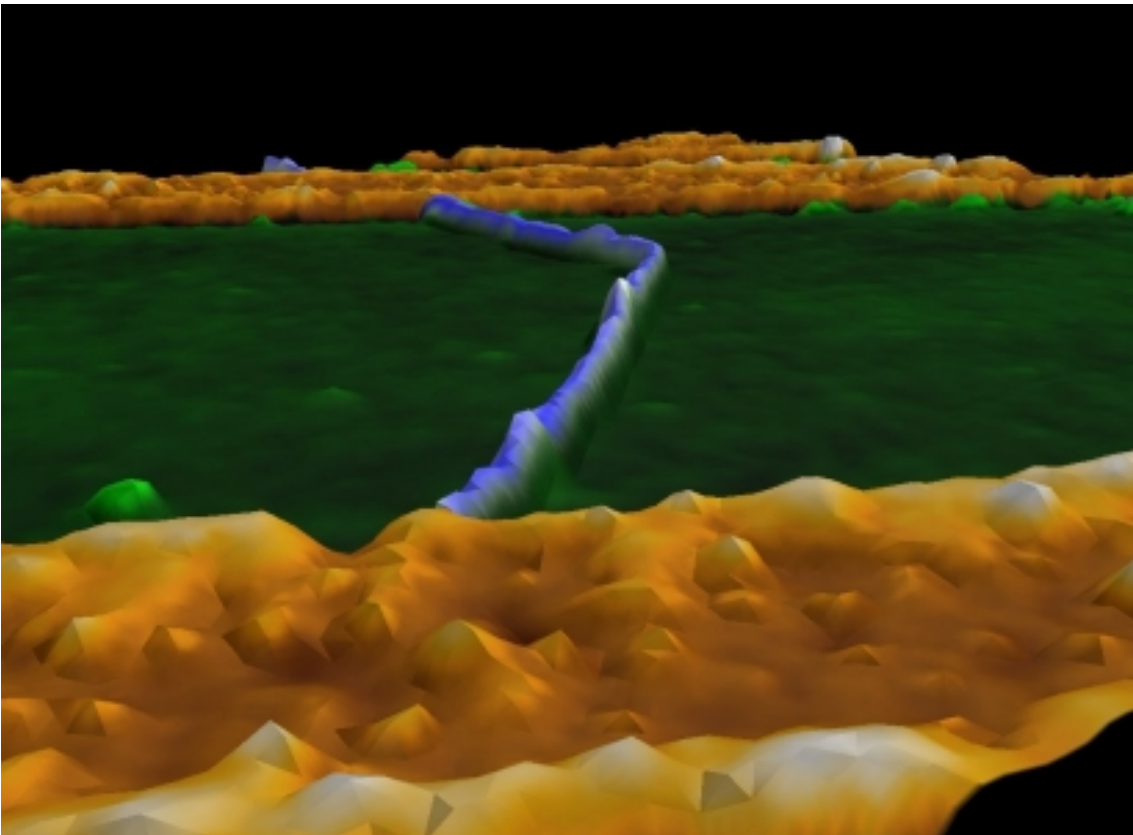


Transport in SWNTs

Typical situation of interest: SWNT in good contact to leads, with one scatterer (kink)



from: Yao et al., Nature 402, 273 (1999)

Current-voltage relation?

Theoretical description

Focus on charge sector (Luttinger phase) \Rightarrow
with single impurity at $x = 0$ of strength λ

$$H = \frac{v_F}{2} \int dx \left(\Pi^2 + (1/g^2)(\partial_x \varphi)^2 \right) \\ + \lambda \cos[\sqrt{4\pi} \varphi(0)]$$

Kane & Fisher, PRB 46, 15233 (1992)

Conceptual difficulty: Coupling to external
leads (voltage reservoirs)? Landauer approach
does not work for interacting systems!

First understand problem of injecting a charge
into a Luttinger liquid ...

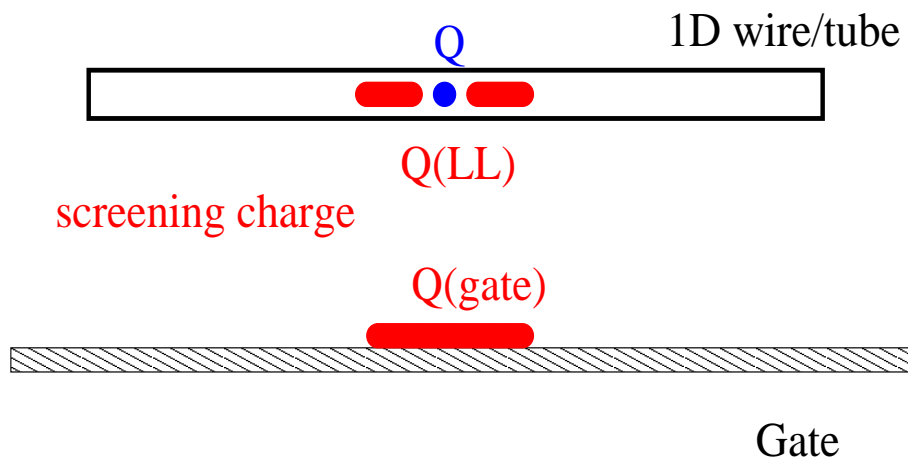
Electroneutrality

Egger & Grabert, PRL 79, 3463 (1997)

