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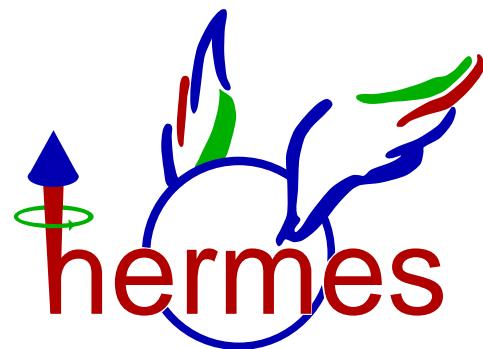
# *Transversity and Transverse Momentum Dependent Distribution and Fragmentation Functions*

G. Schnell

Universiteit Gent

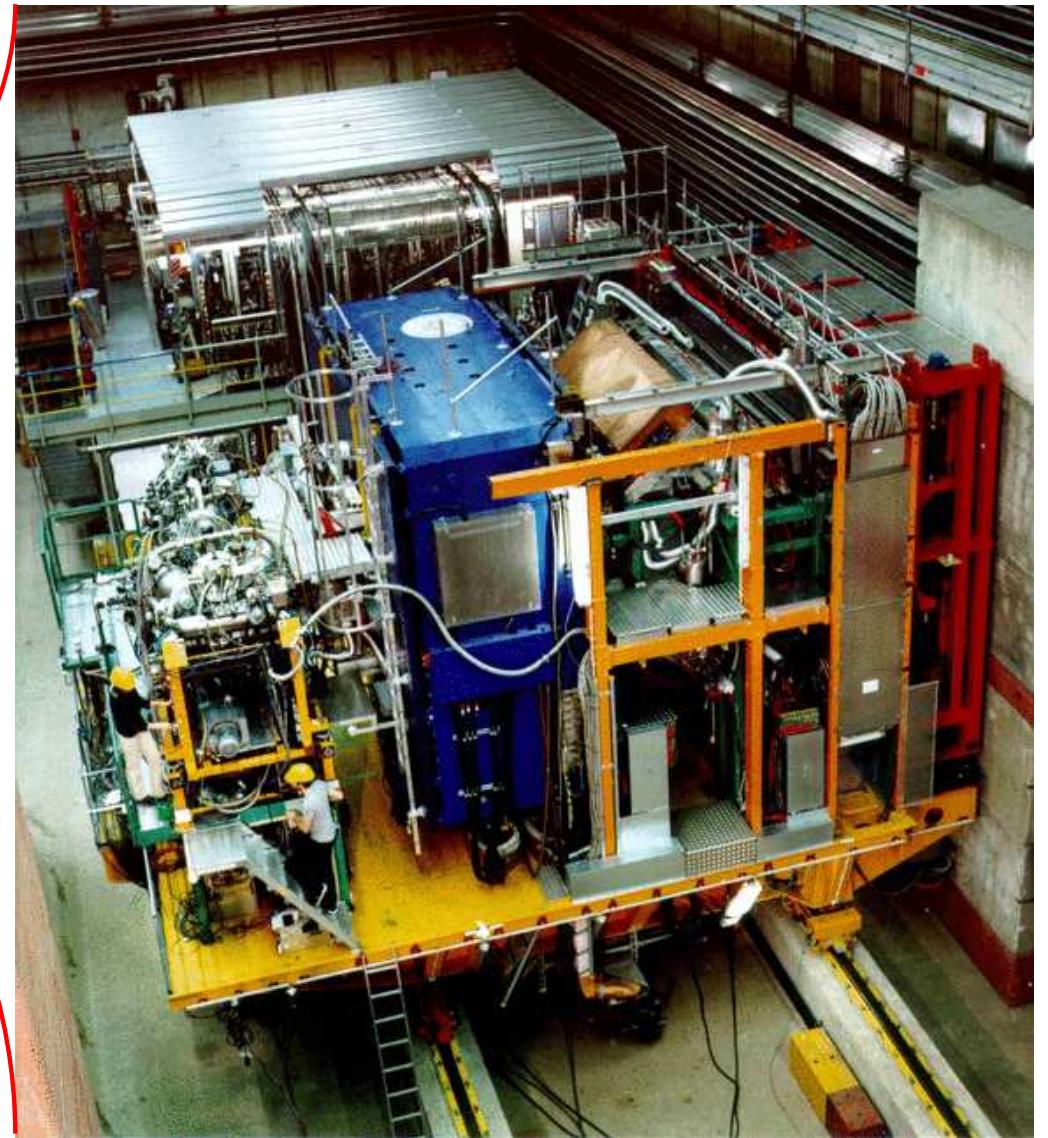
[gunar.schnell@desy.de](mailto:gunar.schnell@desy.de)

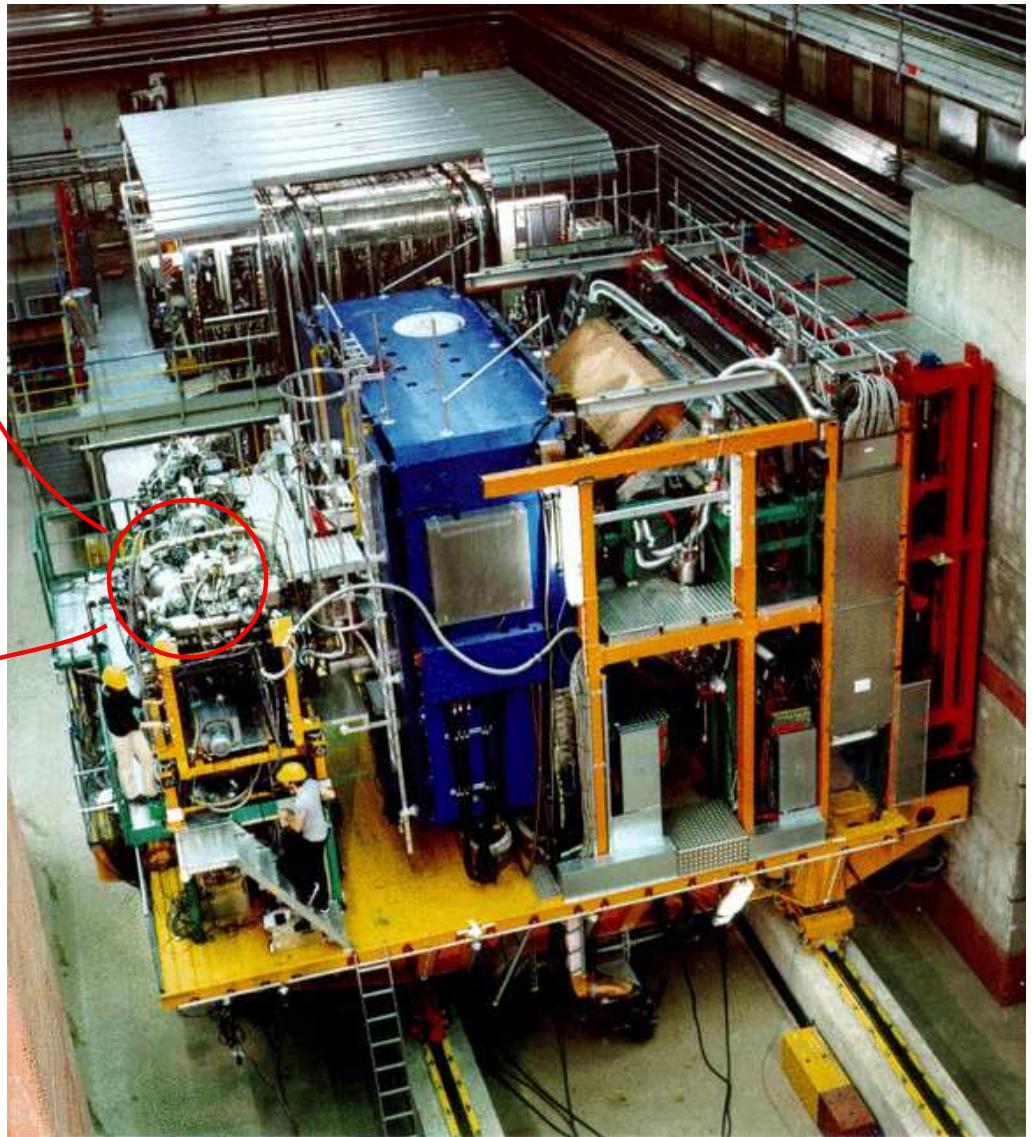
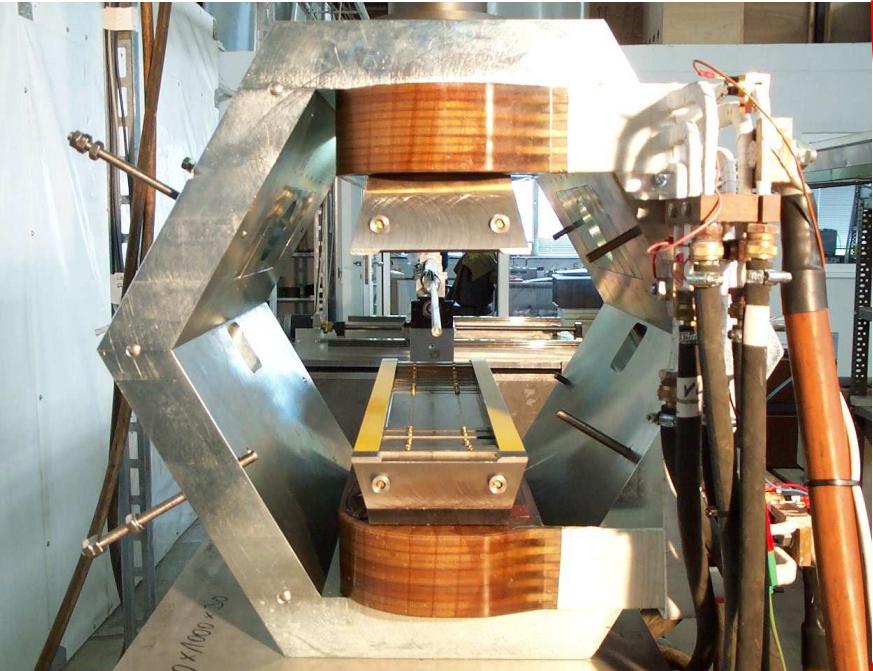
For the



Collaboration

27.5GeV positron beam of HERA





- atomic beam source
- ⇒ pure gas target
- transversely pol. hydrogen
- polarization  $\sim 75\%$**
- other targets possible



# *Transversity Measurements*

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How can one measure the chiral-odd transversity?  
Need another chiral-odd object!

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Need another chiral-odd object!

⇒ Semi-Inclusive DIS

$$\sigma^{ep \rightarrow ehX} = \sum_q h_1^q \otimes \sigma^{eq \rightarrow eq} \otimes FF^{q \rightarrow h}$$

$\downarrow$      $\downarrow$   
**chiral-odd**    **chiral-odd**  
DF    FF  
  
**CHIRAL EVEN**

# *Transversity Measurements*

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How can one measure the chiral-odd transversity?

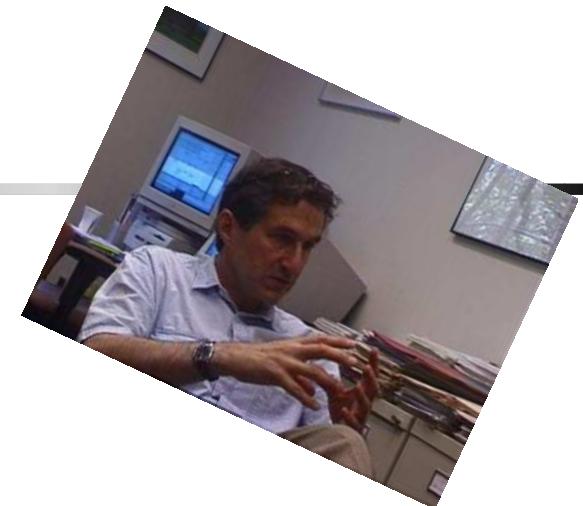
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$\downarrow$      $\downarrow$   
**chiral-odd**    **chiral-odd**  
DF    FF  
  
**CHIRAL EVEN**

→ chiral-odd FF as a **polarimeter** of transv. quark polarization



# Semi-Inclusive 2-Hadron Production

# 2-Hadron Fragmentation

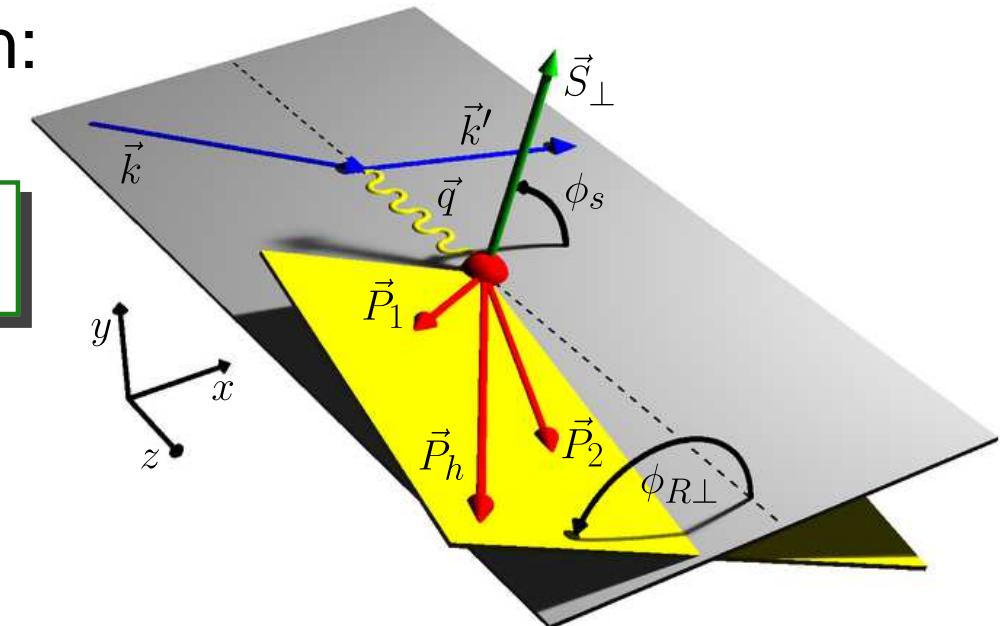
polarized 2-hadron cross section:

(Unpolarized beam, Transversely pol. target)

$$\sigma_{UT} \sim \sin(\phi_{R\perp} + \phi_s) \sum e_q^2 h_1^q H_1^\triangleleft$$

$$H_1^\triangleleft = H_1^\triangleleft(z, \zeta, M_{\pi\pi}^2)$$

$$(\zeta \sim z_1/(z_1 + z_2))$$



# 2-Hadron Fragmentation

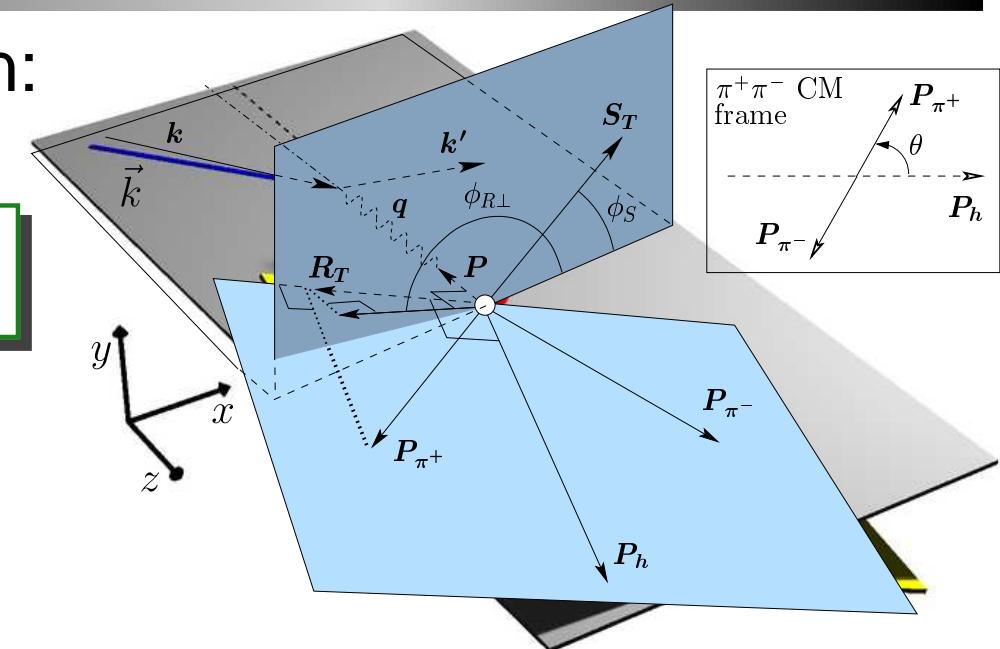
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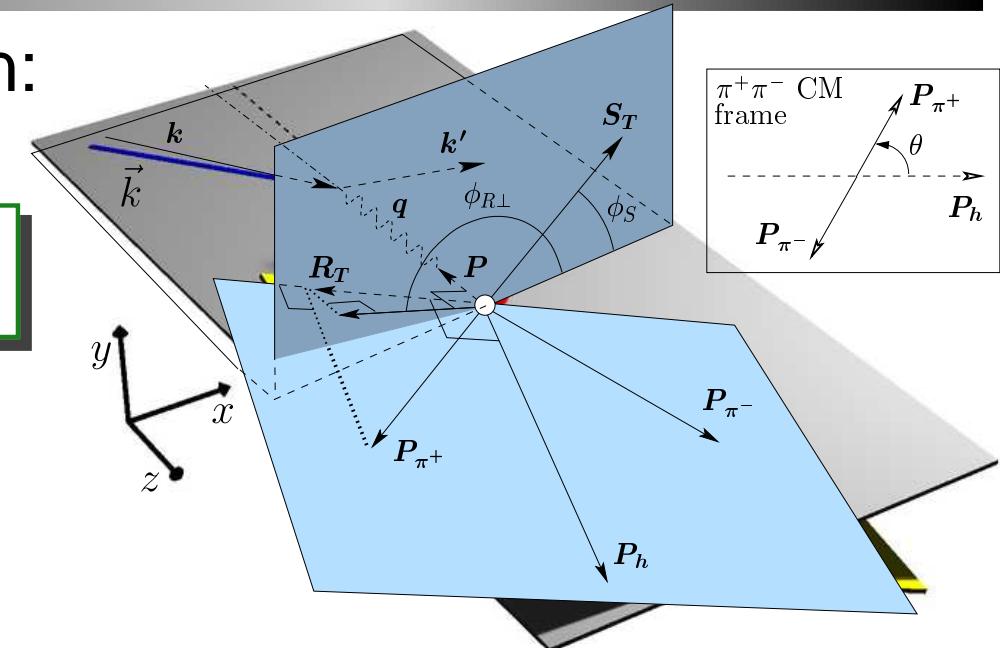
polarized 2-hadron cross section:

(Unpolarized beam, Transversely pol. target)

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$$(\zeta \sim z_1/(z_1 + z_2))$$



difficult to measure directly  $\sigma_{UT} \equiv \sigma_{U\uparrow} - \sigma_{U\downarrow}$

⇒ measure **cross section asymmetry**  $A_{UT}$ :

$$A_{UT} \equiv \frac{1}{\langle |S_T| \rangle} \frac{N_{2\pi}^\uparrow(\phi_{R\perp}, \phi_S, \theta) - N_{2\pi}^\downarrow(\phi_{R\perp}, \phi_S, \theta)}{N_{2\pi}^\uparrow(\phi_{R\perp}, \phi_S, \theta) + N_{2\pi}^\downarrow(\phi_{R\perp}, \phi_S, \theta)}$$

$\uparrow\downarrow \dots$  target spin states  
 $N_{2\pi} \dots$  (norm.)  $2\pi$  yield  
 $S_T \dots$  target polarization

# 2-Hadron Fragmentation

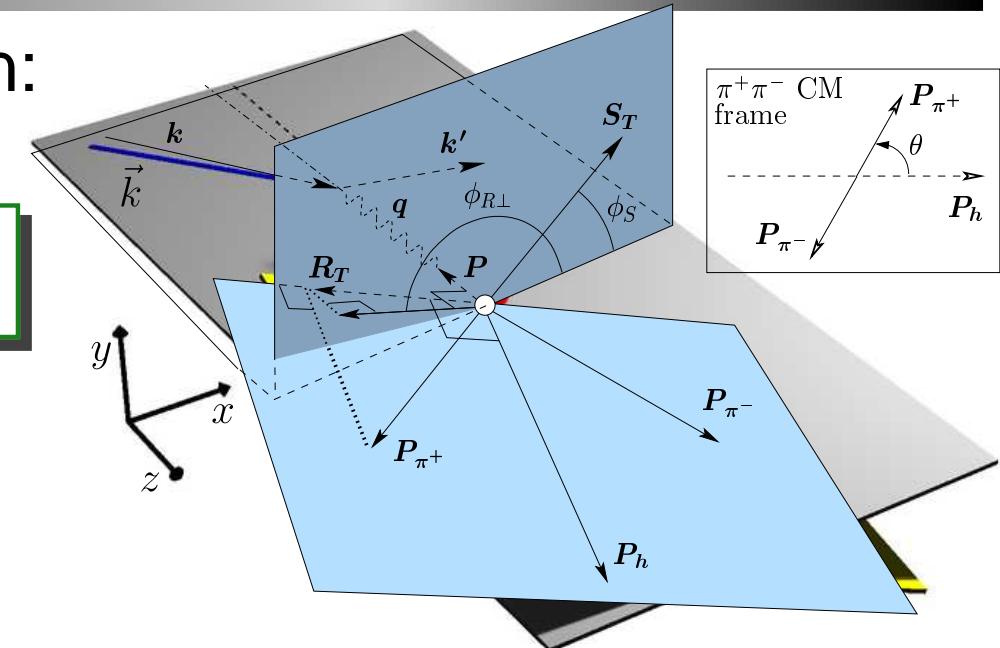
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$\uparrow\downarrow \dots$  target spin states  
 $N_{2\pi} \dots$  (norm.)  $2\pi$  yield  
 $S_T \dots$  target polarization

But: asymmetry involves unknown unpolarized  $2\pi$  cross section

# Interference Fragmentation – Models

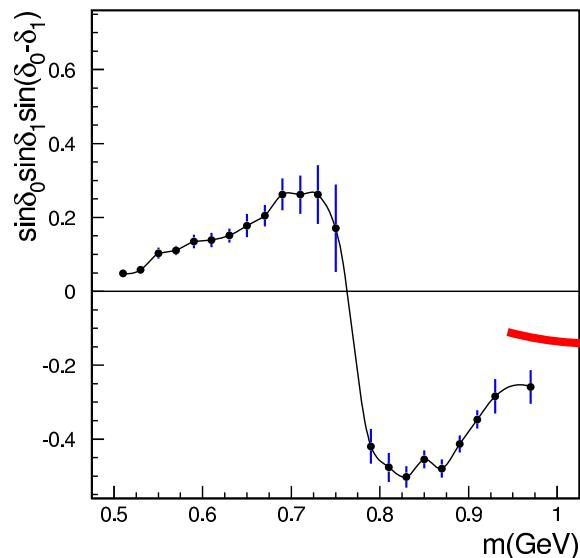
$$A_{UT} \sim \sin(\phi_{R\perp} + \phi_S) \sin \theta h_1 H_1^\triangleleft$$

