

# **Nanostructured formation of crystalline SiC obtained by acetylene interaction with silicon (111) and (100) surfaces**

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## **Introduction**

**Silicon carbide is one of the most interesting material for many electronic, optoelectronic and thermophotovoltaic applications.**

**The thermal, mechanical and chemical stability makes 3c-SiC a promising wide gap semiconductor for electronic working at high temperature and in corrosive atmosphere.**

**However great efforts should be devoted to produce high quality silicon carbide samples without carbon segregation or microvoids formation.**

**The adsorption of acetylene on silicon surfaces received great attention as a promising carbon source for epitaxial growth of 3c-SiC, because the processing temperatures can be reduced below 1000 °C.**

-The main obstacle to the realization of this program with carbon (Diamond  $E_g=5.5$  eV) is the extreme low solubility of C in silicon (only few percent %) induced by the large lattice mismatch (52%).

-The goal is to fabricate thin pseudomorphic  $\text{Si}_{(1-x)}\text{C}_{(x)}$  on Silicon with enhanced carbon concentration without formation of SiC precipitates.

-To describe how hydrocarbon molecules (acetylene,  $\text{C}_2\text{H}_2$ ) chemisorb on Si, dissociate and penetrate into subsurface substitutional sites.

- UHV Techniques: Auger, EELS, XPS, UPS, XPD, LEED, KED  
ex-situ: SEM, IR Luminescence

## **Experimental**

- Samples prepared in a UHV system :  
 $p=2\times10^{-10}$  Torr
- Si substrate reconstruction obtained by resistive heating
- C<sub>2</sub>H<sub>2</sub> pressure during growth  $p=1-2 \times 10^{-5}$  Torr
- Time of exposure 30 minutes
- Exposure performed for different substrate temperature

## **LEED patterns:**

- To check the quality of silicon substrate reconstruction
- To study the morphology and structural change after C<sub>2</sub>H<sub>2</sub> exposure

## **Auger spectra:**

- To check the atomic purity of substrates and grown layers
- To follow the evolution of the electronic properties around the carbon atoms

**Auger Carbon CVV lineshape studies**  
**Electron Energy Loss Spectroscopy (EELS)**  
**Electron Energy Loss Fine Structure (EELFS)**

- to monitor the local structure

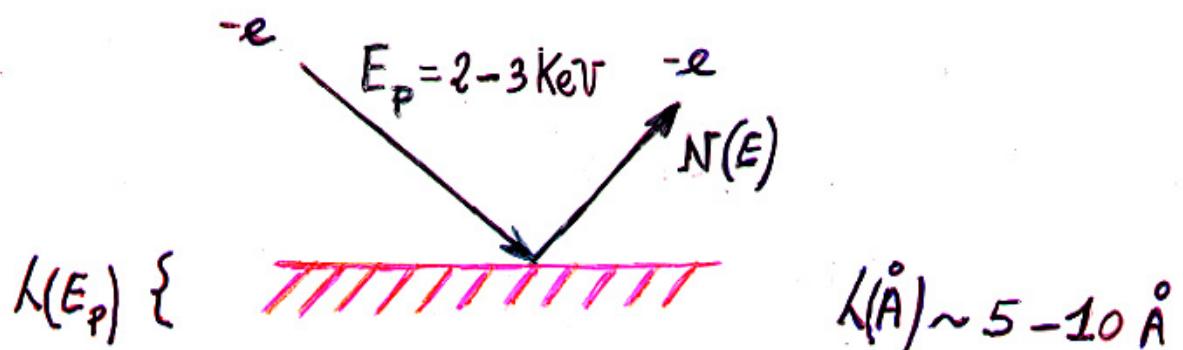
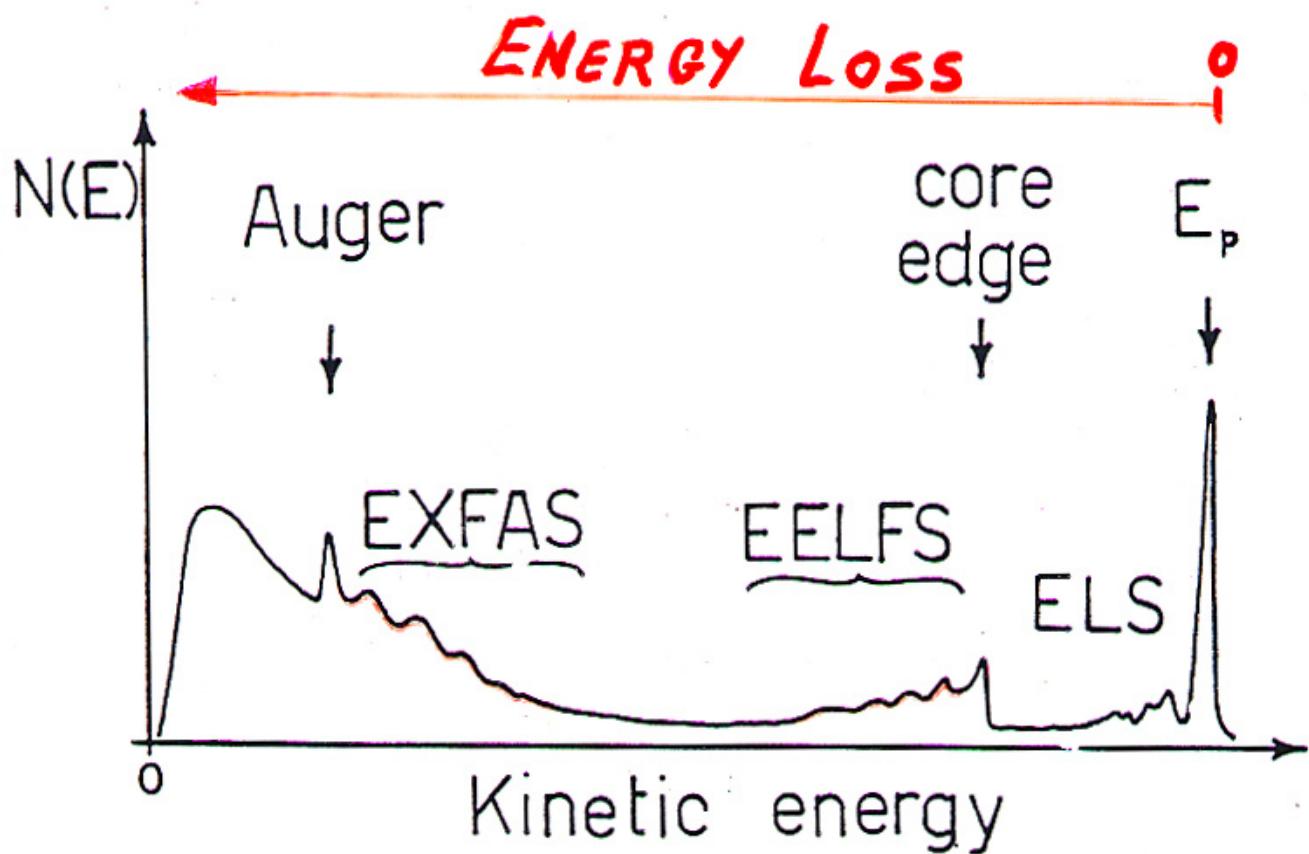
**Luminescence spectra :**

