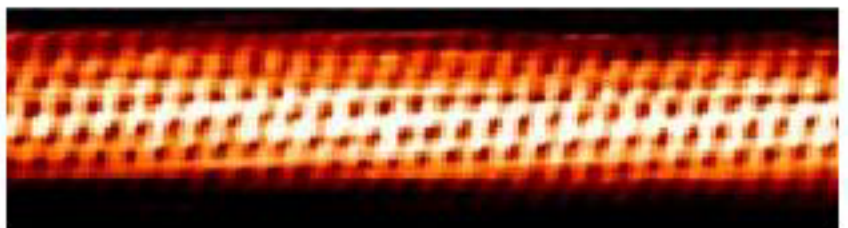
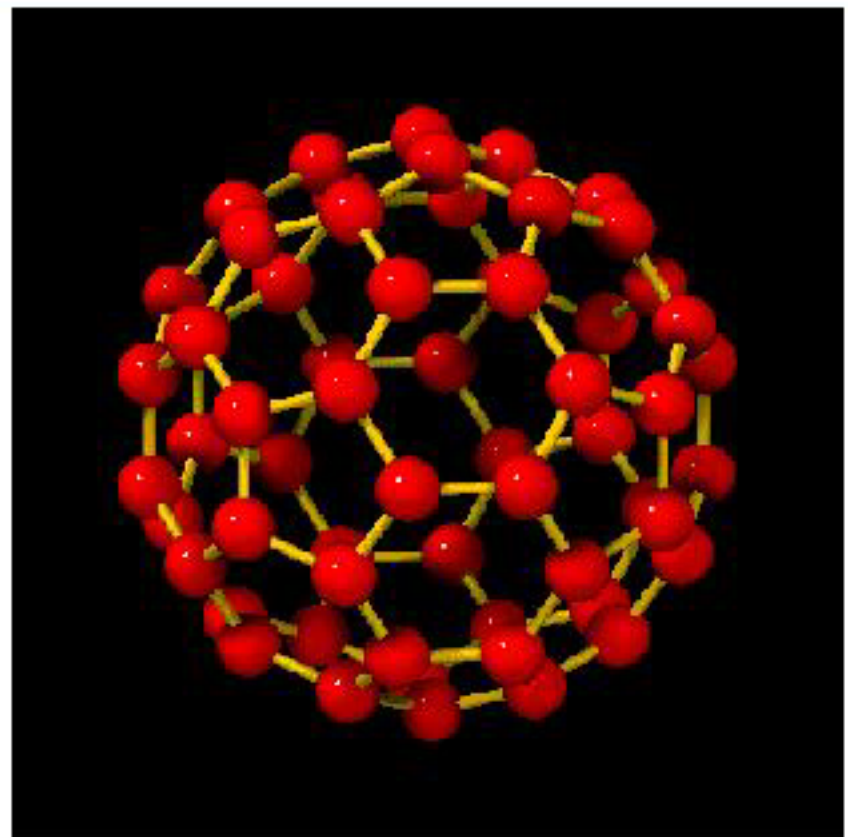


Charge Dynamics of Fullerenes and Nanotubes

Leonardo Degiorgi
Laboratorium für Festkörperphysik
ETH Zürich, Switzerland

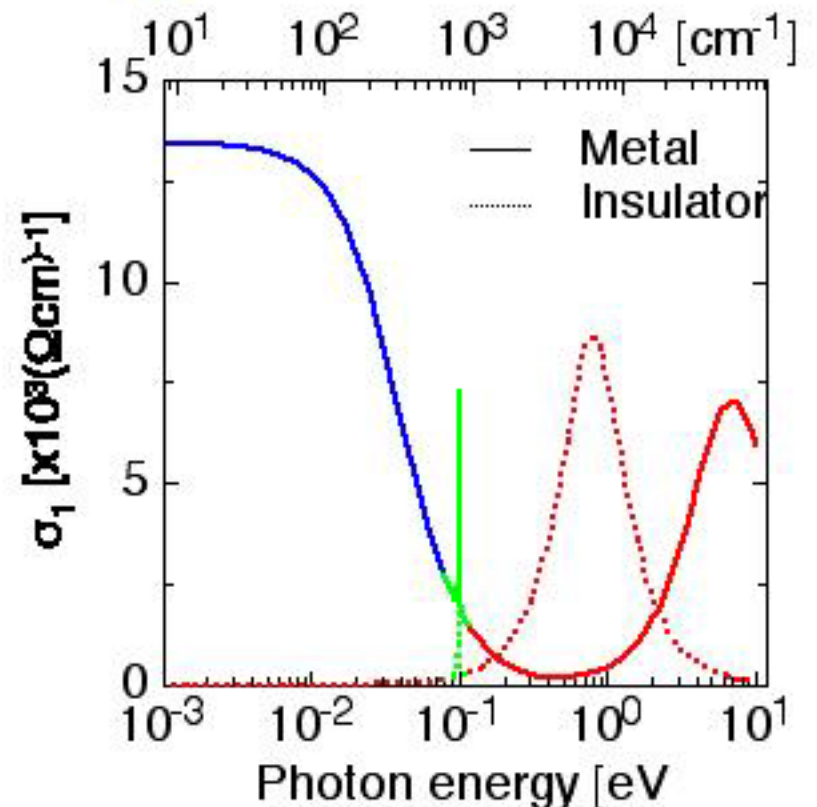
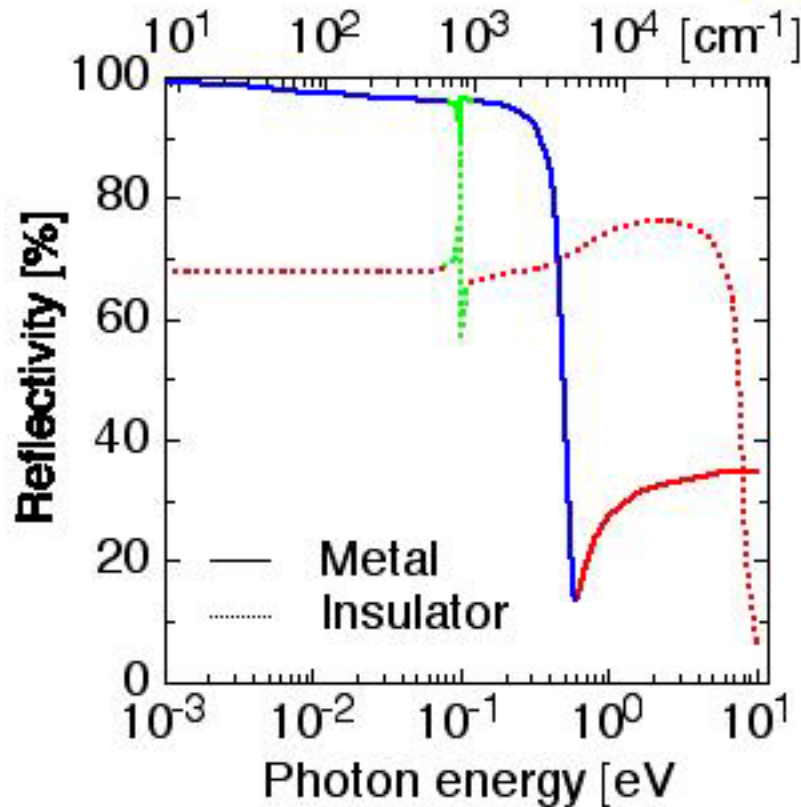
Buckminsterfullerene C_{60} and Carbon Nanotubes

- ◆ The discovery of fullerenes C_{60} , as well as of carbon-nanotubes, opens new routes for science and technology.
- ◆ Fullerenes-based materials display a rich variety of physical phenomena as superconductivity, broken-symmetry ground states, non-Fermi liquid behaviour and dimensionality crossover effects.
- Motivation:
 - Study of the electrodynamic response of fullerenes and carbon-nanotubes