Expansion of  $H_1^\triangleleft$  in Legendre moments:

$$H_1^\triangleleft(z, \cos \theta, M_{\pi\pi}^2) = H_1^{\triangleleft, sp}(z, M_{\pi\pi}^2) + \cos \theta H_1^{\triangleleft, pp}(z, M_{\pi\pi}^2)$$

describe interference between 2 pion pairs  
coming from different production channels.

about  $H_1^{\triangleleft, sp}$ :



Jaffe et al. [[hep-ph/9709322](#)]:

$$\begin{aligned} H_1^{\triangleleft, sp}(z, M_{\pi\pi}^2) &= \sin \delta_0 \sin \delta_1 \sin(\delta_0 - \delta_1) H_1^{\triangleleft, sp'}(z) \\ &\quad \delta_0 (\delta_1) \rightarrow S(P)\text{-wave phase shifts} \\ &= \mathcal{P}(M_{\pi\pi}^2) H_1^{\triangleleft, sp'}(z) \end{aligned}$$

$\Rightarrow A_{UT}$  might depend strongly on  $M_{\pi\pi}$

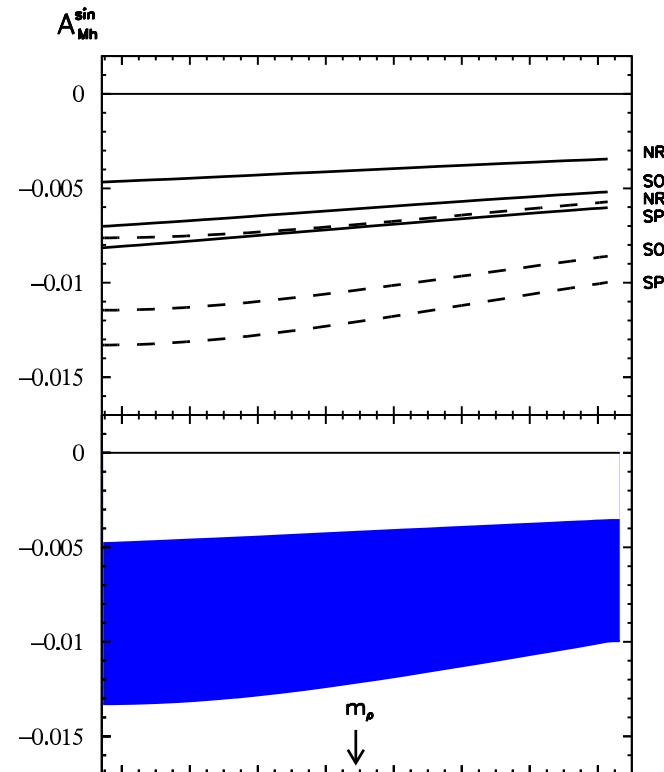
# Interference Fragmentation – Models

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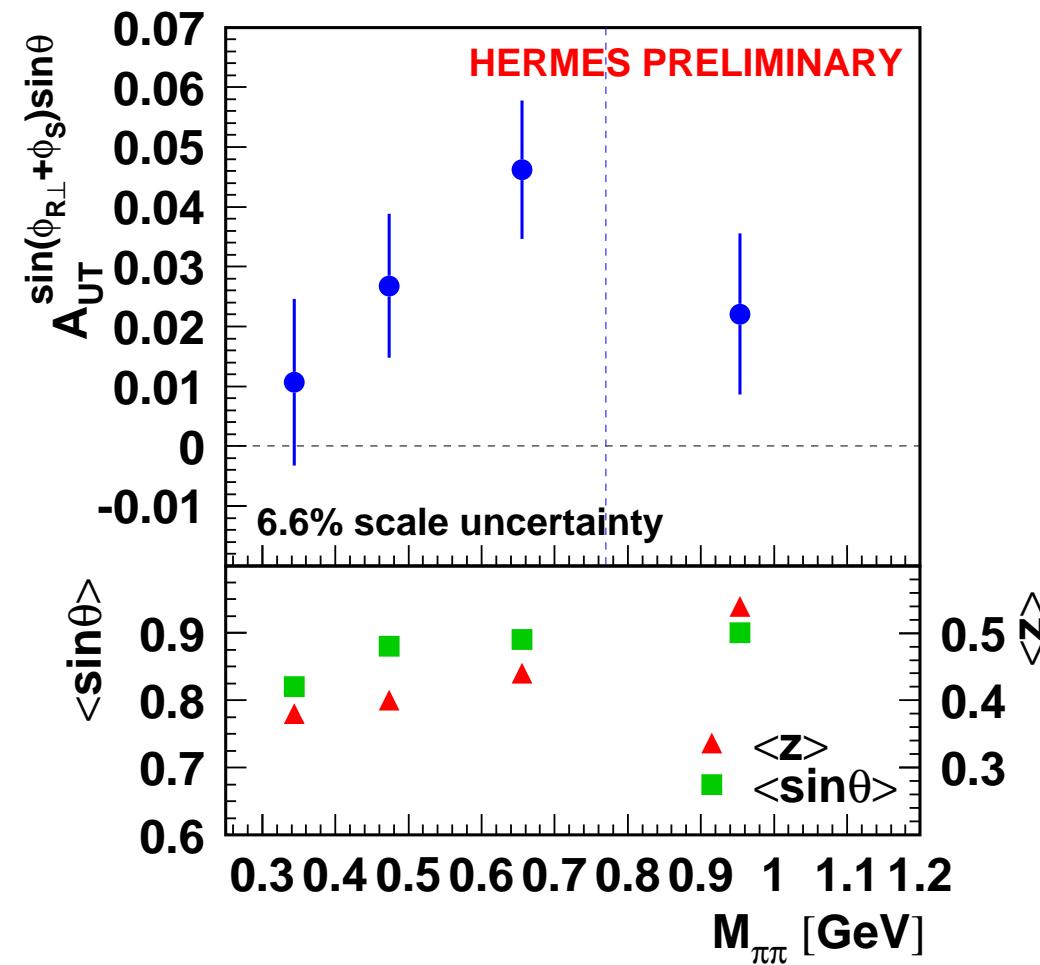
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Radici et al. [hep-ph/0110252]:

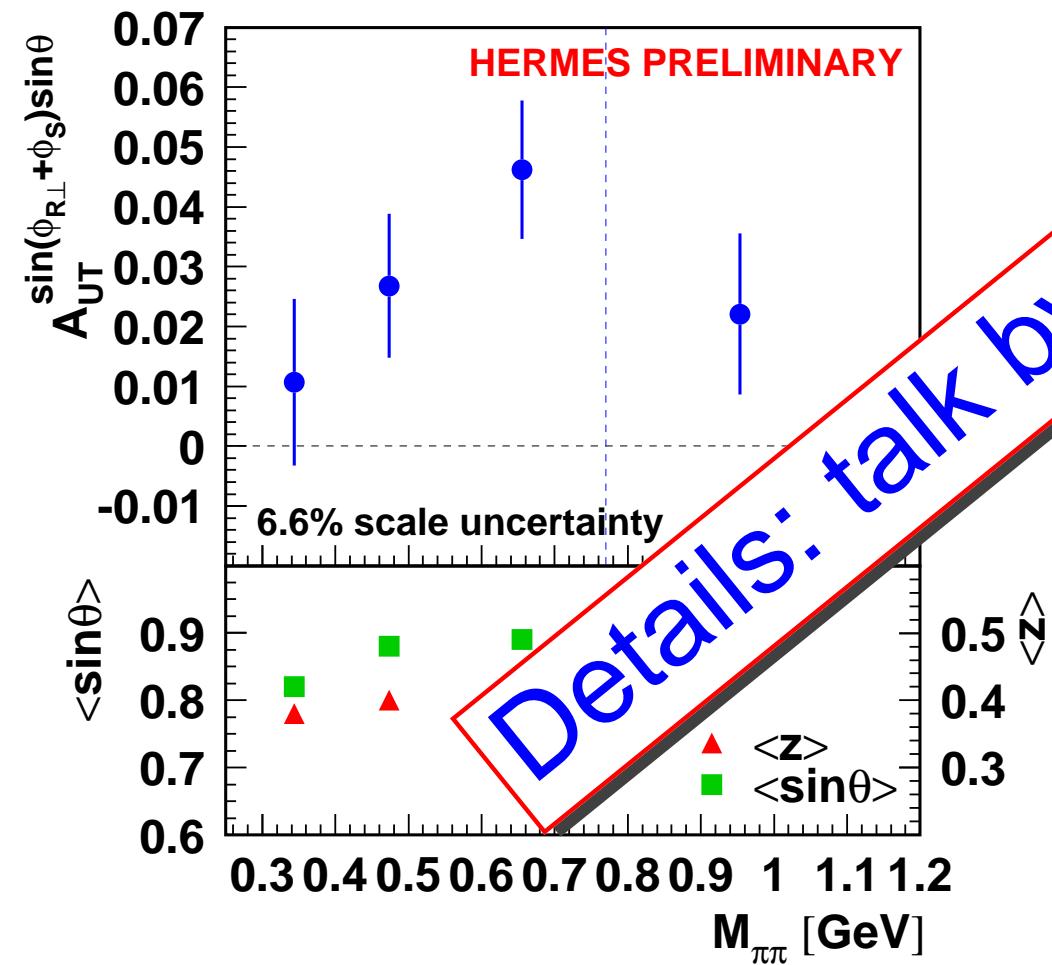
- completely different model, not predicting a sign change of the asymmetry

# Mass Dependence of $A_{UT}$

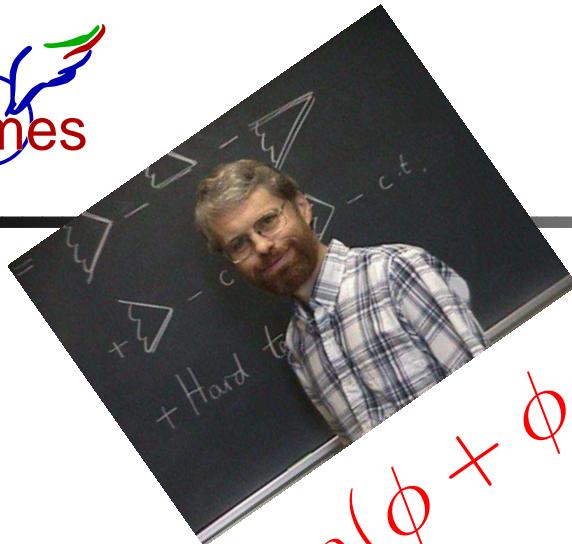


- 2-hadron (aka Interference) FF is not zero!
- asymmetry grows with  $M_{\pi\pi}$  below  $\rho^0$  mass
- positive asymmetries in all invariant mass bins
- rules out predicted sign change at  $\rho^0$  mass (Jaffe et al.)
- to extract transversity ( $h_1$ ) need Interference FF from Belle (or BaBar etc.)

# Mass Dependence of $A_{UT}$



- 2-k (interference) FF is not symmetric grows with  $M_{\pi\pi}$  below  $\rho^0$  mass
- positive asymmetries in all invariant mass bins
- rules out predicted sign change at  $\rho^0$  mass (Jaffe et al.)
- to extract transversity ( $h_1$ ) need Interference FF from Belle (or BaBar etc.)



$$\sin(\phi + \phi_S)$$



$$\sin(\phi - \phi_S)$$

# Semi-Inclusive 1-Hadron Production



## Leading-Twist Distribution Functions

$$f_1 = \text{○}$$

$$g_1 = \text{○} \rightarrow - \text{○} \rightarrow$$

$$h_1 = \text{○} \uparrow - \text{○} \downarrow$$

$$g_{1T} = \text{○} \uparrow - \text{○} \uparrow$$

$$f_{1T}^\perp = \text{○} \uparrow - \text{○} \downarrow$$

$$h_1^\perp = \text{○} \downarrow - \text{○} \uparrow$$

$$h_{1L}^\perp = \text{○} \rightarrow - \text{○} \rightarrow$$

$$h_{1T}^\perp = \text{○} \uparrow - \text{○} \uparrow$$

## Fragmentation Functions

$$D_1 = \text{○}$$

$$G_1 = \text{○} \rightarrow - \text{○} \rightarrow$$

$$H_1 = \text{○} \uparrow - \text{○} \downarrow$$

$$D_{1T}^\perp = \text{○} \uparrow - \text{○} \downarrow$$

$$H_1^\perp = \text{○} \downarrow - \text{○} \uparrow$$

$$H_{1L}^\perp = \text{○} \rightarrow - \text{○} \rightarrow$$

$$G_{1T} = \text{○} \uparrow - \text{○} \uparrow$$

Chiral-odd **transversity**  $h_1$  must couple to chiral-odd FF

## Leading-Twist Distribution Functions

$$f_1 = \text{Diagram}$$

$$g_1 = \text{Diagram}$$

$$h_1 = \text{Diagram} \quad \boxed{\text{Diagram}}$$

$$g_{1T} = \text{Diagram} - \text{Diagram}$$

## Fragmentation Functions

$$D_1 = \text{Diagram}$$

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$$G_{1T} = \text{Diagram} - \text{Diagram}$$

$$f_{1T}^\perp = \text{Diagram} - \text{Diagram}$$

$$h_1^\perp = \text{Diagram} - \text{Diagram}$$

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$$H_{1T}^\perp = \text{Diagram} - \text{Diagram}$$

Chiral-odd **transversity**  $h_1$  must couple to chiral-odd FF  
 $\Rightarrow H_1$  is the only  $k_T$ -integrated chiral-odd FF  $\Rightarrow$  DSA  
 (Example: transverse-spin transfer in  $\Lambda$ -production)

## Leading-Twist Distribution Functions

$$f_1 = \text{circle}$$

$$g_1 = \text{circle with arrow} - \text{circle with arrow}$$

$$h_1 = \text{circle with up arrow} - \text{circle with down arrow}$$

$$f_{1T}^\perp = \text{circle with up arrow} - \text{circle with down arrow}$$

$$h_1^\perp = \text{circle with left arrow} - \text{circle with right arrow}$$

$$h_{1L}^\perp = \text{circle with left arrow} - \text{circle with right arrow}$$

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$$G_{1T} = \text{circle with up arrow} - \text{circle with up arrow}$$

$$H_{1T}^\perp = \text{circle with up arrow} - \text{circle with up arrow}$$

Chiral-odd **transversity**  $h_1$  must couple to chiral-odd FF  
can use  $k_T$ -unintegrated chiral-odd FF  $\Rightarrow$  T-odd Collins FF  
 $\Rightarrow$  leads to Single-Spin Asymmetrie (SSA)

## Leading-Twist Distribution Functions

$$f_1 = \text{Diagram}$$

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$$f_{1T}^\perp = \text{Diagram}$$

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T-odd

}

**SSAs require one and only one T-odd function**

## Leading-Twist Distribution Functions

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## Fragmentation Functions

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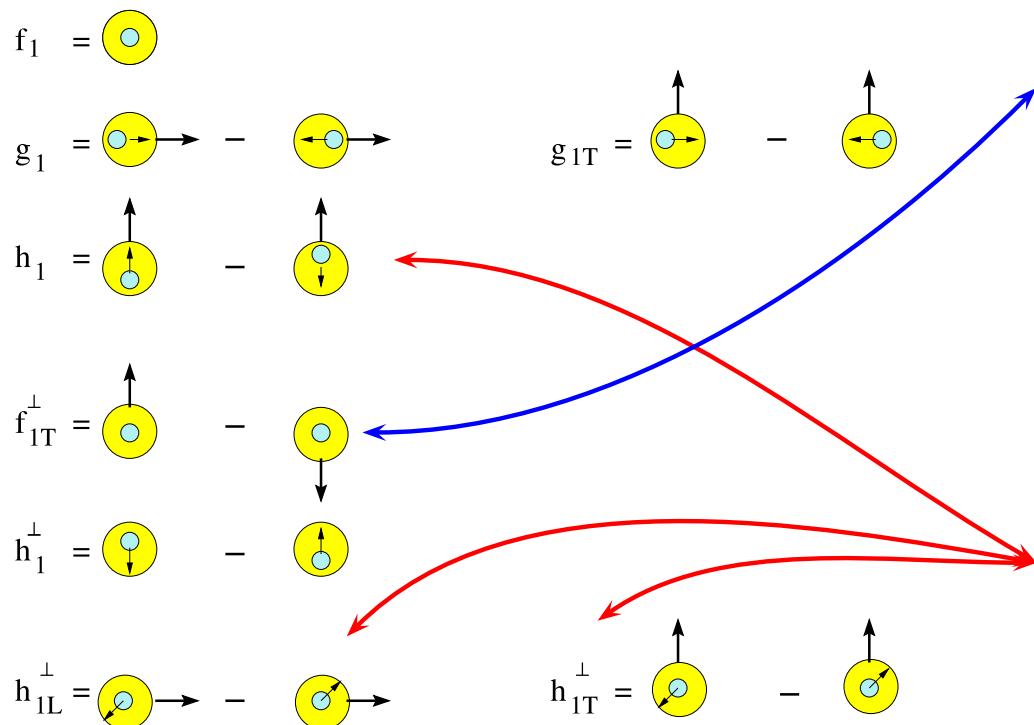
$$D_{1T}^\perp = \text{○} \uparrow - \text{○} \downarrow$$

$$H_{1L}^\perp = \text{○} \rightarrow - \text{○} \rightarrow$$

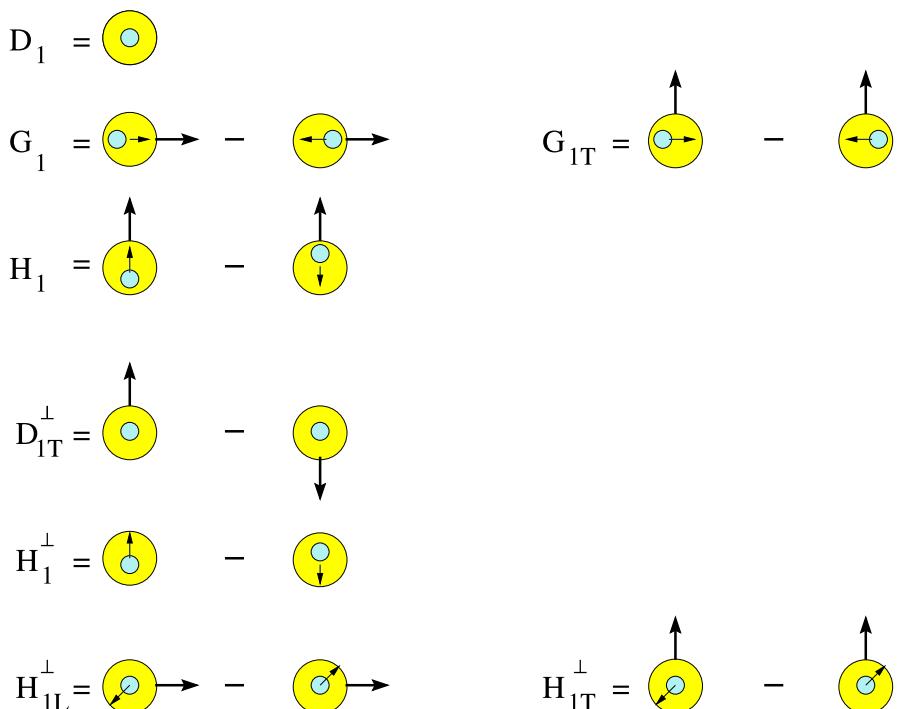
$$H_{1T}^\perp = \text{○} \uparrow - \text{○} \uparrow$$

SSAs require one and only one T-odd function  
 ⇒ SSAs through Collins function

## Leading-Twist Distribution Functions



## Fragmentation Functions



**SSAs** require one and only one T-odd function

⇒ SSAs through **Collins function** or **Sivers function**

(Boer-Mulders DF couples to  $H_1$ , but SSA requires polarization of final state!)

