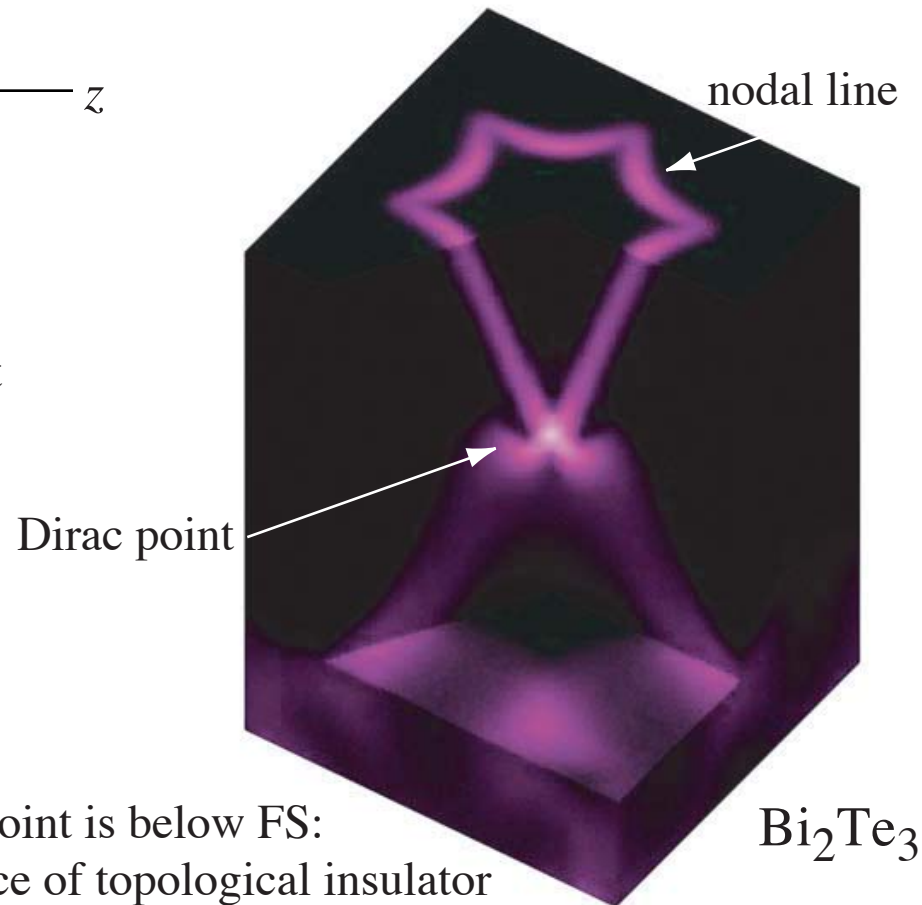
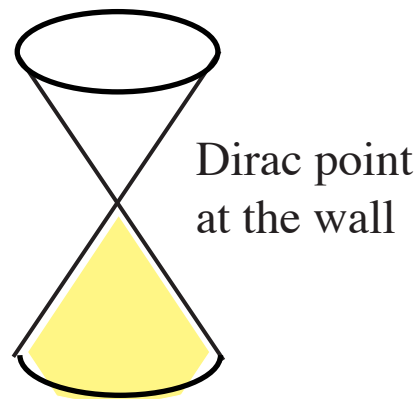
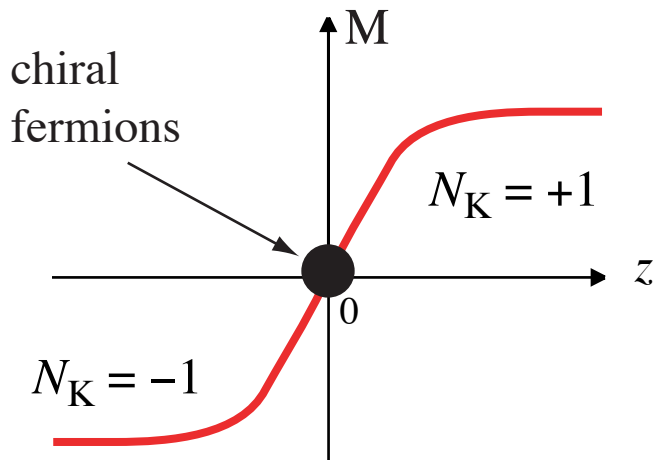


# fermion zero modes on Dirac wall



$$H = \begin{pmatrix} -M(z) & c\sigma \cdot \mathbf{p} \\ c\sigma \cdot \mathbf{p} & +M(z) \end{pmatrix}$$

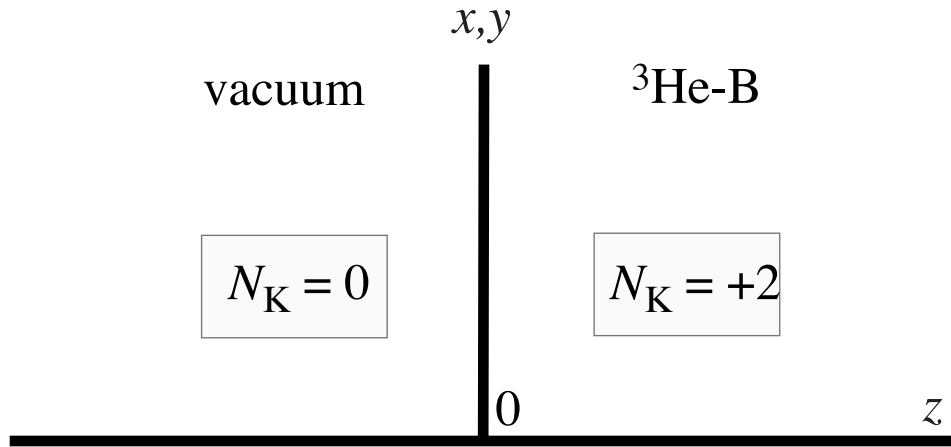
Volkov-Pankratov,  
2D massless fermions  
in inverted contacts  
JETP Lett. **42**, 178 (1985)



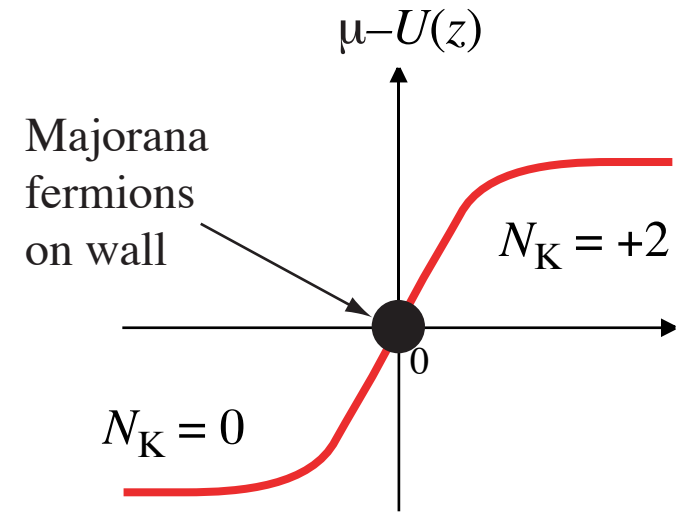
in  $\text{Bi}_2\text{Te}_3$  Dirac point is below FS:  
nodal line on surface of topological insulator

# Majorana fermions: edge states on the boundary of 3D gapped topological matter

\* boundary of topological superfluid  $^3\text{He-B}$



$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu + U(z) & c\boldsymbol{\sigma}\cdot\mathbf{p} \\ c\boldsymbol{\sigma}\cdot\mathbf{p} & -\frac{p^2}{2m^*} + \mu - U(z) \end{pmatrix}$$