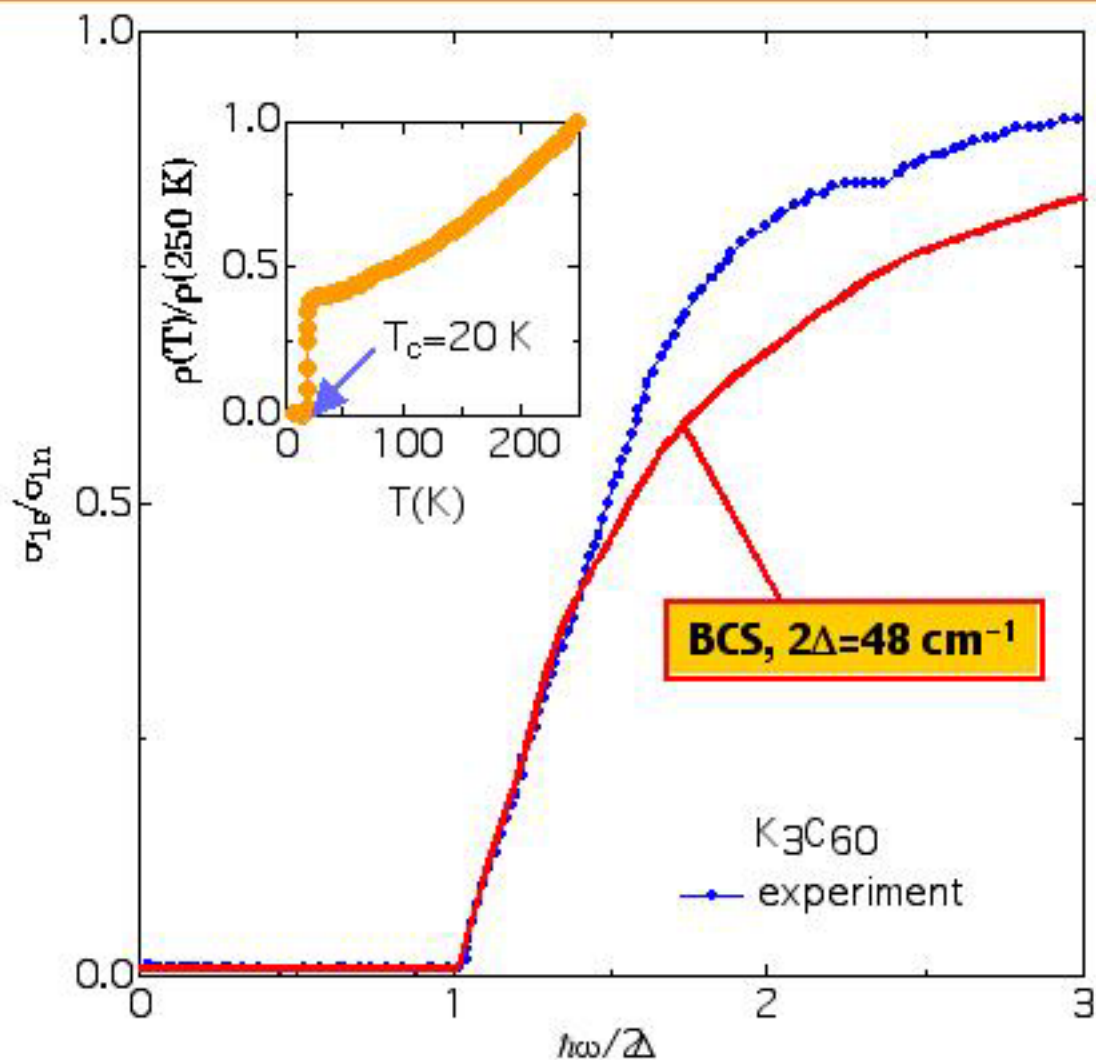


Reflectivity and Optical Conductivity

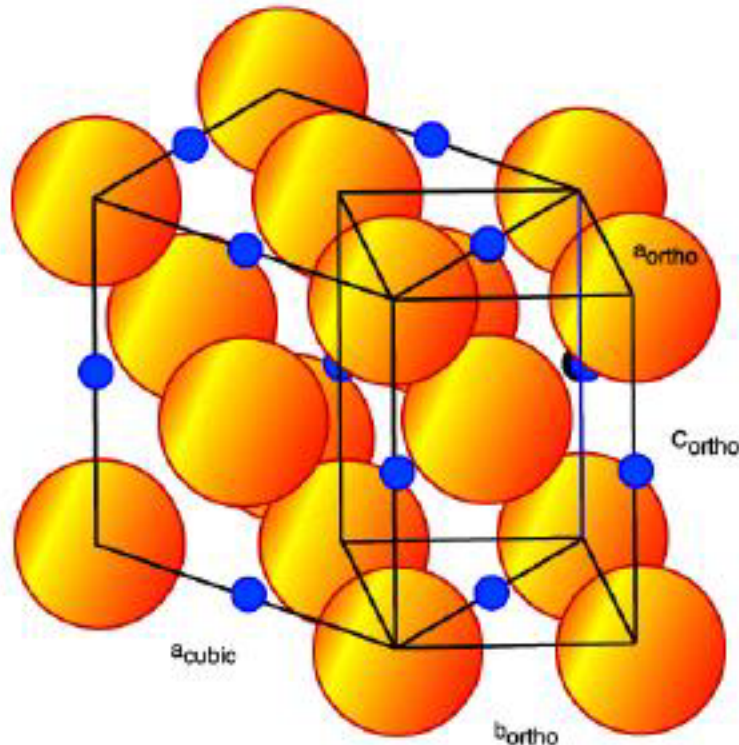
Kramers-Kronig



The Optical Conductivity of K_3C_{60}

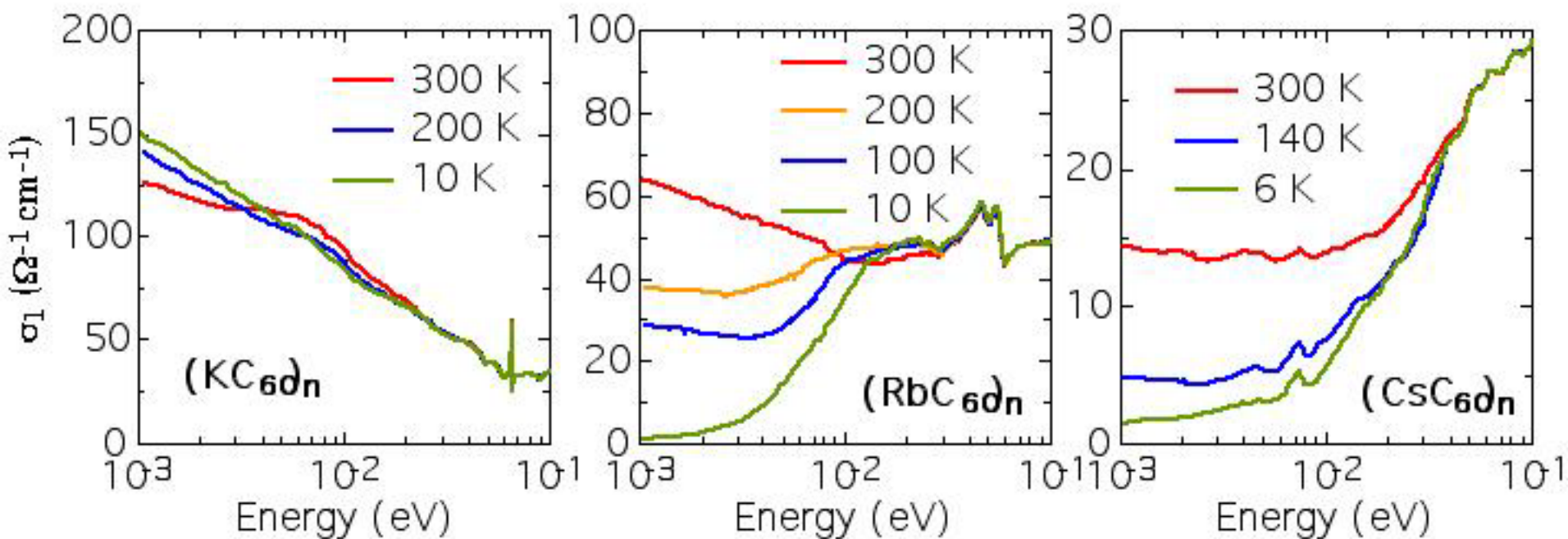


$(AC_{60})_n$: Quasi One-Dimensional Fullerene



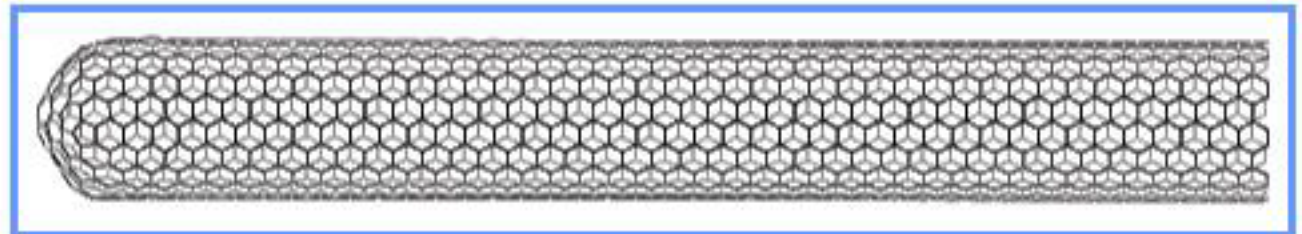
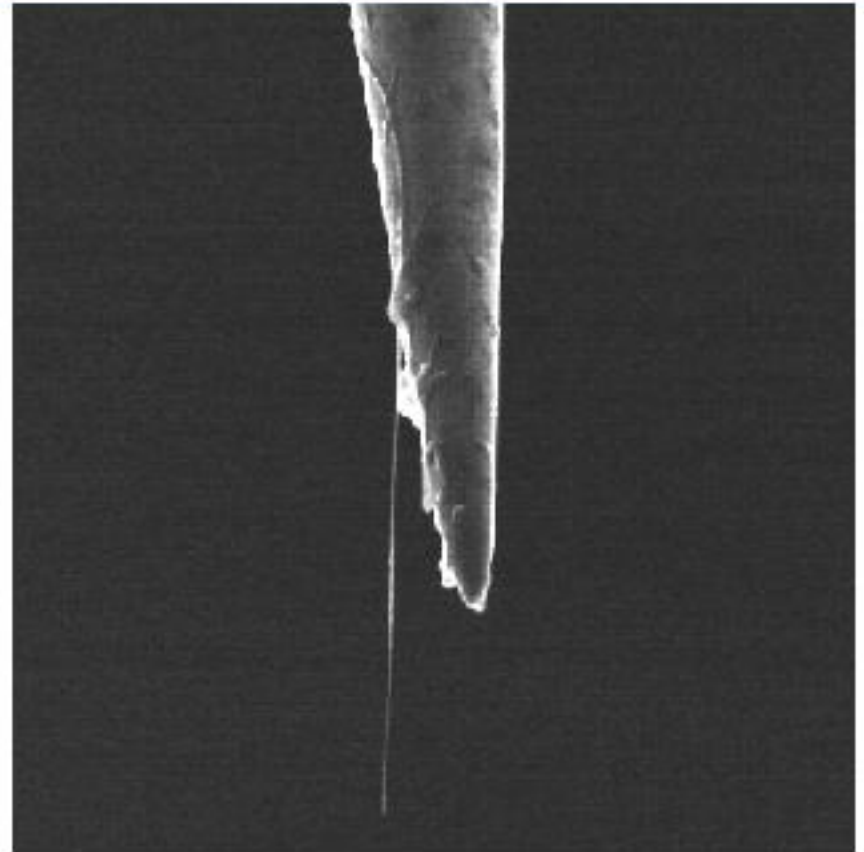
- ◆ $(AC_{60})_n$ undergo a structural phase transition at about 400 K.
- ◆ By slowing cooling from 500 K to ambient temperatures the fcc structure disappears and an orthorhombic lattice structure appears.
- ◆ The structural phase transition is induced by the formation of linear C_{60} polymers.
- ➔ This suggests a quasi-one-dimensional electronic structure.
- ➔ The Rb and Cs polymers display a metal-insulator phase transition at about 50 K. (SDW?)

The Optical Response of $(AC_{60})_n$

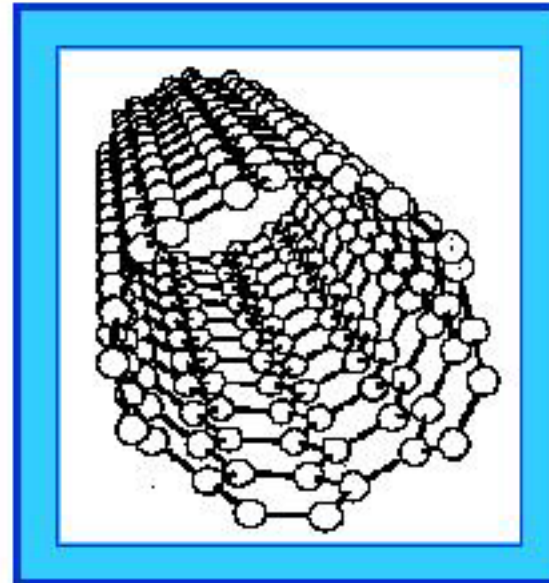
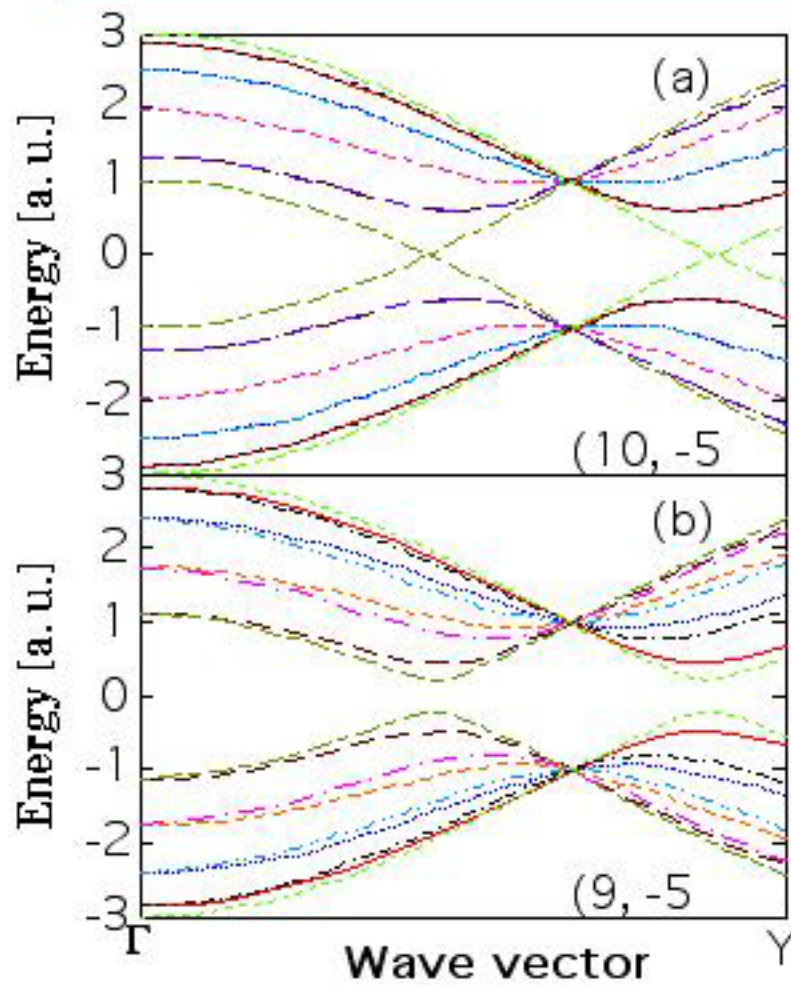