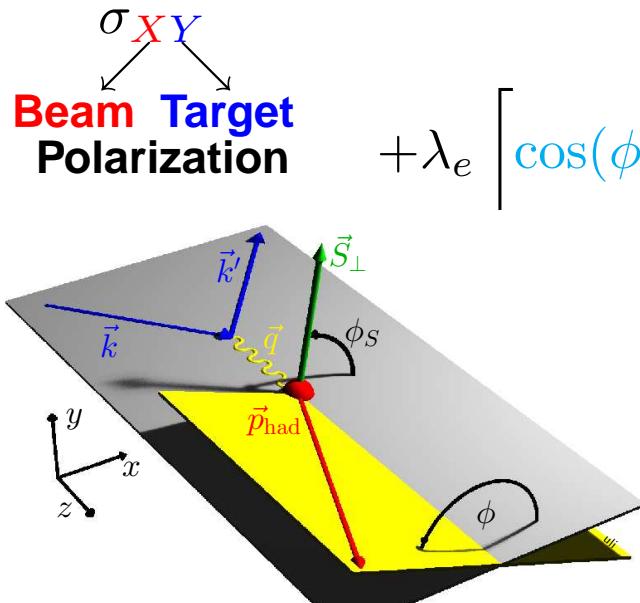
$$d\sigma = d\sigma_{UU}^0 + \cos 2\phi d\sigma_{UU}^1 + \frac{1}{Q} \cos \phi d\sigma_{UU}^2 + \lambda_e \frac{1}{Q} \sin \phi d\sigma_{LU}^3$$

$$+ S_L \left\{ \sin 2\phi d\sigma_{UL}^4 + \frac{1}{Q} \sin \phi d\sigma_{UL}^5 + \lambda_e \left[ d\sigma_{LL}^6 + \frac{1}{Q} \cos \phi d\sigma_{LL}^7 \right] \right\}$$

$$+ S_T \left\{ \sin(\phi - \phi_S) d\sigma_{UT}^8 + \sin(\phi + \phi_S) d\sigma_{UT}^9 + \sin(3\phi - \phi_S) d\sigma_{UT}^{10} \right.$$

$$\left. + \frac{1}{Q} (\sin(2\phi - \phi_S) d\sigma_{UT}^{11} + \sin \phi_S d\sigma_{UT}^{12}) \right)$$

$$+ \lambda_e \left[ \cos(\phi - \phi_S) d\sigma_{LT}^{13} + \frac{1}{Q} (\cos \phi_S d\sigma_{LT}^{14} + \cos(2\phi - \phi_S) d\sigma_{LT}^{15}) \right] \right\}$$



Mulders and Tangermann, Nucl. Phys. B 461 (1996) 197

Boer and Mulders, Phys. Rev. D 57 (1998) 5780

Bacchetta et al., Phys. Lett. B 595 (2004) 309

“Trento Conventions”, Phys. Rev. D 70 (2004) 117504

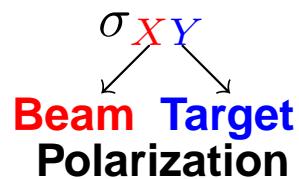
$$d\sigma = d\sigma_{UU}^0 + \cos 2\phi d\sigma_{UU}^1 + \frac{1}{Q} \cos \phi d\sigma_{UU}^2 + \lambda_e \frac{1}{Q} \sin \phi d\sigma_{LU}^3$$

$$+ S_L \left\{ \sin 2\phi d\sigma_{UL}^4 + \frac{1}{Q} \sin \phi d\sigma_{UL}^5 + \lambda_e \left[ d\sigma_{LL}^6 + \frac{1}{Q} \cos \phi d\sigma_{LL}^7 \right] \right\}$$

$$+ S_T \left\{ \sin(\phi - \phi_S) d\sigma_{UT}^8 + \sin(\phi + \phi_S) d\sigma_{UT}^9 + \sin(3\phi - \phi_S) d\sigma_{UT}^{10} \right.$$

$$\left. + \frac{1}{Q} (\sin(2\phi - \phi_S) d\sigma_{UT}^{11} + \sin \phi_S d\sigma_{UT}^{12}) \right)$$

$$+ \lambda_e \left[ \cos(\phi - \phi_S) d\sigma_{LT}^{13} + \frac{1}{Q} (\cos \phi_S d\sigma_{LT}^{14} + \cos(2\phi - \phi_S) d\sigma_{LT}^{15}) \right] \right\}$$



<u>This talk:</u>	$\sin \phi d\sigma_{LU}^3, \sin \phi d\sigma_{UL}^5$	...	Subleading Twist
	$\sin(\phi - \phi_S) d\sigma_{UT}^8$	...	Sivers Effect
	$\sin(\phi + \phi_S) d\sigma_{UT}^9$	...	Collins Effect

$$d\sigma = d\sigma_{UU}^0 + \cos 2\phi d\sigma_{UU}^1 + \frac{1}{Q} \cos \phi d\sigma_{UU}^2 + \lambda_e \frac{1}{Q} \sin \phi d\sigma_{LU}^3$$

$$+ S_L \left\{ \sin 2\phi d\sigma_{UL}^4 + \frac{1}{Q} \sin \phi d\sigma_{UL}^5 + \lambda_e \left[ d\sigma_{LL}^6 + \frac{1}{Q} \cos \phi d\sigma_{LL}^7 \right] \right\}$$

$$+ S_T \left\{ \sin(\phi - \phi_S) d\sigma_{UT}^8 + \sin(\phi + \phi_S) d\sigma_{UT}^9 + \sin(3\phi - \phi_S) d\sigma_{UT}^{10} \right.$$

$$\left. + \frac{1}{Q} (\sin(2\phi - \phi_S) d\sigma_{UT}^{11} + \sin \phi_S d\sigma_{UT}^{12}) \right)$$

$$+ \lambda_e \left[ \cos(\phi - \phi_S) d\sigma_{LT}^{13} + \frac{1}{Q} (\cos \phi_S d\sigma_{LT}^{14} + \cos(2\phi - \phi_S) d\sigma_{LT}^{15}) \right] \right\}$$

$\sigma_{XY}$   
 Beam Target  
 Polarization

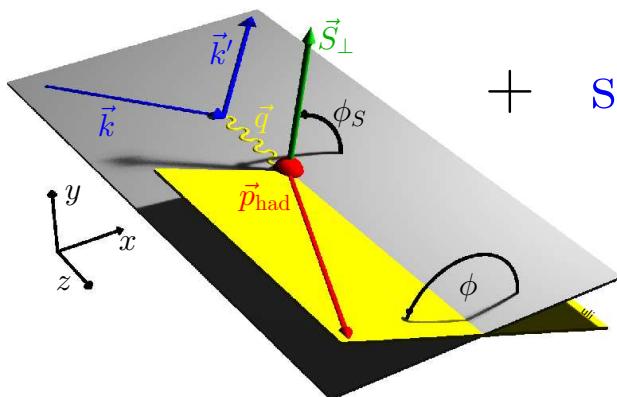
Also Interesting:  $\sin \phi_S d\sigma_{UT}^{12}$ ,  $\cos \phi_S d\sigma_{LT}^{14} \dots \Rightarrow$  Transversity,  $g_2$   
 (and under study!)  $\cos \phi d\sigma_{UU}^2$  ... Cahn Effect  
 $\cos 2\phi d\sigma_{UU}^1$  ... Boer-Mulders Effect

# Azimuthal Single-Spin Asymmetries

$$A_{UT}(\phi, \phi_S) = \frac{1}{\langle |S_\perp| \rangle} \frac{N_h^\uparrow(\phi, \phi_S) - N_h^\downarrow(\phi, \phi_S)}{N_h^\uparrow(\phi, \phi_S) + N_h^\downarrow(\phi, \phi_S)}$$

$$\sim \sin(\phi + \phi_S) \sum_q e_q^2 \mathcal{I} \left[ \frac{k_T \hat{P}_{h\perp}}{M_h} h_1^q(x, p_T^2) H_1^{\perp, q}(z, k_T^2) \right]$$

$$+ \sin(\phi - \phi_S) \sum_q e_q^2 \mathcal{I} \left[ \frac{p_T \hat{P}_{h\perp}}{M} f_{1T}^{\perp, q}(x, p_T^2) D_1^q(z, k_T^2) \right]$$



+ ...

$\mathcal{I}[\dots]$ : convolution integral over initial ( $p_T$ ) and final ( $k_T$ ) quark transverse momenta

$\Rightarrow$  2D-fit of  $A_{UT}$  to get Collins and Sivers asymmetries:

$$A_{UT}(\phi, \phi_S) = 2 \left\langle \sin(\phi - \phi_S) \right\rangle_{UT} \sin(\phi - \phi_s) + 2 \left\langle \sin(\phi + \phi_S) \right\rangle_{UT} \sin(\phi + \phi_s)$$

# Resolving the Convolution Integral

Weight with transverse hadron momentum  $P_{h\perp}$  to resolve convolution:

$$\tilde{A}_{UT}(\phi, \phi_S) = \frac{1}{\langle S_\perp \rangle} \frac{\sum_{i=1}^{N^+} P_{h\perp,i} - \sum_{i=1}^{N^-} P_{h\perp,i}}{N^+ + N^-}$$

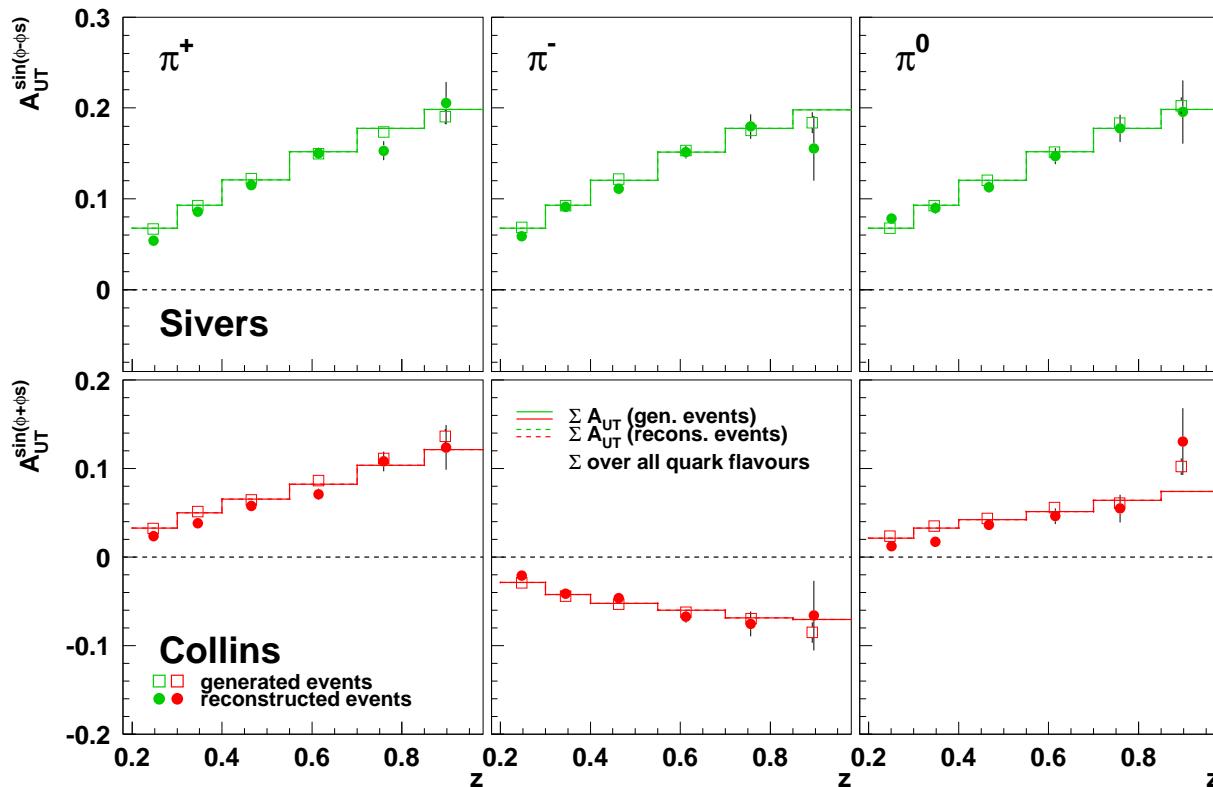
$$\begin{aligned}
 &\sim \sin(\phi + \phi_C) \cdot \sum_q e_q^2 \, h_1^q(x) \, z \, H_1^{\perp(1),q}(z) & (1): p_T^2/k_T^2\text{-moment of} \\
 &- \sin(\phi - \phi_S) \cdot \sum_q e_q^2 \, f_{1T}^{\perp(1),q}(x) \, z \, D_1^q(z) & \text{distribution / fragmentation} \\
 &+ \dots & \text{function}
 \end{aligned}$$

⇒ 2D-fit of  $\tilde{A}_{UT}$  to get Collins and Sivers asymmetries:

$$\begin{aligned}
 \tilde{A}_{UT}(\phi, \phi_S) &= M_\pi \, 2 \left\langle \frac{P_{h\perp}}{M_\pi} \sin(\phi + \phi_S) \right\rangle_{UT}(x, z) \quad \sin(\phi + \phi_s) \\
 &+ M_p \, 2 \left\langle \frac{P_{h\perp}}{M_p} \sin(\phi - \phi_S) \right\rangle_{UT}(x, z) \quad \sin(\phi - \phi_s)
 \end{aligned}$$

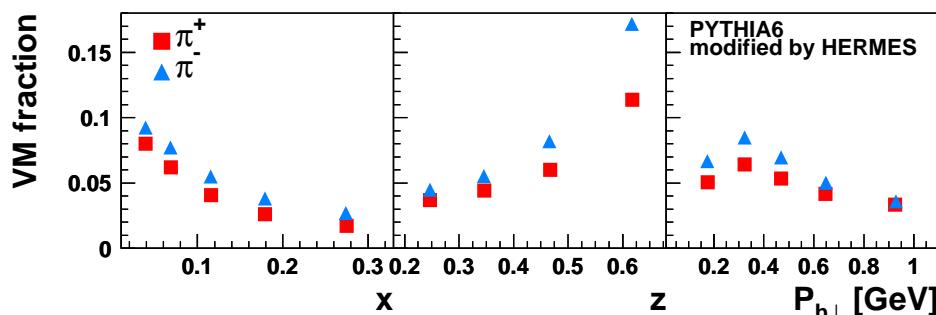
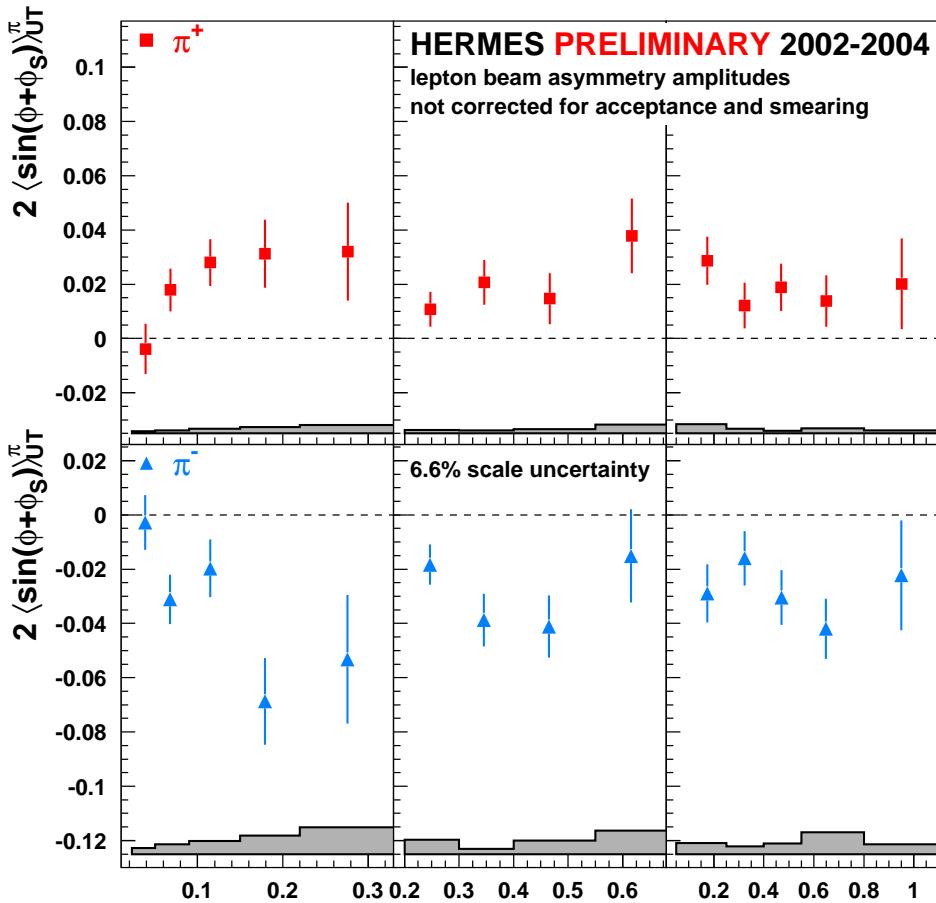
# Monte Carlo Test of the Extraction Method

- generate Collins and Sivers asymmetries (Gaussian Ansatz in  $p_T^2$ )
- analyze MC data like experimental data and extract asymmetries:



- Collins-Sivers cross contamination negligible
- insensitive to  $\cos(2\phi)$  moments in unpolarized cross section
- insensitive to transverse target tracking corrections

# Collins Asymmetries 2002-2004

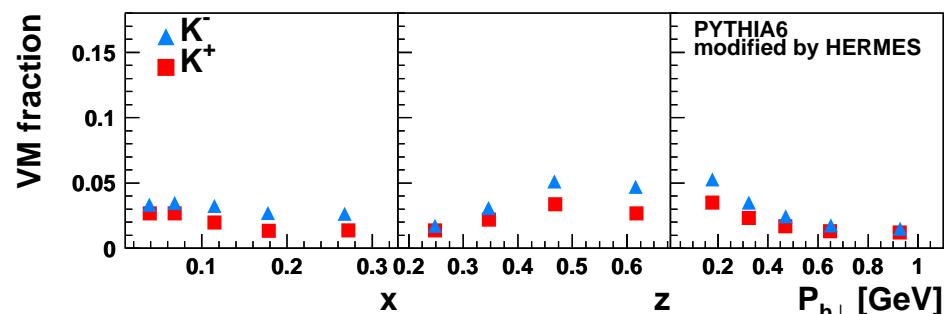
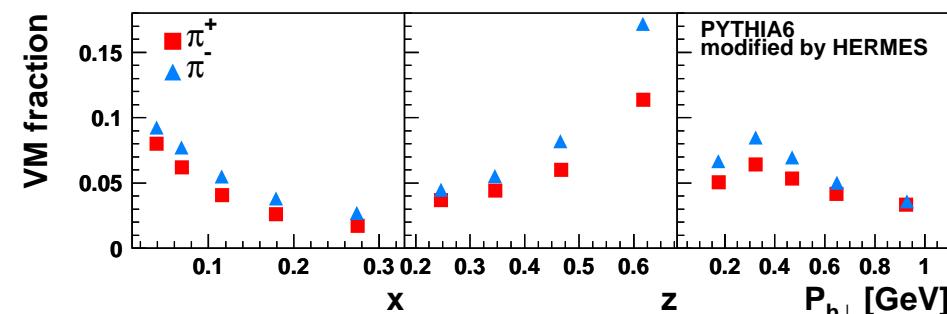
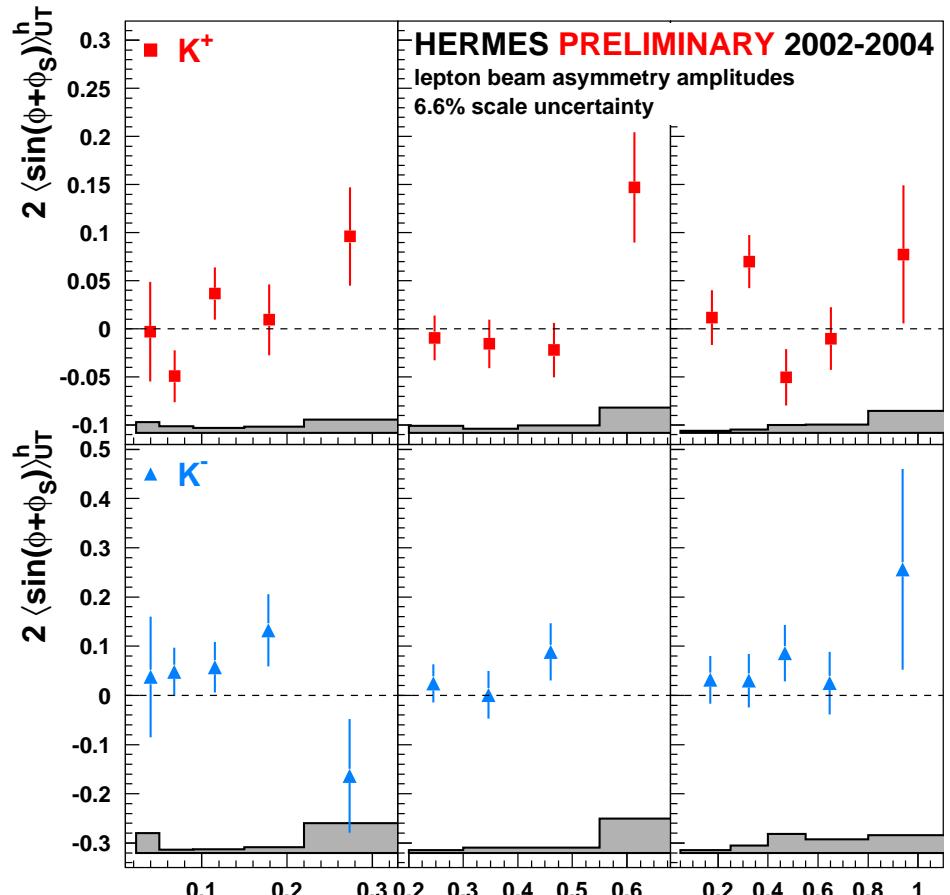
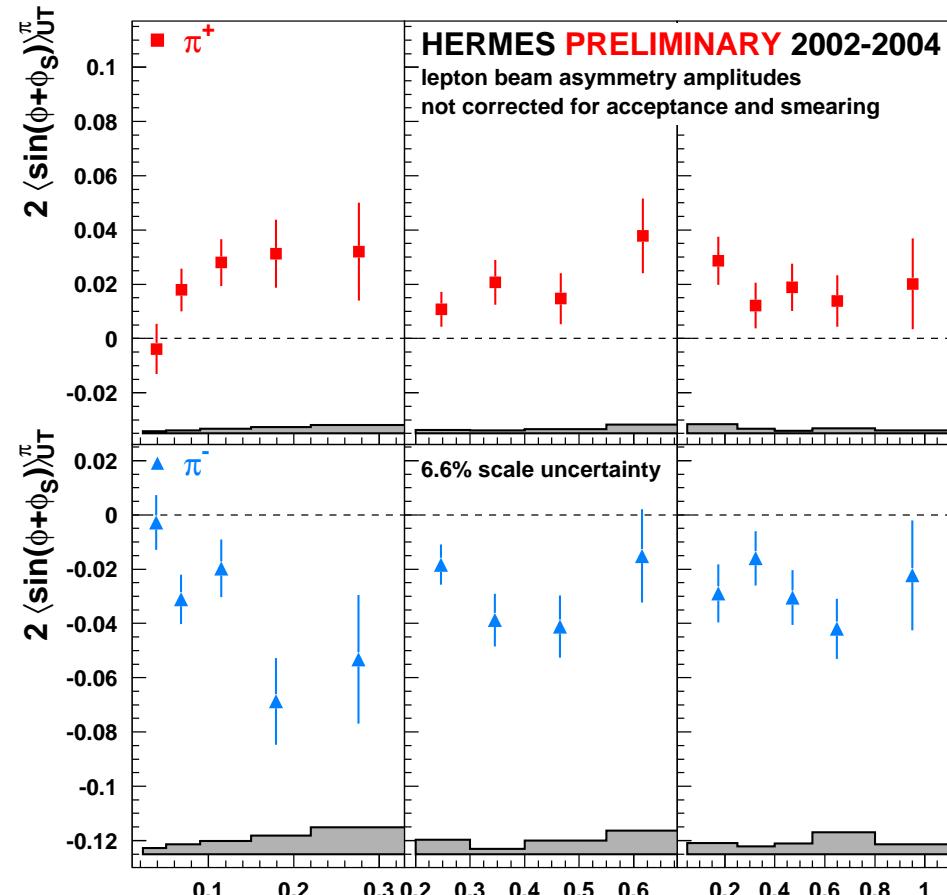


- published<sup>†</sup> results confirmed with much higher statistical precision
- overall scale uncertainty of 6.6%
- positive for  $\pi^+$  and negative for  $\pi^-$  as maybe expected ( $\delta u > 0$   
 $\delta d < 0$ )
- unexpected large  $\pi^-$  asymmetry  
⇒ role of disfavored Collins FF  
most likely:  $H_1^{\perp, disf} \approx -H_1^{\perp, fav}$
- partially large contribution from decay of exclusively produced vector mesons