On large lengthscales, one always has

electroneutrality =
no free uncompensated charges

Inject “impurity” charge Q



⇒ for Luttinger liquid with screened interactions, electroneutrality holds only when **induced gate charges** are included

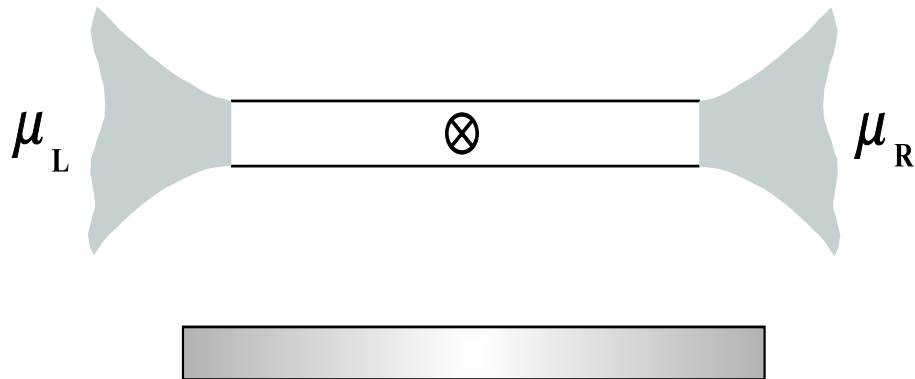
$$Q + Q_{LL} + Q_{\text{gate}} = 0$$

$$Q_{\text{gate}} = -g^2 Q$$

$$Q_{LL} = -(1 - g^2)Q$$

Without gate: True long-range interaction ⇒ $g \rightarrow 0$ ⇒ electroneutrality holds for quantum wire alone!

Screening



- Applied voltage $eU = \mu_L - \mu_R$
- Left/right reservoir injects “bare” density of right/left moving charges:

$$\rho_R^0(-L/2) = \frac{\mu_L}{2\pi\hbar v_F}$$
$$\rho_L^0(L/2) = \frac{\mu_R}{2\pi\hbar v_F}$$

- Fraction $(1 - g^2)$ of injected charge density $\rho = \rho_R + \rho_L$ is screened off
 \Rightarrow charge density in Luttinger wire reduced by factor g^2

⇒ Relation between true charge density and “bare” density:

$$\rho_R(x) + \rho_L(x) = g^2[\rho_R^0(x) + \rho_L^0(x)]$$

Difference of right/left-moving densities (current) unaffected by screening:

$$\rho_R(x) - \rho_L(x) = \rho_R^0(x) - \rho_L^0(x)$$

Solving these relations for $\rho_R^0(-L/2)$ and $\rho_L^0(L/2)$ gives Sommerfeld-like boundary conditions for true charge density

Radiative boundary conditions

Egger & Grabert, PRB 59, 10761 (1998)

$$(g^{-2} \pm 1)\rho_R(\mp L/2) + (g^{-2} \mp 1)\rho_L(\mp L/2) = \pm \frac{eU}{2\pi\hbar v_F}$$

- valid for **adiabatic contacts** to leads, and for arbitrary correlations g & impurity strength λ
- imposed at long times (stationary non-equilibrium state) near contacts in the Luttinger wire
- voltage **not** just additional term in H
- **preserve integrability, easy to use in bosonization**

Exact solution

Full transport problem can be solved exactly for arbitrary parameters (g, U, T, λ) using integrability (even including spin)

Egger, Grabert, Koutouza, Saleur & Siano, PRL 84, 3682 (2000)