Carbon Nanotubes

- ◆ **One dimensional quantum wire**
- ◆ **“Have to be good for something”:**
 - u **Wires for nanosized electronic devices**
 - u **Charge-storage devices in batteries**
 - u **Tiny electron guns for flat-screen televisions**
 - u **Nanosize tweezers.....**

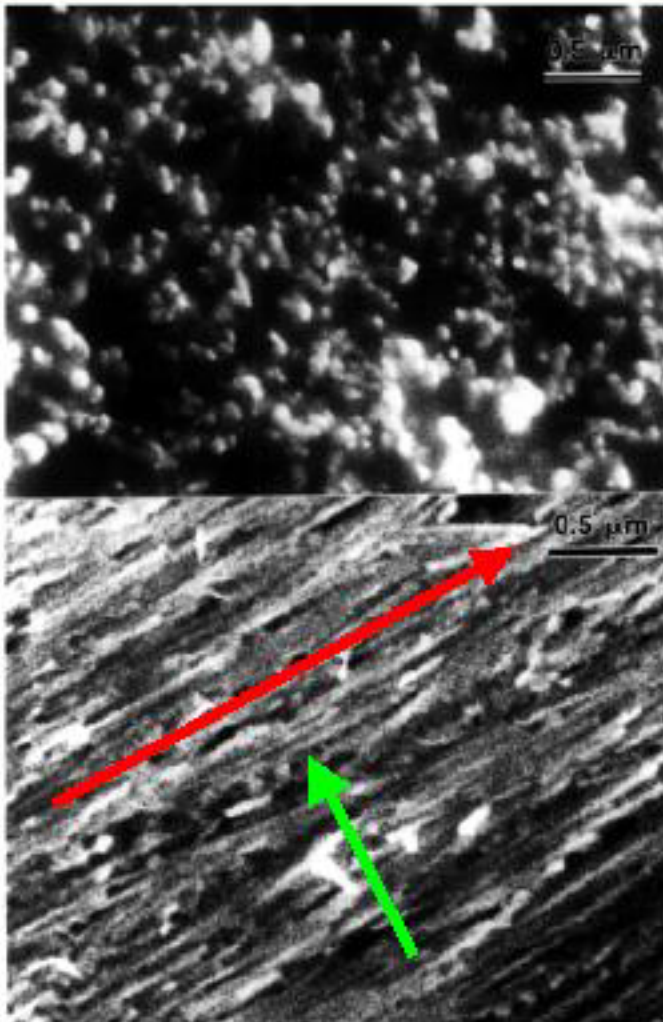


Electronic Band Structure of Carbon Nanotubes



(n,n) SWNTs (armchair) are metallic, whereas $(n,0)$ (zigzag) and (n,m) (chiral) are semiconducting. (n,n) SWNTs develop pseudogap caused by intertube coupling.

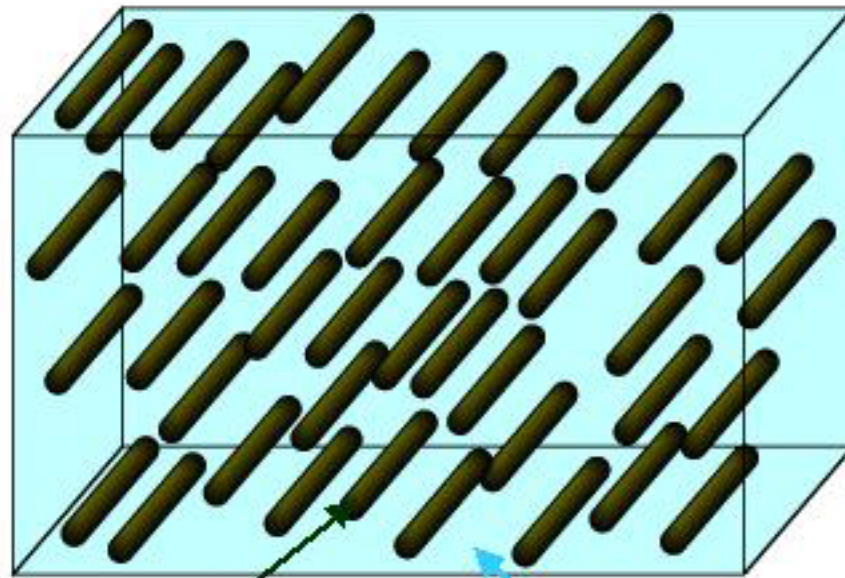
Multi-Walled Carbon Nanotubes



- ◆ The tubes are deposited on a teflon surface and preferentially oriented perpendicular to the surface.
- ◆ When the surface is slightly rubbed with a thin teflon sheet or aluminum foil, the surface becomes silvery.
- ◆ Scanning electron microscopy shows that the surface is densely covered with nanotubes, which are oriented.
- ◆ The nanotubes are 1 to 5 μm long and 10 ± 5 nm in diameter.

➔ Optics should reveal the intrinsic anisotropy in the electrodynamic response of the nanotubes.

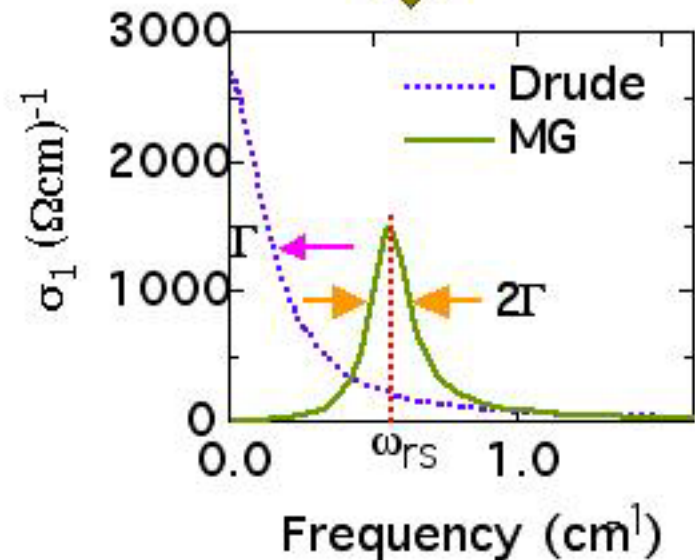
Effective Medium for Carbon Nanotubes



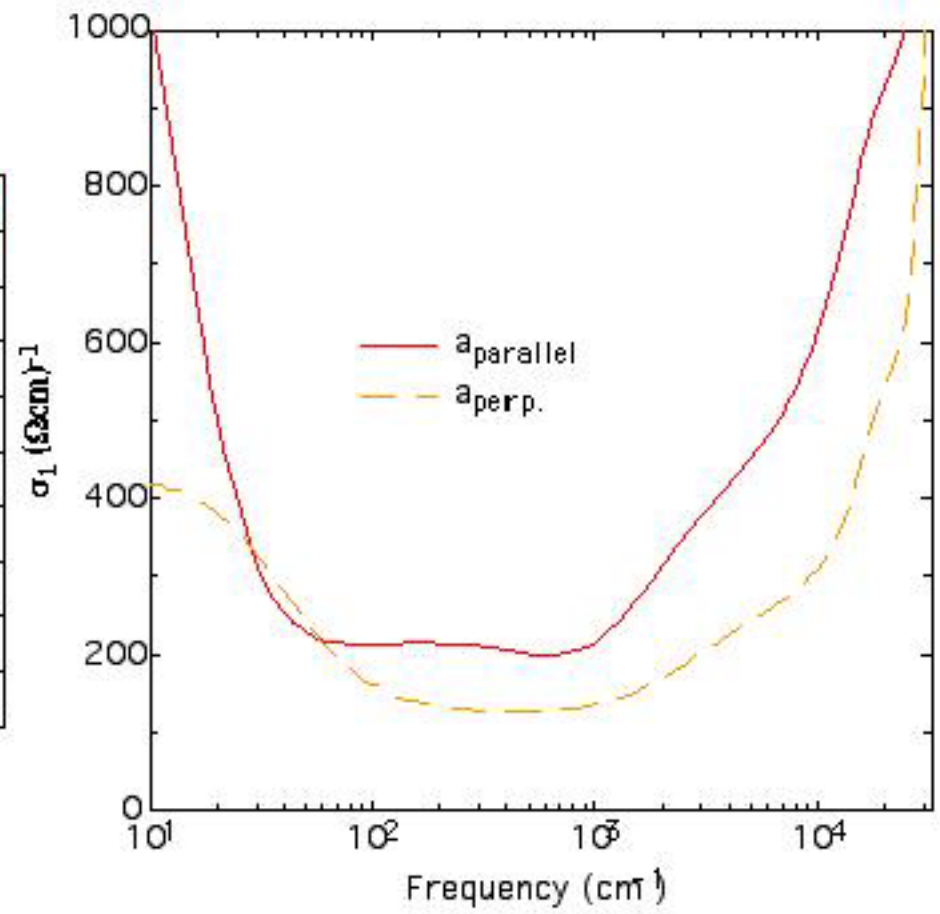
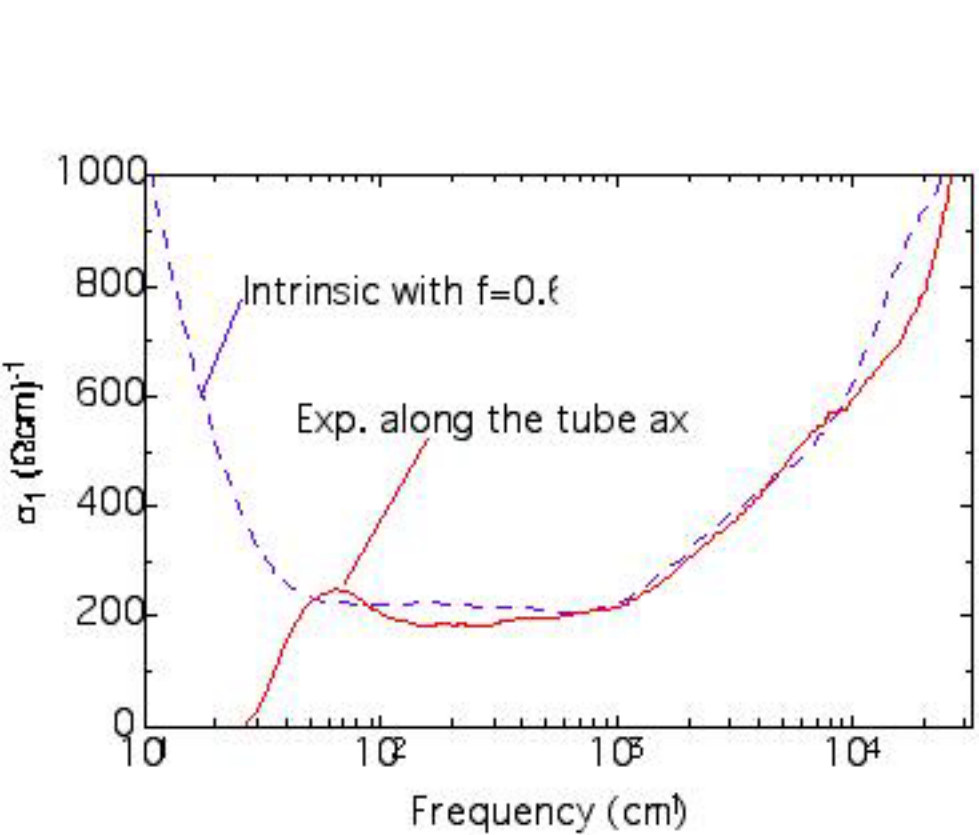
Carbon Nanotube