- To assess any change in the electronic properties of the semiconductor system because the technique is sensitive to the formation of new radiative channels

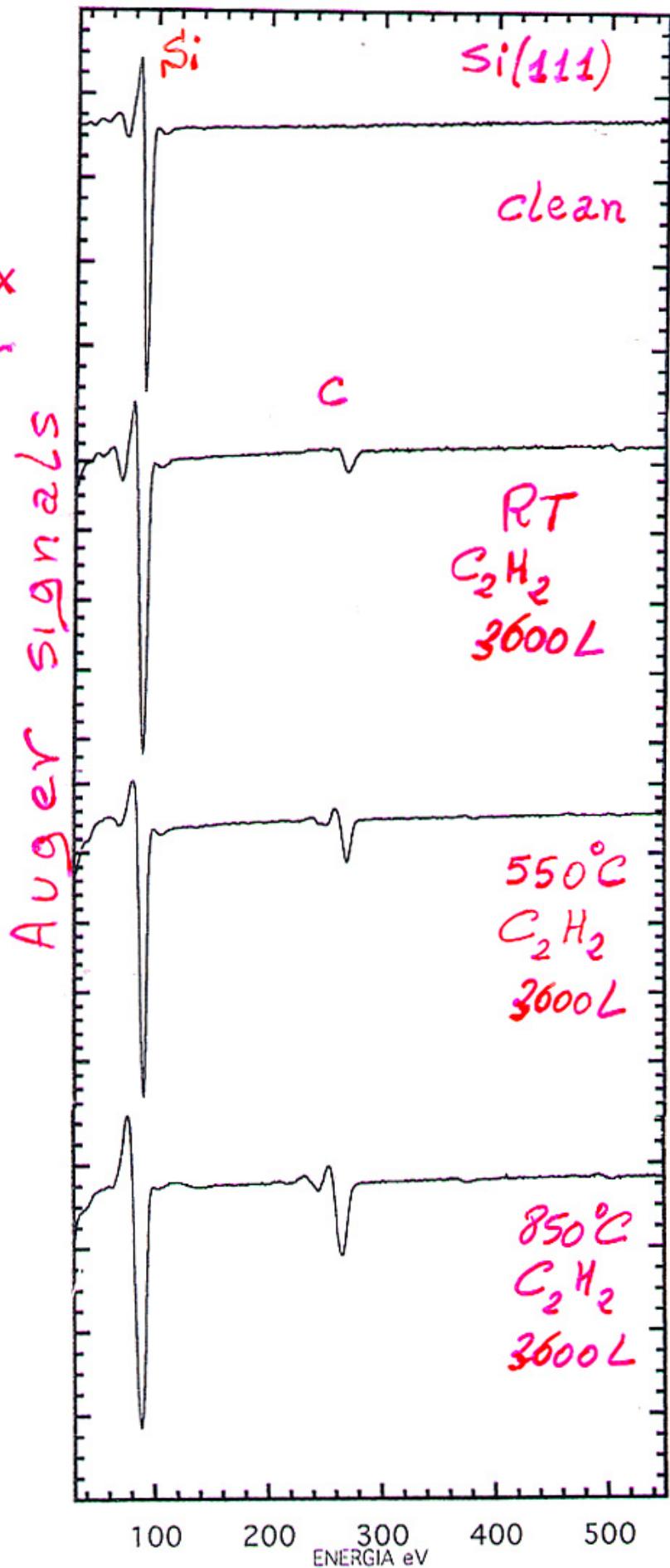
**Scanning Tunneling Microscopy (STM)**

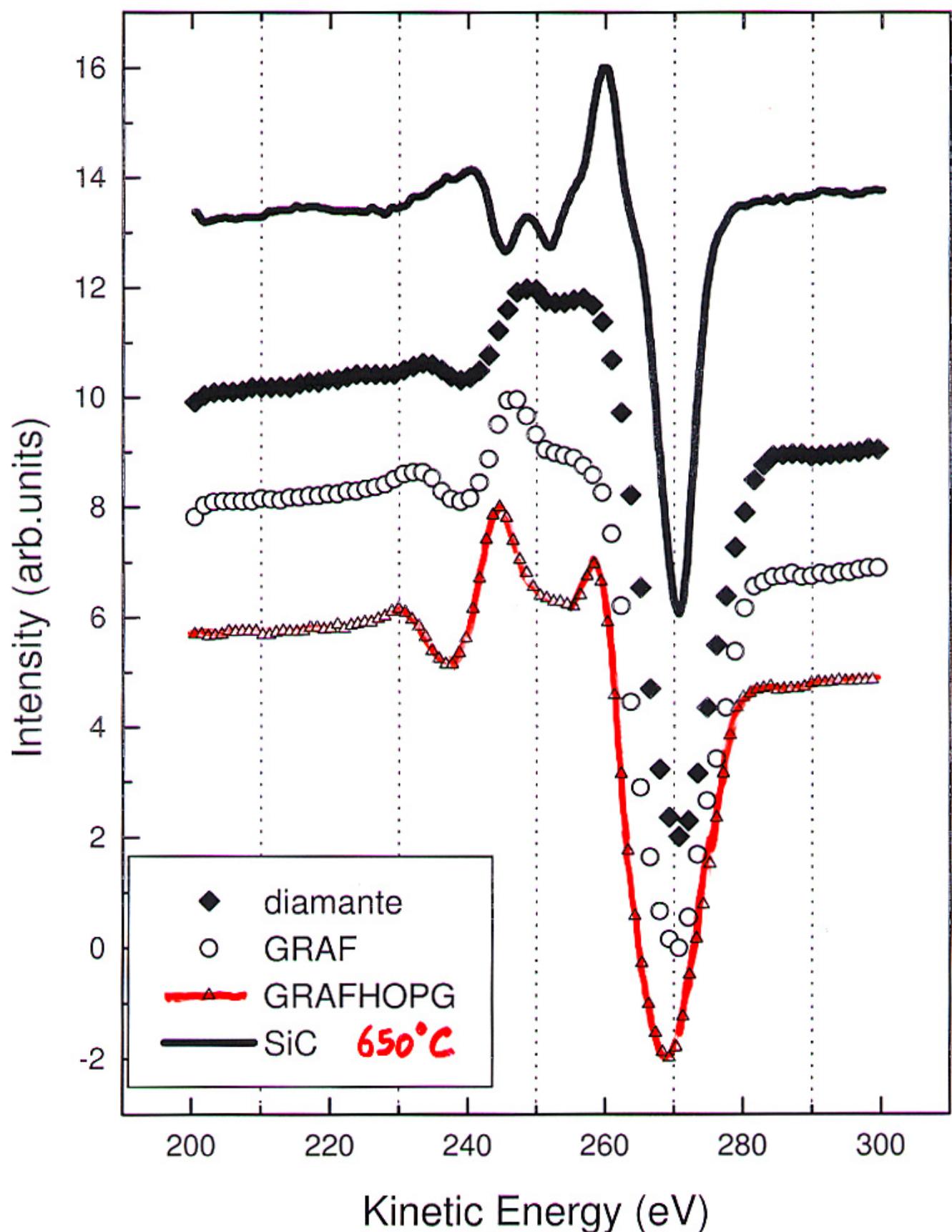
- To investigate the morphology, down to nanometric scale, of the formed thin silicon carbide layer
- local density of states around  $E_F$  through the I-V spectra