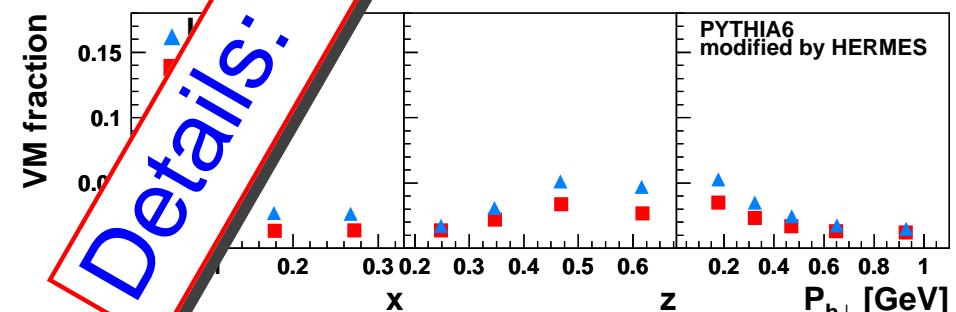
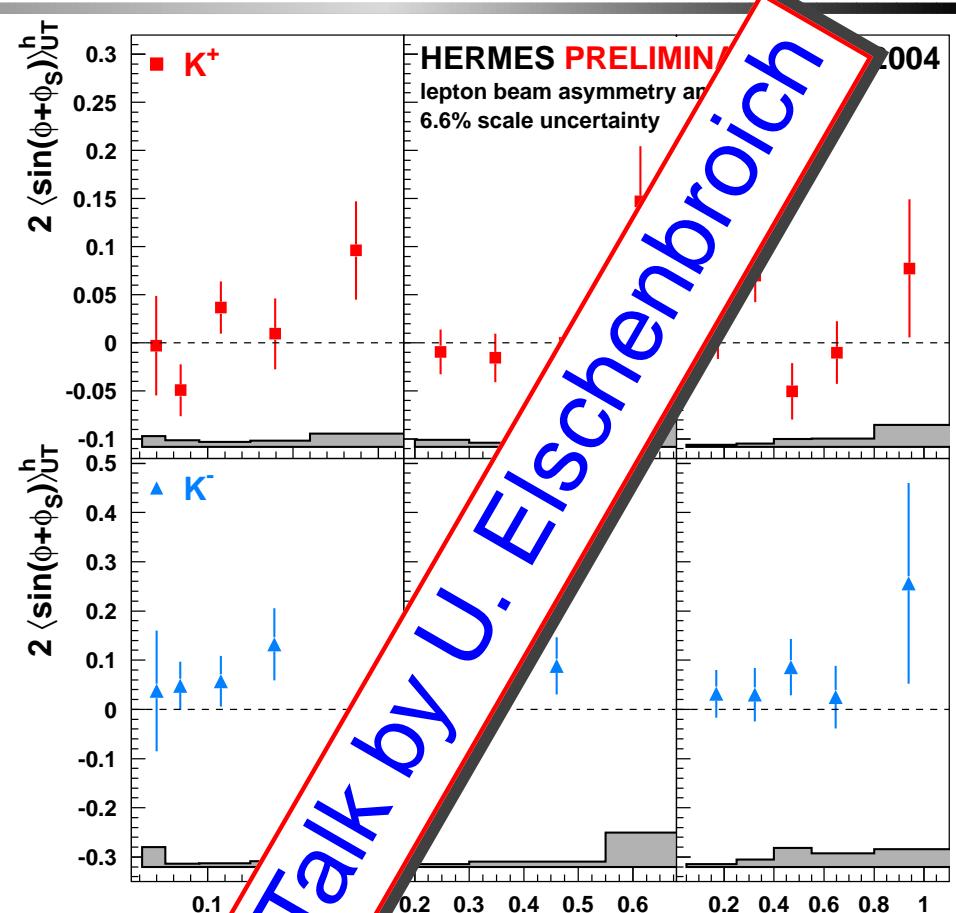
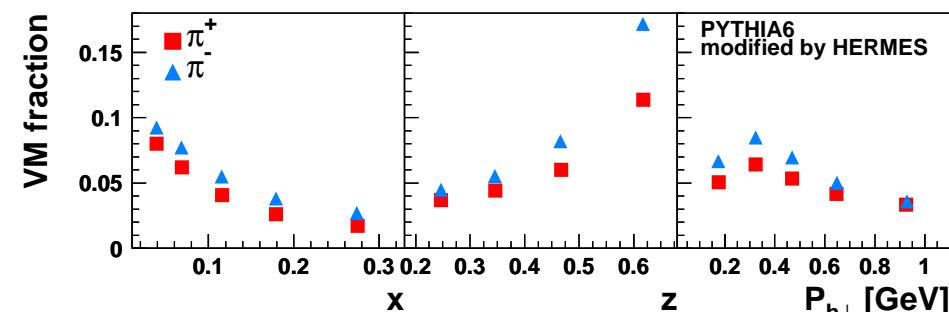
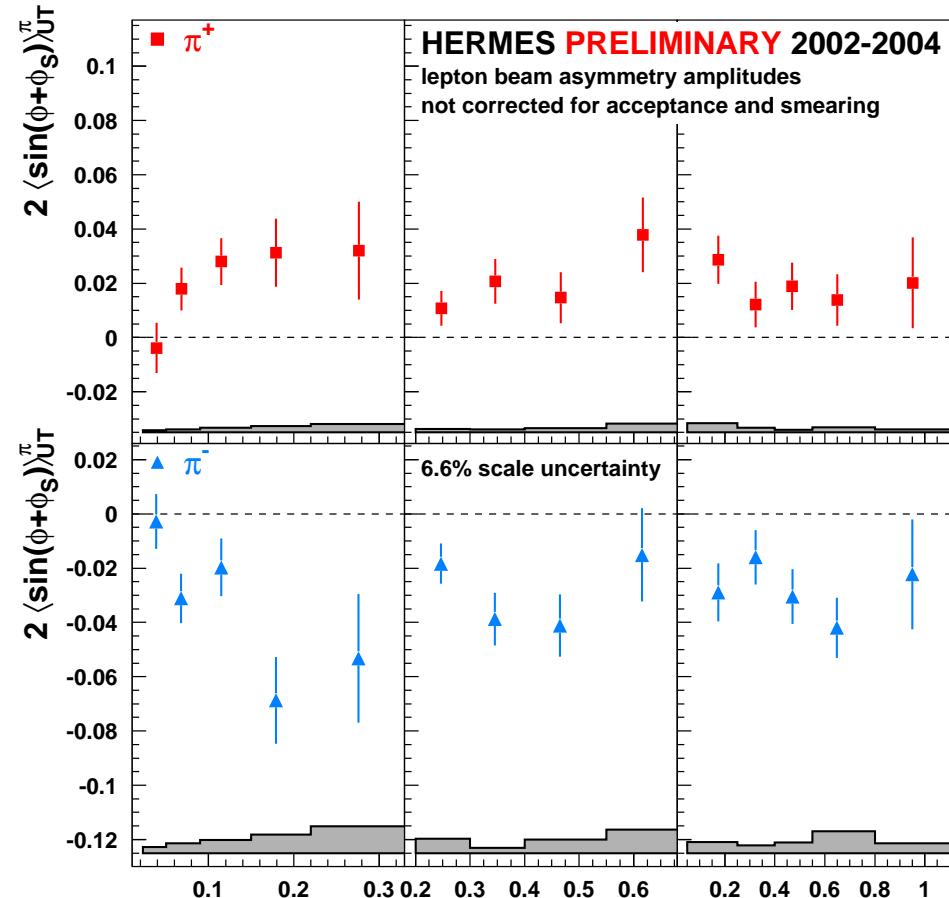
<sup>†</sup>

[A. Airapetian et al, Phys. Rev. Lett. 94 (2005)  
012002]

# Collins Asymmetries 2002-2004



# Collins Asymmetries 2002-2004

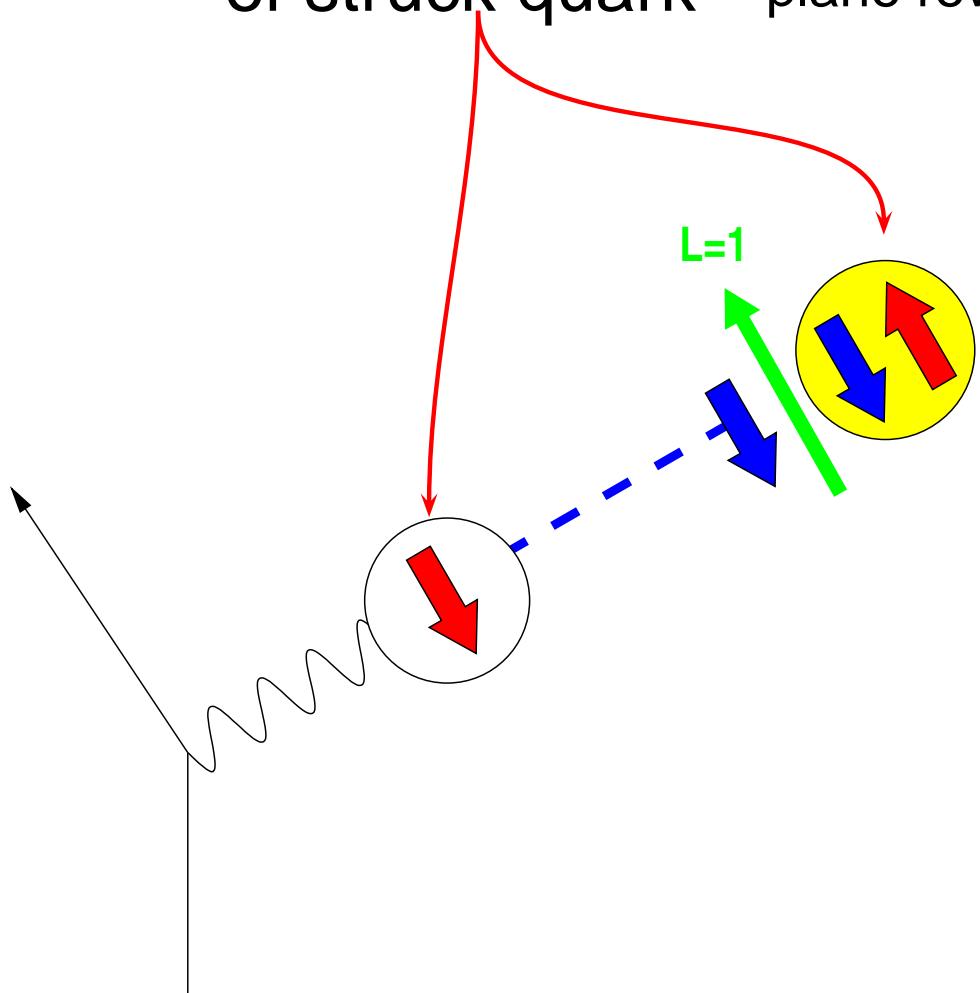


*Details: Talk by U. Elschchenbroich*

# *Understanding the Collins FF - String Model Interpretation (Artru)*

transverse spin  
of struck quark

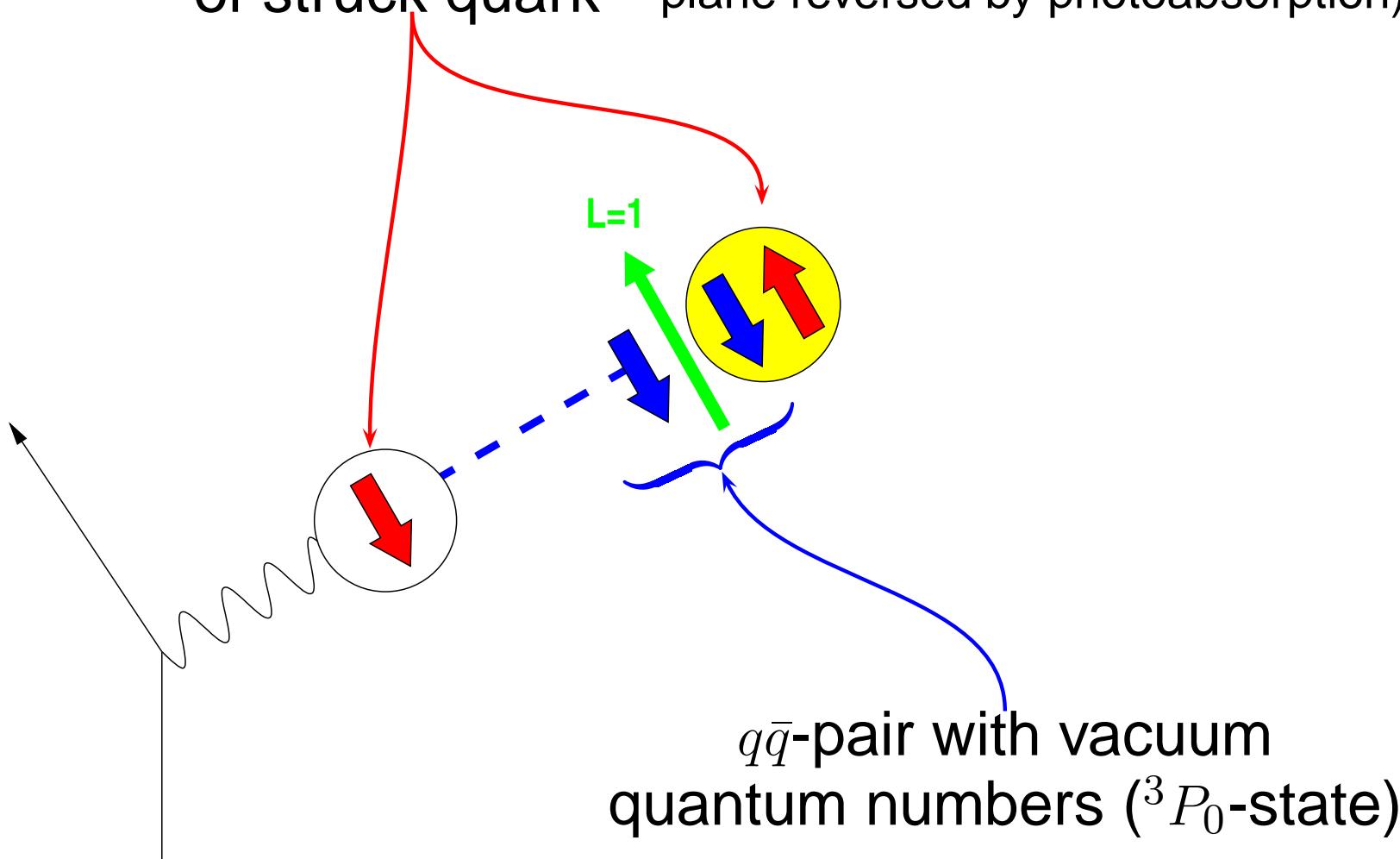
(polarization component in lepton scattering  
plane reversed by photoabsorption)



# Understanding the Collins FF - String Model Interpretation (Artru)

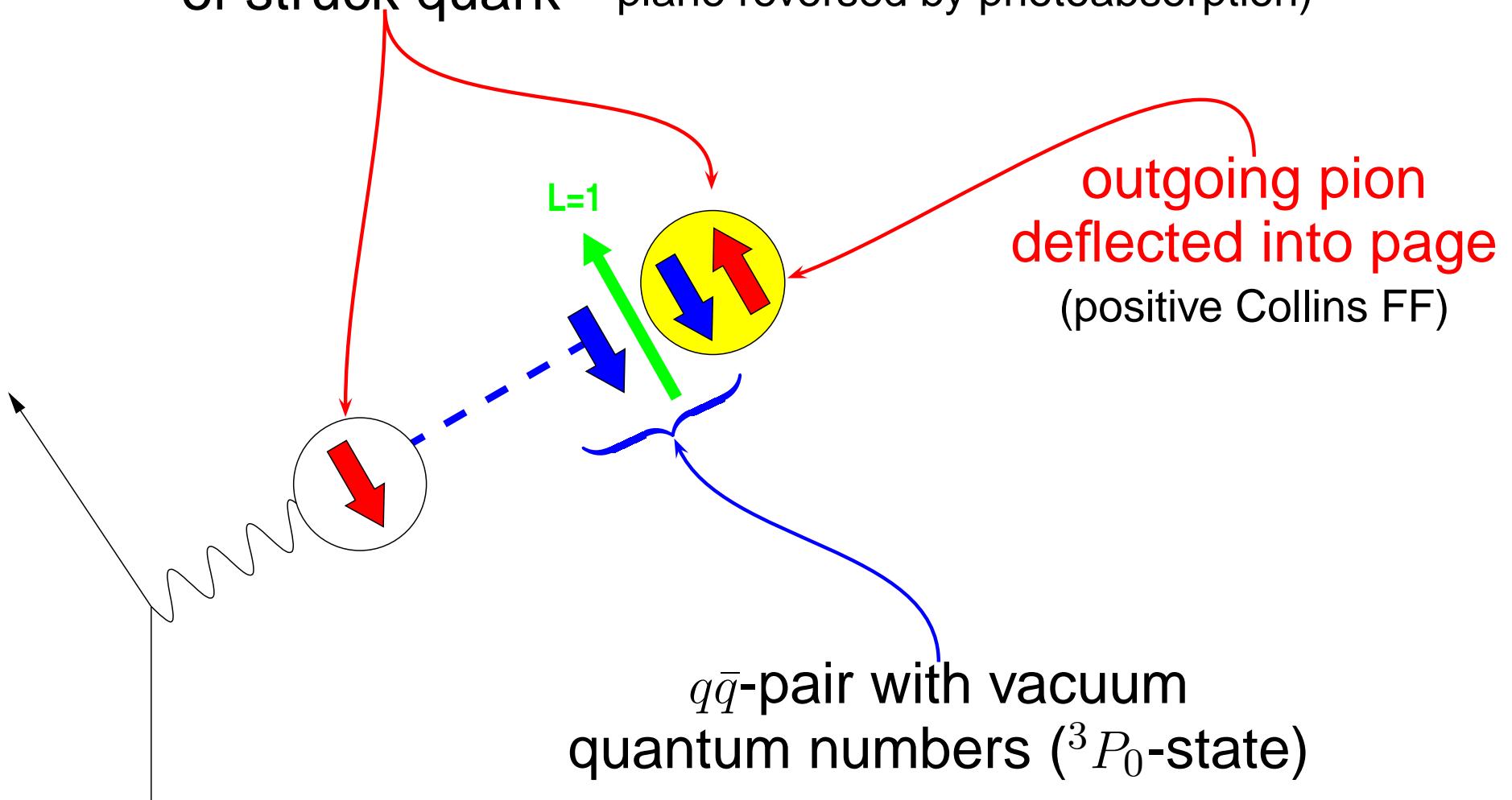
transverse spin  
of struck quark

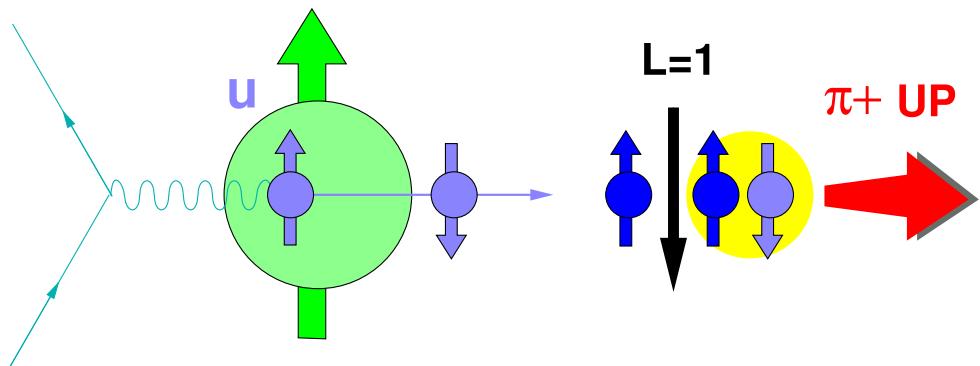
(polarization component in lepton scattering  
plane reversed by photoabsorption)



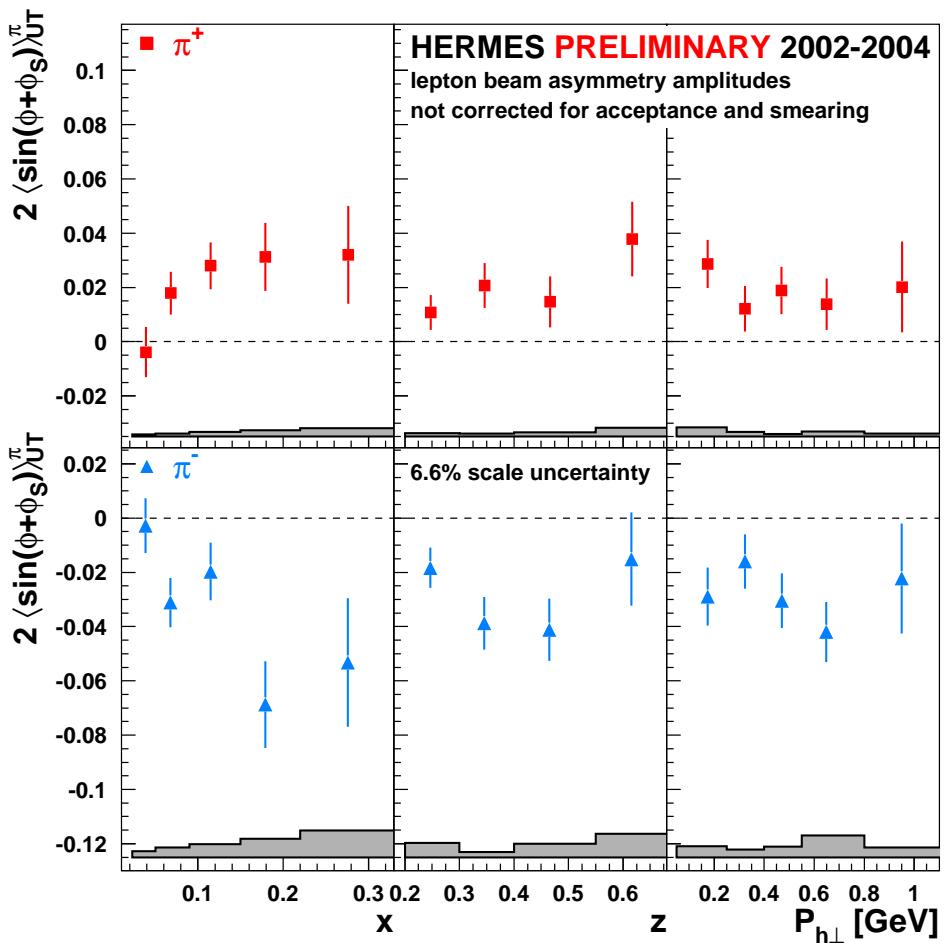
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transverse spin  
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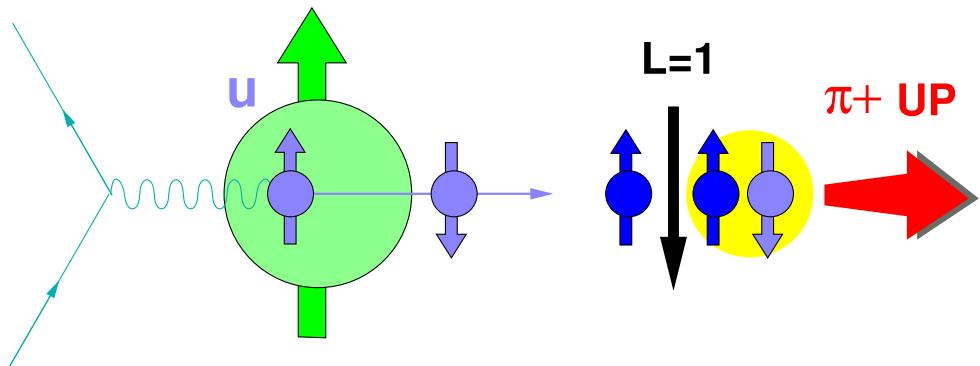


$$\left. \begin{array}{l} \phi_S = 0 \\ \phi = \pi/2 \end{array} \right\} \sin(\phi + \phi_S) > 0$$