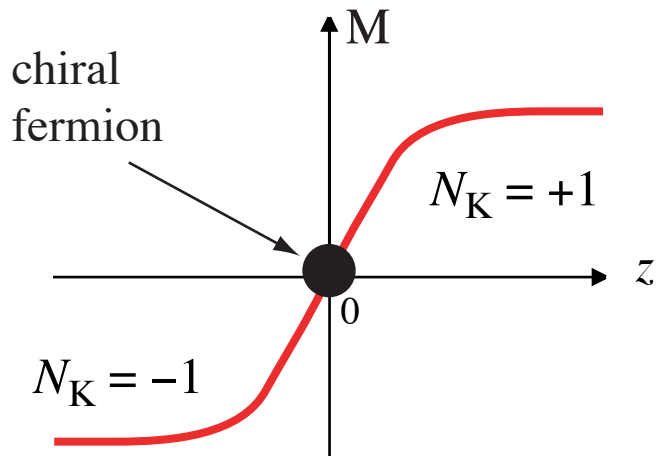


spectrum of fermion zero modes

$$H_{zm} = c (\sigma_x p_y - \sigma_y p_x)$$

helical fermions

\* Dirac domain wall

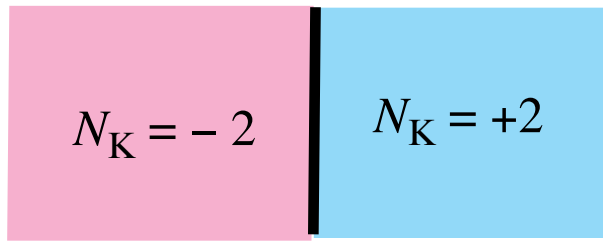


$$H = \begin{pmatrix} -M(z) & c\boldsymbol{\sigma}\cdot\mathbf{p} \\ c\boldsymbol{\sigma}\cdot\mathbf{p} & +M(z) \end{pmatrix}$$

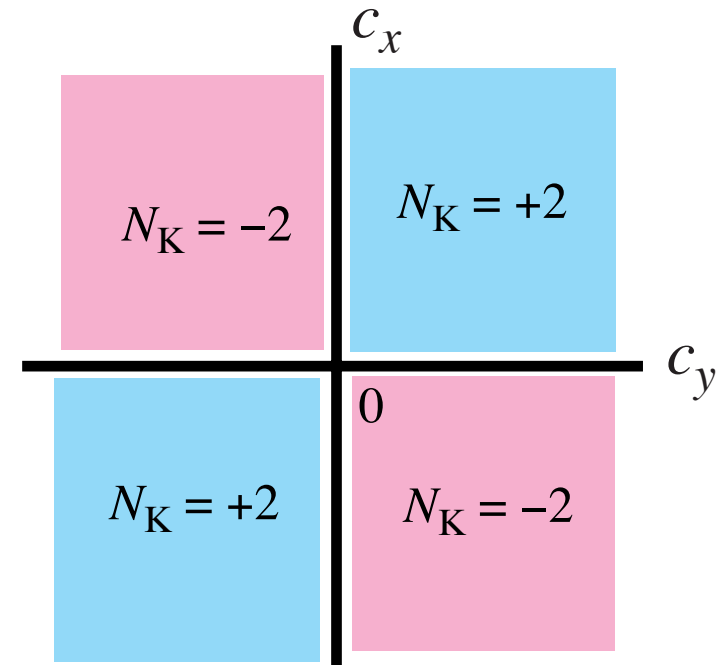
Volkov-Pankratov,  
2D massless fermions  
in inverted contacts  
JETP Lett. **42**, 178 (1985)

# Majorana fermions on interface in topological superfluid $^3\text{He-B}$

$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu & \sigma_x c_x p_x + \sigma_y c_y p_y + \sigma_z c_z p_z \\ \sigma_x c_x p_x + \sigma_y c_y p_y + \sigma_z c_z p_z & -\frac{p^2}{2m^*} + \mu \end{pmatrix}$$

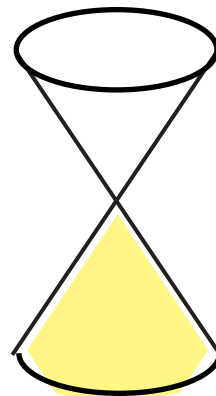
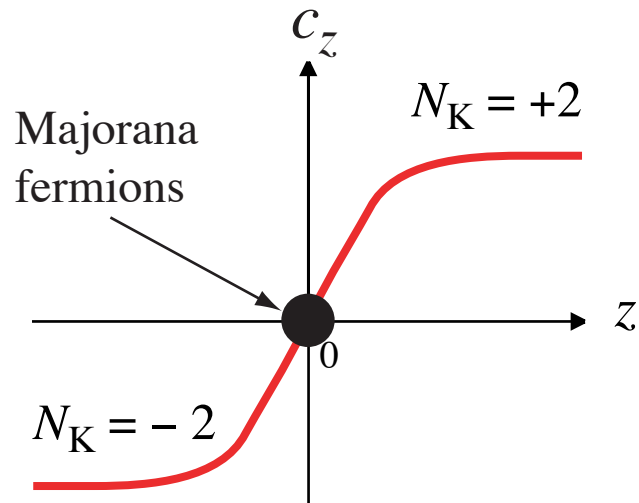