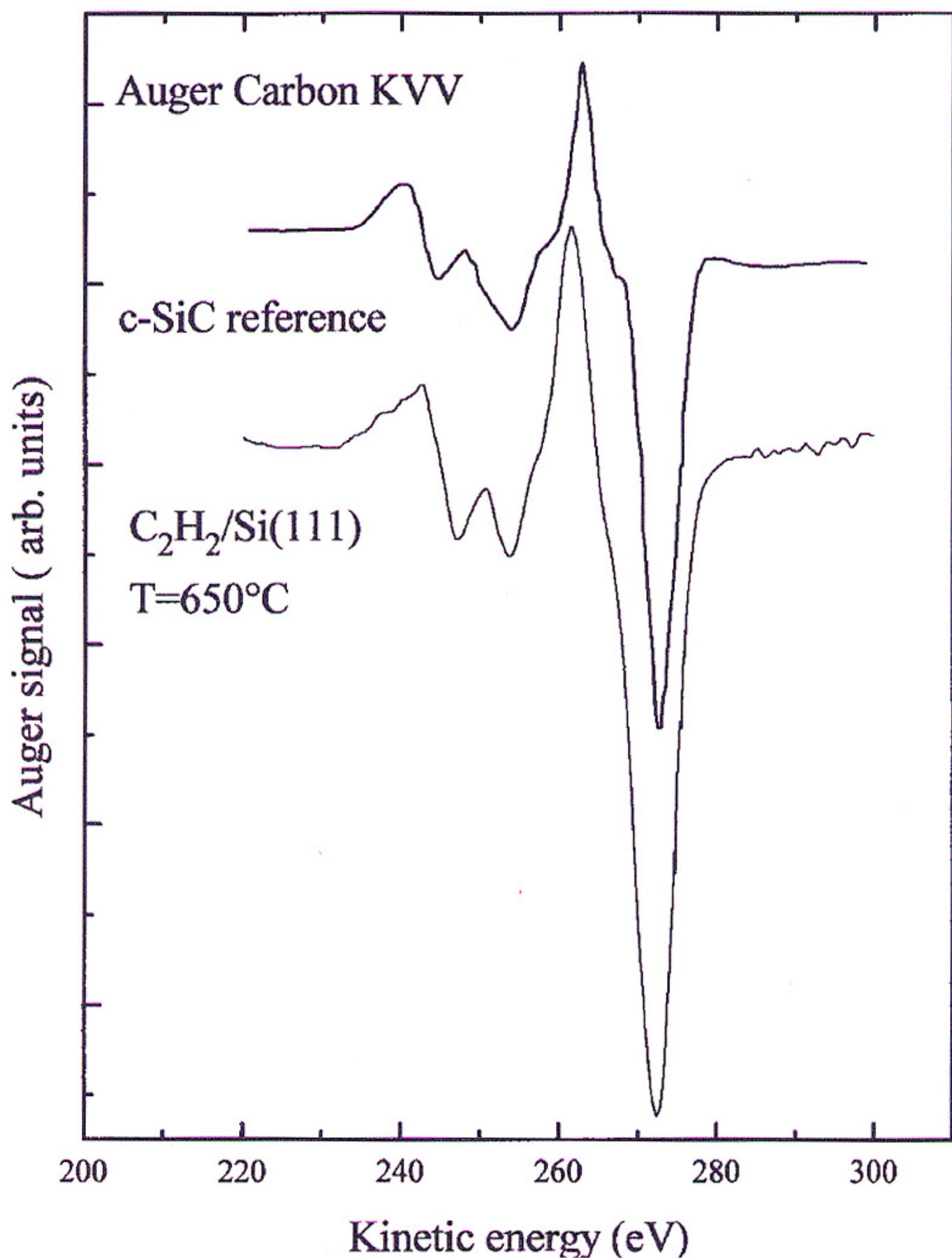
— CMA  
 — LEED }  
 — HA }  $-\text{e}$  spectrometers