⇒ complete crossover from weak to strong transmission

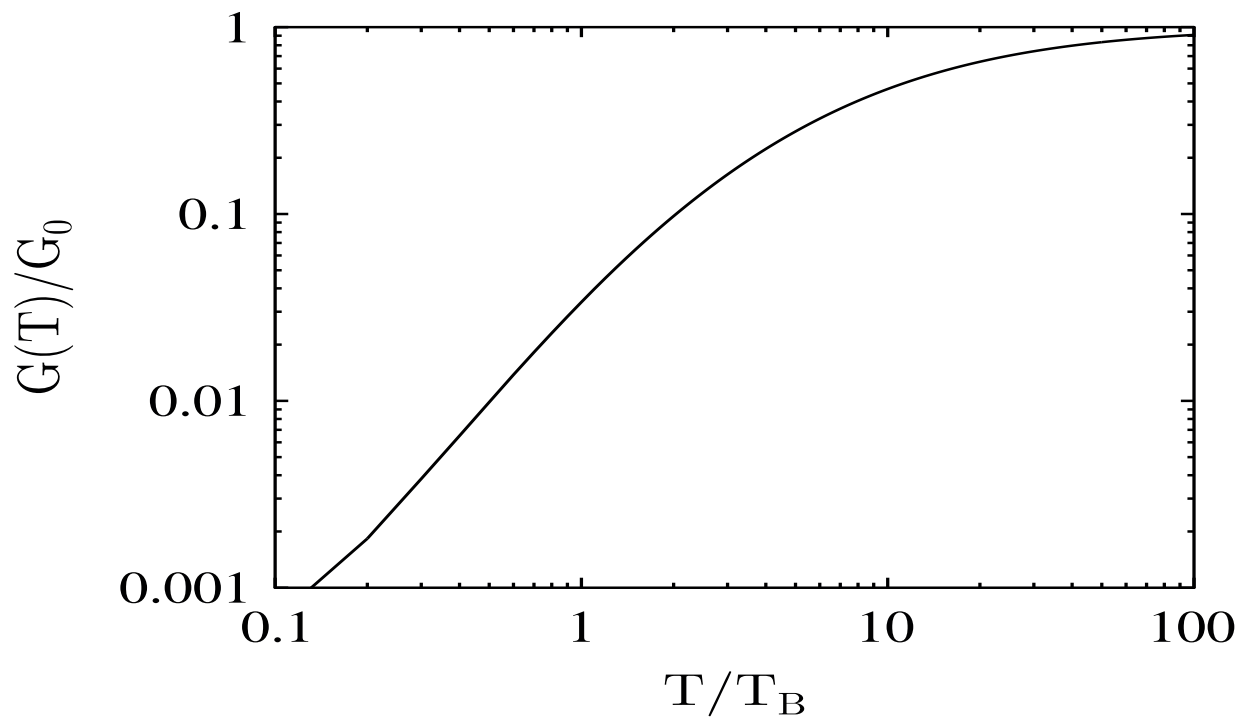
Example: Linear conductance at $g = 1/2$:

$$G(T) = \frac{e^2}{h} \frac{1 - (T_B/T)\psi'(1/2 + T_B/T)}{1 + (T_B/T)\psi'(1/2 + T_B/T)}$$

with impurity scale $T_B = \pi\lambda^2/k_B D$ and trigamma function $\psi'(z)$

Linear transport

Linear conductance ($g = 1/2$)



- clear power-law scaling for low temperatures
- conductance **vanishes**
- only one impurity!

- On low energy scales, impurity becomes effectively very strong and cuts the SWNT into two halves

$$G(T \rightarrow 0) \sim T^{2\eta} \rightarrow 0$$

with end-tunneling exponent $\eta > 0$

- Doubled exponent in $G(T)$ since one tunnels out of one half into the other \Rightarrow square of the TDOS

$$\rho(E) \sim E^\eta$$

Nonlinear transport

Theory predicts universal scaling functions for nonlinear conductance.

Example: Weak transmission (strong impurity) limit

$$T^{-2\eta} \frac{dI}{dU} \propto \sinh(eU/2k_B T) \\ \times \left| \Gamma(1 + \eta + ieU/2\pi k_B T) \right|^2 \left\{ \coth(eU/2k_B T) \right. \\ \left. - \frac{1}{2\pi} \operatorname{Im} \psi(1 + \eta/2 + ieU/2\pi k_B T) \right\}$$

with digamma function $\psi(z)$

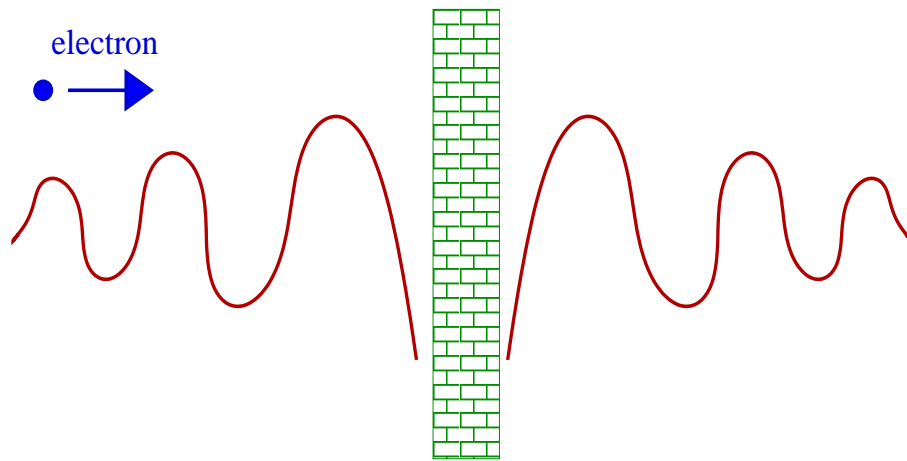
\Rightarrow rhs depends only on $eU/k_B T$

... observed experimentally in SWNTs ...

Friedel oscillation

Matveev et al., PRL 71, 3351 (1993)

Why $G(T) \rightarrow 0$ for low temperatures (insulator!) for just one arbitrarily weak impurity?