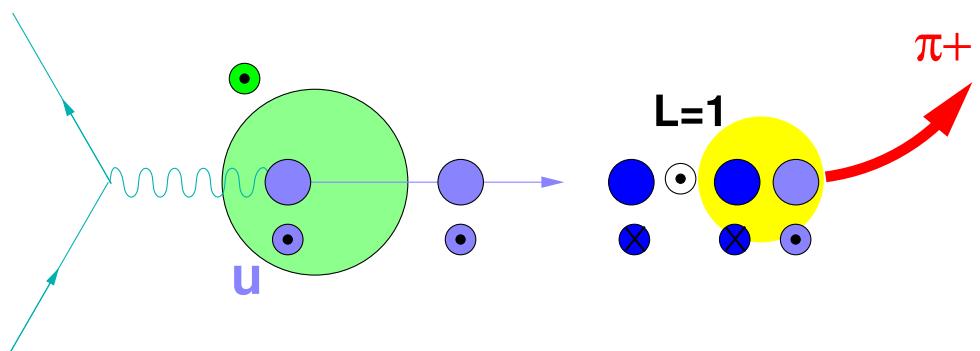


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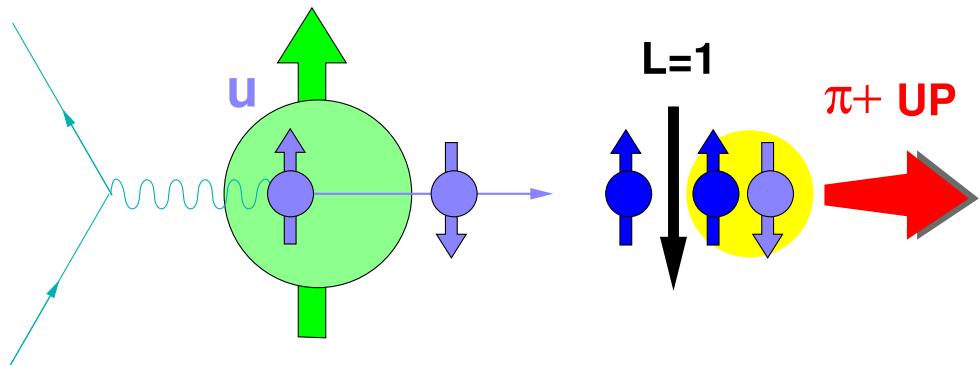




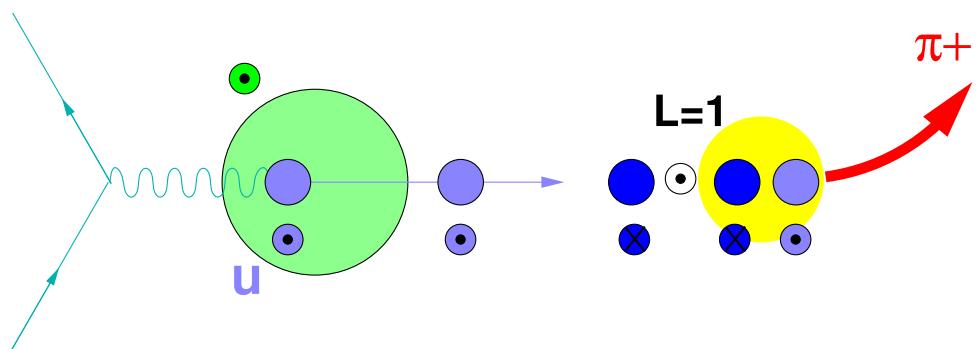
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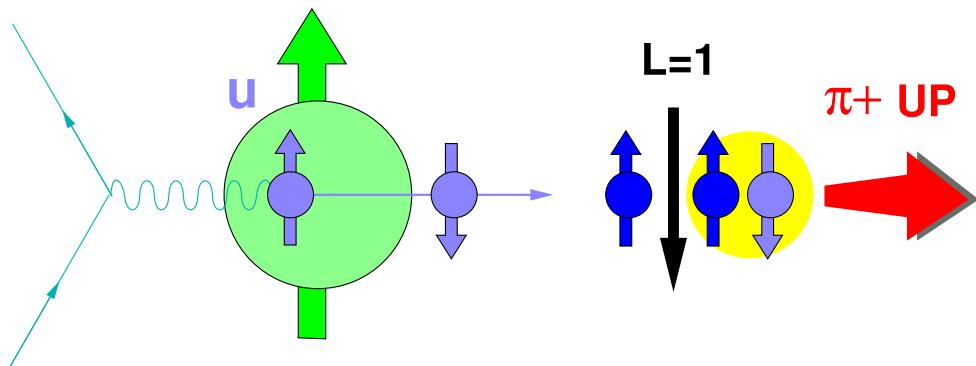


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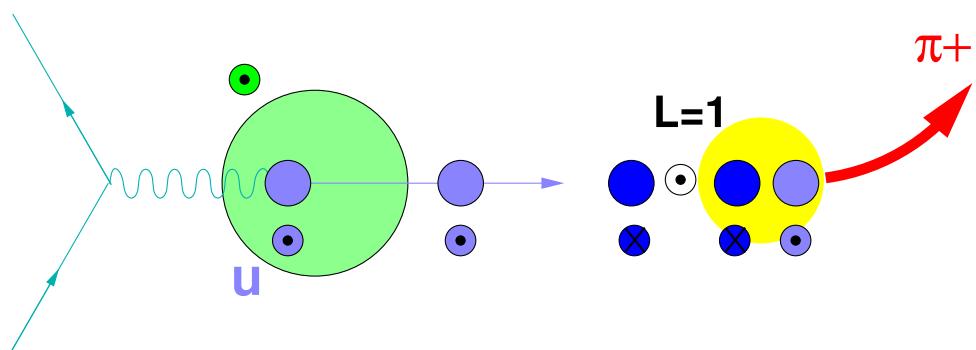


$$\left. \begin{array}{l} \phi_S = \pi/2 \\ \phi = 0 \end{array} \right\} \sin(\phi + \phi_S) > 0$$





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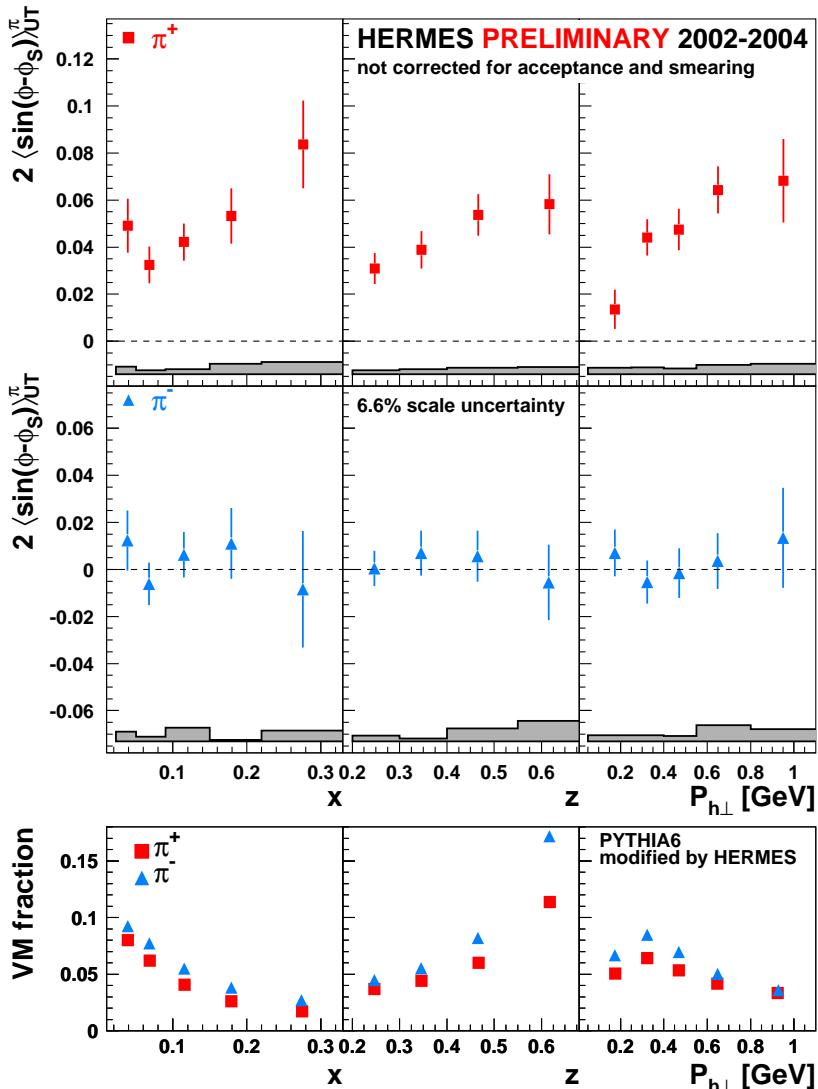
$$\left. \begin{array}{l} \phi_S = \pi/2 \\ \phi = 0 \end{array} \right\} \sin(\phi + \phi_S) > 0$$



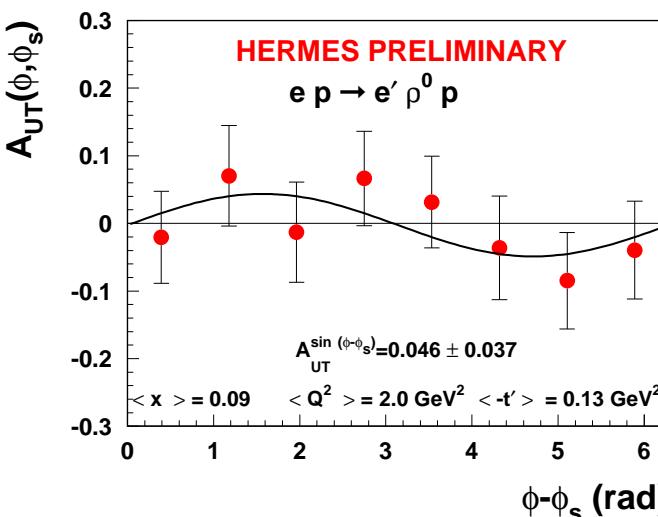
Artru model and HERMES results in agreement!  
(assuming  $u$ -quark transversity positive)

# Results on Sivers Amplitudes from 2002-2004 data

$$2 \left\langle \sin(\phi - \phi_s) \right\rangle_{UT} \propto - \sum_q e_q^2 \mathcal{I} \left[ \frac{p_T \hat{P}_{h\perp}}{M} f_{1T}^{\perp, q}(x, p_T^2) D_1^q(z, K_T^2) \right]$$



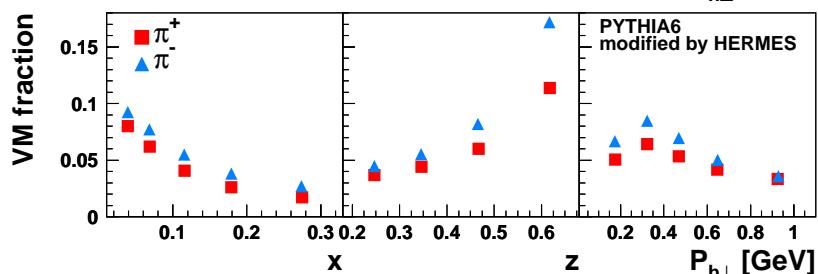
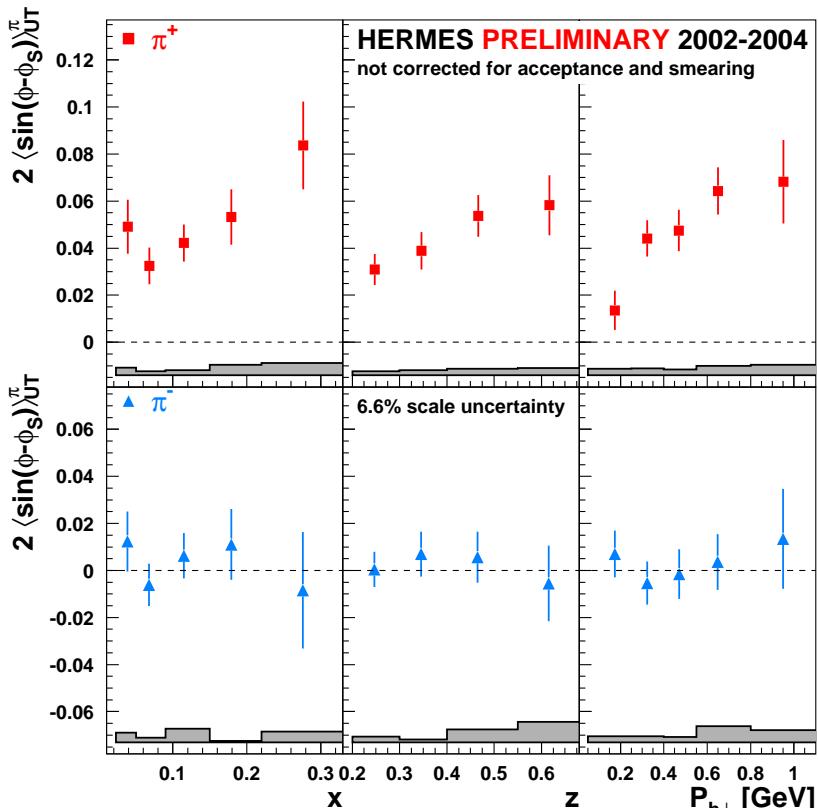
- $\pi^+$ : positive;  $\pi^-$ : consistent with zero
- ⇒ first evidence for non-zero Sivers fct.:  $f_{1T}^{\perp, u} < 0$  ( $u$ -quark dominance)
- Exclusive  $\rho^0$  asymmetry (2005 prel.):



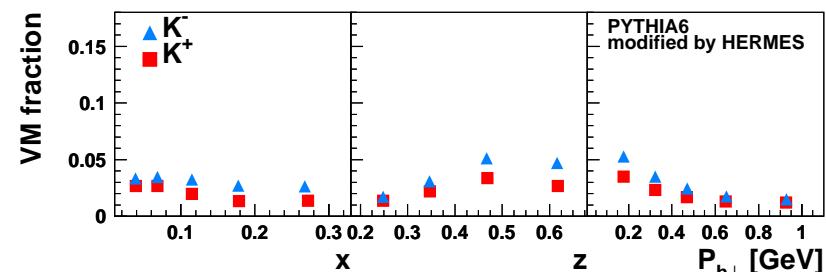
⇒ small syst. error from vector mesons

# Results on Sivers Amplitudes from 2002-2004 data

$$2 \left\langle \sin(\phi - \phi_S) \right\rangle_{UT} \propto - \sum_q e_q^2 \mathcal{I} \left[ \frac{p_T \hat{P}_{h\perp}}{M} f_1^{\perp, q}(x, p_T^2) D_1^q(z, K_T^2) \right]$$

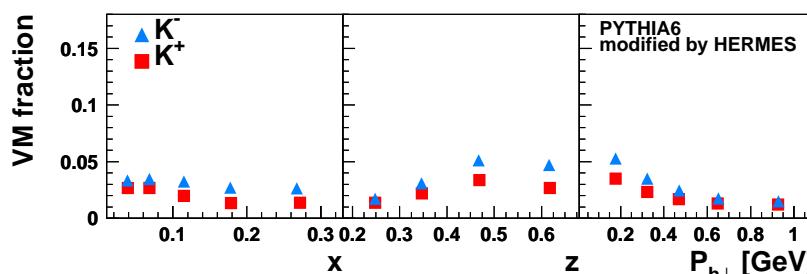
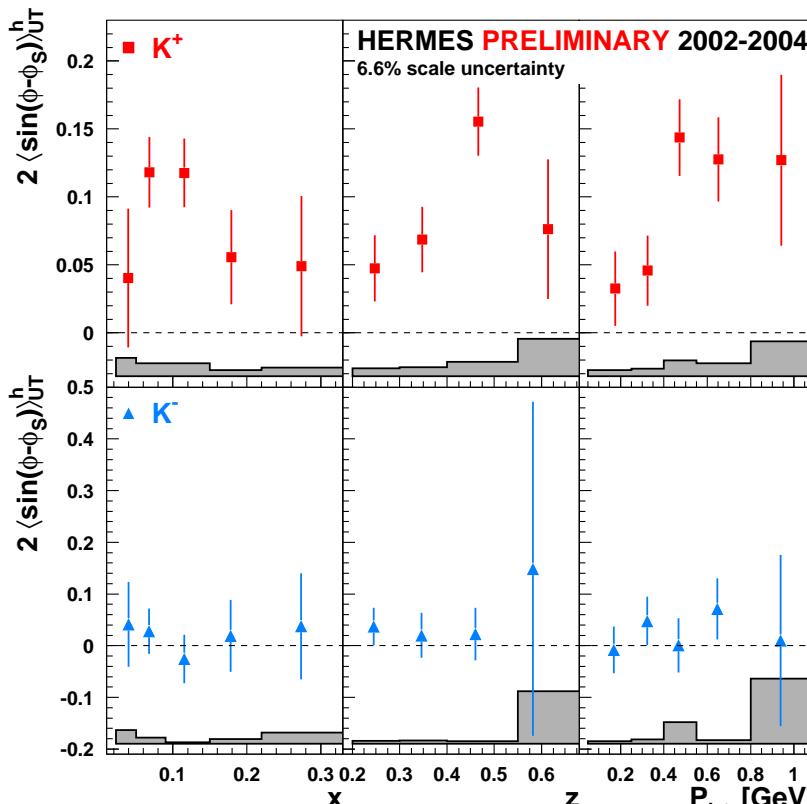
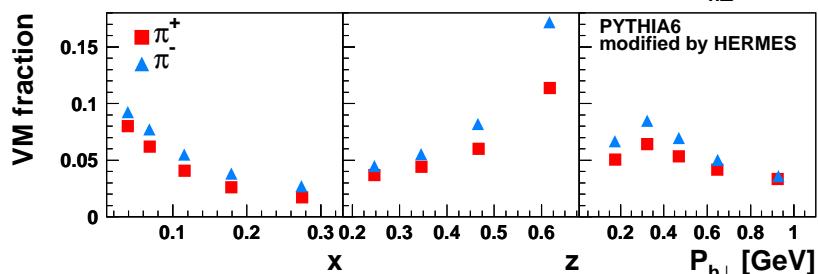
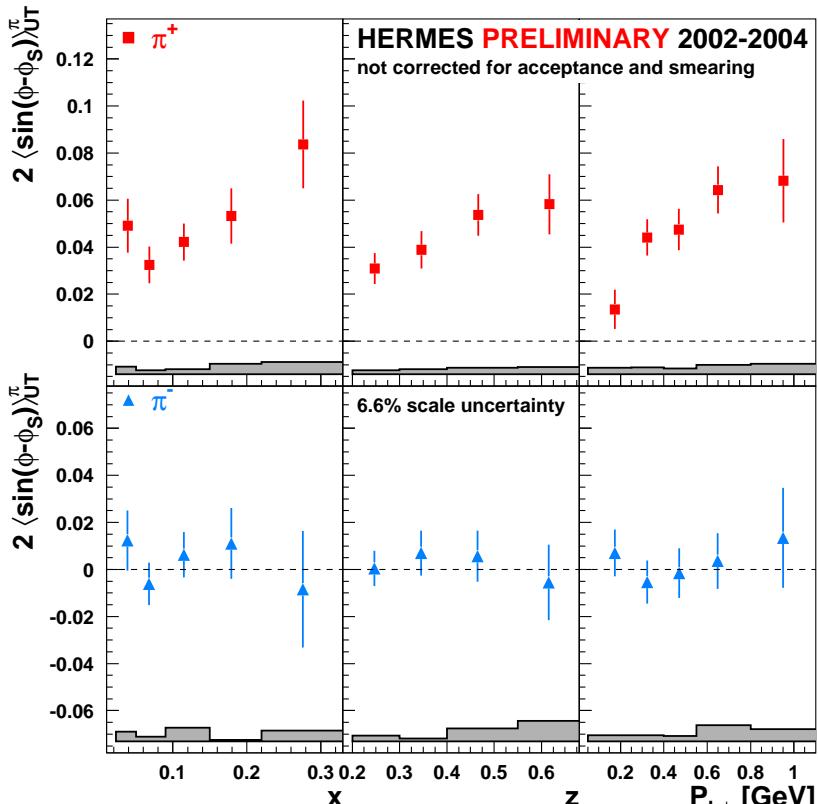