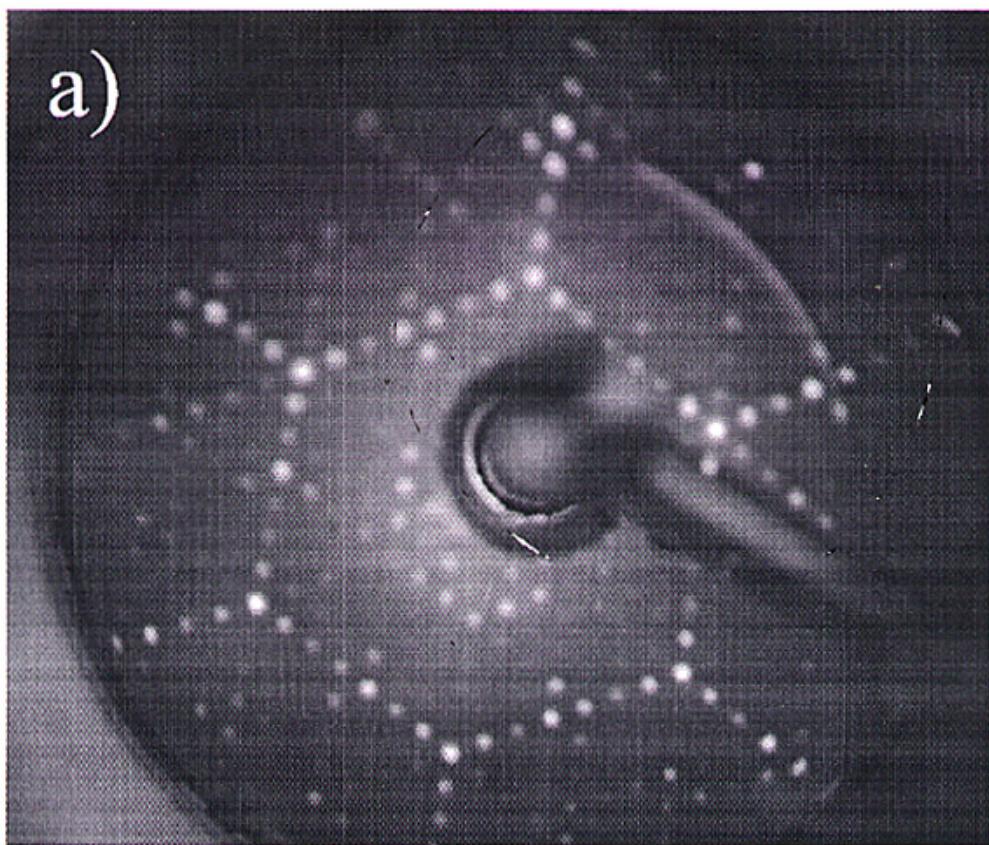
$$1L = 1 \text{ sec} \times 10^{-6} \text{ Torr}$$







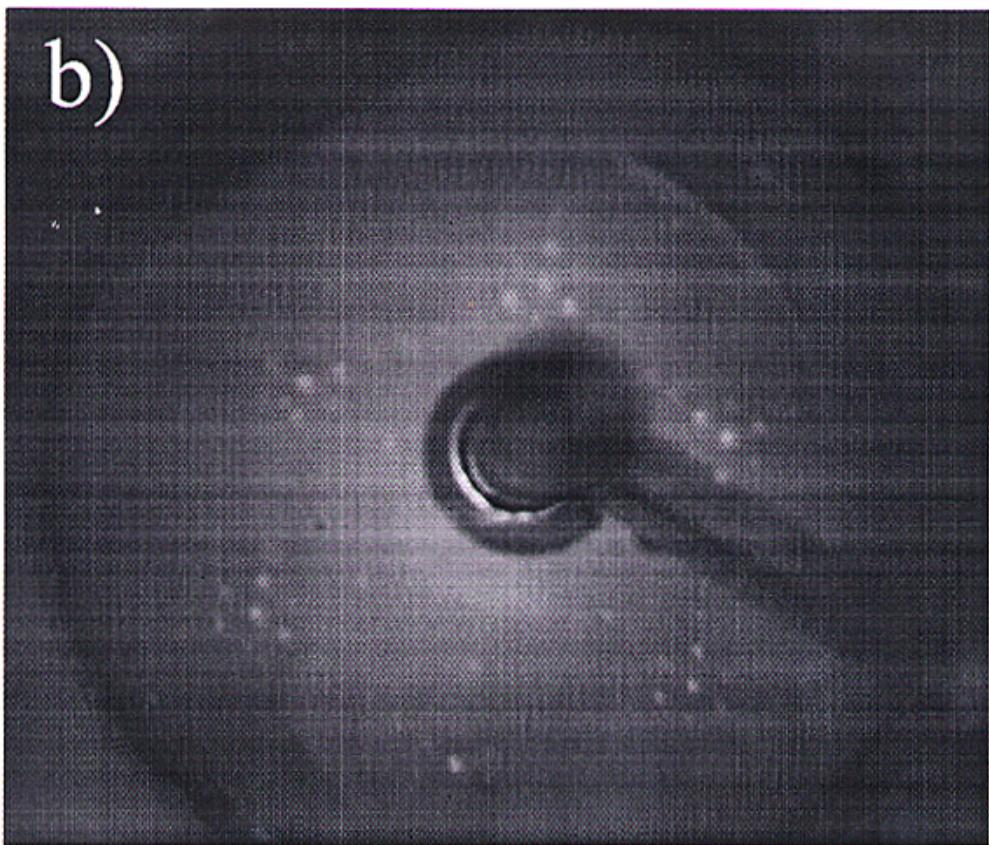
LEED



Si(111)