- Impurity generates $2k_F$ oscillatory charge disturbance (Friedel oscillation)
- Incoming electron is backscattered by Hartree potential of Friedel oscillation (in addition to “bare” impurity potential)
- Energy dependence \Leftrightarrow Friedel oscillation asymptotics

1D Screening

Bosonization gives

$$\delta\rho(x) \sim \cos[2k_F x - \eta_F] \langle \cos[\sqrt{4\pi} \varphi(x)] \rangle$$

Exact asymptotics ($x \rightarrow \infty$)

$$\delta\rho(x) \sim \cos[2k_F x - \eta_F] x^{-g}$$

Egger & Grabert, PRL 75, 3505 (1995)

- very slow algebraic decay with interaction-dependent exponent $g < 1$
- causes singular backscattering for low energies
- incoming electron at energy $E \rightarrow 0$ is completely reflected by Friedel oscillation

Exact Friedel oscillation at $g = 1/2$ using conformal field theory

Leclair et al., PRB 54, 13597 (1996)

⇒ again scaling property:

$$\delta\rho(x) = -\frac{1}{x_B} \cos[2k_F x - \eta_F] e^{x/x_B} K_0(x/x_B)$$

with $x_B \sim \lambda^{-1/(1-g)}$, Bessel function $K_0(z)$

Reproduces x^{-g} scaling for $x \gg x_B$

For $x \ll x_B$ different scaling law:

$$\delta\rho \sim \cos[2k_F x - \eta_F] \ln(x/x_B)$$

⇒ extremely slow decay

Friedel oscillation in SWNT

Egger & Gogolin, EPJB 3, 281 (1998)

Several competing Fermi momenta:

$$2q_F, \quad 2(k_F \pm q_F), \quad 4q_F$$

Dominant wavelength is interaction-dependent

For $g > 0.2$ and $x \gg x_B$:

$$\delta\rho(x) \sim \cos[2q_F x - \eta_F] (x/x_B)^{-(3+g)/4}$$

- same power law for $2(k_F \pm q_F)$
- for $x \ll x_B$ slower decay,

$$\delta\rho(x) \sim (x/x_B)^{-(1+g)/2}$$

For $g < 0.2$:

$$\begin{aligned}\delta\rho(x) &\sim \cos[4q_F x - \eta_F](x/x_B)^{-g}, & (x \gg x_B) \\ &\sim \cos[4q_F x - \eta_F] \ln(x/x_B), & (x \ll x_B)\end{aligned}$$

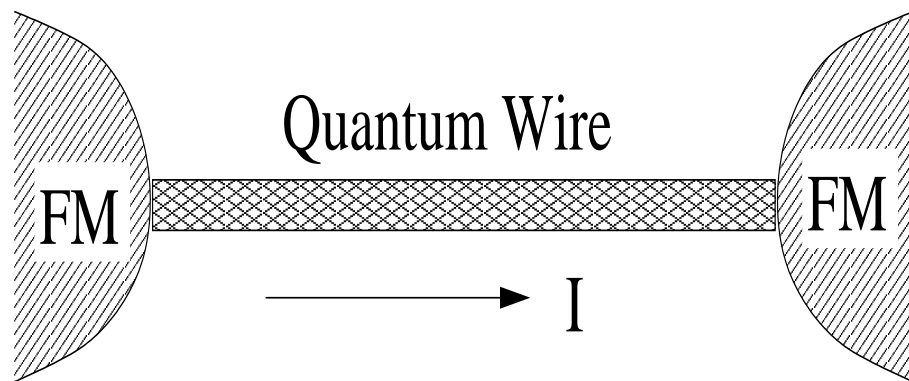
⇒ extremely slow decay

- Quite complex and rich behavior of Friedel oscillation in SWNT
- wavelength and power law depend on interaction strength
- even more complex behavior in gapped phases ($T < T_b$)
- not yet probed experimentally

Spin-dependent SWNT transport

Balents & Egger, PRL 85, 3464 (2000)

PRB 64, 035310 (2001)



Dependence of $I(V)$ on angle θ between magnetization directions $\hat{m}_{1,2}$ in the ferromagnetic reservoirs?

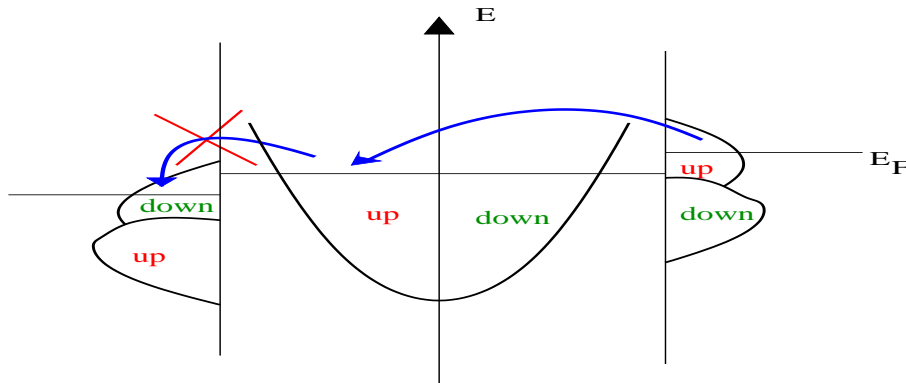
Basic assumption: spin relaxation negligible due to smallness of spin-orbit coupling
 \Rightarrow spin current $\vec{J} = \vec{J}_R - \vec{J}_L$ conserved

$$\vec{J}_{R/L} = \psi_{R/L}^\dagger(x) (\vec{\sigma}/2) \psi_{R/L}(x)$$

induced SWNT magnetization: $\vec{M} = \vec{J}_L + \vec{J}_R$

Spin accumulation

Consider Fermi liquid between two Stoner mean-field ferromagnets with $\theta = \pi$:



\Rightarrow pile-up of \downarrow spins, current suppression

For general angle θ , with polarization $P \leq 1$ and spin mixing conductance $Y \geq 1$:

$$\frac{I(\theta)}{I(0)} = 1 - P^2 \frac{\tan^2(\theta/2)}{\tan^2(\theta/2) + Y} \leq 1$$

Brataas et al., PRL 84, 2481 (2000)

\Rightarrow Giant magnetoresistance effect

What happens for a Luttinger liquid?

Description of contact

(assume identical contacts)

Coupling mechanism 1: Tunneling

Spin conductance $G_{\uparrow,\downarrow}$ due to spin-dependent DOS of the FM

⇒ Polarization $P = (G_{\uparrow} - G_{\downarrow})/G \leq 1$

Conductance $G = G_{\uparrow} + G_{\downarrow}$

Tunneling Hamiltonian

$$H' = F^\dagger W \Psi + \text{h.c.}$$

with spinors F, Ψ for FM and SWNT at the contact, and 2×2 tunneling matrix

$$W = \sum_{s=\uparrow,\downarrow=\pm} t_s (1 + s\hat{m} \cdot \vec{\sigma})/2$$

Spin conductance $G_{\uparrow,\downarrow} = (2e^2/h)|t_{\uparrow,\downarrow}|^2$

Assuming Luttinger liquid model, tunneling into nanotube is power-law suppressed (irrelevant under RG) with end-tunneling exponent

$$\eta = (g^{-1} - 1)/2 > 0$$

\Rightarrow tunneling spin current $\vec{J}_T \sim (V/D)^{\eta/2}$
 from lead into tube is strongly suppressed

Exact tunneling spin current:

$$\vec{J}_T = \sum_{s=\pm} (P\hat{m} + s\hat{M}) \mathcal{I}_\eta(V - sM)$$

with $\vec{M} = \vec{J}_R + \vec{J}_L$ fixed by spin current conservation and

$$\begin{aligned} \mathcal{I}_\eta(V) &= (GV/2)(k_B T/D)^{1+\eta/2} \sinh(eV/2k_B T) \\ &\times \left| \Gamma \left(1 + \eta + \frac{ieV}{2\pi k_B T} \right) \right|^2 \end{aligned}$$

Coupling mechanism 2: Exchange

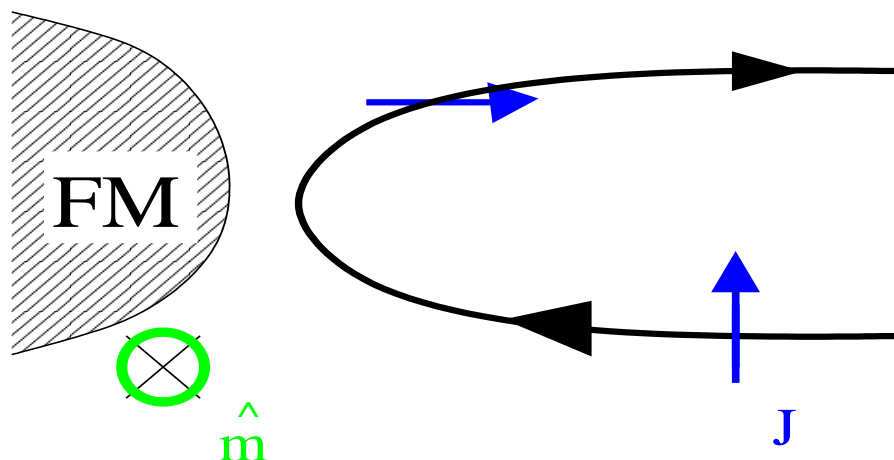
$$H'' = -K \hat{m} \cdot [\Psi^\dagger(0)(\vec{\sigma}/2)\Psi(0)]$$

With spin-charge separation, exchange acts only in the spin sector

⇒ Exchange current carries **no** power-law suppression factor, RG scaling of K not affected by interactions, but stays exactly marginal

⇒ Exchange drastically enhanced over tunneling due to spin-charge separation

Exchange physics: Precession of incoming (left-moving) spin current around \hat{m}