domain wall



phase diagram

one of 3 "speeds of light" changes sign across wall



spectrum of fermion zero modes

$$H_{zm} = c (\sigma_x p_y - \sigma_y p_x)$$

# **Zero energy states in the core of vortices in topological superfluids**

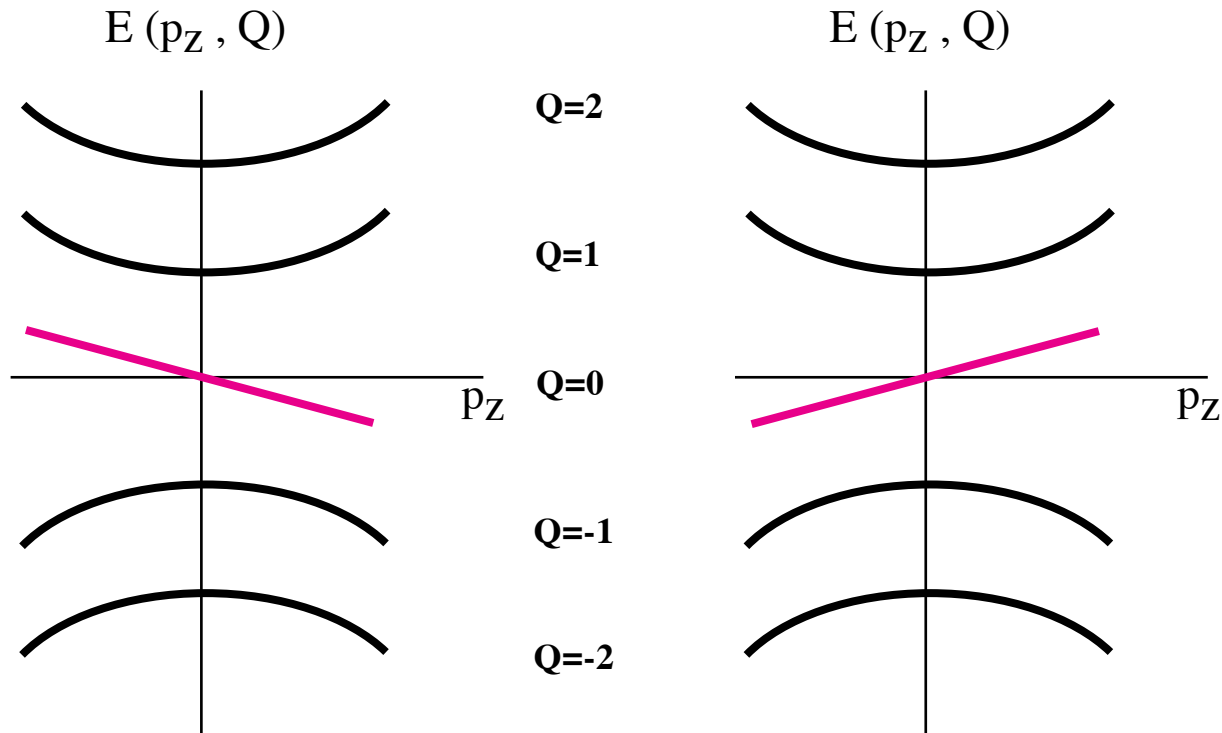
**vortices in fully gapped 3+1 system**

**fermion zero modes in vortex core**

# Bound states of fermions on cosmic strings and vortices

Spectrum of quarks in core of electroweak cosmic string

quantum numbers:  $Q$  - angular momentum &  $p_z$  - linear momentum



$E(p_z) = -cp_z$  for d quarks

$E(p_z) = cp_z$  for u quark

**asymmetric branches cross zero energy**

**Index theorem:**

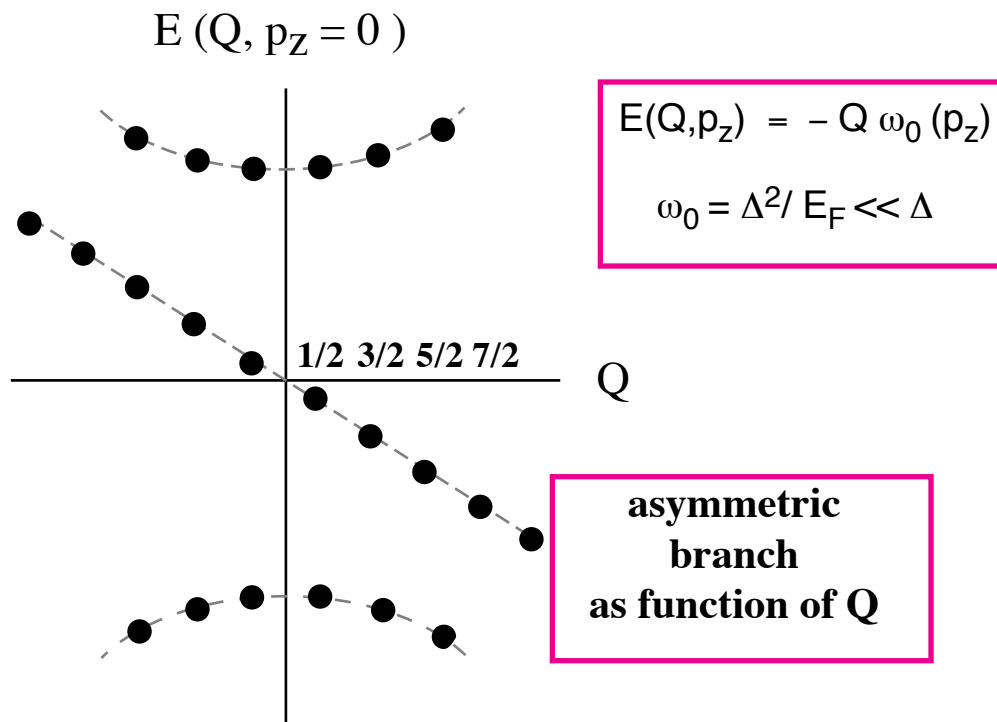
Number of asymmetric branches =  $N$   
 $N$  is vortex winding number

Jackiw & Rossi  
Nucl. Phys. B**190**, 681 (1981)

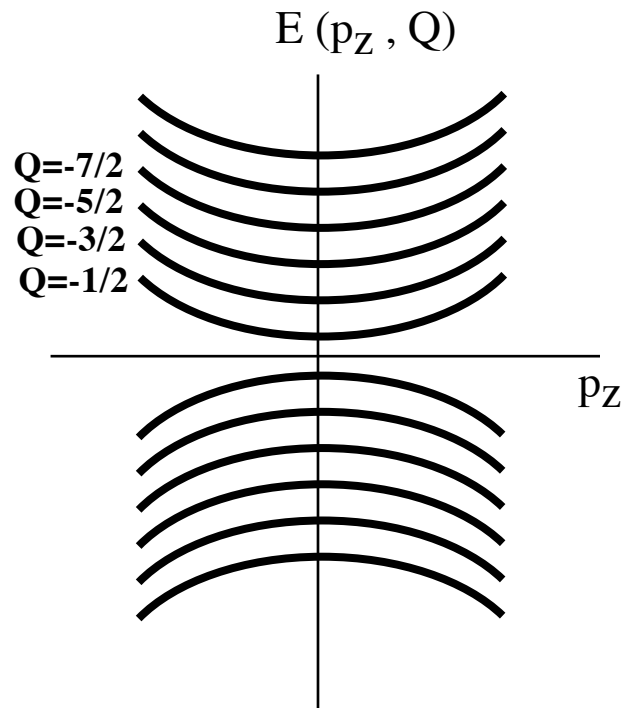
# Bound states of fermions on vortex in s-wave superconductor

Caroli, de Gennes & J. Matricon, Phys. Lett. **9** (1964) 307

$$N_K = 0$$



Angular momentum  $Q$  is half-odd integer  
in s-wave superconductor



**no true fermion zero modes:  
no asymmetric branch as function of  $p_z$**

**Index theorem for approximate fermion zero modes:**

Number of asymmetric Q-branches =  $2N$   
 $N$  is vortex winding number

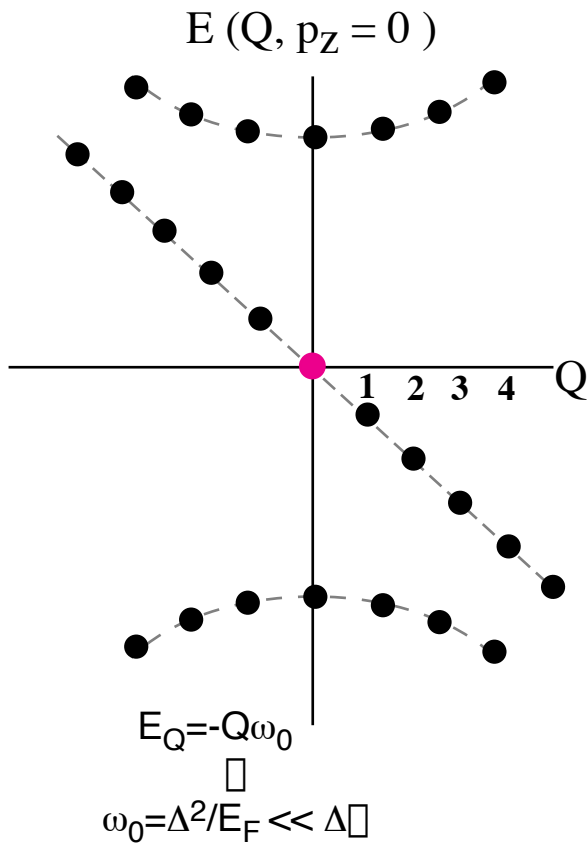
GV JETP Lett. **57**, 244 (1993)