7x7

$E_p = 56 \text{ eV}$



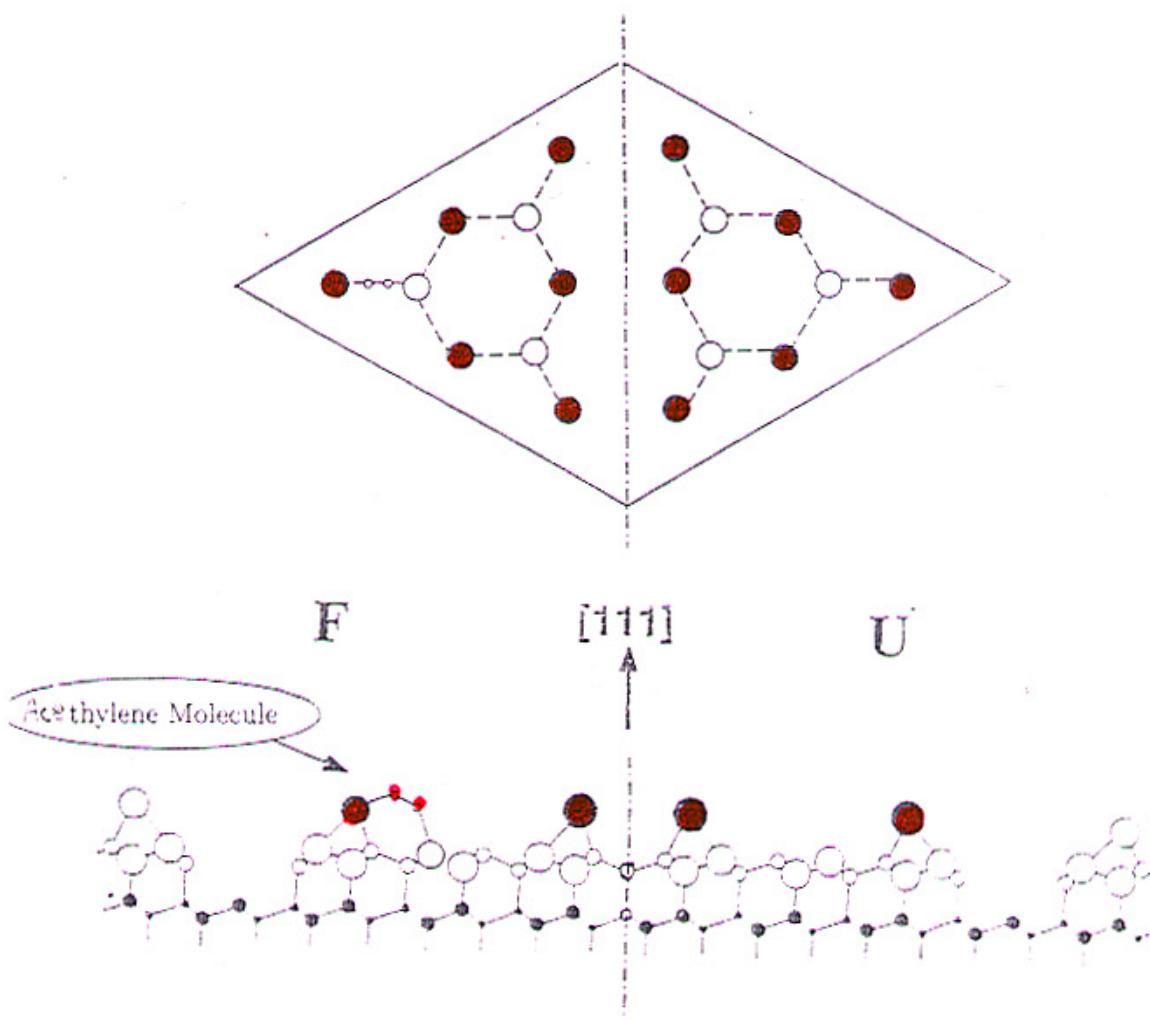
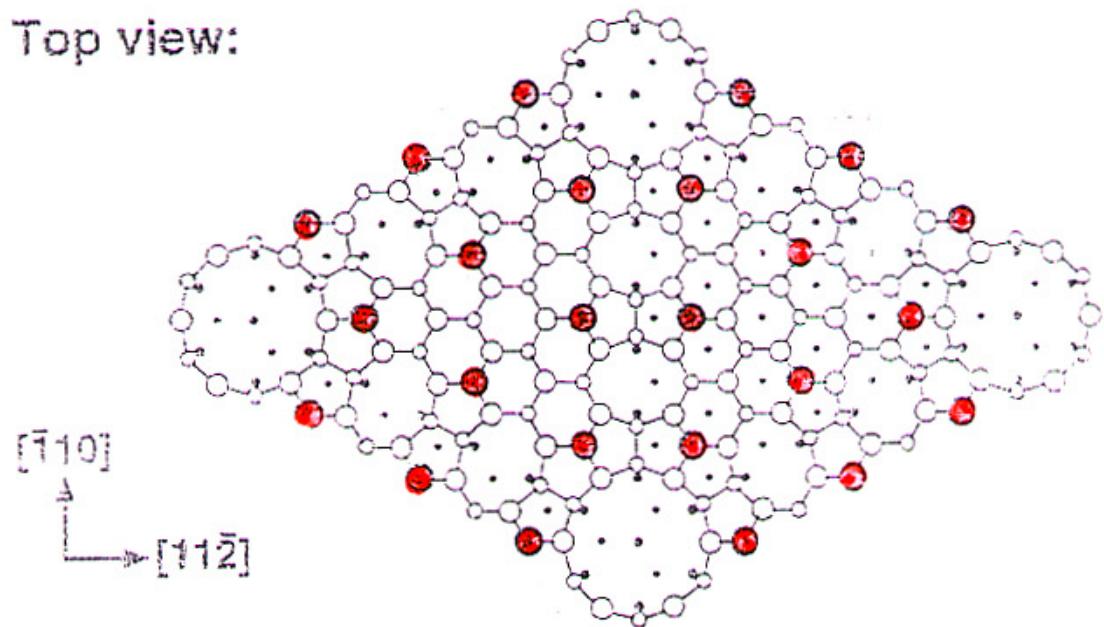
$\text{C}_2\text{H}_2 /$   
Si(111)