smaller VM contribution to kaon sample



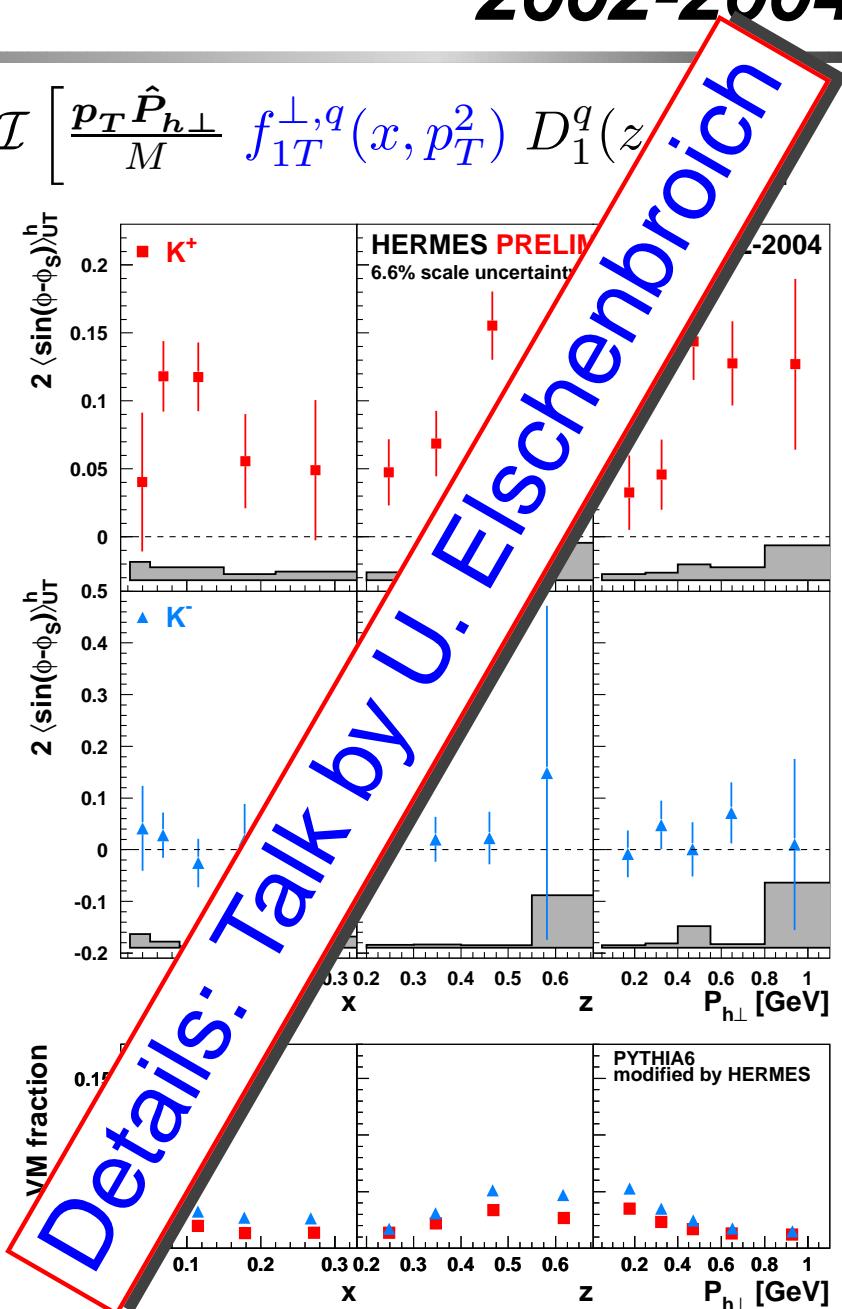
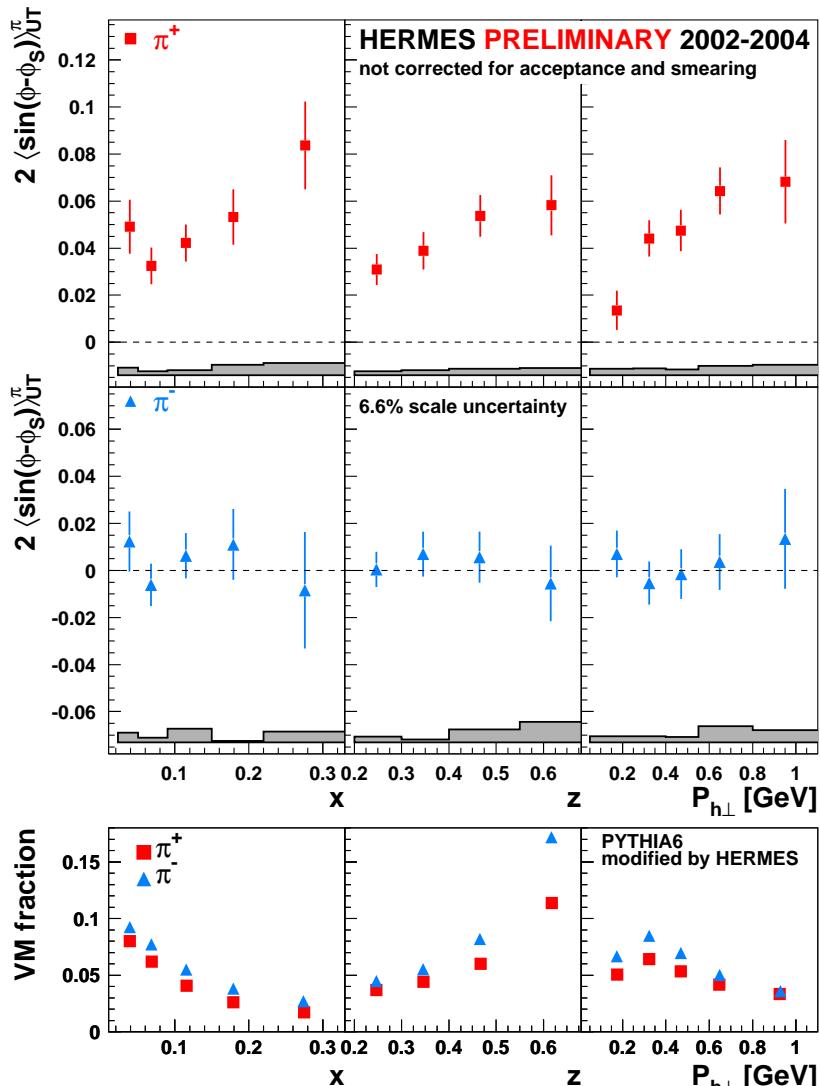
# Results on Sivers Amplitudes from 2002-2004 data

$$2 \left\langle \sin(\phi - \phi_S) \right\rangle_{UT} \propto - \sum_q e_q^2 \mathcal{I} \left[ \frac{p_T \hat{P}_{h\perp}}{M} f_1^{\perp, q}(x, p_T^2) D_1^q(z, K_T^2) \right]$$



# Results on Sivers Amplitudes from 2002-2004 data

$$2 \left\langle \sin(\phi - \phi_S) \right\rangle_{UT} \propto - \sum_q e_q^2 \mathcal{I} \left[ \frac{p_T \hat{P}_{h\perp}}{M} f_1^{\perp, q}(x, p_T^2) D_1^q(z) \right]$$

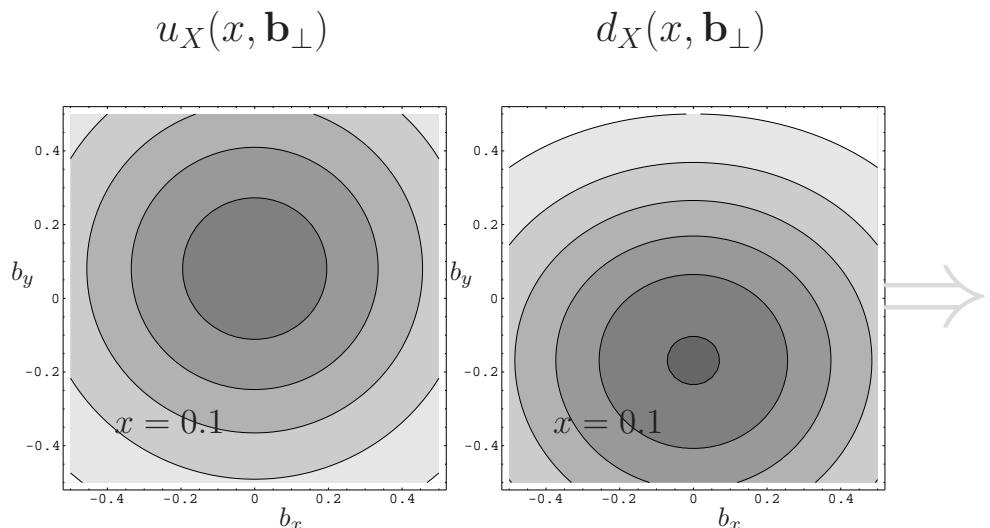


approach by M. Burkardt:

[hep-ph/0309269]

### spatial distortion of q-distribution

(obtained using anom. magn. moments  
& impact parameter dependent PDFs)



approach by M. Burkardt:

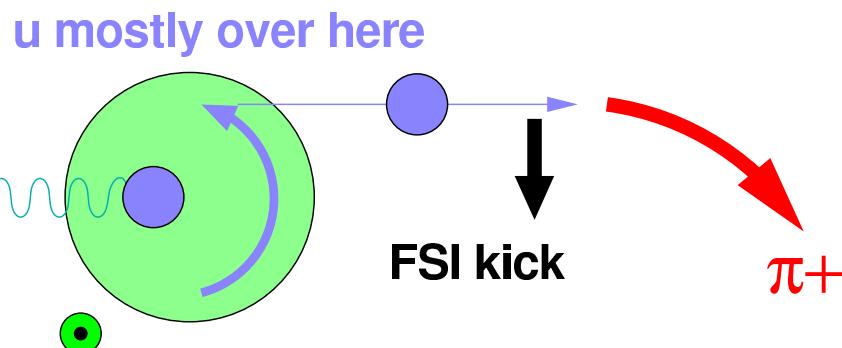
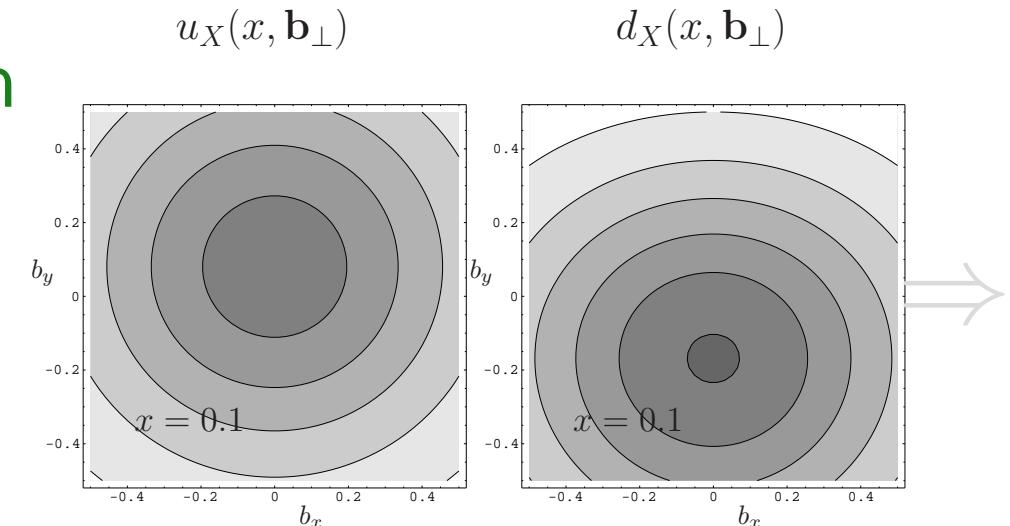
[hep-ph/0309269]

spatial distortion of q-distribution

(obtained using anom. magn. moments  
& impact parameter dependent PDFs)

+ attractive QCD potential  
(gluon exchange)

⇒ transverse asymmetries



$$\left. \begin{array}{l} \phi_S = \pi/2 \\ \phi = \pi \end{array} \right\} \sin(\phi - \phi_S) > 0$$

# Chromodynamic Lensing

## Understanding the Sivers Moments

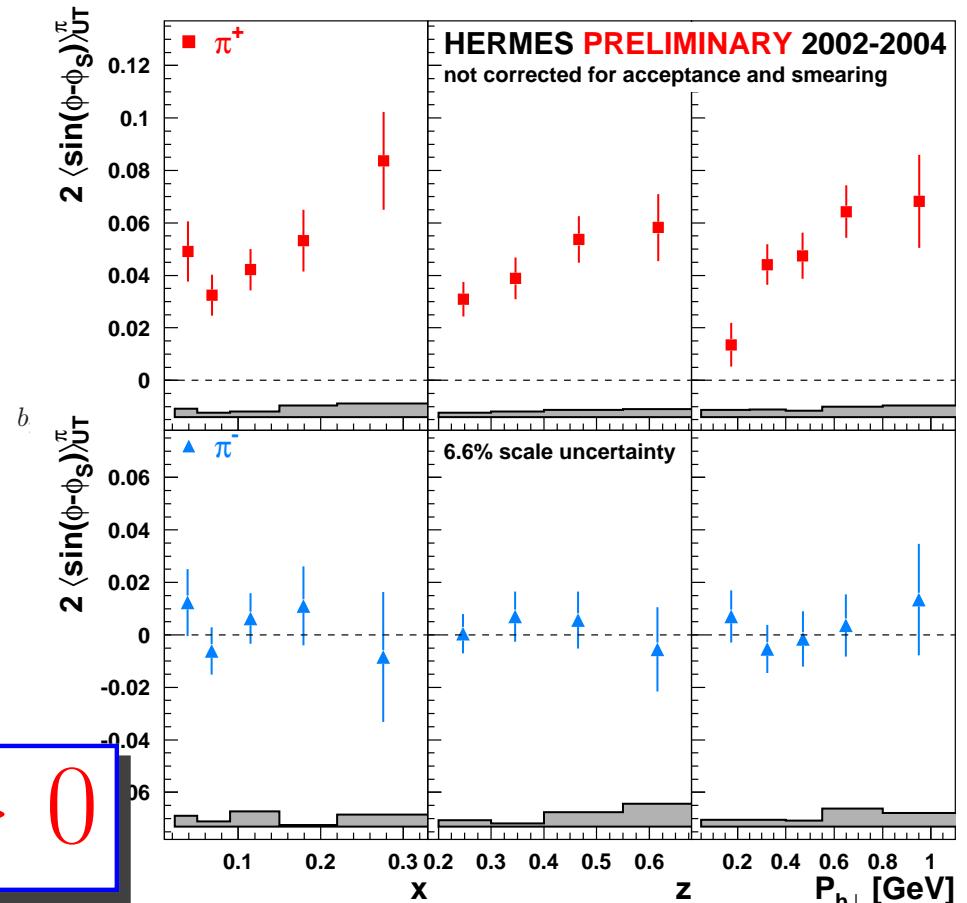
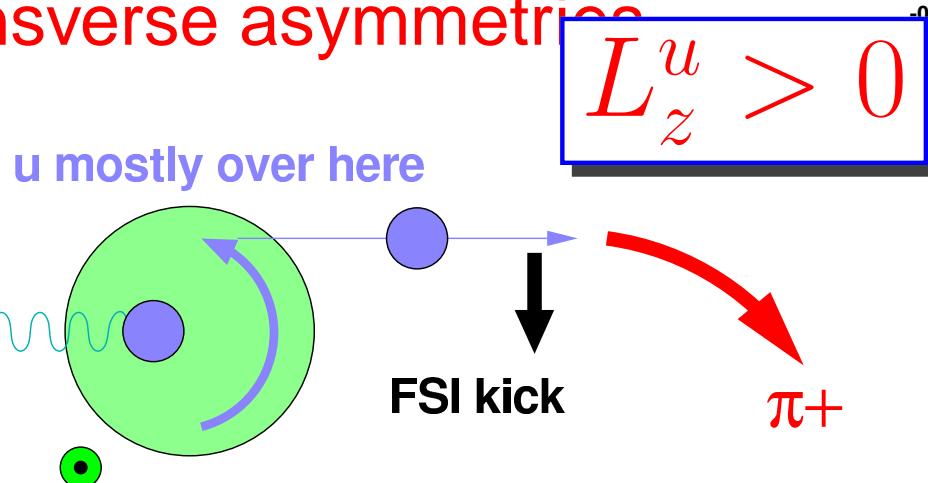
approach by M. Burkardt:

spatial distortion of q-distribution

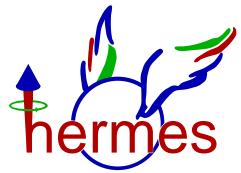
(obtained using anom. magn. moments  
& impact parameter dependent PDFs)

+ attractive QCD potential  
(gluon exchange)

$\Rightarrow$  transverse asymmetries

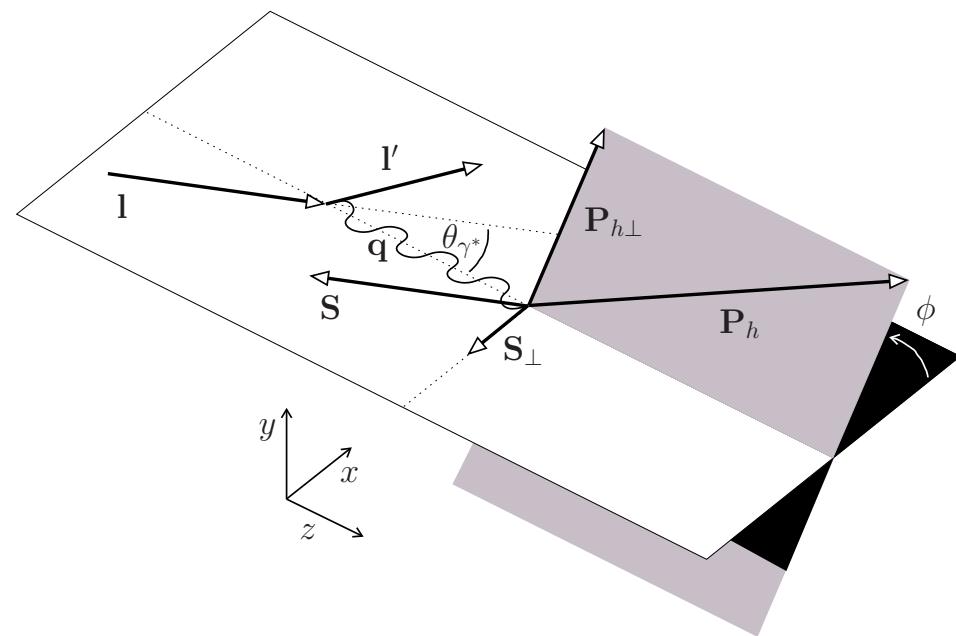


$$\left. \begin{array}{l} \phi_S = \pi/2 \\ \phi = \pi \end{array} \right\} \sin(\phi - \phi_S) > 0$$



# Longitudinal SSAs

# Mixing of Azimuthal Moments



**Experiment: Target Polarization w.r.t. Beam Direction ( $\mathbf{l}'$ )!**

**Theory: Polarization along virtual photon direction ( $\mathbf{q}$ )**

⇒ mixing of “experimental” and “theory” asymmetries via:

[Diehl and Sapeta, Eur. Phys. J. C41 (2005)]

$$\begin{pmatrix} \langle \sin \phi \rangle_{UL}^{\perp} \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^{\perp} \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^{\perp} \end{pmatrix} = \begin{pmatrix} \cos \theta_{\gamma^*} & -\sin \theta_{\gamma^*} & -\sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} & \cos \theta_{\gamma^*} & 0 \\ \frac{1}{2} \sin \theta_{\gamma^*} & 0 & \cos \theta_{\gamma^*} \end{pmatrix} \begin{pmatrix} \langle \sin \phi \rangle_{UL}^q \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^q \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^q \end{pmatrix}$$

( $\cos \theta_{\gamma^*} \simeq 1$ ,  $\sin \theta_{\gamma^*}$  up to 15% at HERMES energies)

# Mixing of Azimuthal Moments II

---

$$\begin{pmatrix} \langle \sin \phi \rangle_{UL}^{\text{l}} \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^{\text{l}} \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^{\text{l}} \end{pmatrix} = \begin{pmatrix} \cos \theta_{\gamma^*} & -\sin \theta_{\gamma^*} & -\sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} & \cos \theta_{\gamma^*} & 0 \\ \frac{1}{2} \sin \theta_{\gamma^*} & 0 & \cos \theta_{\gamma^*} \end{pmatrix} \begin{pmatrix} \langle \sin \phi \rangle_{UL}^{\text{q}} \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^{\text{q}} \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^{\text{q}} \end{pmatrix}$$

solve for photon-axis moments:

$$\langle \sin \phi \rangle_{UL}^{\text{q}} \simeq \langle \sin \phi \rangle_{UL}^{\text{l}} + \sin \theta_{\gamma^*} \left( \langle \sin(\phi + \phi_S) \rangle_{UT}^{\text{l}} + \langle \sin(\phi - \phi_S) \rangle_{UT}^{\text{l}} \right)$$

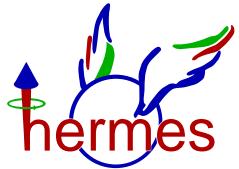
# Mixing of Azimuthal Moments II

$$\begin{pmatrix} \langle \sin \phi \rangle_{UL}^{\text{l}} \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^{\text{l}} \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^{\text{l}} \end{pmatrix} = \begin{pmatrix} \cos \theta_{\gamma^*} & -\sin \theta_{\gamma^*} & -\sin \theta_{\gamma^*} \\ \frac{1}{2} \sin \theta_{\gamma^*} & \cos \theta_{\gamma^*} & 0 \\ \frac{1}{2} \sin \theta_{\gamma^*} & 0 & \cos \theta_{\gamma^*} \end{pmatrix} \begin{pmatrix} \langle \sin \phi \rangle_{UL}^{\text{q}} \\ \langle \sin(\phi - \phi_S) \rangle_{UT}^{\text{q}} \\ \langle \sin(\phi + \phi_S) \rangle_{UT}^{\text{q}} \end{pmatrix}$$

solve for photon-axis moments:

$$\langle \sin \phi \rangle_{UL}^{\text{q}} \simeq \langle \sin \phi \rangle_{UL}^{\text{l}} + \sin \theta_{\gamma^*} \left( \langle \sin(\phi + \phi_S) \rangle_{UT}^{\text{l}} + \langle \sin(\phi - \phi_S) \rangle_{UT}^{\text{l}} \right)$$

$$\begin{aligned} \langle \sin(\phi \pm \phi_S) \rangle_{UT}^{\text{q}} &\simeq \langle \sin(\phi \pm \phi_S) \rangle_{UT}^{\text{l}} \\ &\quad - \underbrace{\frac{1}{2} \sin \theta_{\gamma^*} \left( \langle \sin \phi \rangle_{UL}^{\text{l}} + \tan \theta_{\gamma^*} \langle \sin(\phi \mp \phi_S) \rangle_{UT}^{\text{l}} \right)}_{\substack{\text{max. 0.4% absolute} \\ \text{correction}}} \end{aligned}$$



# What About Longitudinally Polarized Targets?

$$\langle \sin \phi \rangle_{UL}^q = \langle \sin \phi \rangle_{UL}^\perp + \sin \theta_{\gamma^*} \left( \langle \sin(\phi + \phi_S) \rangle_{UT}^\perp + \langle \sin(\phi - \phi_S) \rangle_{UT}^\perp \right)$$

$$\begin{aligned} \langle \sin \phi \rangle_{UL}^q &\propto \frac{M}{Q} \mathcal{I} \left[ \frac{\hat{P}_{h\perp} k_T}{M_h} \left( \frac{M_h}{z M} g_1 G^\perp + x h_L H_1^\perp \right) \right. \\ &\quad \left. + \frac{\hat{P}_{h\perp} p_T}{M} \left( \frac{M_h}{z M} h_{1L}^\perp \tilde{H} - x f_L^\perp D_1 \right) \right] \end{aligned}$$

Bacchetta et al., Phys. Lett. B 595 (2004) 309

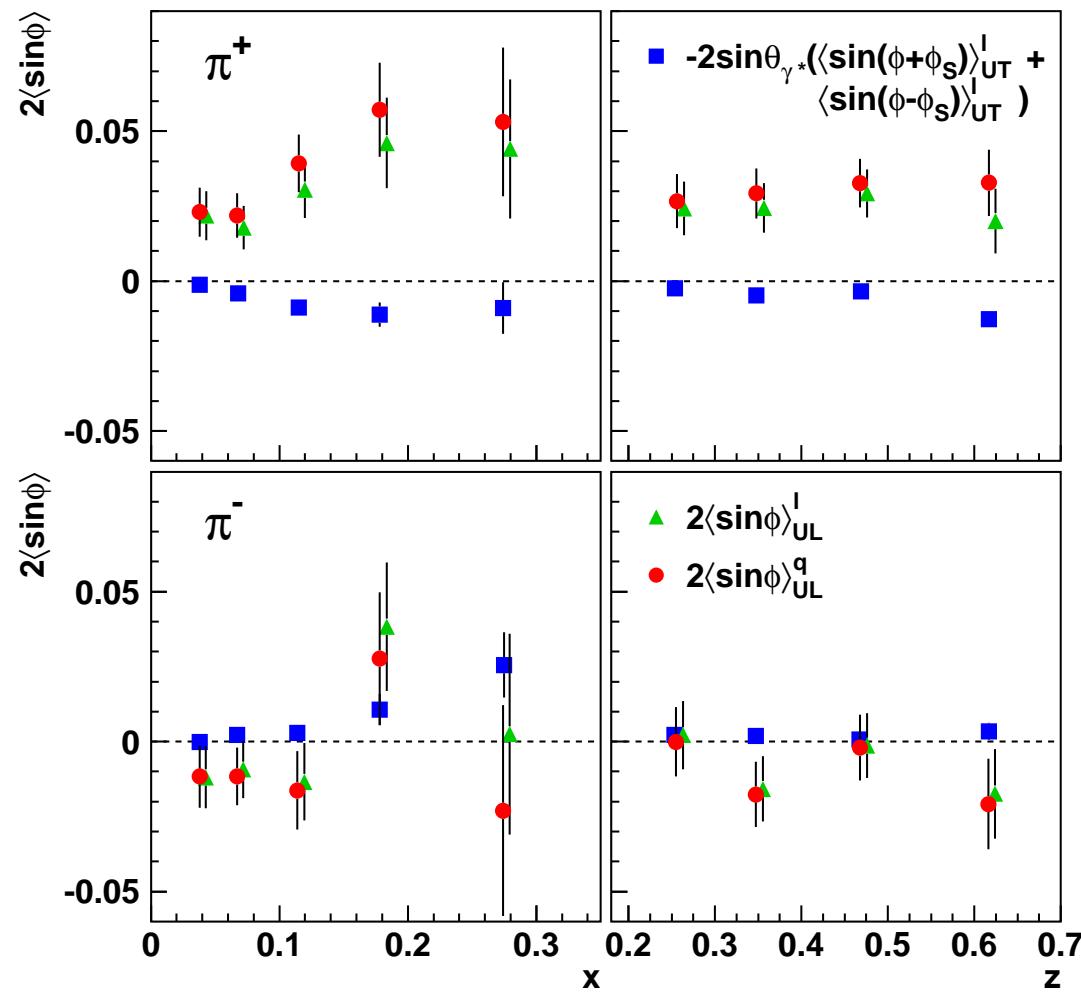
⇒ they are all subleading-twist expressions!