Dielectric Medium

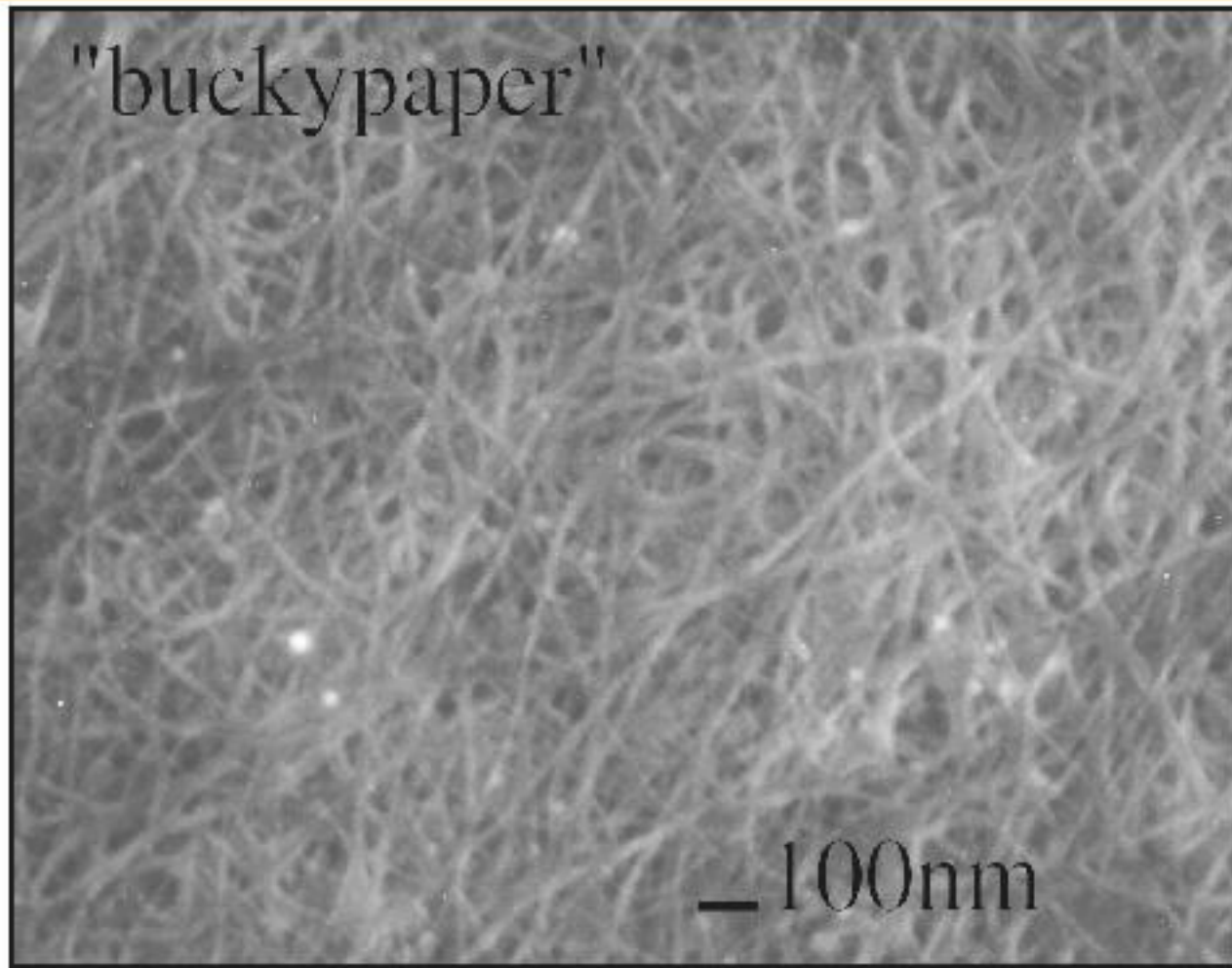
$$\epsilon_{eff} = \epsilon_i \frac{(N + f(1-N))\epsilon_m + (1-N)(1-f)\epsilon_i}{N(1-f)\epsilon_m + (fN + 1-N)\epsilon_i}$$



Anisotropic Optical Conductivity (Effective Medium Maxwell-Garnett Theory)

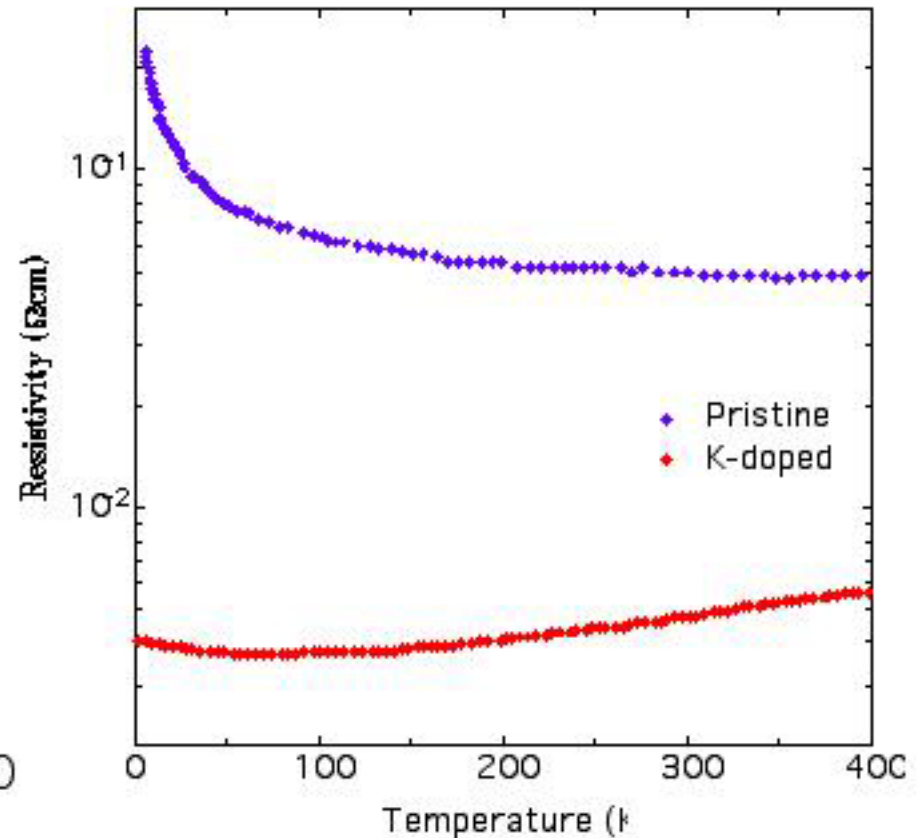
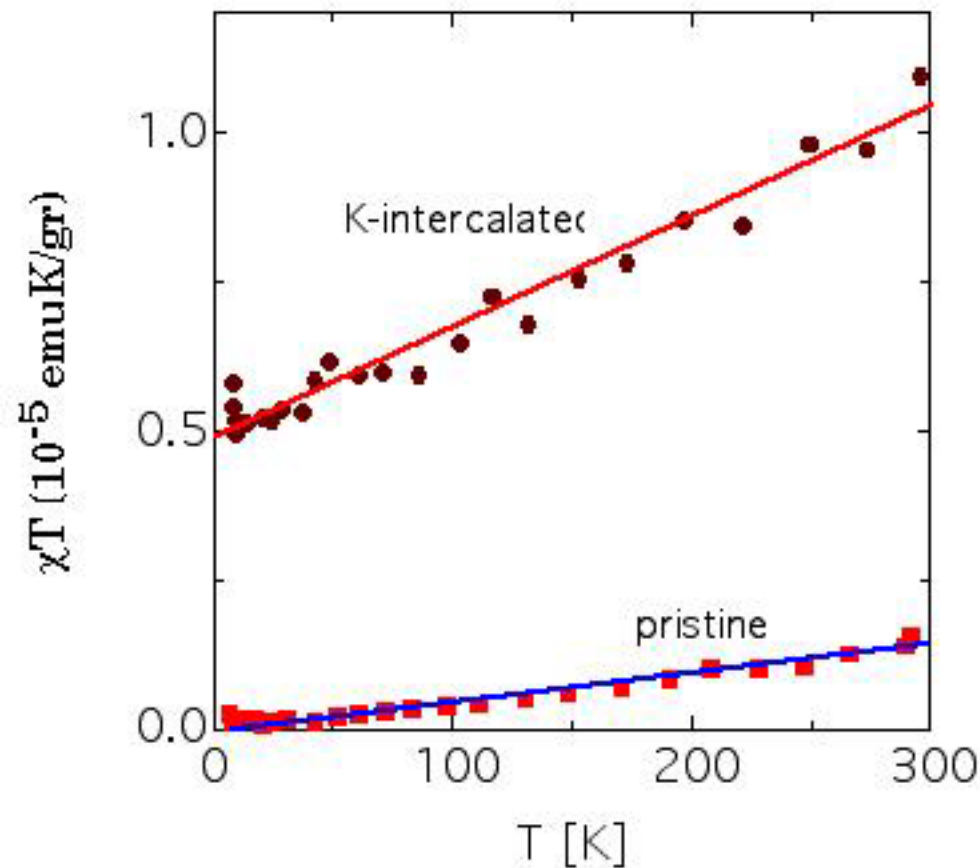


Single-Walled Carbon Nanotubes: Bucky-Paper



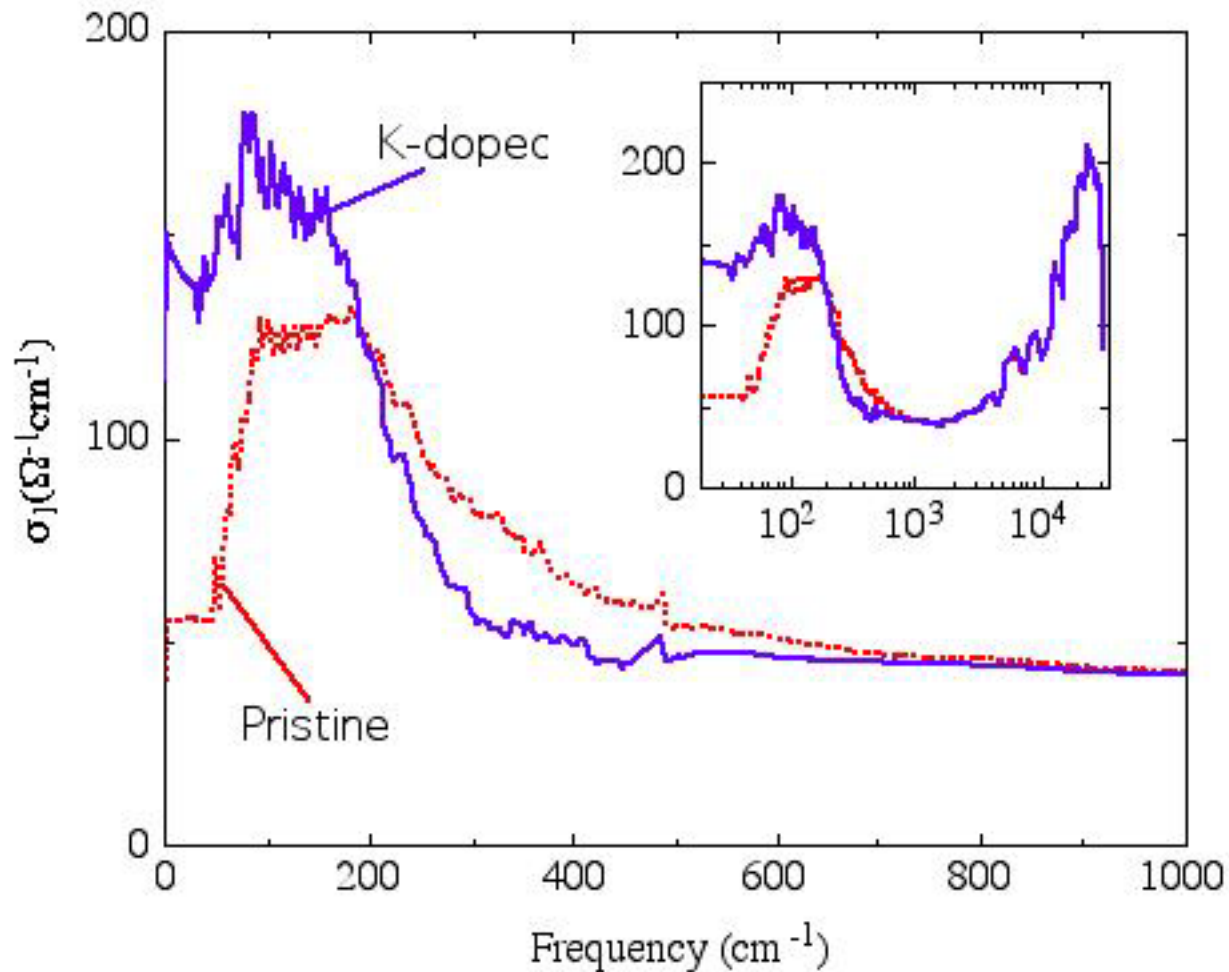
Ruzicka et al., Phys. Rev. B61, R2468 (2000)