RT

2000L

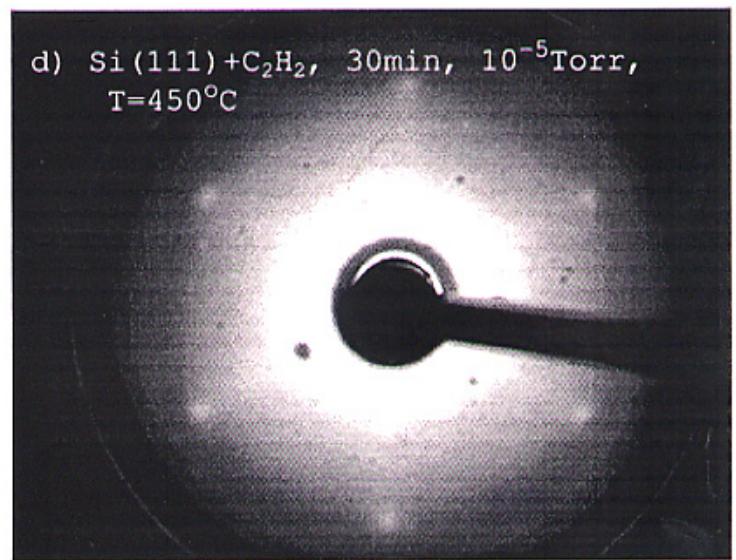
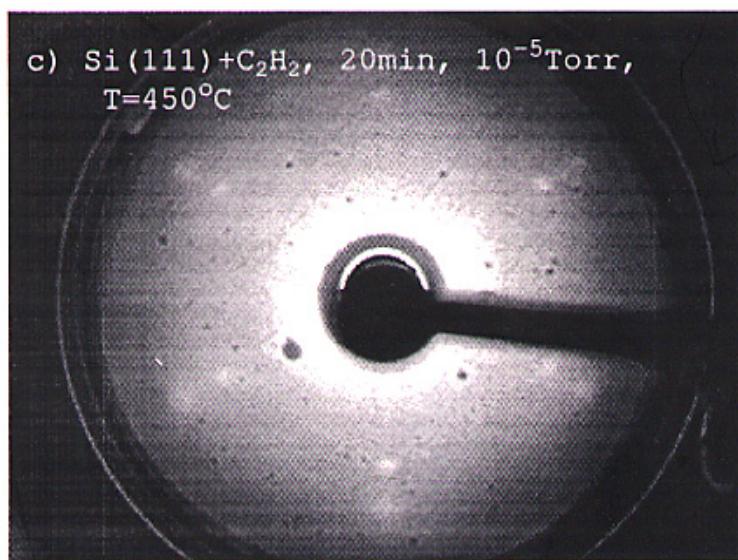
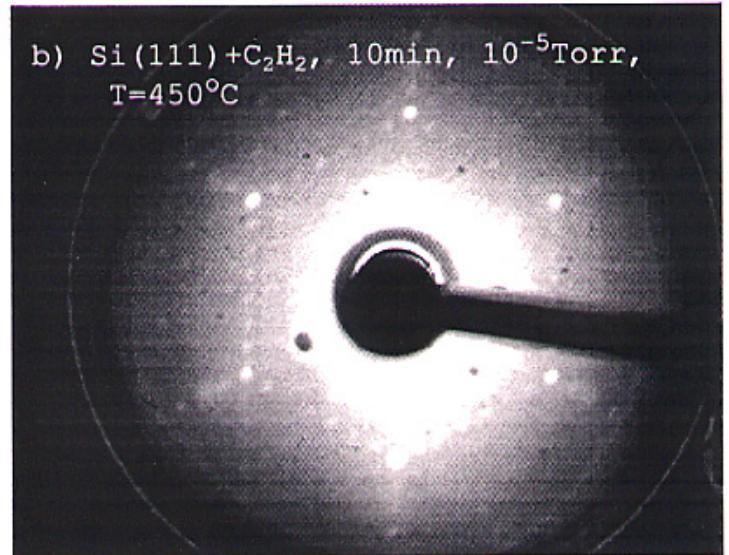
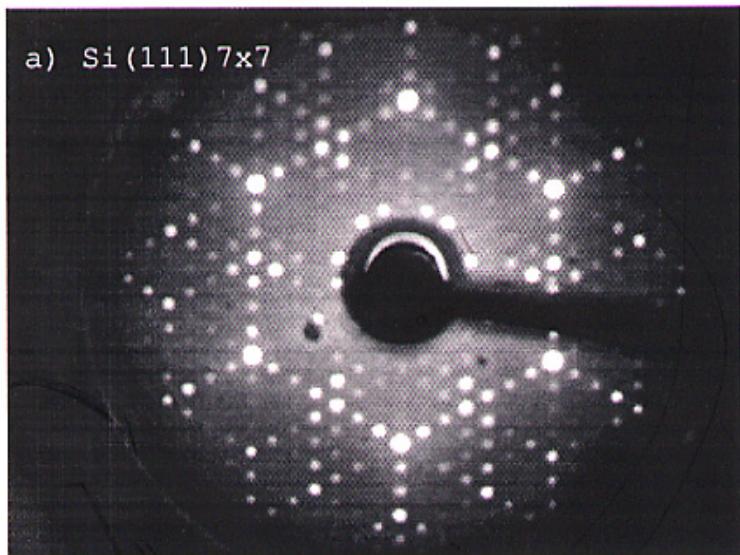
# $\tilde{\text{Si}}(111)$ $7 \times 7$ reconstruction

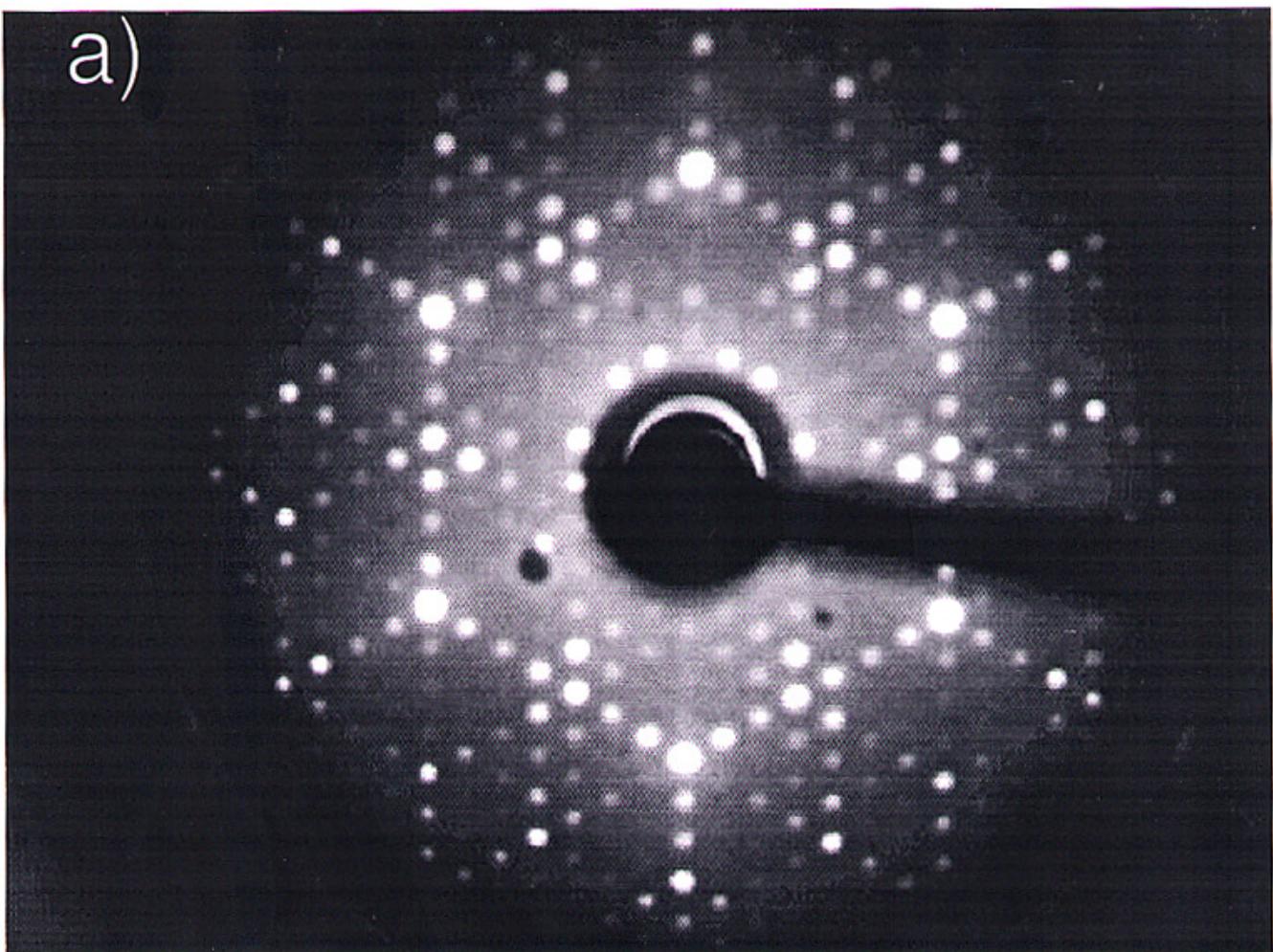
Top view:



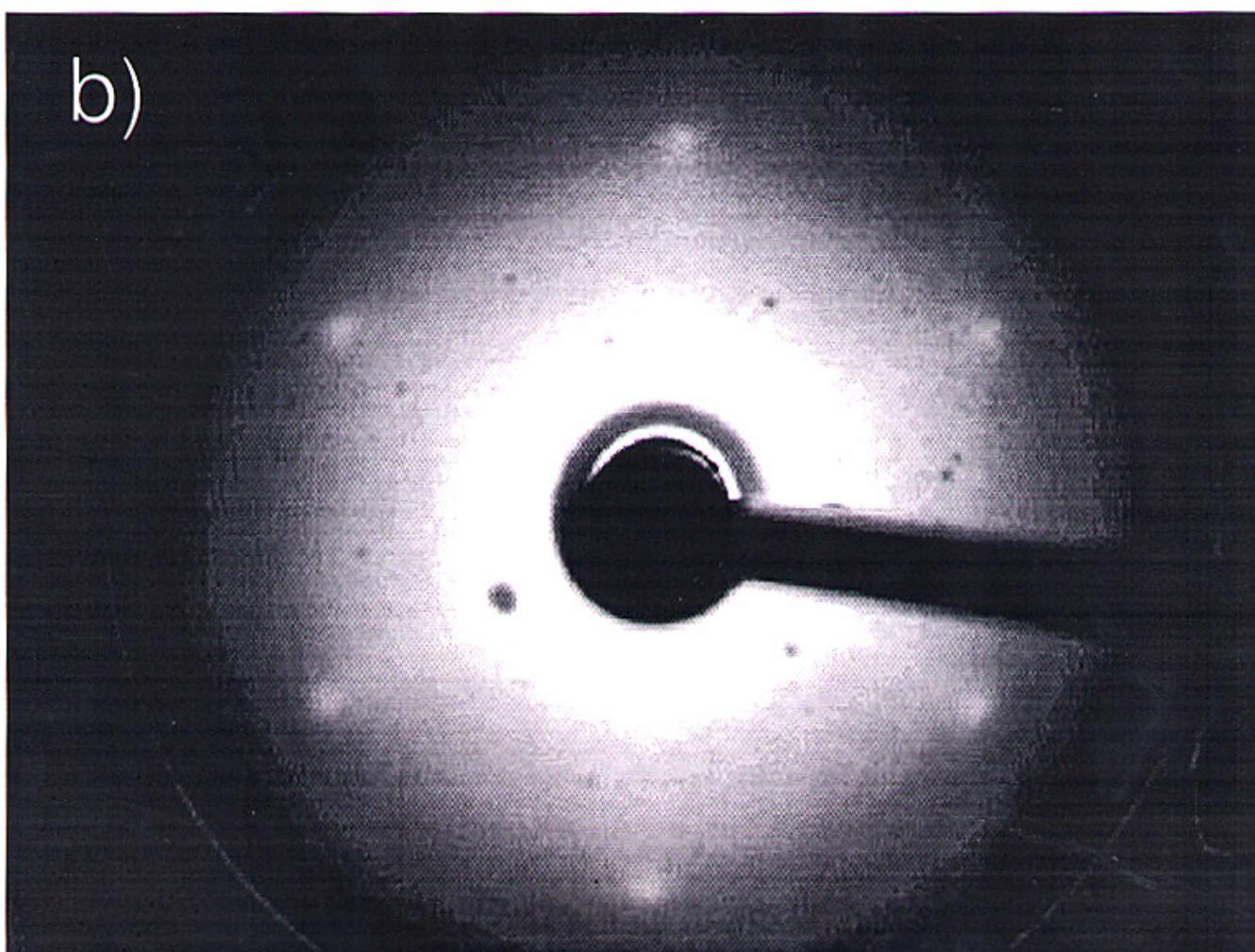
Schematic drawing of the proposed adsorption site.