**Index theorem for true fermion zero modes?**

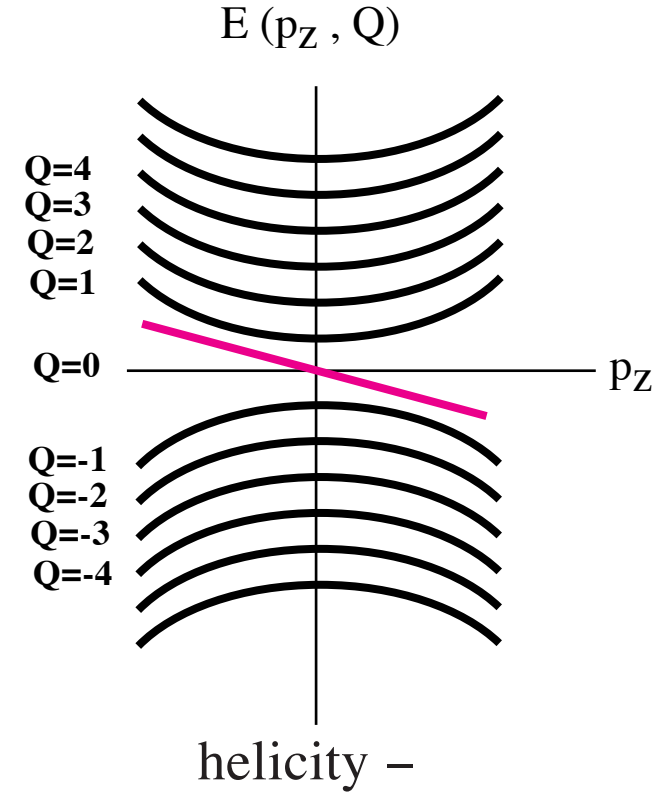
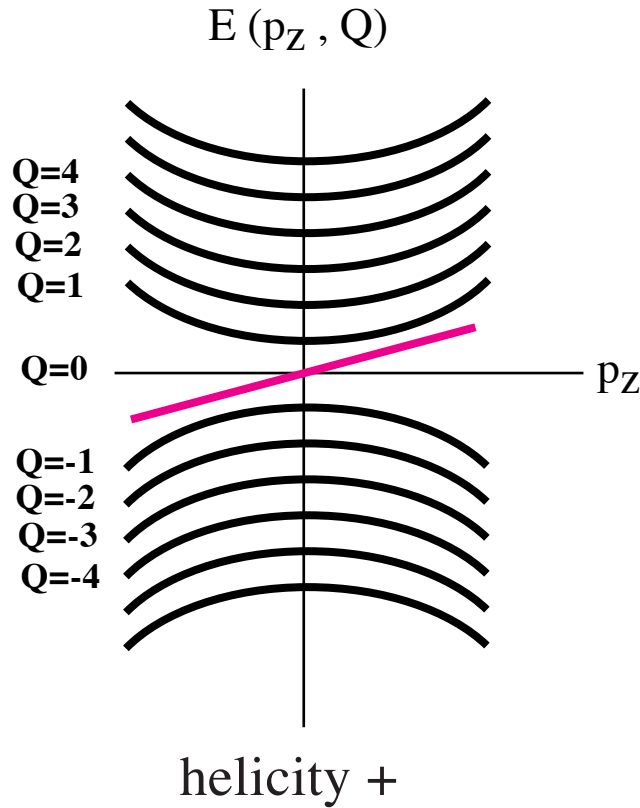
is the existence of fermion zero modes  
related to topology in bulk?

# fermions zero modes on symmetric vortex in $^3\text{He-B}$

topological  $^3\text{He-B}$  at  $\mu > 0$ :  $N_K = 2$



$Q$  is integer  
for p-wave superfluid  $^3\text{He-B}$



gapless fermions on  $Q=0$  branch form

1D Fermi-liquid

Misirpashaev & GV

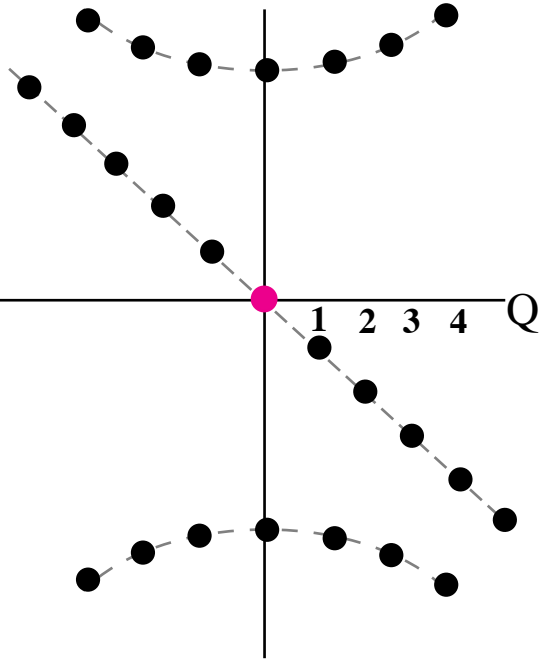
Fermion zero modes in symmetric vortices in superfluid  $^3\text{He}$ ,  
Physica B **210**, 338 (1995)