Carbon Nanotubes: Pristine vs. Alkali Doping

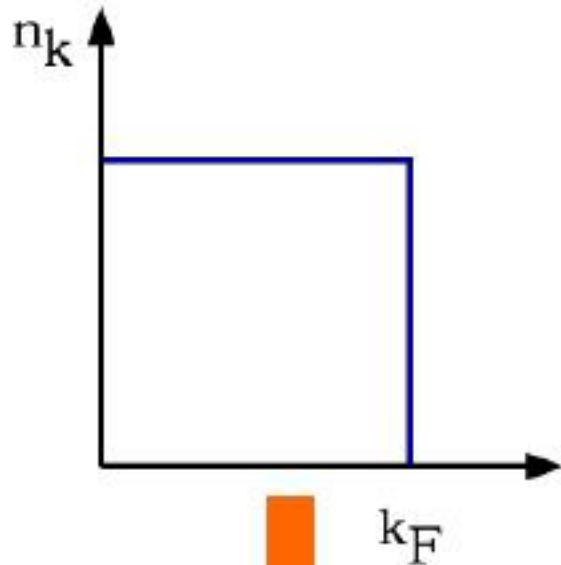


Chauvet et al., Phys. Rev. B53, 13996 (1996)
Ruzicka et al., Phys. Rev. B61, R2468 (2000)

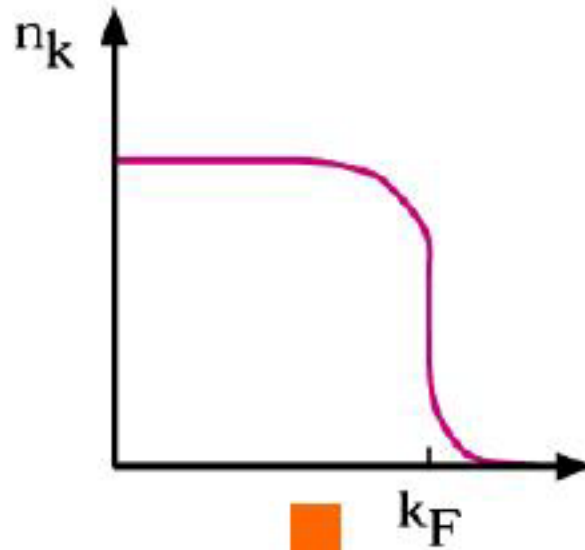
Optical Conductivity of SWNT (Bucky-Paper)