Without tunneling:

$$\vec{J}_R(0^+) = \mathcal{R}(\phi)\vec{J}_L(0^+)$$

$SO(3)$ rotation matrix $\mathcal{R}(\phi)$

exchange angle $\phi = K/v_F$ and axis \hat{m}

\Rightarrow interacting analog of phase shift

With tunneling: Exact treatment of exchange implies boundary condition

$$\vec{J}_R = \mathcal{R}\vec{J}_L + \vec{J}_T$$

With $\vec{M} = \vec{J}_R + \vec{J}_L$ the injected spin current $\vec{J} = \vec{J}_R - \vec{J}_L$ at given contact is ($\phi \ll 1$)

$$\vec{J} = \phi\vec{M} \times \hat{m} + \vec{J}_T$$

Charge and spin current conservation:

General description of arbitrary circuit of ferromagnets and SWNTs

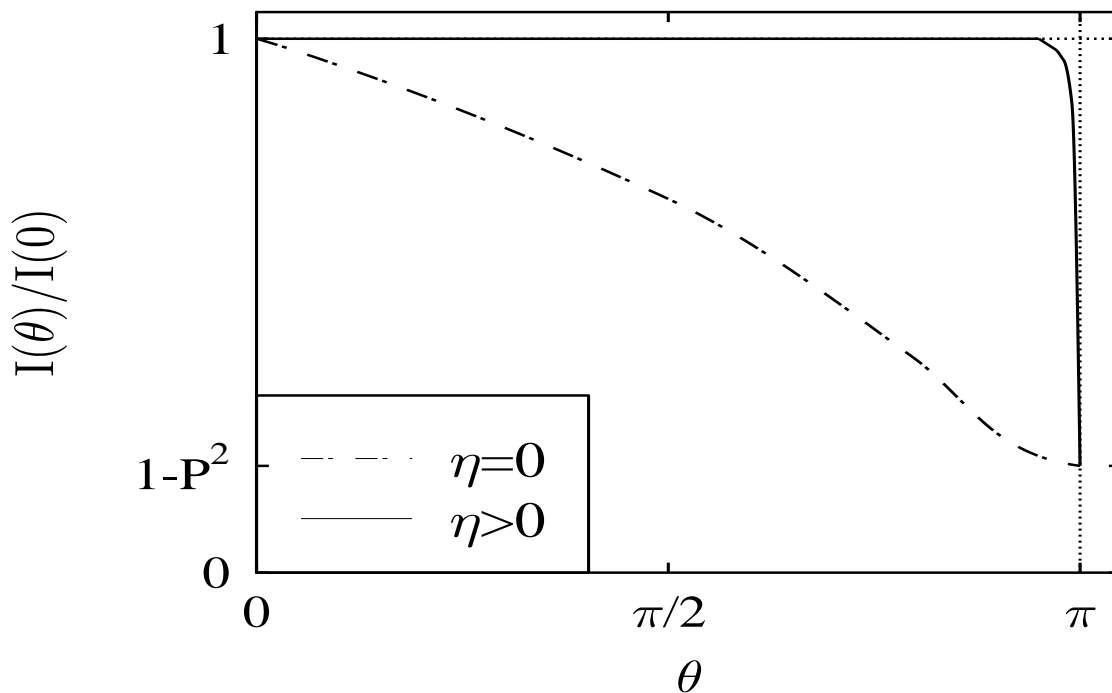
Spin accumulation for SWNT

Current through FM-SWNT-FM device:

$$\frac{I(\theta)}{I(0)} = 1 - P^2 \frac{\tan^2(\theta/2)}{\tan^2(\theta/2) + Y}$$

$$I(0) = (GV/2)(V/D)^{\eta/2}$$

$$Y = 1 + \text{const.} (V/D)^{-\eta}$$



Destruction of spin accumulation
due to spin-charge separation!

Exchange torques during multiple reflections
scramble injected spins

⇒ possible observation of spin-charge separation in transport experiment

But: apparently difficult experiment

⇒ simpler variants are possible ...

Spin transport in magnetic field

External magnetic field \vec{B} causes precession of $\vec{M}, \vec{J} \Rightarrow$ simpler experimental checks of spin-charge separation for fixed antiparallel FM magnetizations $\theta = \pi$

Precession phase from left to right end:

$$\gamma = E_{\text{Zeeman}}/(\hbar v_F/L) = \mu_B B L / \hbar v_F$$

Two possibilities:

1. Fixed field strength

Take $\gamma = \pi \Rightarrow$ current as function of angle β between \hat{B} and \hat{m} :