# fermions zero modes on symmetric vortex in $^3\text{He-B}$

topological  $^3\text{He-B}$  at  $\mu > 0$  :  $N_K = 2$

$E(Q, p_z = 0)$

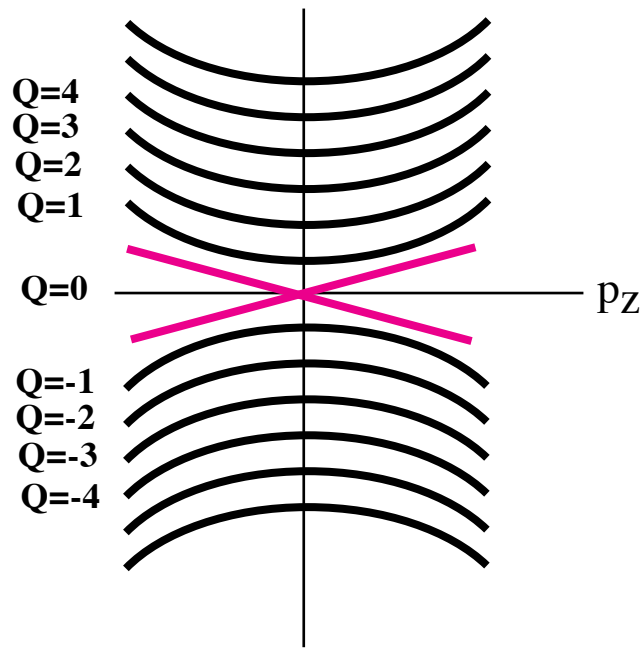


$$E_Q = -Q\omega_0$$

$$\omega_0 = \Delta^2/E_F \ll \Delta$$

$Q$  is integer  
for p-wave superfluid  $^3\text{He-B}$

$E(p_z, Q)$



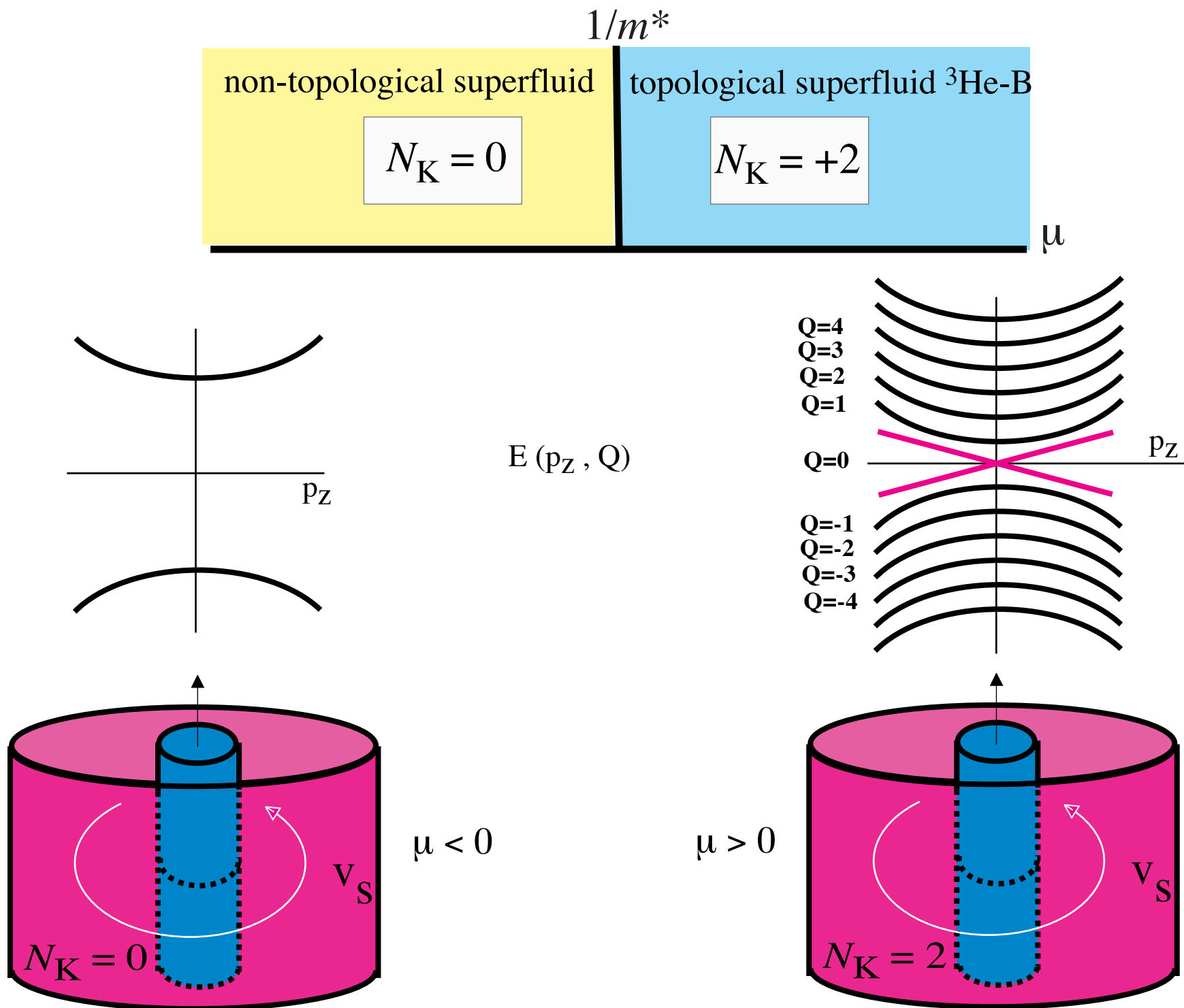
gapless fermions on  $Q=0$  branch form

1D Fermi-liquid

Misirpashaev & GV

Fermion zero modes in symmetric vortices in superfluid  $^3\text{He}$ ,  
*Physica B* **210**, 338 (1995)

# topological quantum phase transition in bulk & in vortex core



# superfluid ${}^3\text{He-B}$ as non-relativistic limit of relativistic triplet superconductor

$$H = \begin{pmatrix} c\boldsymbol{\alpha}\cdot\mathbf{p} + \beta M - \mu_R & \gamma_5\Delta \\ \gamma_5\Delta & -c\boldsymbol{\alpha}\cdot\mathbf{p} - \beta M + \mu_R \end{pmatrix}$$

relativistic triplet superconductor

$$\downarrow \begin{array}{l} cp \ll M \\ \mu \ll M \end{array}$$

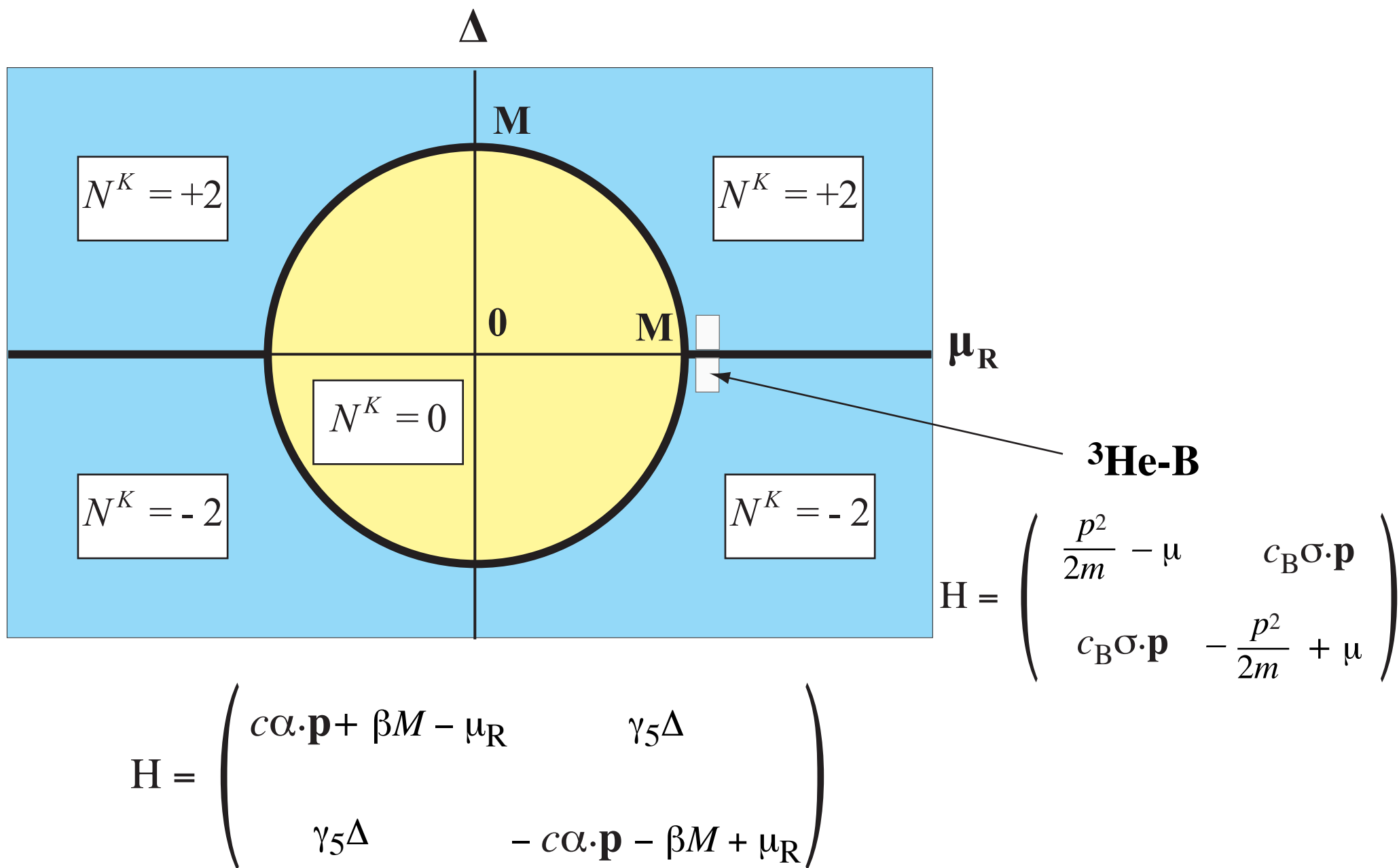
$$H = \begin{pmatrix} \frac{p^2}{2m} - \mu & c_B\boldsymbol{\sigma}\cdot\mathbf{p} \\ c_B\boldsymbol{\sigma}\cdot\mathbf{p} & -\frac{p^2}{2m} + \mu \end{pmatrix}$$

superfluid  ${}^3\text{He-B}$

$$c_B = c \Delta / M \quad m = M / c^2$$

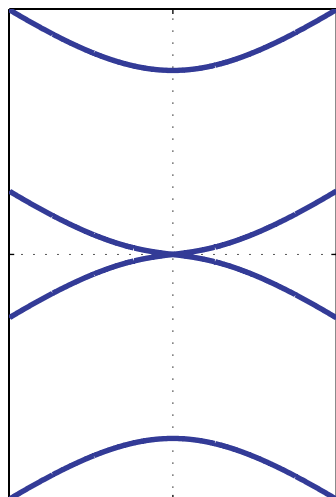
$$(\mu + M)^2 = \mu_R^2 + \Delta^2$$

# phase diagram of topological states of relativistic triplet superconductor



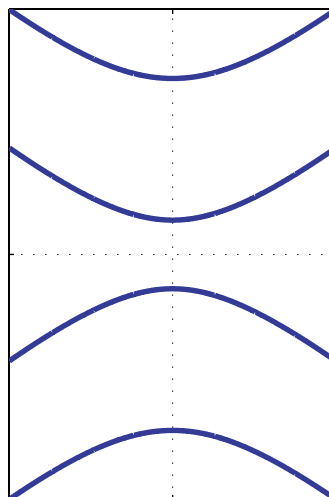
# energy spectrum in relativistic triplet superconductor