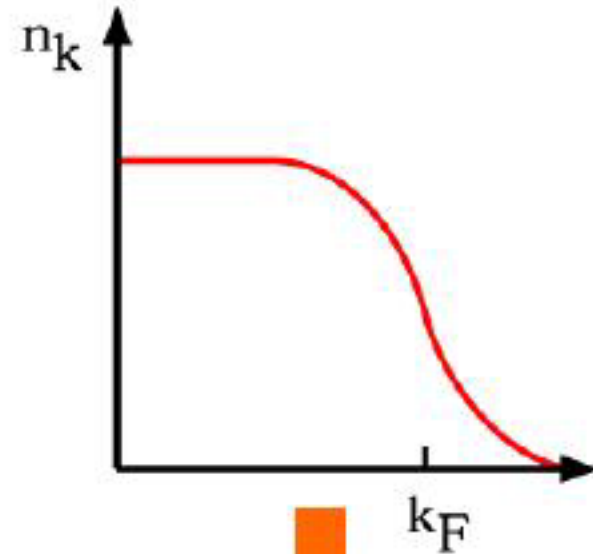
Fermi versus Tomonaga Luttinger Liquid



non-interacting electron gas

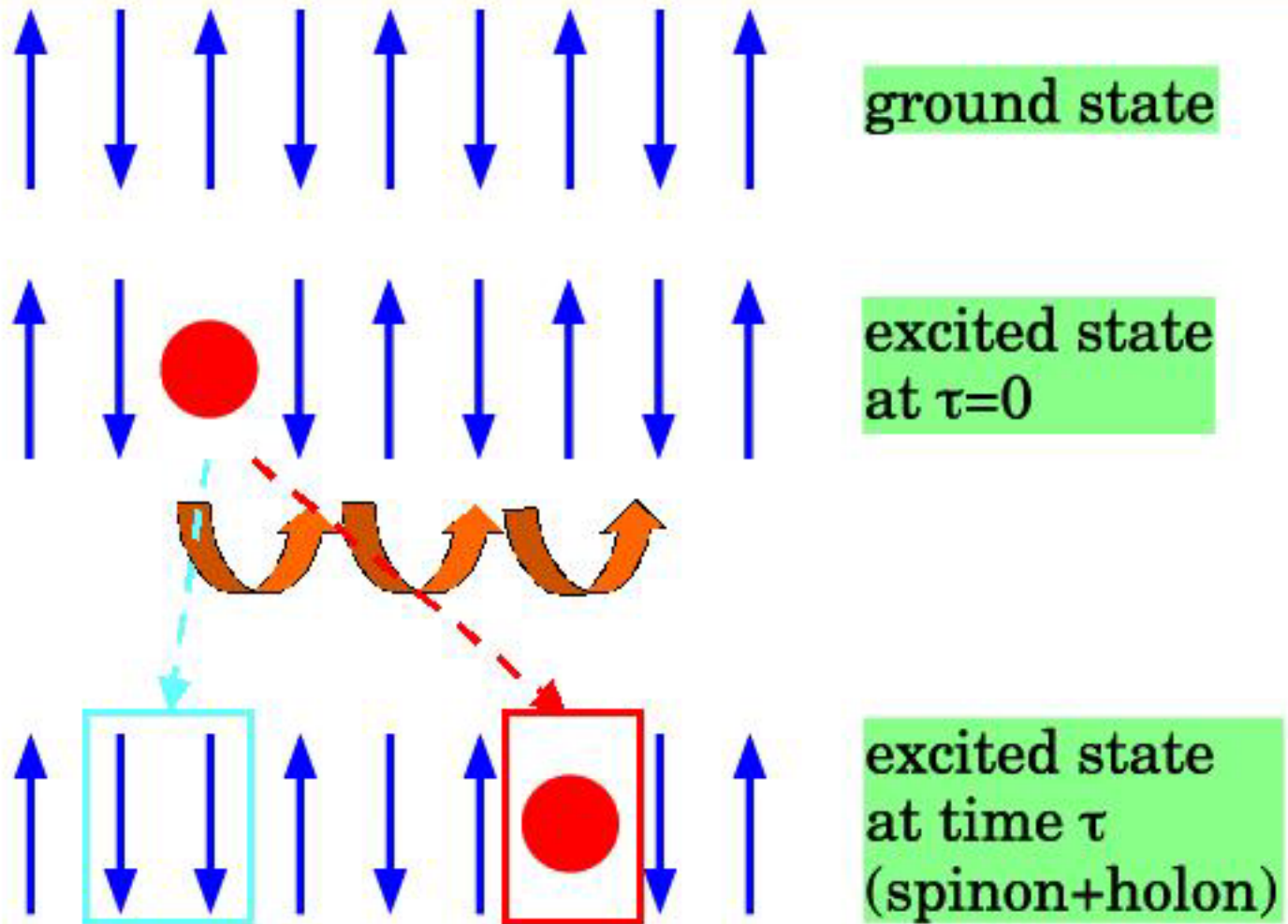


interacting electron gas

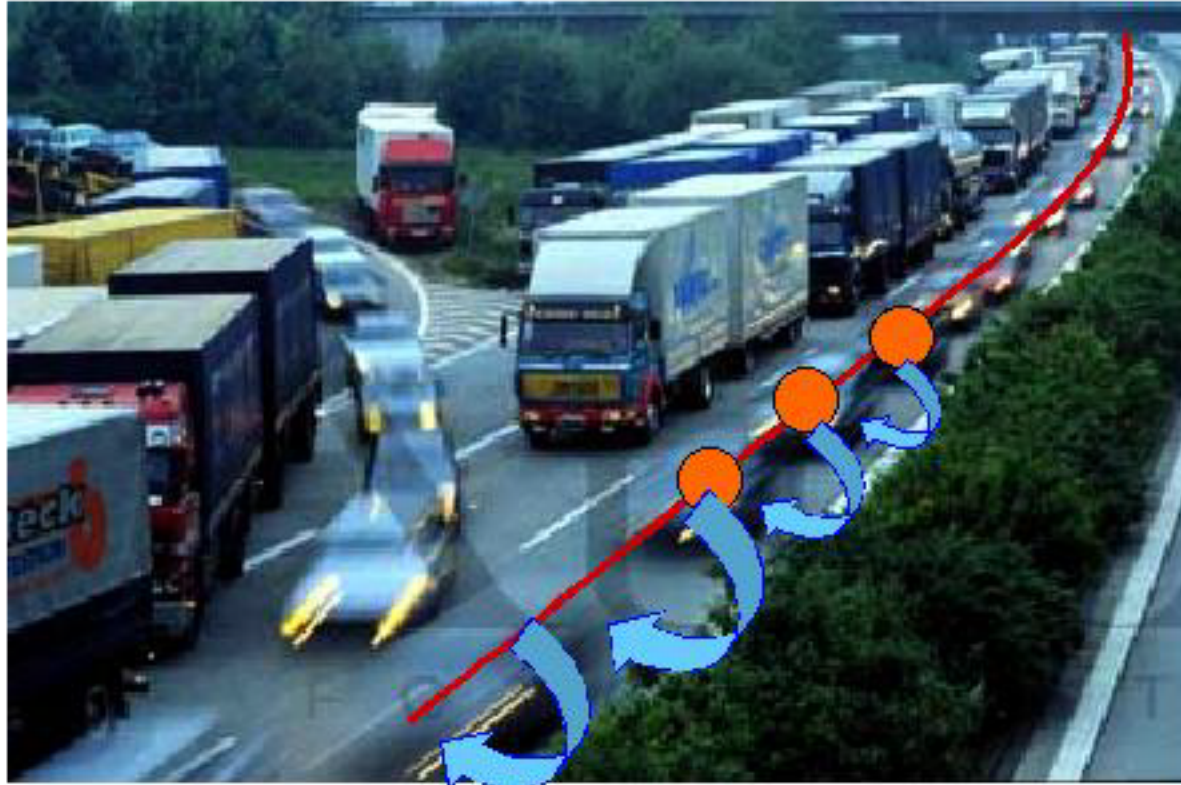


marginal (Luttinger) liquid

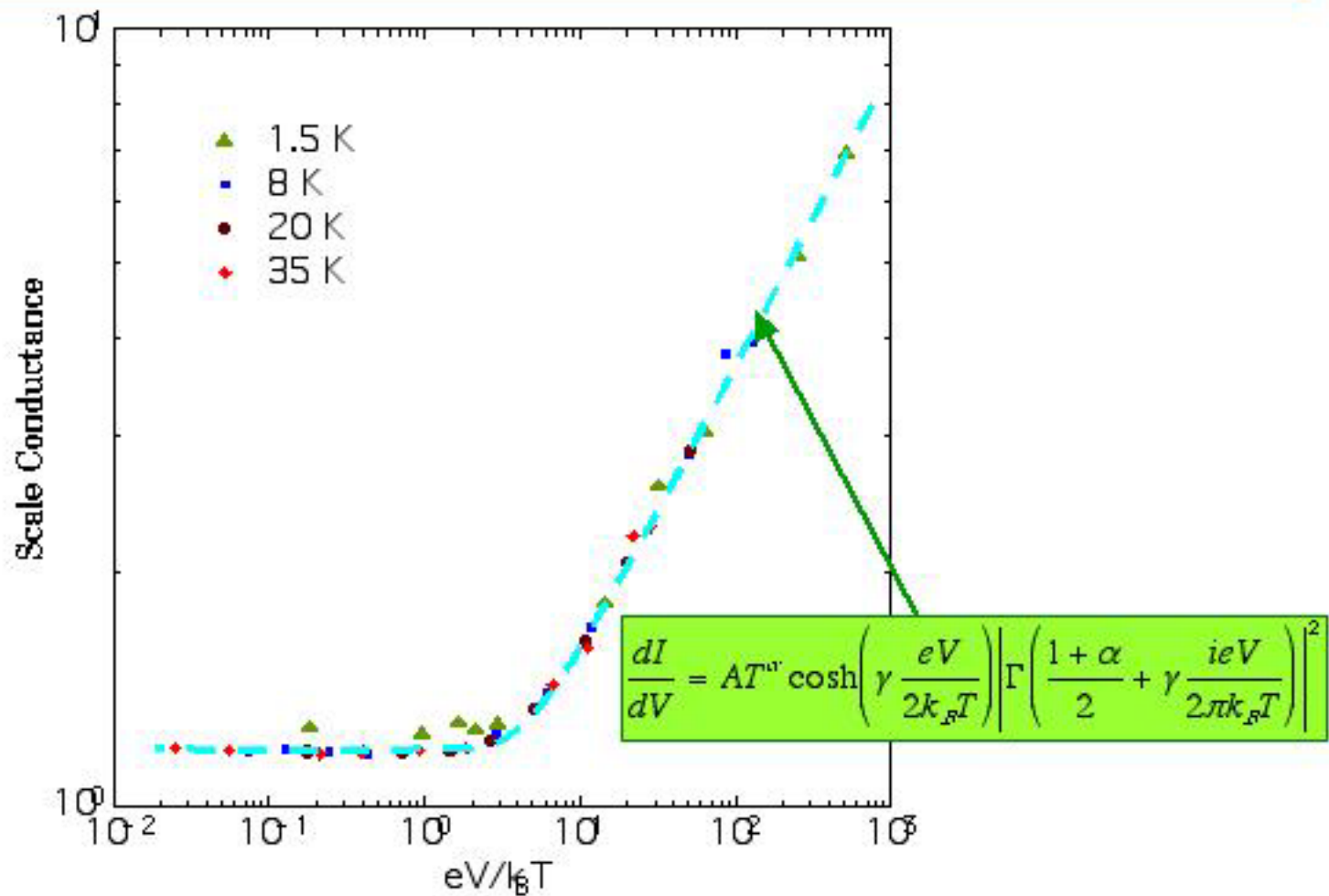
Spin-Charge Separation



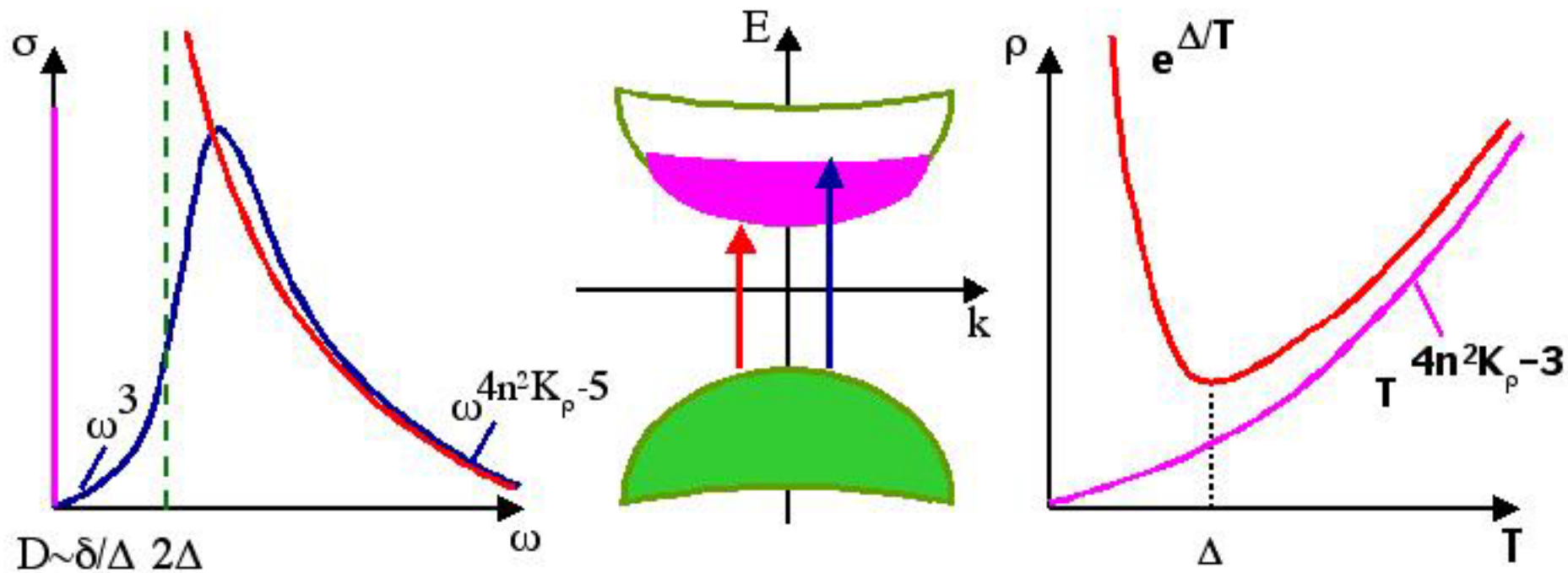
Electrons in One Dimension: Like Cars in Traffic Jam



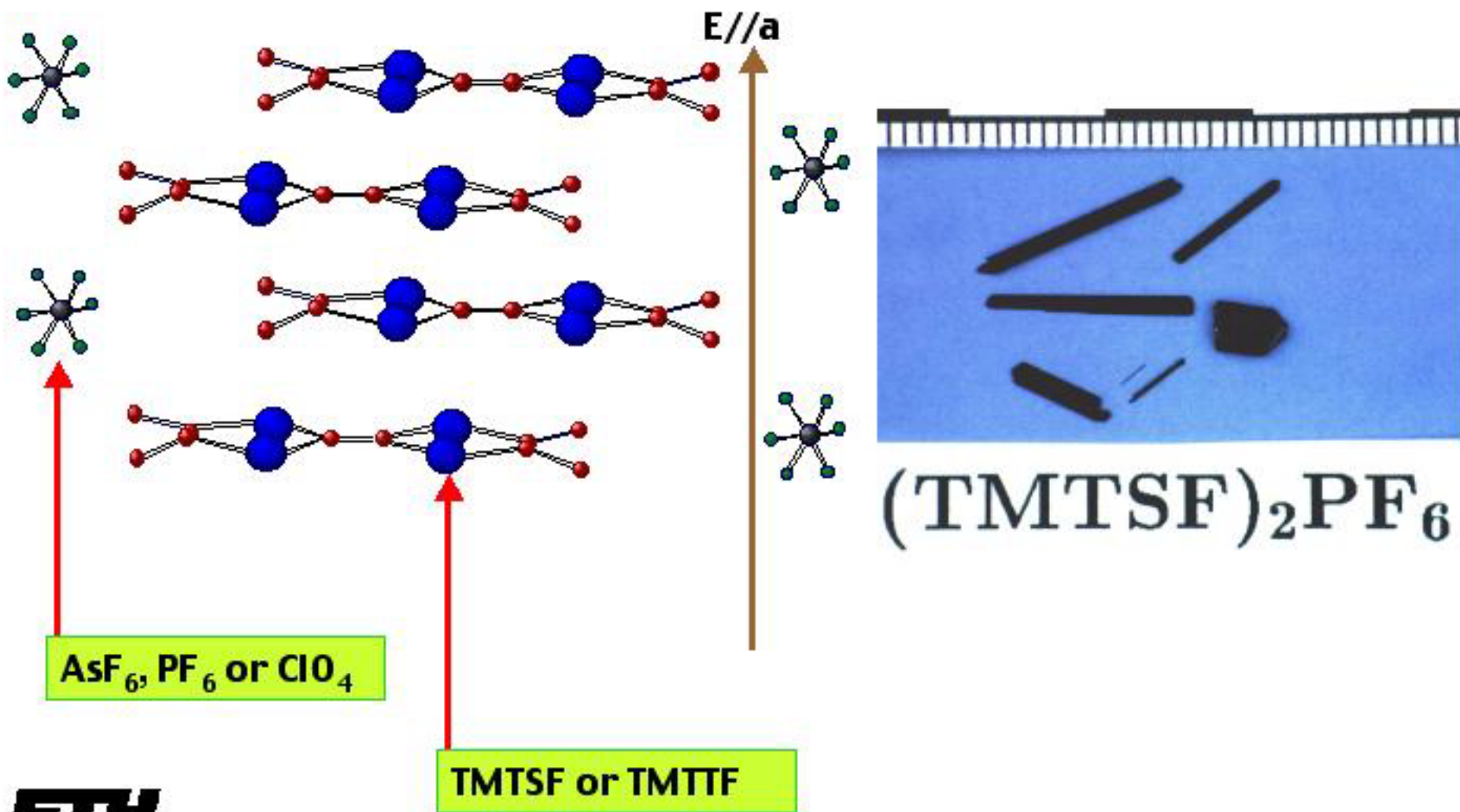
Luttinger Liquid Behavior in Carbon Nanotubes