$$\frac{I(\beta)}{I(0)} = 1 - P^2 \frac{1}{1 + Y \tan^2 \beta}$$

\Rightarrow spin accumulation destroyed for any $\beta \neq 0$

2. Fixed field orientation

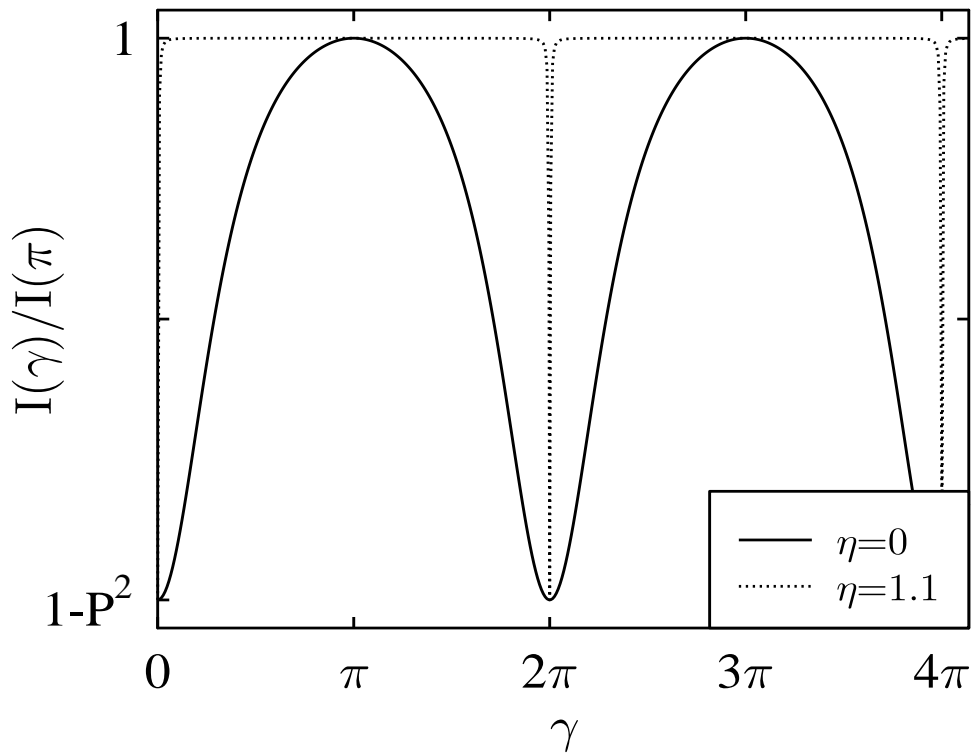
Take $\hat{B} \perp \hat{m}$ and change precession phase γ

Sharp negative magnetoresistance peaks at $\gamma = 2\pi n$ with vanishing width $\Delta\gamma \propto T^\eta$

$$\frac{I(\gamma)}{I(0)} = 1 - P^2 \frac{1}{1 + F(\gamma)}$$

$$F(\gamma) \propto (V/D)^{-2\eta} \frac{1 + \phi^2 \cos^{-2}(\gamma/2)}{\phi^2 + \cot^2(\gamma/2)}$$

Magnetic-field dependence of current:



Period $\Delta\gamma$ between dips in the current yields
contacted tube length

Dangerous backscattering

Backscattering interaction is correction to Luttinger liquid:

$$H_b = -bv_F \int dx \vec{J}_L \cdot \vec{J}_R$$

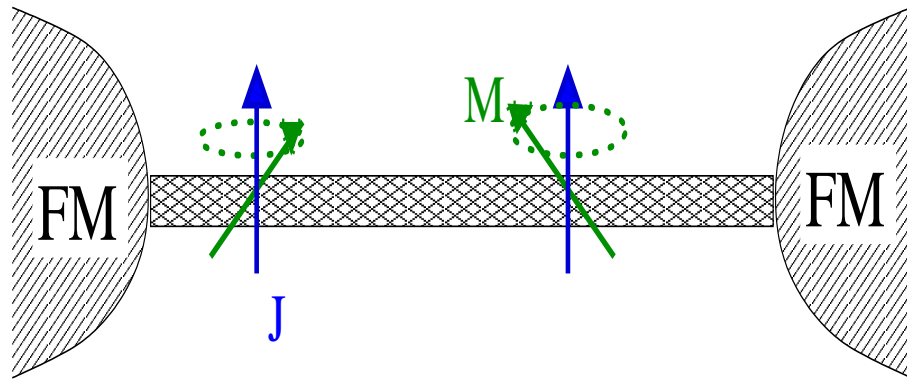
Estimate for SWNT: $b \approx (e^2/v_F)(a/R) \approx 0.1$
 \Rightarrow causes only exponentially small gap T_b

But: important effect in spin transport!

Bulk precession of magnetization around conserved spin current \Rightarrow hydrodynamic $T = 0$ description yields ballistic steady state
“Landau-Lifshitz” equation

$$v_F \partial_x \vec{M} = b \vec{M} \times \vec{J}$$

\Rightarrow total precession phase $\Delta\varphi = bJL/v_F$ when going from left to right contact!