$$\mu_R^2 = M^2 - \Delta^2$$



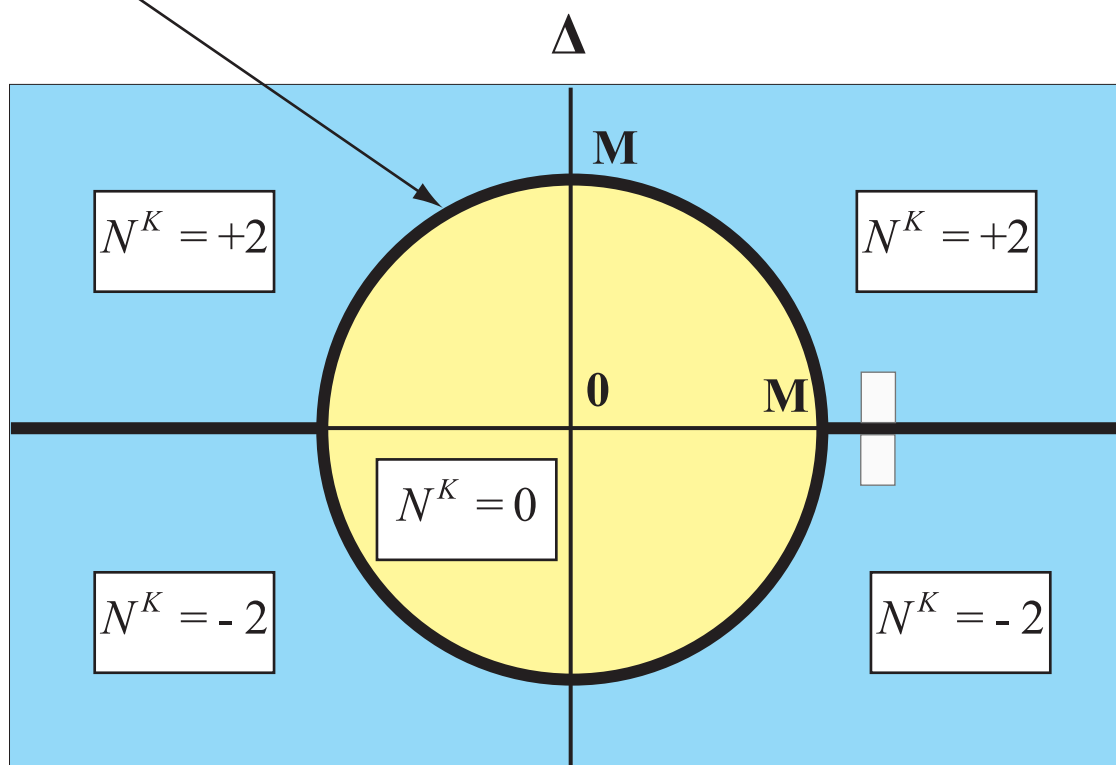
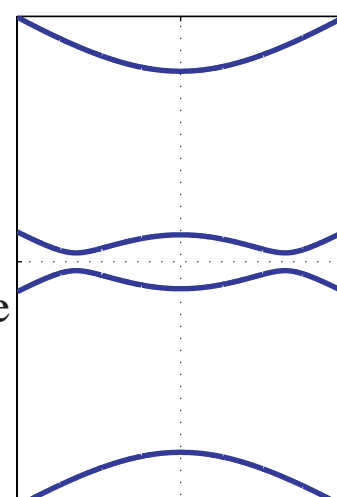
gapless spectrum  
at topological  
quantum phase  
transition

$$|\mu_R| < \mu_R^*$$



soft quantum phase  
transition:  
Higgs transition  
in p-space

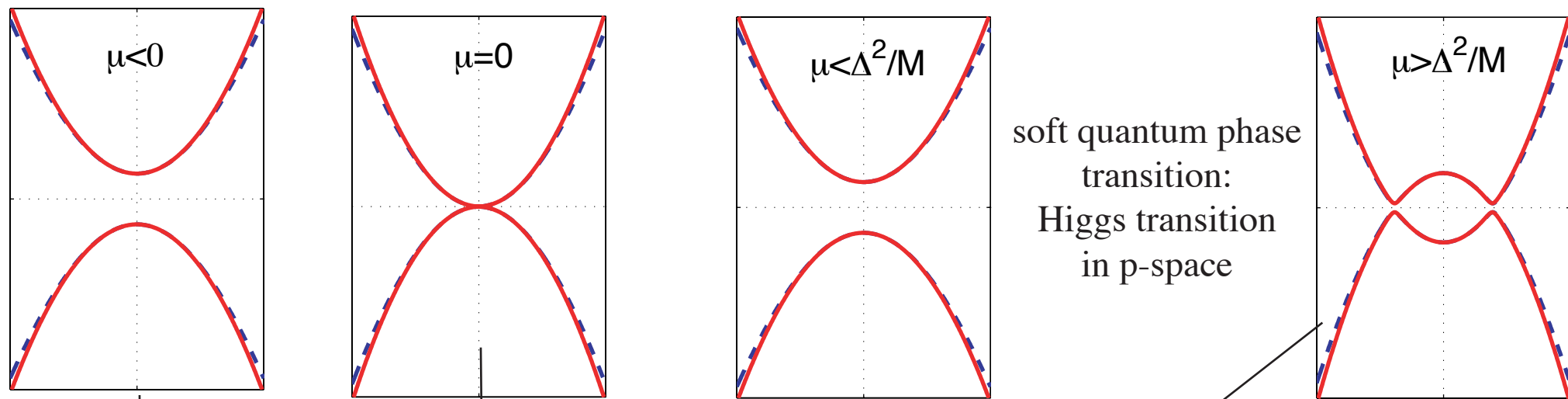
$$|\mu_R| > \mu_R^*$$



$\mu_R$

$$H = \begin{pmatrix} c\alpha \cdot \mathbf{p} + \beta M - \mu_R & \gamma_5 \Delta \\ \gamma_5 \Delta & -c\alpha \cdot \mathbf{p} - \beta M + \mu_R \end{pmatrix}$$

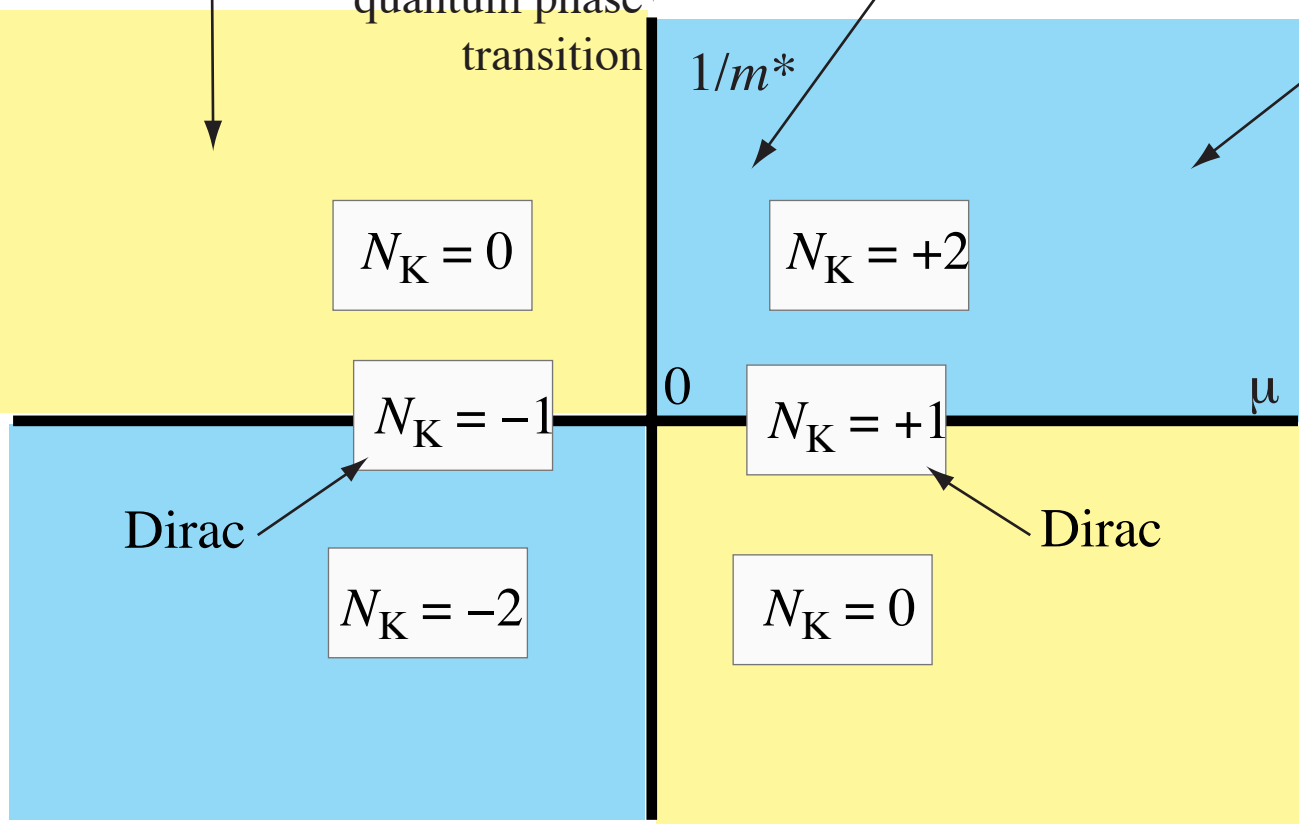
# spectrum of non-relativistic ${}^3\text{He-B}$