$\langle \sin \phi \rangle_{UL}^\perp \dots$  Airapetian et al., Phys. Rev. Lett. 84 (2000) 4047

$\langle \sin(\phi \pm \phi_S) \rangle_{UT}^\perp \dots$  Airapetian et al., Phys. Rev. Lett. 94 (2005) 012002

# What About Longitudinally Polarized Targets?

$$\langle \sin \phi \rangle_{UL}^q = \langle \sin \phi \rangle_{UL}^l + \sin \theta_{\gamma^*} \left( \langle \sin(\phi + \phi_S) \rangle_{UT}^l + \langle \sin(\phi - \phi_S) \rangle_{UT}^l \right)$$



- twist-3 dominates measured asymmetries on longitudinally polarized targets!
- significantly positive for  $\pi^+$
- consistent with zero for  $\pi^-$

Airapetian et al., Phys. Lett. B 622 (2005) 14

## The Other Longitudinal SSA

longitudinally pol. beam & unpol. target  $\Rightarrow$  subleading-twist

$$\langle \sin \phi \rangle_{LU} \propto \lambda_e \frac{M}{Q} \mathcal{I} \left[ x e(x) H_1^\perp(z) - \frac{M_h}{z M} h_1^\perp(x) E(z) \right]$$

$\Rightarrow$  for long time candidate to access  $e(x)$   
 $(h_1^\perp(x) \text{ contribution either assumed to be zero (T-odd!) or small(??)})$

## The Other Longitudinal SSA

---

longitudinally pol. beam & unpol. target  $\Rightarrow$  subleading-twist

$$\begin{aligned}
 \langle \sin \phi \rangle_{LU} \propto & \lambda_e \frac{M}{Q} \mathcal{I} \left[ x e(x) H_1^\perp(z) - \frac{M_h}{z M} h_1^\perp(x) E(z) \right. \\
 & + \frac{M_h}{z M} f_1(x) G^\perp(z) - x g^\perp(x) D_1(z) \\
 \text{quark-mass suppressed} \Rightarrow & \left. + \frac{m_q}{M} h_1^\perp(x) D_1(z) - \frac{m_q}{M} f_1(x) H_1^\perp(z) \right]
 \end{aligned}$$

Bacchetta et al., Phys. Lett. B 595 (2004) 309

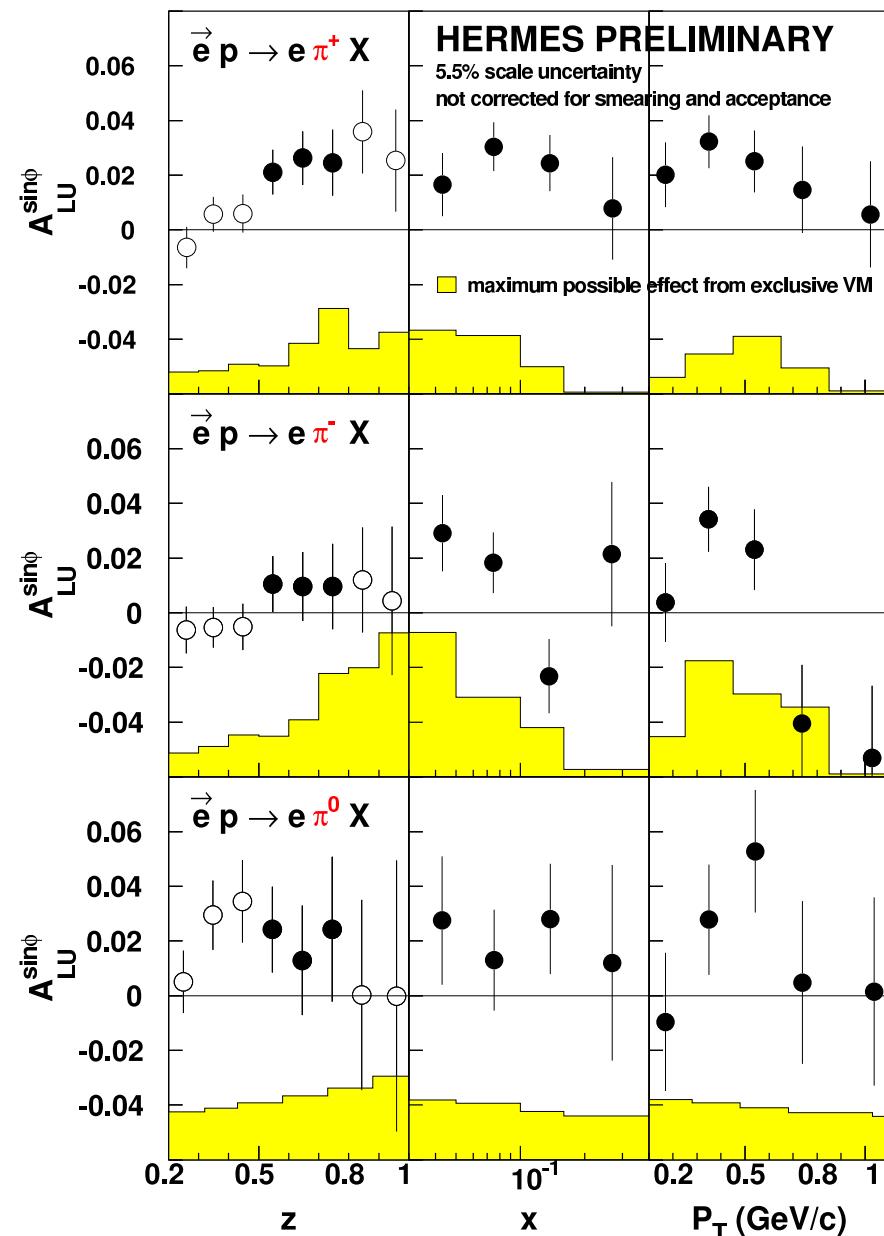
longitudinally pol. beam & unpol. target  $\Rightarrow$  subleading-twist

$$\langle \sin \phi \rangle_{LU} \propto \lambda_e \frac{M}{Q} \mathcal{I} \left[ x e(x) H_1^\perp(z) - \frac{M_h}{z M} h_1^\perp(x) E(z) \right. \\ \left. + \frac{M_h}{z M} f_1(x) G^\perp(z) - x g^\perp(x) D_1(z) \right]$$

- many terms contributing – difficult to separate
- maybe some terms small?

Bacchetta et al., Phys. Lett. B 595 (2004) 309

# Longitudinal Beam-Spin Asymmetries



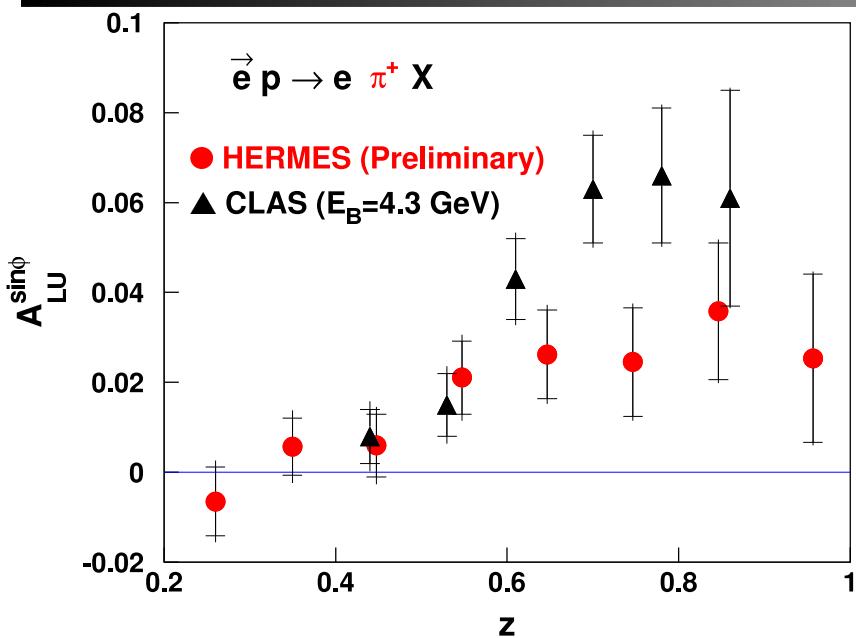
Extraction:

$$2 \langle \sin \phi \rangle_{LU} = \frac{\sum^+ \frac{\sin \phi_i}{|P_e^+|} - \sum^- \frac{\sin \phi_i}{|P_e^-|}}{\frac{1}{2}(N^+ + N^-)}$$

Vector Meson Contribution:

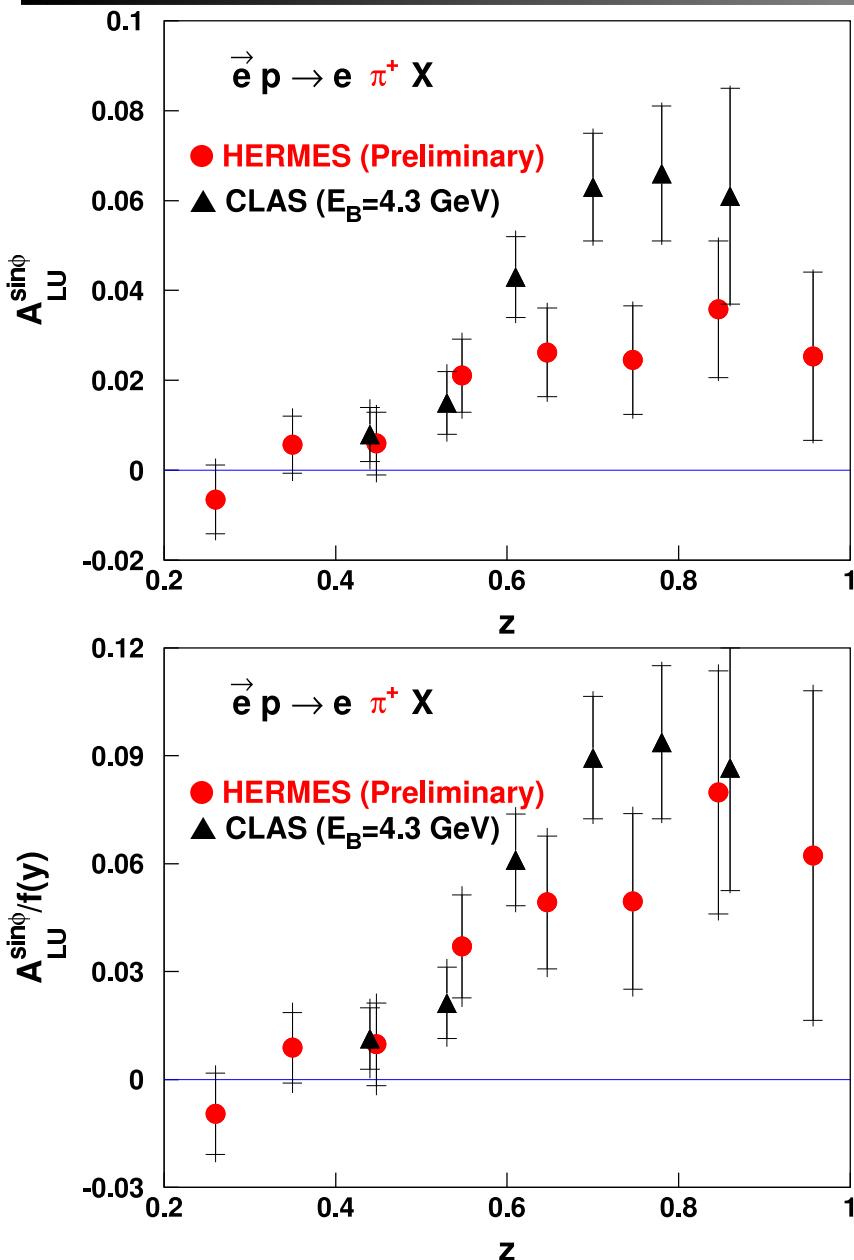
Max. possible contribution to systematic uncertainty estimated using PYTHIA MC (tuned for HERMES)

# Comparisons with CLAS Results



- not so good agreement at high  $z$

# Comparisons with CLAS Results



- not so good agreement at high  $z$
- have to correct for different  $y$  range at CLAS and HERMES:

$$\langle \sin \phi \rangle_{LU} \propto f(y) \equiv \frac{2y\sqrt{(1-y)}}{1-y+y^2/2}$$

strong suppression at HERMES for high  $z$  compared to CLAS

→ rescaling of asymmetries leads to good agreement

## What can we learn from $A_{UL}$

---

$$\langle \sin \phi \rangle_{LU} \propto \lambda_e \frac{M}{Q} \mathcal{I} \left[ x e(x) H_1^\perp(z) - \frac{M_h}{zM} h_1^\perp(x) E(z) \right. \\ \left. - x g^\perp(x) D_1(z) + \frac{M_h}{zM} f_1(x) G^\perp(z) \right]$$

any help from other observables to separate contributions?

## What can we learn from $A_{UL}$

---

$$\langle \sin \phi \rangle_{LU} \propto \lambda_e \frac{M}{Q} \mathcal{I} \left[ x e(x) H_1^\perp(z) - \frac{M_h}{zM} h_1^\perp(x) E(z) \right. \\ \left. - x g^\perp(x) D_1(z) + \frac{M_h}{zM} f_1(x) G^\perp(z) \right]$$

any help from other observables to separate contributions?

- jet SIDIS  $\Rightarrow$  only  $g^\perp$ -term survives

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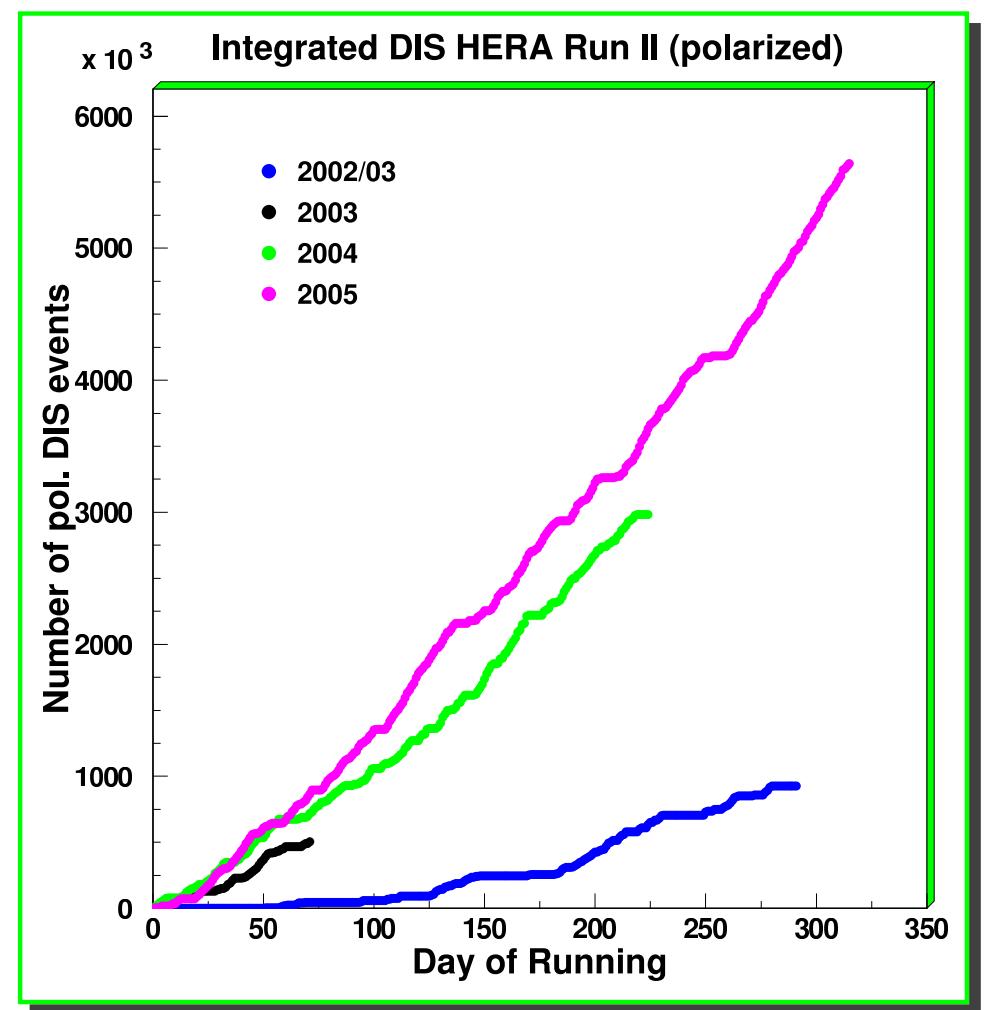
any help from other observables to separate contributions?

- jet SIDIS  $\Rightarrow$  only  $g^\perp$ -term survives
- 2-hadron production:

$$\sigma_{LU} \propto \sin \phi_{R\perp} \left[ x e(x) H_1^\triangleleft(z, \zeta, M_h^2) + \frac{1}{z} f_1(x) \tilde{G}^\triangleleft(z, \zeta, M_h^2) \right]$$

- First **evidence** for non-zero **Interference FF**
- Non-vanishing **Collins effect** observed for  $\pi^\pm$
- Most likely scenario:  $H_1^{\perp,disf} \approx -H_1^{\perp,fav}$
- First **evidence of T-odd Sivers distribution** in DIS
- Significant **positive Sivers asymmetries** for positive pions and kaons  $\stackrel{?}{\Rightarrow} L_z^u > 0$
- $\sin \phi$  amplitudes on long. polar. target dominated by twist-3
- Observation of significant non-zero beam-spin asymmetries

- More data taking in 2005  
⇒ doubled statistics



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⇒ Model-independent interpretation of amplitudes possible

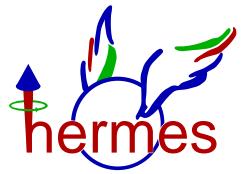
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⇒ Model-independent interpretation of amplitudes possible
- **Flavour decomposition** of Sivers function

$$\begin{aligned}
 A_{UT}^{\sin(\phi - \phi_S), h}(x) &= \mathcal{C} \cdot \frac{\sum_q e_q^2 f_{1T}^{\perp(1), q}(x) \int dz D_1^{q, h}(z) \mathcal{A}(x, z)}{\sum_{q'} e_{q'}^2 f_1^{q'}(x) \int dz D_1^{q', h}(z) \mathcal{A}(x, z)} \\
 &= \mathcal{C} \cdot \sum_q \frac{e_q^2 f_1^q(x) \mathcal{D}_1^{q, h}(x)}{\sum_{q'} e_{q'}^2 f_1^{q'}(x) \mathcal{D}_1^{q', h}(x)} \cdot \frac{f_{1T}^{\perp(1), q}}{f_1^q}(x) \\
 &= \mathcal{C} \cdot \sum_q \mathcal{P}_q^h(x) \cdot \frac{f_{1T}^{\perp(1), q}}{f_1^q}(x)
 \end{aligned}$$

- **purities** are completely **unpolarized** objects → present Monte Carlo-tunes can be used
- probabilistic interpretation of purities possible
- “easy”: Sivers ← fragmentation function ( $D_1$ ) known

$$\begin{aligned}
 A_{UT}^{\sin(\phi+\phi_S), h}(x) &= \mathcal{C} \cdot \frac{\sum_q e_q^2 \, h_1^q(x) \int dz \, H_1^{\perp(1), q, h}(z) \mathcal{A}(x, z)}{\sum_{q'} e_{q'}^2 \, f_1^{q'}(x) \int dz \, D_1^{q', h}(z) \mathcal{A}(x, z)} \\
 &= \mathcal{C} \cdot \sum_q \frac{e_q^2 \, f_1^q(x) \, \mathcal{H}_1^{\perp(1), q, h}(x)}{\sum_{q'} e_{q'}^2 \, f_1^{q'}(x) \, \mathcal{D}_1^{q', h}(x)} \cdot \frac{h_1^q}{f_1^q}(x) \\
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- “easy”: Sivers ← fragmentation function ( $D_1$ ) known
- Collins: these purities still depend on parametrization of Collins FF function



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# Backup Slides

# A Closer Look at Collins Asymmetries I

rewrite asymmetries in terms of favored and disfavored fragmentation:

- neglect strange quarks
- assume Gaussian  $k_T$  dependence of Collins FF  $\rightarrow$  can resolve convolution
- employ isospin symmetry among fragmentation functions, i.e.

$$D_f \equiv D(u \rightarrow \pi^+) \simeq D(d \rightarrow \pi^-) \simeq D(\bar{d} \rightarrow \pi^+) \simeq D(\bar{u} \rightarrow \pi^-)$$

$$D_d \equiv D(d \rightarrow \pi^+) \simeq D(u \rightarrow \pi^-) \simeq D(\bar{u} \rightarrow \pi^+) \simeq D(\bar{d} \rightarrow \pi^-)$$

$$\frac{1}{2}(D_f + D_d) \simeq D(u \rightarrow \pi^0) \simeq D(d \rightarrow \pi^0) \simeq D(\bar{d} \rightarrow \pi^0) \simeq D(\bar{u} \rightarrow \pi^0)$$

$$\hookrightarrow \tilde{A}_C^{\pi^+/\pi^-}(x, z) \propto \frac{(4\delta u + \delta \bar{d})H_{f/d} + (4\delta \bar{u} + \delta d)H_{d/f}}{(4u + \bar{d})D_{f/d} + (4\bar{u} + d)D_{d/f}}$$

$$\tilde{A}_C^{\pi^0}(x, z) \propto \frac{[4(\delta u + \delta \bar{u}) + \delta d + \delta \bar{d}] (H_f + H_d)}{[4(u + \bar{u}) + d + \bar{d}] (D_f + D_d)}$$

# A Closer Look at Collins Asymmetries II

express asymmetries in terms of flavor ratios:

$$\begin{aligned}\tilde{A}_C^{\pi^+} &= \mathcal{K}(x, z) \frac{4 + \delta r \mathcal{H}}{4 + r \mathcal{D}} \\ \tilde{A}_C^{\pi^-} &= \mathcal{K}(x, z) \frac{4 \mathcal{H} + \delta r}{4 \mathcal{D} + r} \\ \tilde{A}_C^{\pi^0} &= \mathcal{K}(x, z) \frac{(4 + \delta r)(1 + \mathcal{H})}{(4 + r)(1 + \mathcal{D})}\end{aligned}$$

## Polarized Objects

$$\begin{aligned}\mathcal{H} &= \frac{H_d}{H_f} \\ \delta r &= \frac{\delta d + 4\delta \bar{u}}{\delta u + \frac{1}{4}\delta \bar{d}}\end{aligned}$$

## Unpolarized Objects

$$\begin{aligned}\mathcal{D} &= \frac{D_d}{D_f} \\ r &= \frac{d + 4\bar{u}}{u + \frac{1}{4}\bar{d}}\end{aligned}$$

## Mixed

$$\mathcal{K} = \frac{(\delta u + \frac{1}{4}\delta \bar{d})z H_f}{(u + \frac{1}{4}\bar{d})D_f}$$

e.g., CTEQ6,R1990 and Kretzer et al.