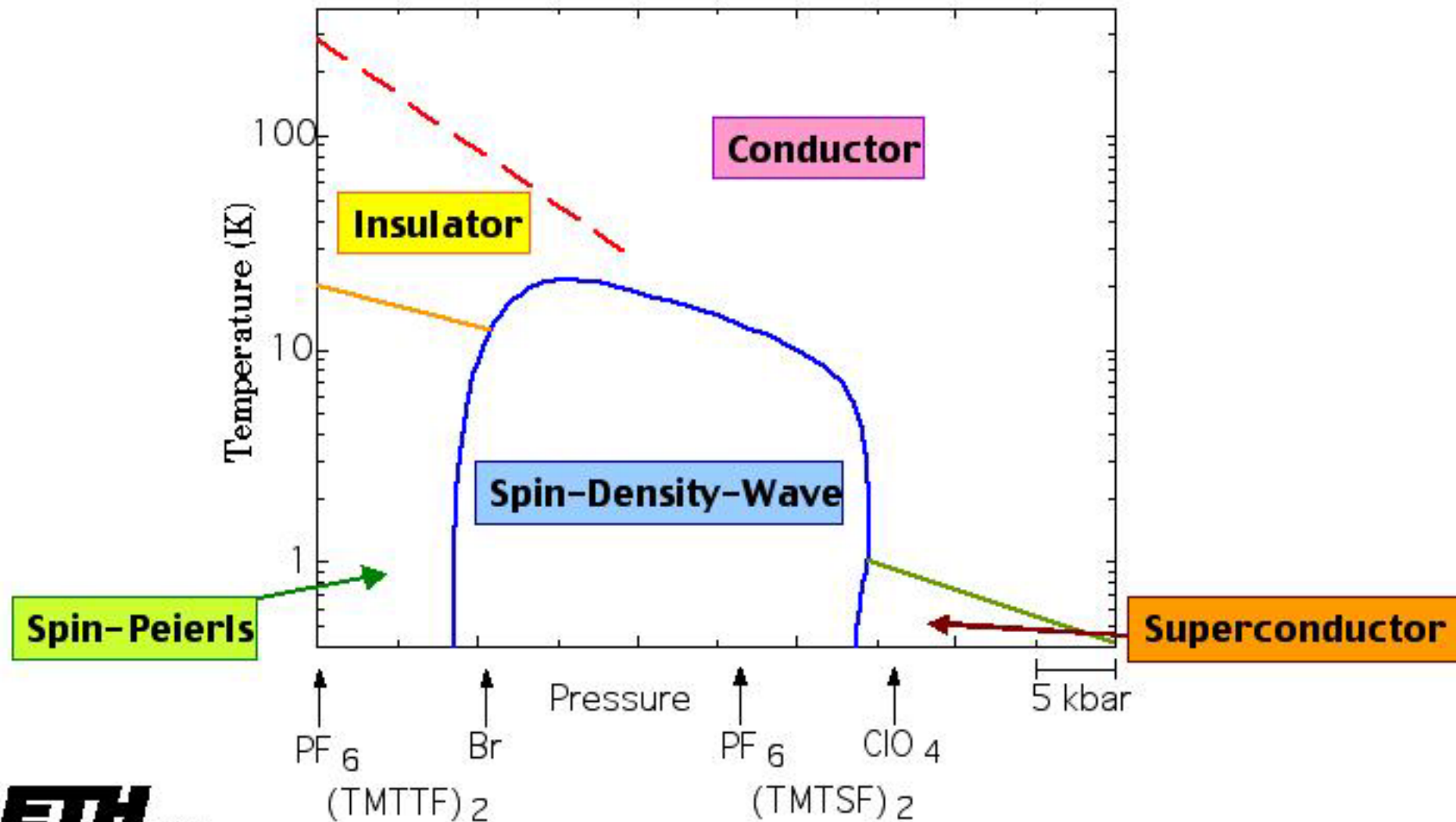
Dynamics and Transport for the Mott-Hubbard Model in One-Dimension



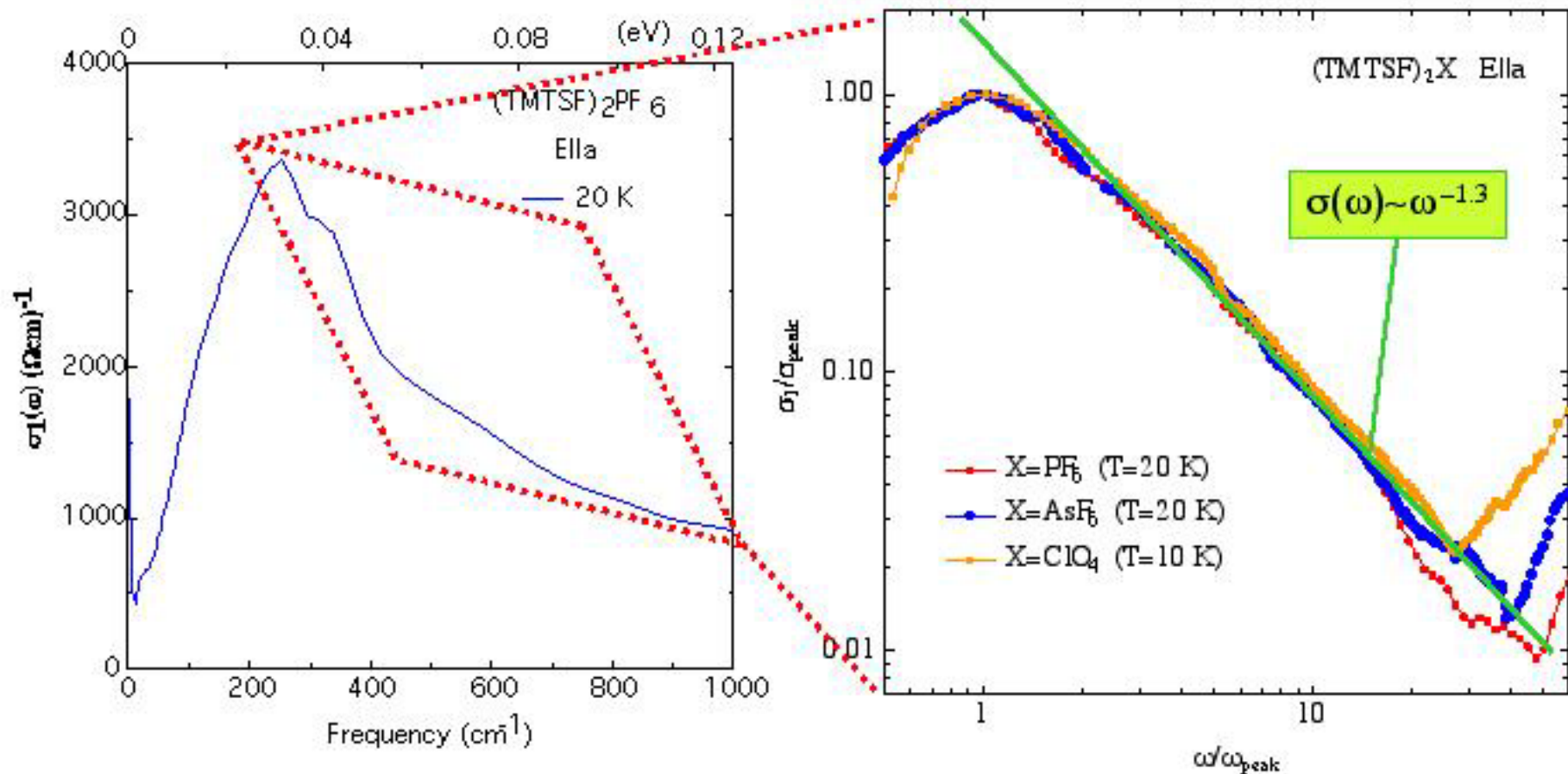
Crystal Structure of the Bechgaard Salts



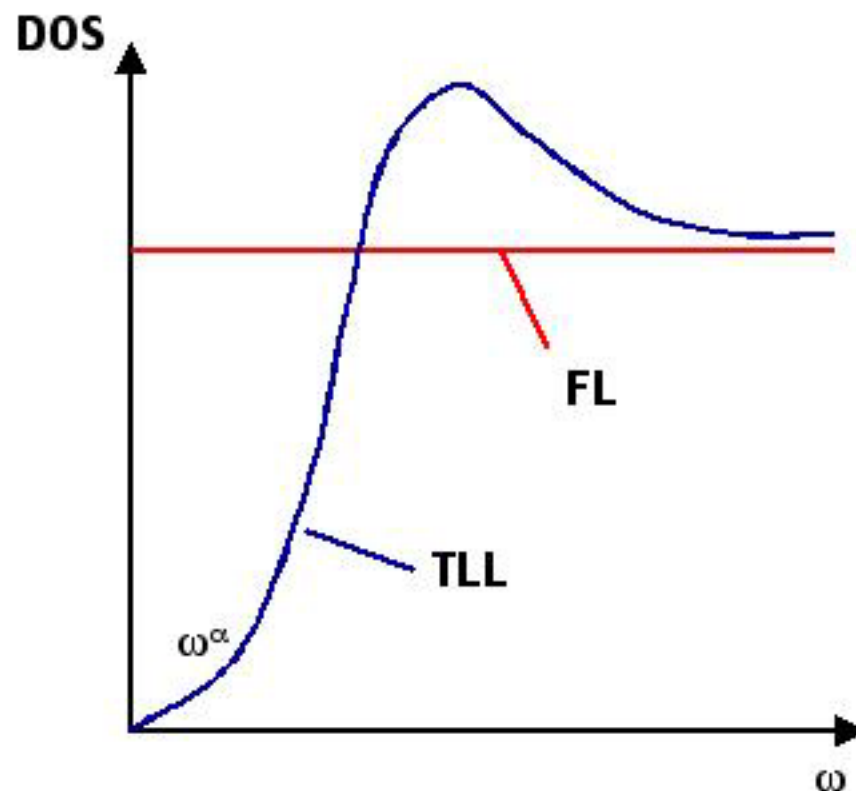
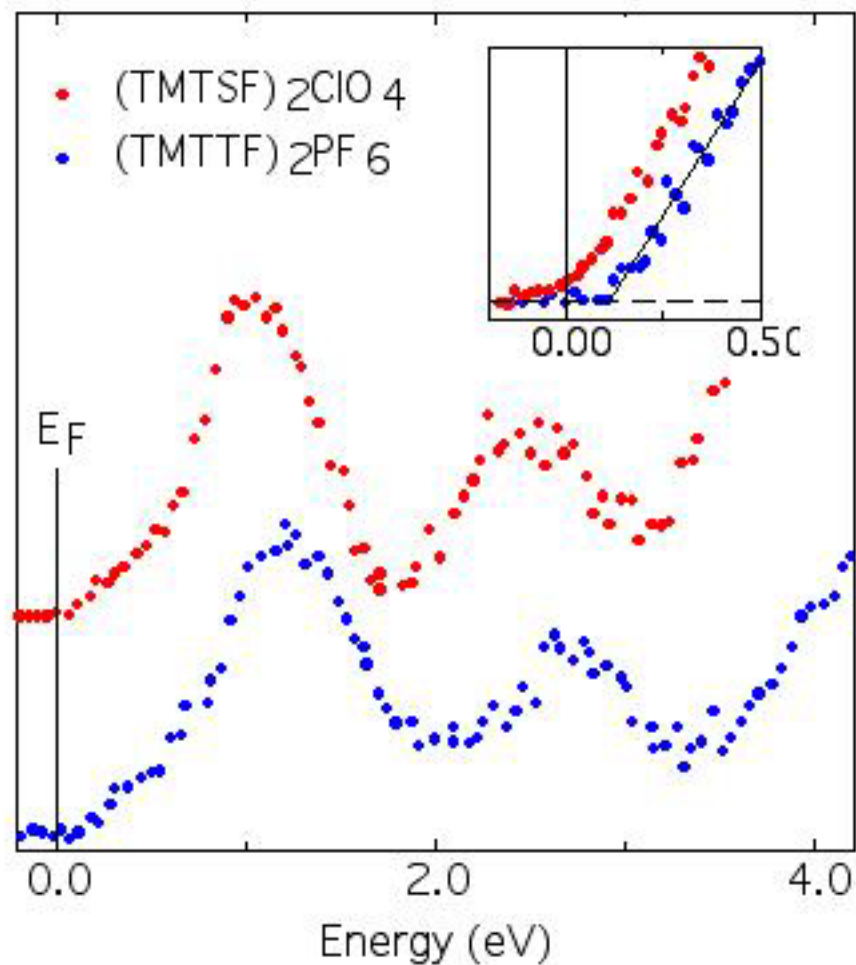
Phase Diagram of the Bechgaard Salts