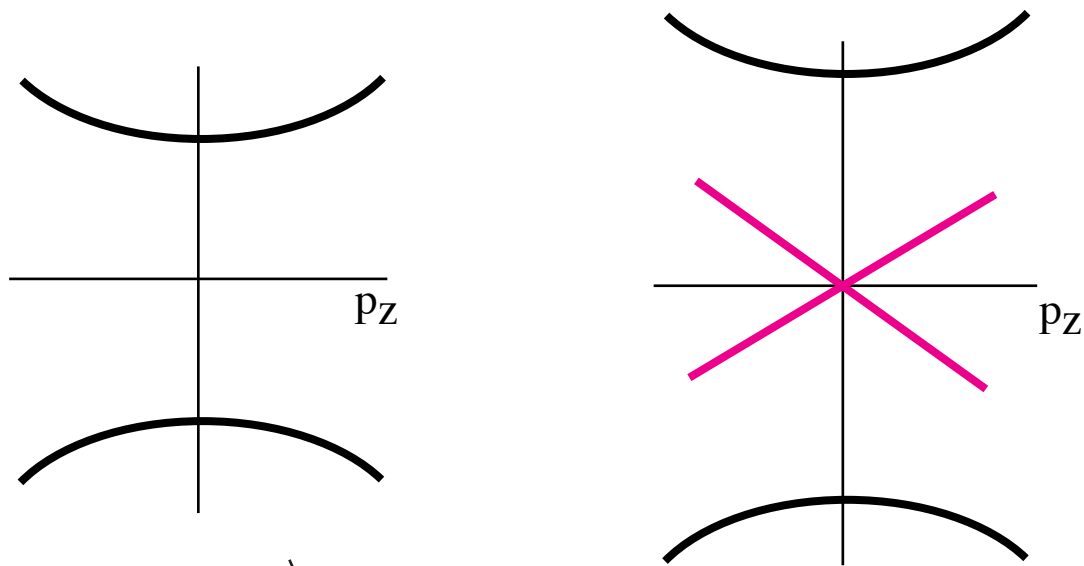
soft quantum phase transition:  
Higgs transition  
in p-space

gapless spectrum  
at topological  
quantum phase  
transition

$$H = \begin{pmatrix} \frac{p^2}{2m^*} - \mu & c_B \boldsymbol{\sigma} \cdot \mathbf{p} \\ c_B \boldsymbol{\sigma} \cdot \mathbf{p} & -\frac{p^2}{2m^*} + \mu \end{pmatrix}$$



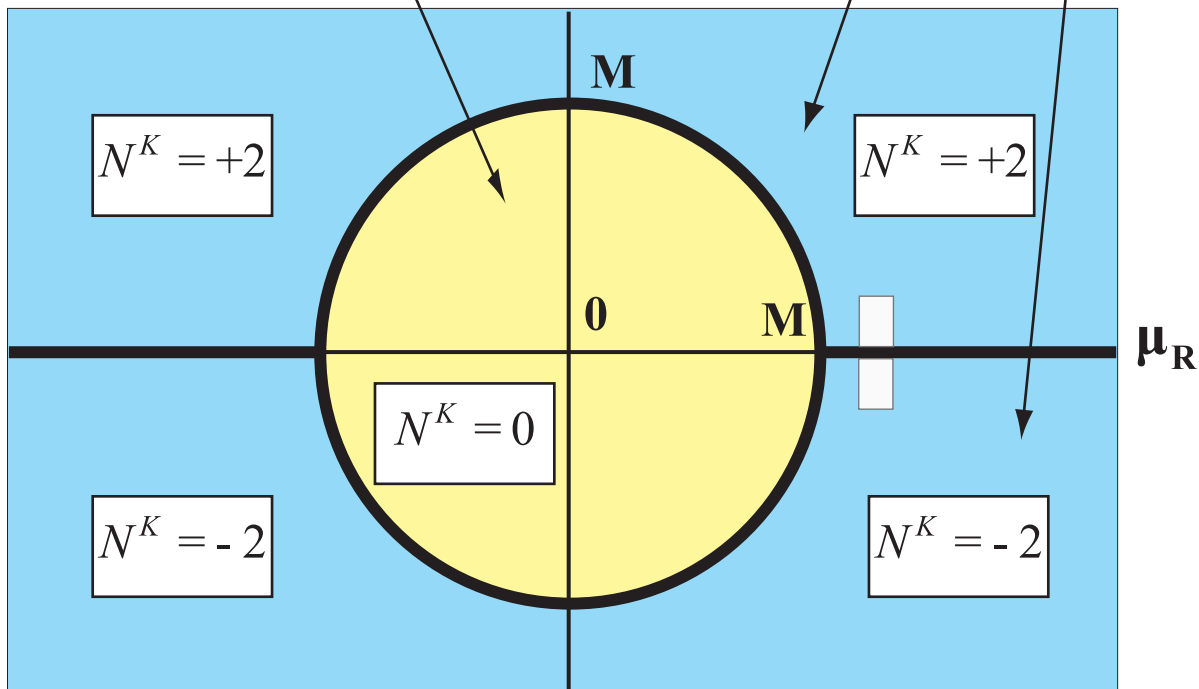
# fermion zero modes in relativistic triplet superconductor



$$H = \begin{pmatrix} c\alpha \cdot \mathbf{p} + \beta M - \mu_R & \gamma_5 \Delta \\ \gamma_5 \Delta & -c\alpha \cdot \mathbf{p} - \beta M + \mu_R \end{pmatrix}$$

**vortices in topological superconductors have fermion zero modes**

**generalized index theorem ?**



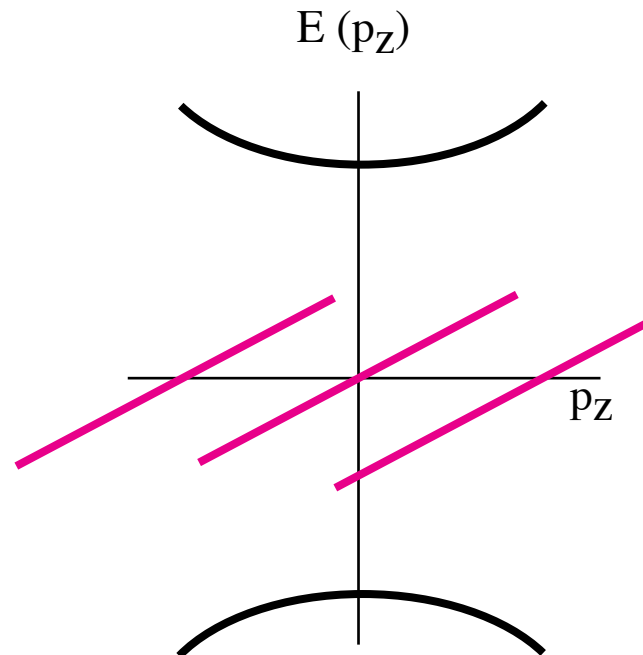
# possible index theorem for fermion zero modes on vortices