⇒ 3 constraints and 3 unknowns!

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Polarization parameters  $\mathcal{H}$ ,  $\delta r$

The three asymmetries are not independent ( $C(x, z) \equiv \frac{r(x) + 4\mathcal{D}(z)}{r(x)\mathcal{D}(z) + 4}$ ):

$$\tilde{A}_C^{\pi^+}(x, z) + C(x, z) \tilde{A}_C^{\pi^-}(x, z) - (1 + C(x, z)) \tilde{A}_C^{\pi^0}(x, z) = 0$$

e.g., CTEQ6,R1990 and Kretzer et al.

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# A Closer Look at Collins Asymmetries III

---

eliminate  $\kappa$  and relate  $\mathcal{H}$  to  $\delta r$

⇒ scan solution space for  $\mathcal{H}$  and  $\delta r$  by sampling set of  $(\tilde{A}_C^{\pi^+}, \tilde{A}_C^{\pi^-}, \tilde{A}_C^{\pi^0})$

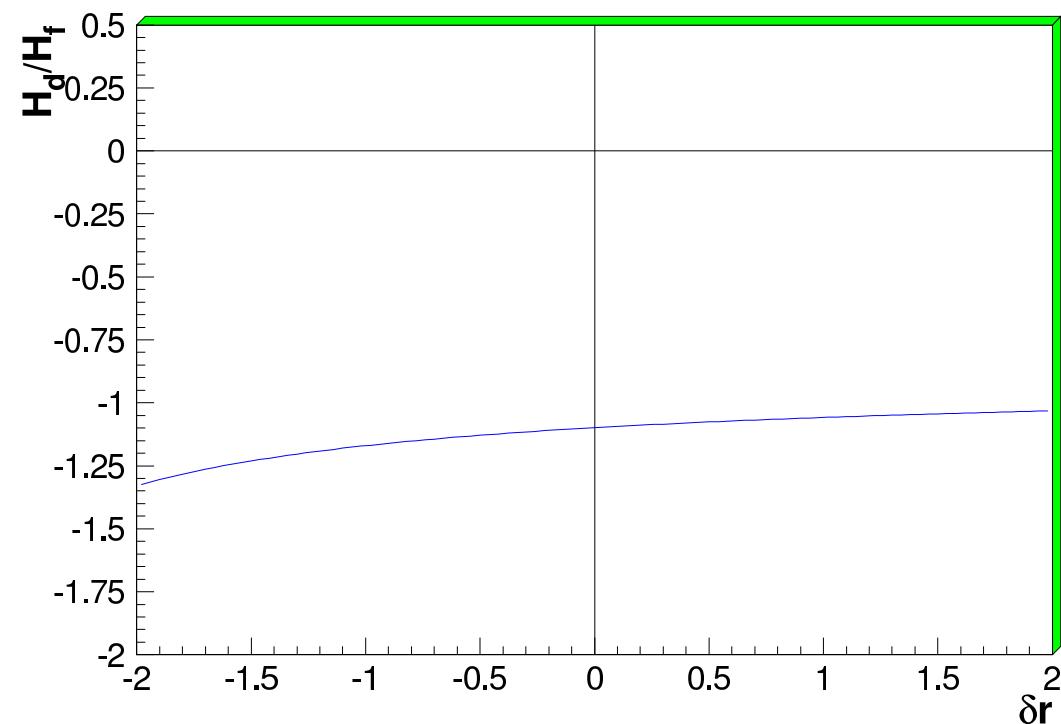
(around measured values according to statistical uncertainty)

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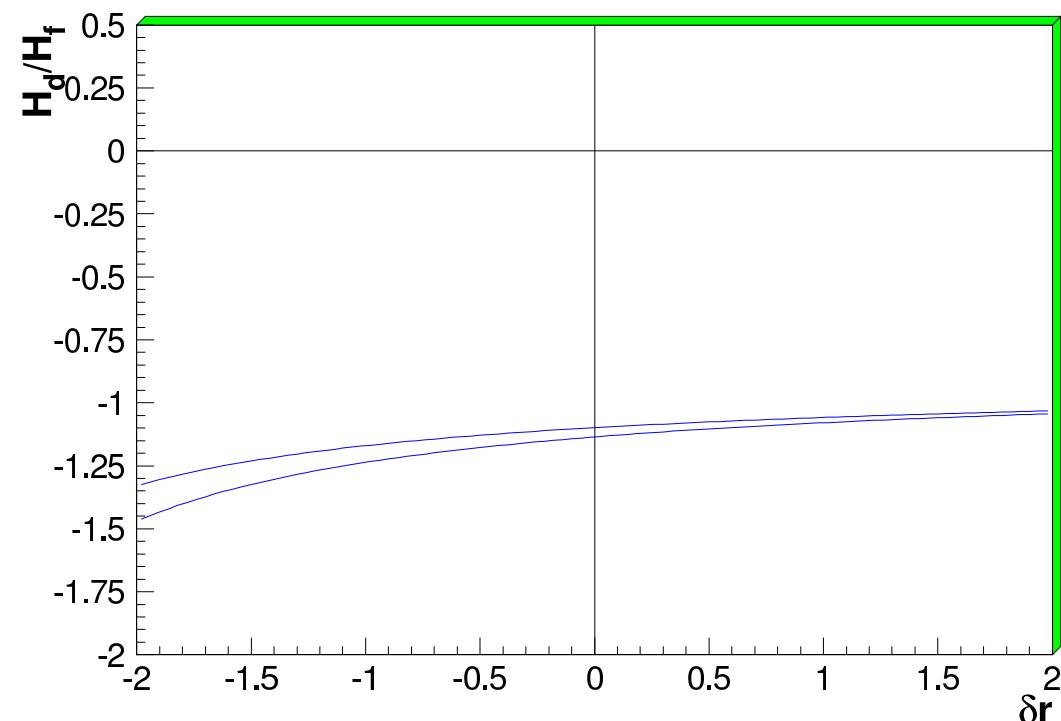


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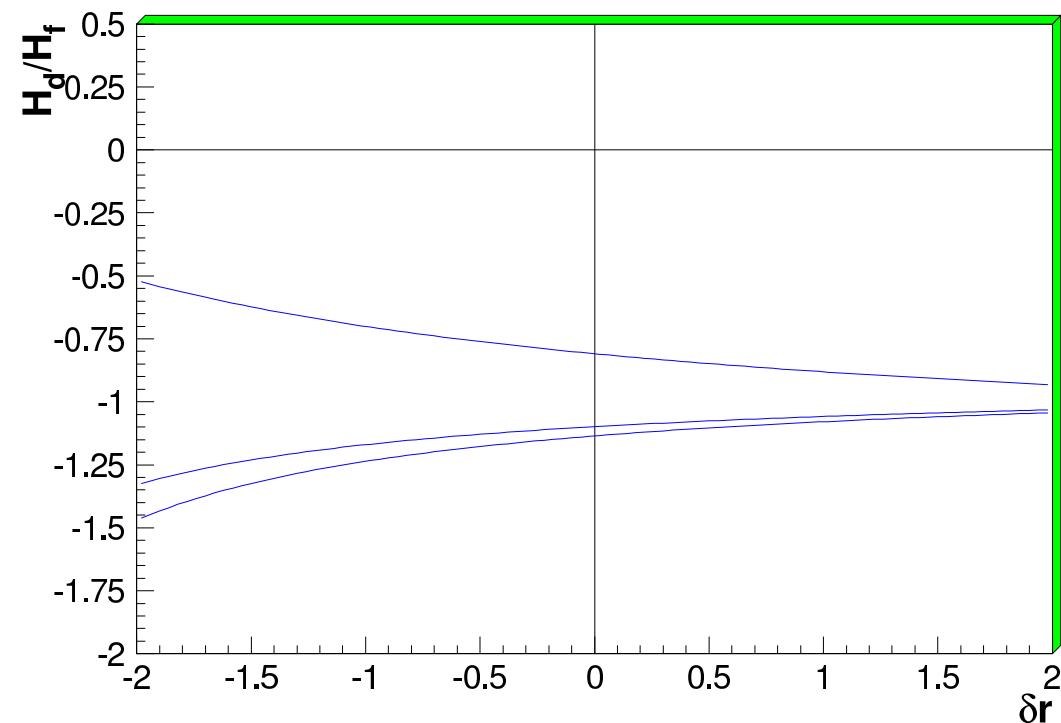


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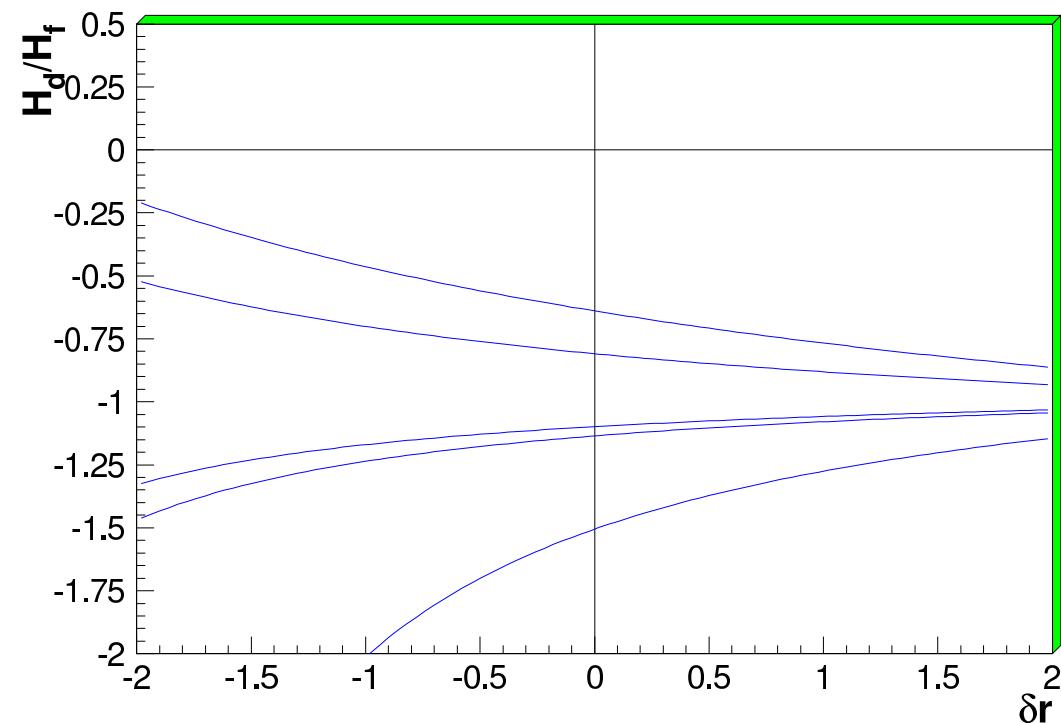


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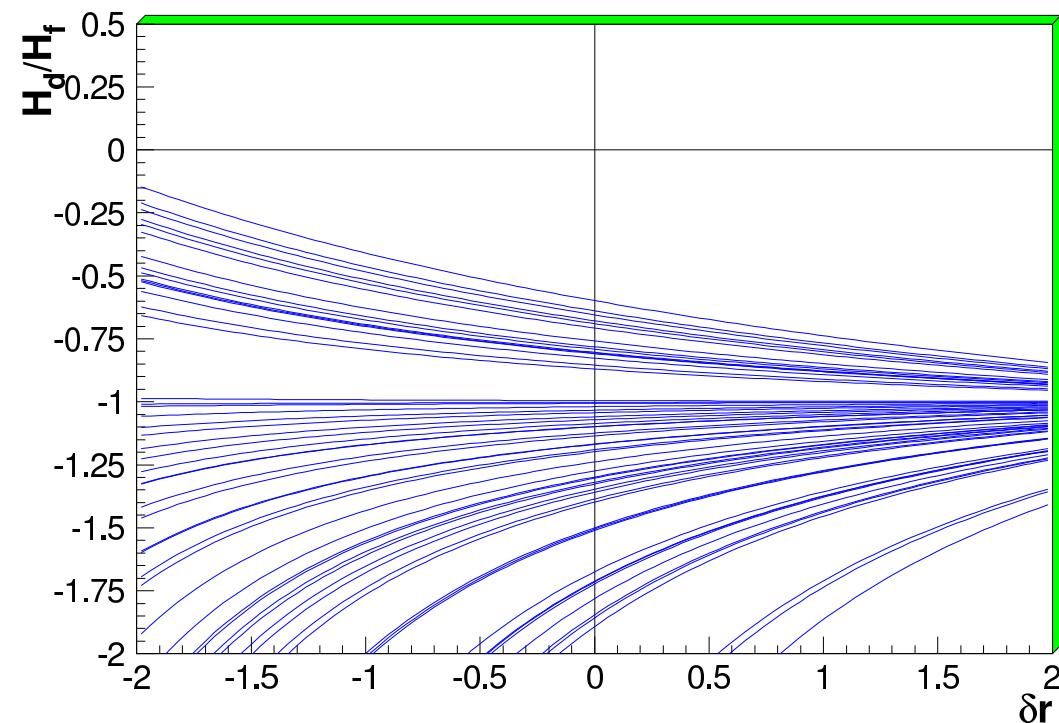


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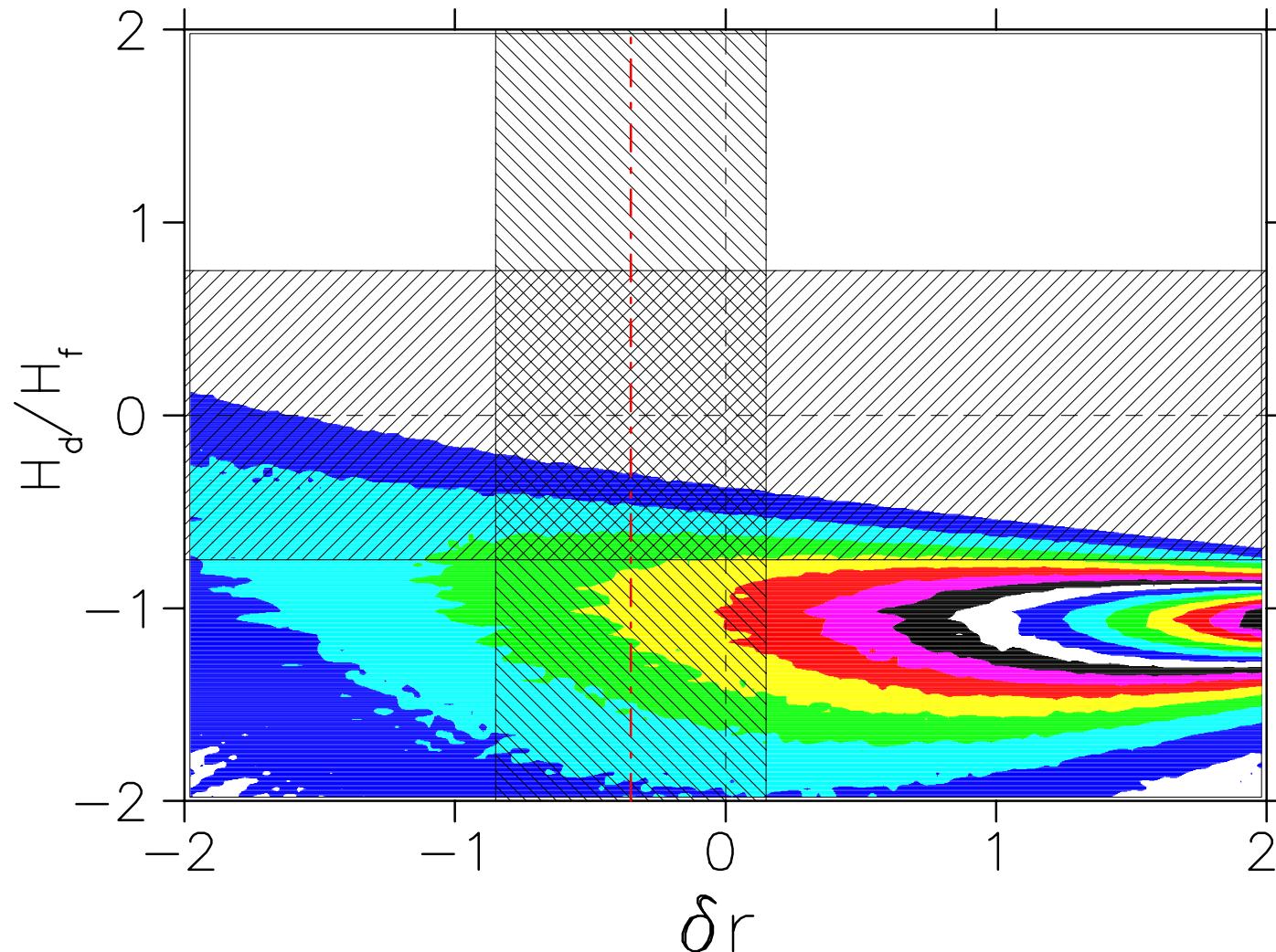
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# *Limits on Transversity and Collins FF*

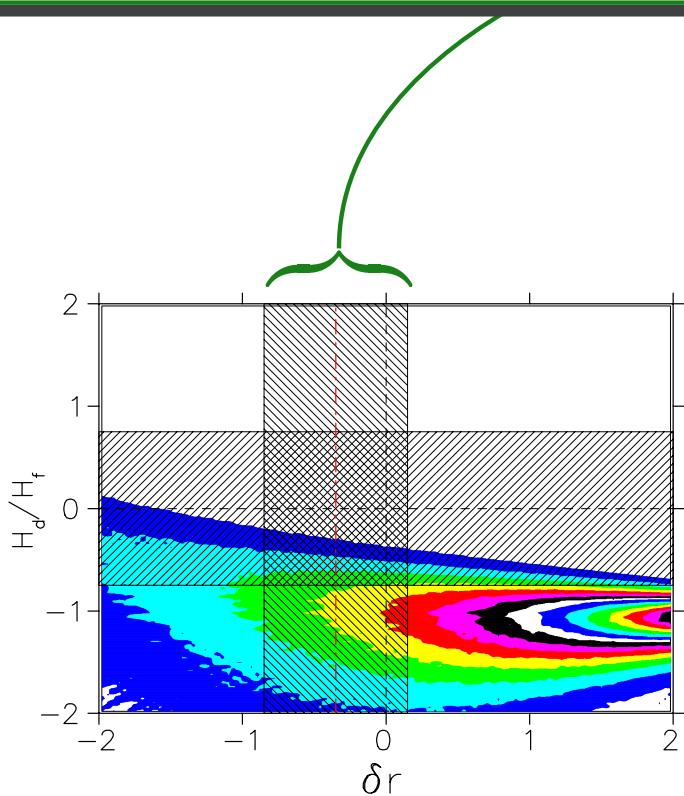
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probability distribution for  $H_d/H_f$  vs.  $\delta r$ :

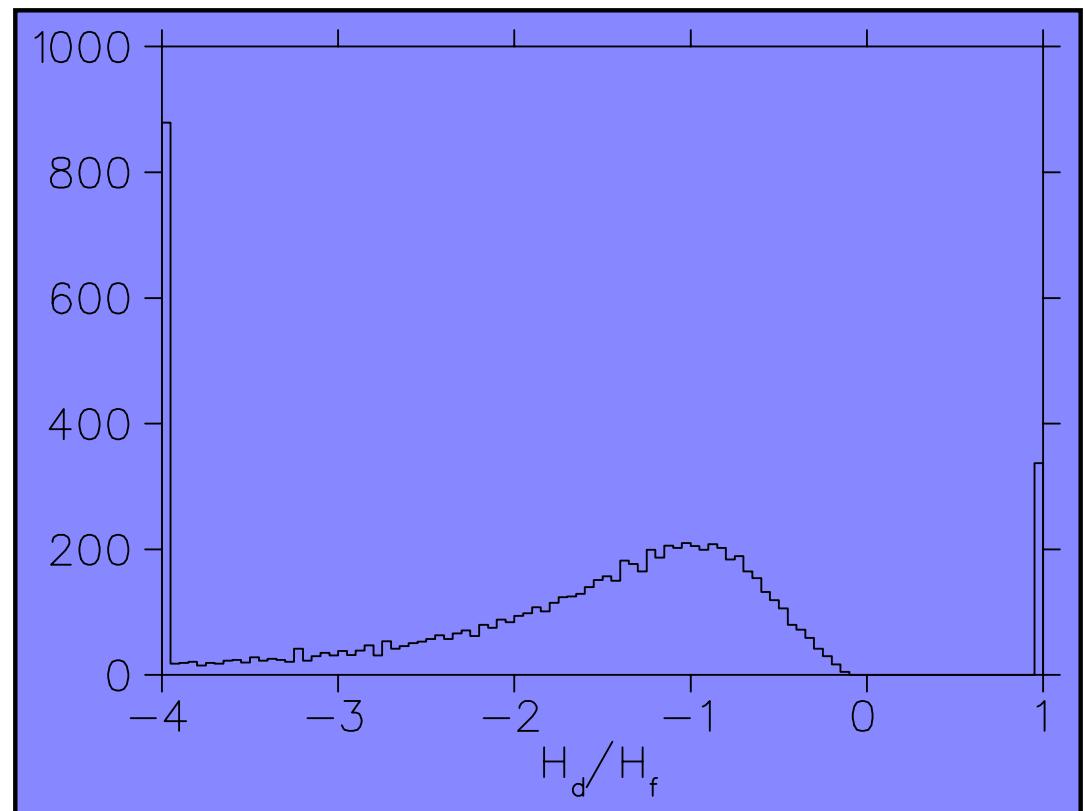


# *Limits on Transversity and Collins FF*

$\delta r \approx \delta d / \delta u$  from  $\chi$ QSM



look at slice of distribution in  $\delta r$ :



strong hint for  $H_d / H_f$  negative