Power Law Frequency Dependence in the Optical Conductivity of TMTSF Salts



Photoemission Spectra of Bechgaard Salts

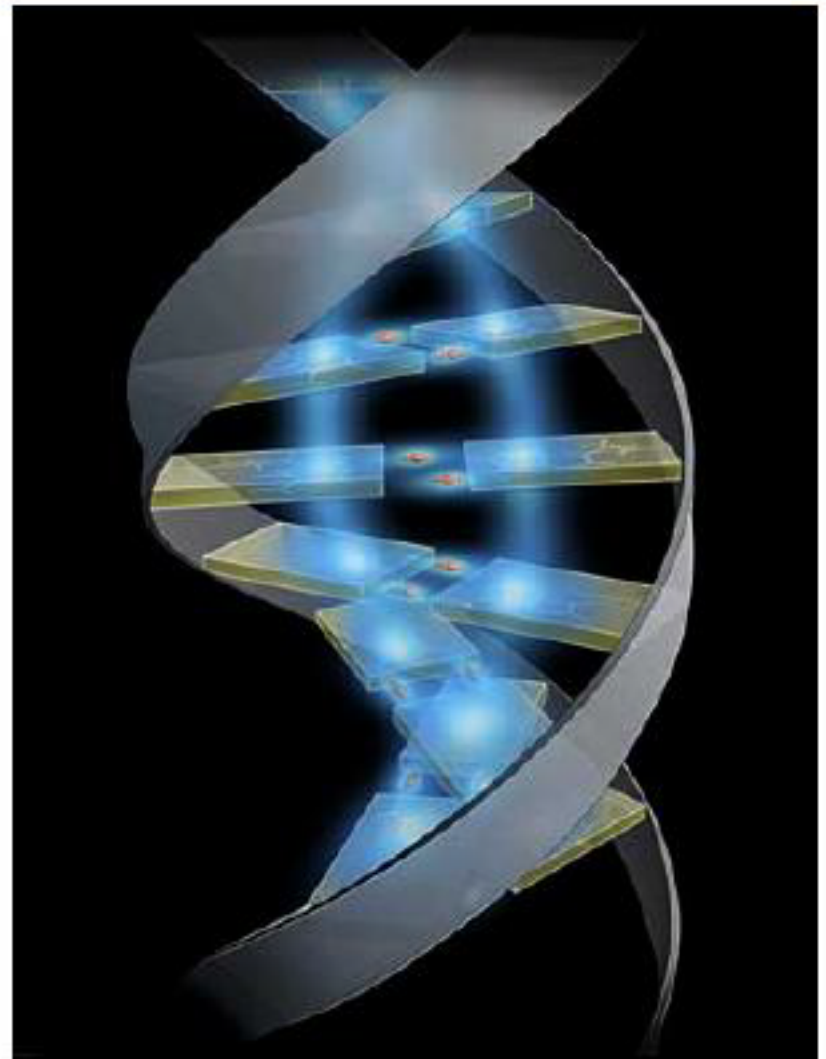




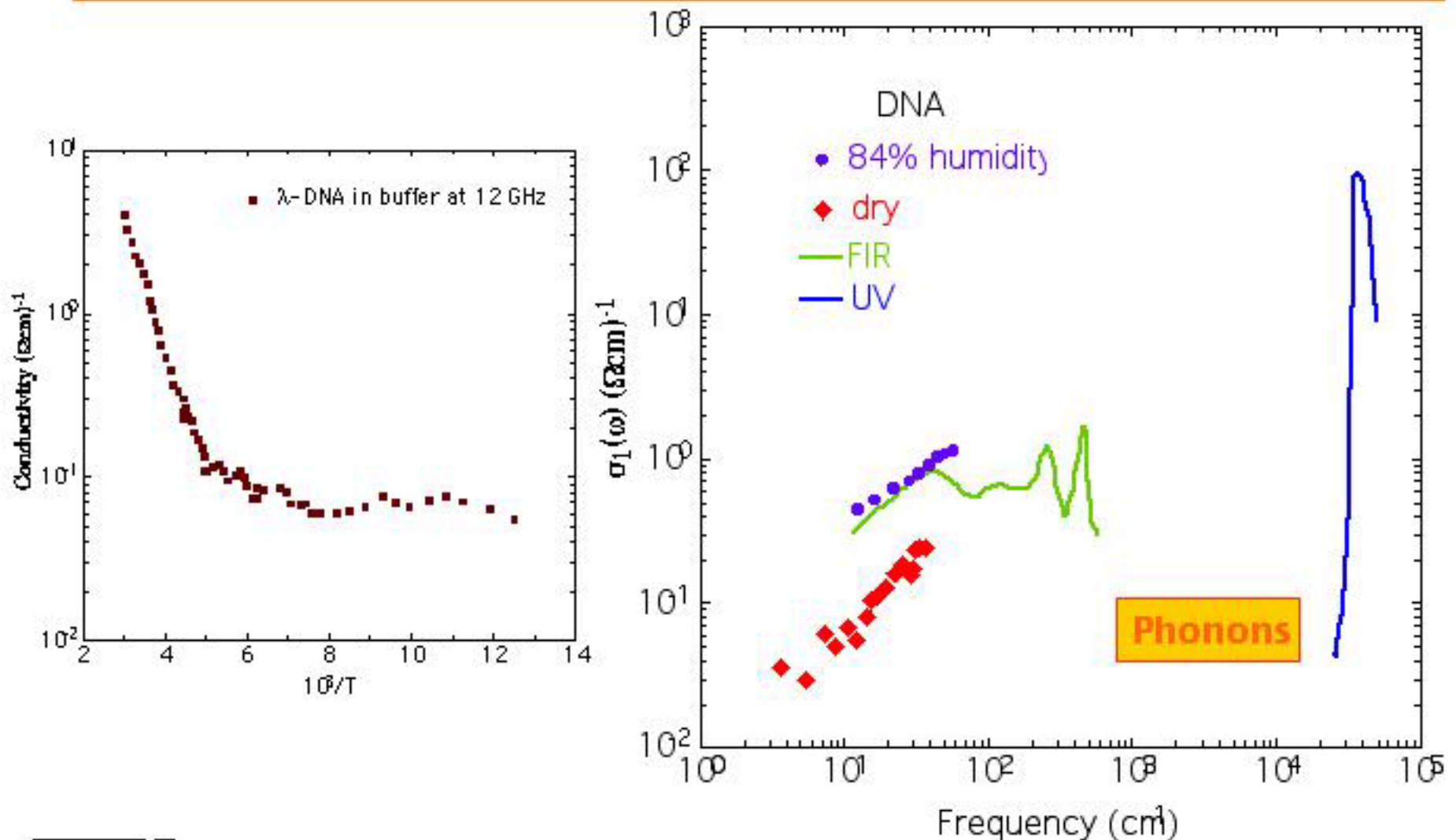
What is next?

DNA: a New Challenging One-Dimensional System

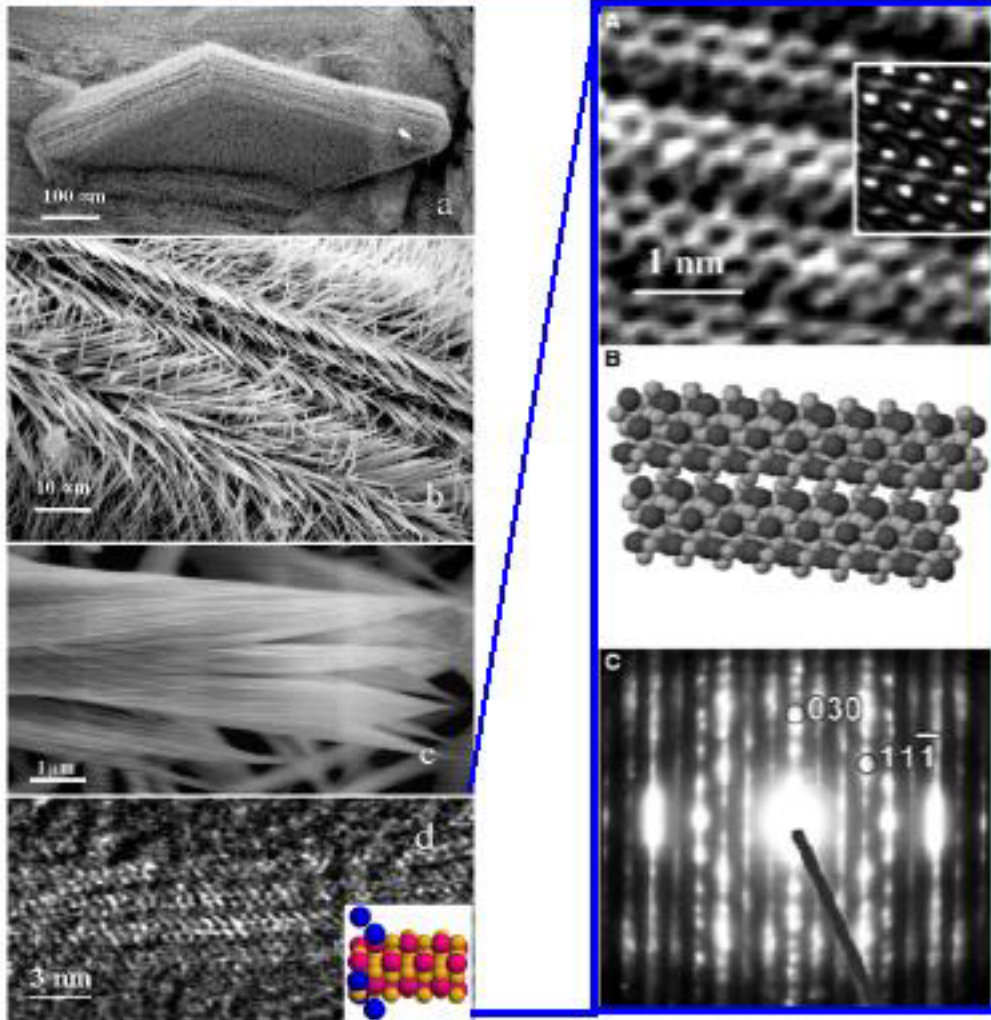
- ◆ DNA can be viewed as one-dimensional molecular wire and as building blocks of electronic circuits.
- ◆ Electron transfer involving DNA is thought to be important in radiation damage and repair, in biosynthesis as well as in our understanding of carcinogenesis and mutagenesis.
- ◆ The question whether DNA is able to transport electrons is attracting a lot of interest.
- ◆ dc-transport results are so far puzzling, ranging from insulating to conducting, even with proximity-induced superconductivity.
- ➔ Optics will be relevant as contact-less technique.



Optics in DNA: a Wide Band-Gap Insulator



Single-Walled MoS₂ Nanotubes



- ◆ Sub-nanometer diameter (<1 nm).
- ◆ The tubes group into bundles of identical molecules, varying only in length.
- ◆ The structure corresponds to a (3,3) armchair nanotube with the [100] basal direction parallel to the tube axis.
- ◆ They offer a promising chalcogenide-based alternative to carbon nanotube technology.

Conclusions

- ◆ **Characteristic energy scales**
 - ▮ **Superconducting and spin-density-wave gap**
 - ▮ **Scattering rate and plasma frequency of charge carrier**
- ◆ **Intrinsic parameters about the strength of interactions**
 - ▮ **Electron-electron and/or electron-phonon interaction**
- ◆ **Electronic interband transitions and phonon modes spectrum**
- ➔ **Tuning of different (correlated) states by external parameters (magnetic field and pressure)**
- ➔ **Dimensionality crossover (one- versus two-dimensional systems)**

Acknowledgements



Frank



Barbara

Thank You
for Your Attention

L. Forro (EPF, Lausanne)
D. Mihailovic (J. Stefan Inst., Ljubljana)



Jürg



Hans-Peter