(interplay of  $r$ -space and  $p$ -space topologies)

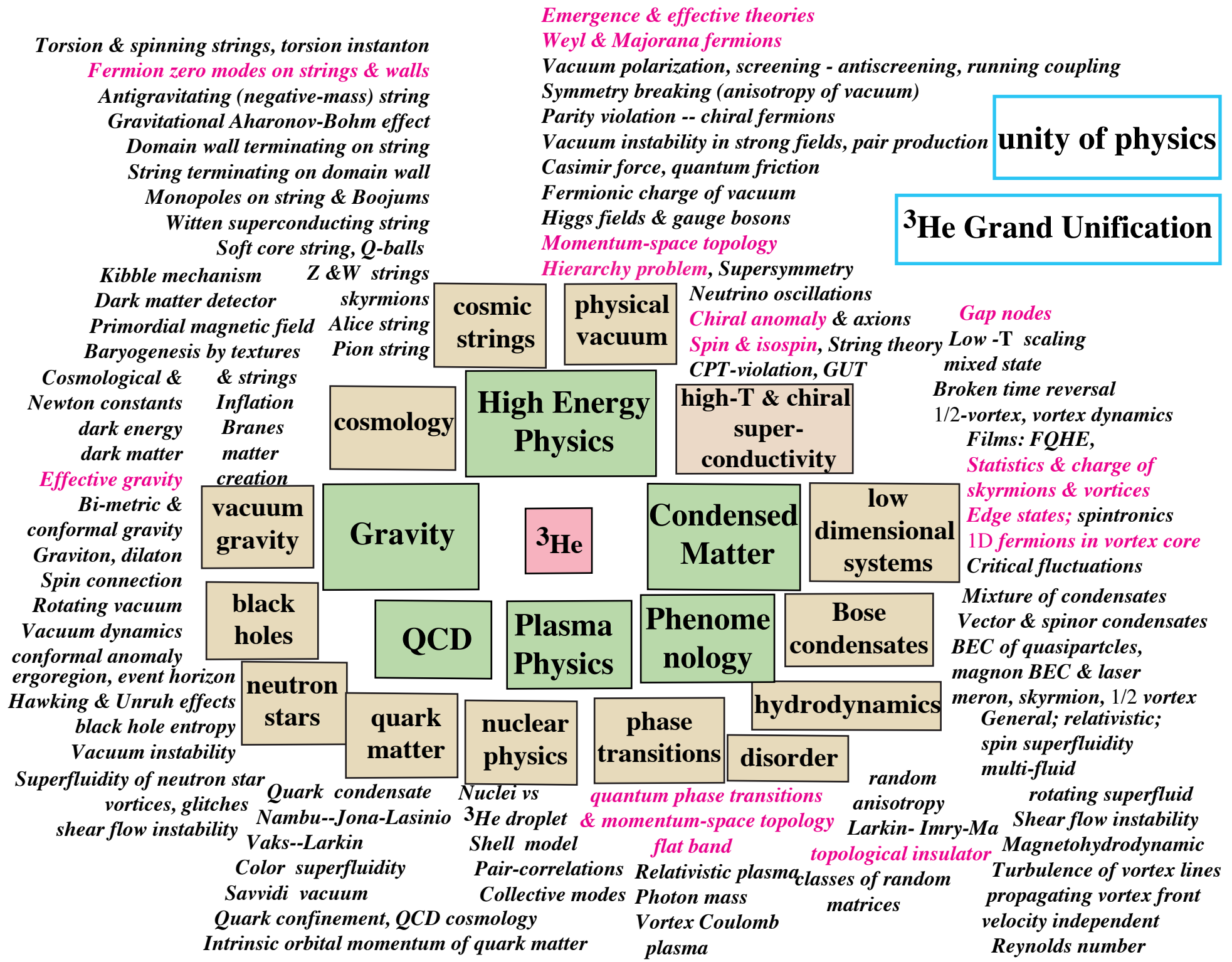
$$N_5 = \frac{1}{4\pi^3 i} \text{tr} \left[ \int d^3 p \, d\omega \, d\phi \, \mathbf{G} \partial_\omega \mathbf{G}^{-1} \mathbf{G} \partial_\phi \mathbf{G}^{-1} \mathbf{G} \partial_{p_x} \mathbf{G}^{-1} \mathbf{G} \partial_{p_y} \mathbf{G}^{-1} \mathbf{G} \partial_{p_z} \mathbf{G}^{-1} \right]$$

for vortices in Dirac vacuum

$$N_5 = N \quad \text{winding number}$$







**unity of physics**

**<sup>3</sup>He Grand Unification**

**cosmic strings**

**physical vacuum**

**cosmology**

**High Energy Physics**

**high-T & chiral superconductivity**

**vacuum gravity**

**Gravity**

**<sup>3</sup>He**

**Condensed Matter**

**low dimensional systems**

**black holes**

**QCD**

**Plasma Physics**

**Phenomenology**

**Bose condensates**

**neutron stars**

**quark matter**

**nuclear physics**

**phase transitions**

**hydrodynamics**

**disorder**

Superfluidity of neutron star vortices, glitches  
shear flow instability

Quark condensate  
Nambu--Jona-Lasinio  
Vaks--Larkin  
Color superfluidity  
Savvidi vacuum  
Quark confinement, QCD cosmology  
Intrinsic orbital momentum of quark matter

Nuclei vs <sup>3</sup>He droplet  
Shell model  
Pair-correlations  
Collective modes  
QCD cosmology  
Photon mass  
Vortex Coulomb plasma

quantum phase transitions & momentum-space topology  
flat band  
Relativistic plasma  
Photon mass  
Vortex Coulomb plasma

random anisotropy  
Larkin-Imry-Ma  
topological insulator  
classes of random matrices  
rotating superfluid  
Shear flow instability  
Magnetohydrodynamic  
Turbulence of vortex lines  
propagating vortex front  
velocity independent  
Reynolds number

Gap nodes  
Low-T scaling mixed state  
Broken time reversal  
1/2-vortex, vortex dynamics  
Films: FQHE,  
Statistics & charge of skyrmions & vortices  
Edge states; spintronics  
1D fermions in vortex core  
Critical fluctuations  
Mixture of condensates  
Vector & spinor condensates  
BEC of quasiparticles, magnon BEC & laser  
meron, skyrmion, 1/2 vortex  
General; relativistic; spin superfluidity  
multi-fluid

Torsion & spinning strings, torsion instanton  
Fermion zero modes on strings & walls  
Antigravitating (negative-mass) string  
Gravitational Aharonov-Bohm effect  
Domain wall terminating on string  
String terminating on domain wall  
Monopoles on string & Boojums  
Witten superconducting string  
Soft core string, Q-balls

Kibble mechanism  
Dark matter detector  
Primordial magnetic field  
Baryogenesis by textures  
Cosmological & strings  
Newton constants  
dark energy  
dark matter  
Effective gravity  
Bi-metric & conformal gravity  
Graviton, dilaton  
Spin connection  
Rotating vacuum  
Vacuum dynamics  
conformal anomaly  
ergoregion, event horizon  
Hawking & Unruh effects  
black hole entropy  
Vacuum instability

Z & W strings  
skyrmions  
Alice string  
Pion string  
& strings  
Inflation  
Branes  
matter creation  
vacuum gravity  
black holes  
neutron stars  
quark matter  
nuclear physics  
phase transitions  
hydrodynamics  
disorder

Emergence & effective theories  
Weyl & Majorana fermions  
Vacuum polarization, screening - antiscreening, running coupling  
Symmetry breaking (anisotropy of vacuum)  
Parity violation -- chiral fermions  
Vacuum instability in strong fields, pair production  
Casimir force, quantum friction  
Fermionic charge of vacuum  
Higgs fields & gauge bosons  
Momentum-space topology  
Hierarchy problem, Supersymmetry  
Neutrino oscillations  
Chiral anomaly & axions  
Spin & isospin, String theory  
CPT-violation, GUT