Spin current appears in the precession phase
 $\Delta\varphi = bJL/v_F \Rightarrow$ Charge current

$$I(b, \theta)/I(0) = 1 - P^2 X$$

obeys nonlinear self-consistency equation:

$$\sin^2(\theta/2) = \frac{YX}{1 + (Y - 1)X} \cos^2(\Delta\varphi/2)$$

$$[2J/PI(0)]^2 = (1 - X)[1 + (Y - 1)X]$$

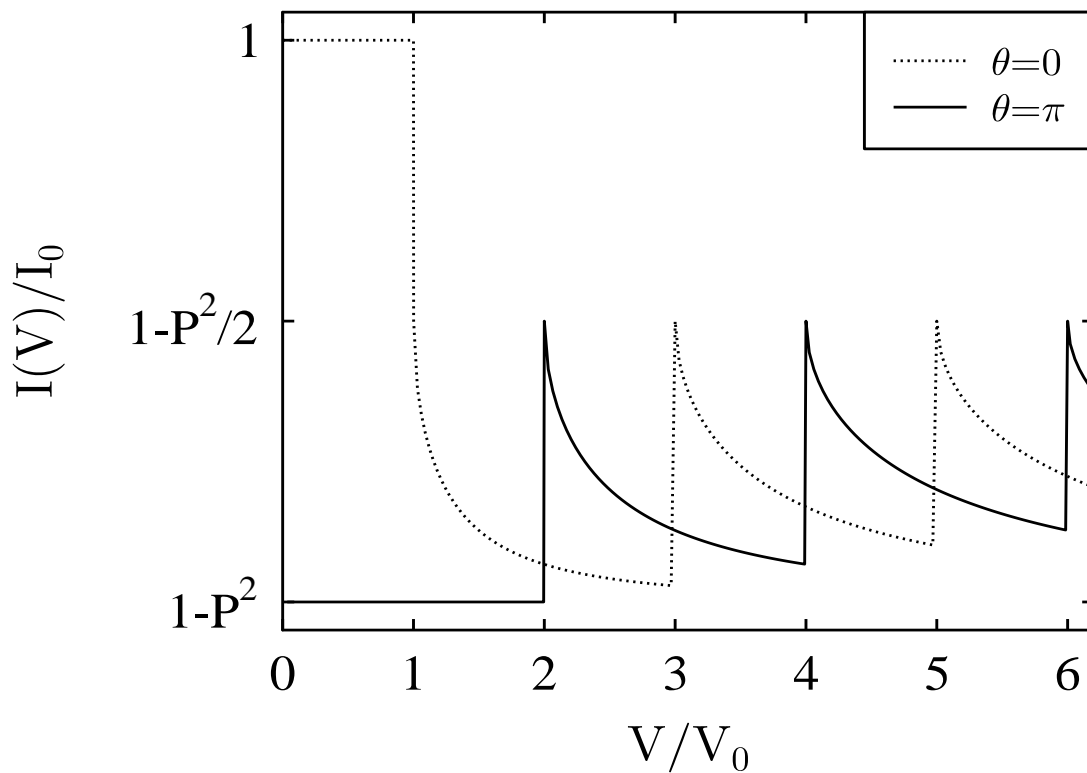
Since $Y \gg 1$, only solutions for

$$\Delta\varphi = (2n + 1)\pi - \theta$$

Winding number $n = 0, 1, 2, \dots$ counts full precession cycles of magnetization
 \Rightarrow Current-voltage relation follows in closed form!

Non-sinusoidal oscillations

Because of backscattering, $I - V$ characteristics shows **sawtooth-like oscillations**, where each oscillation period reflects a complete precession cycle:

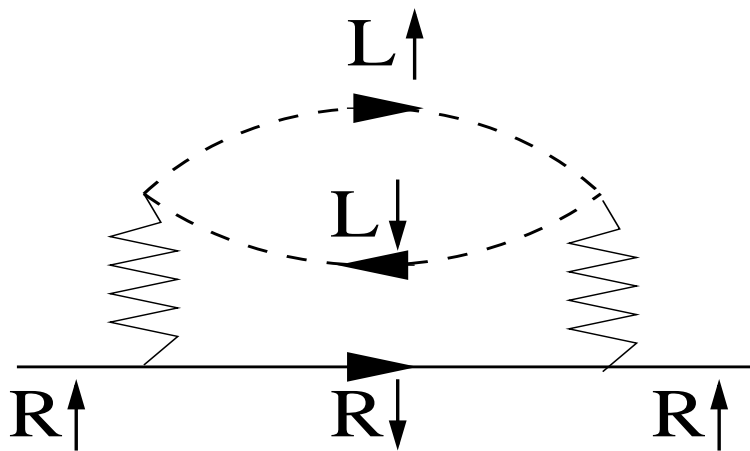


Oscillation period $2V_0$ with

$$eV_0 \approx \frac{\hbar v_F}{L} \frac{e^2/h}{G} \frac{1}{bP} \approx 0.1 \text{ eV}$$

Spin Diffusion

Backscattering scatters spin \Rightarrow conserved charge current, but spin current relaxed



Fermi's golden rule: Mean free path for spin diffusion

$$\ell = \frac{1}{b^2} \frac{\hbar v_F}{k_B T} \approx 1\mu \left(\frac{500K}{T} \right)$$

Spin transport **diffusive** for $L > \ell$

Conclusions Lecture 2

- Charge transport for correlated 1D electron liquid in SWNT with impurity
- Coupling to voltage reservoirs via radiative boundary conditions \Rightarrow exact solution of full transport problem
- Singular backscattering by Friedel oscillation
- Observation of spin-charge separation possible via spin transport
- Non-sinusoidal oscillatory current-voltage relation due to backscattering in spin transport setup