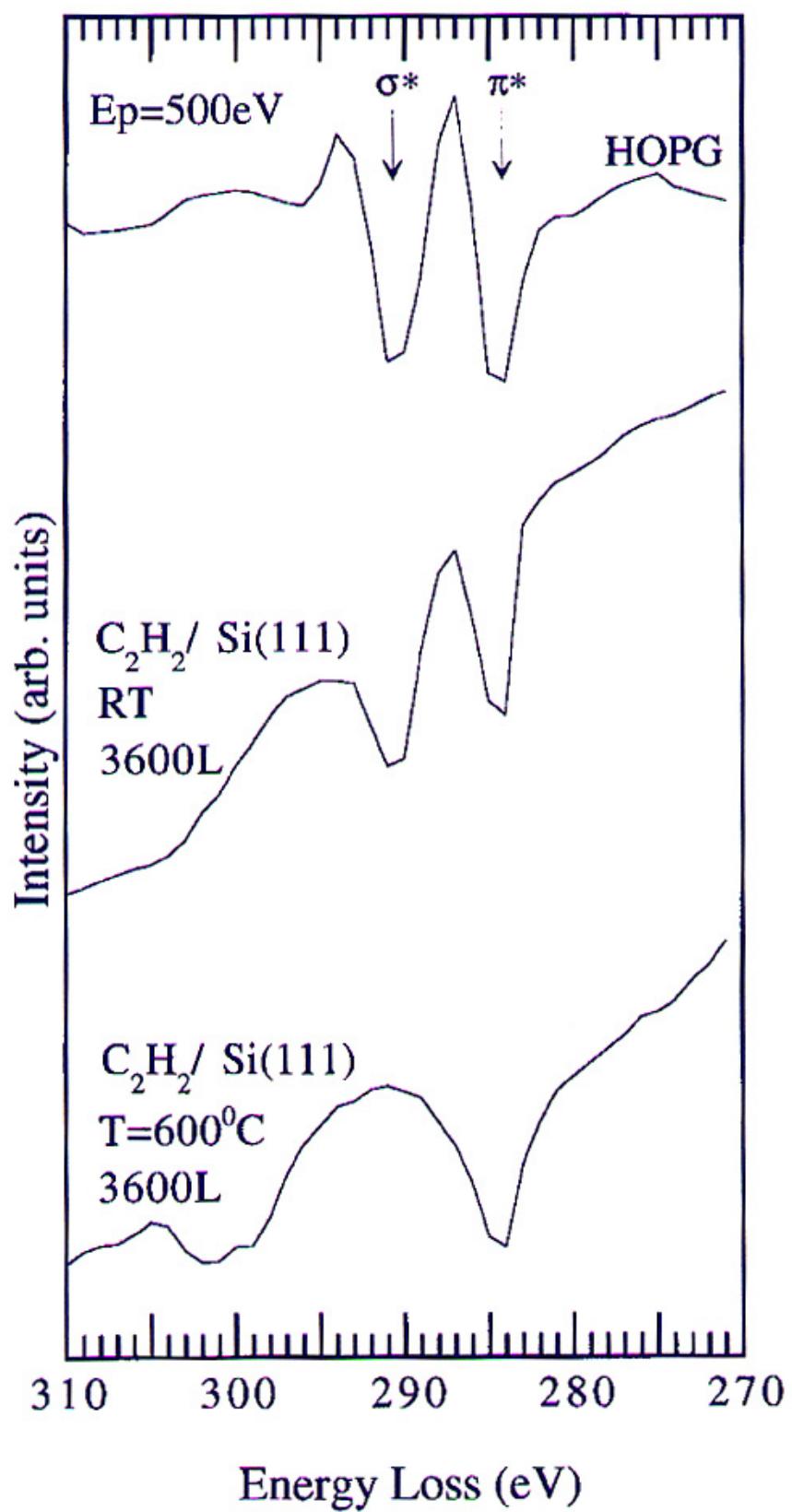
*LEED*  $E_p = 62 \text{ eV}$

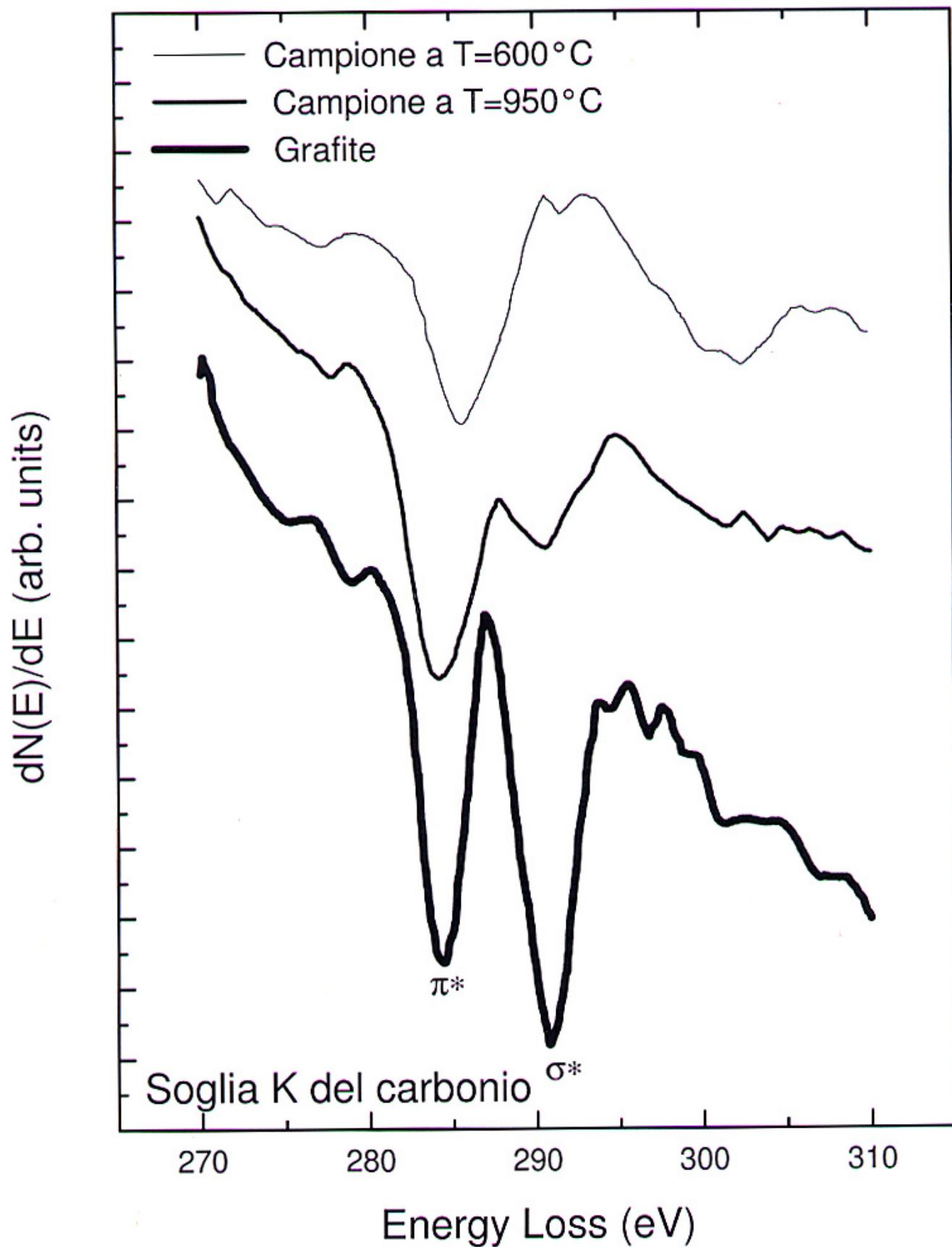




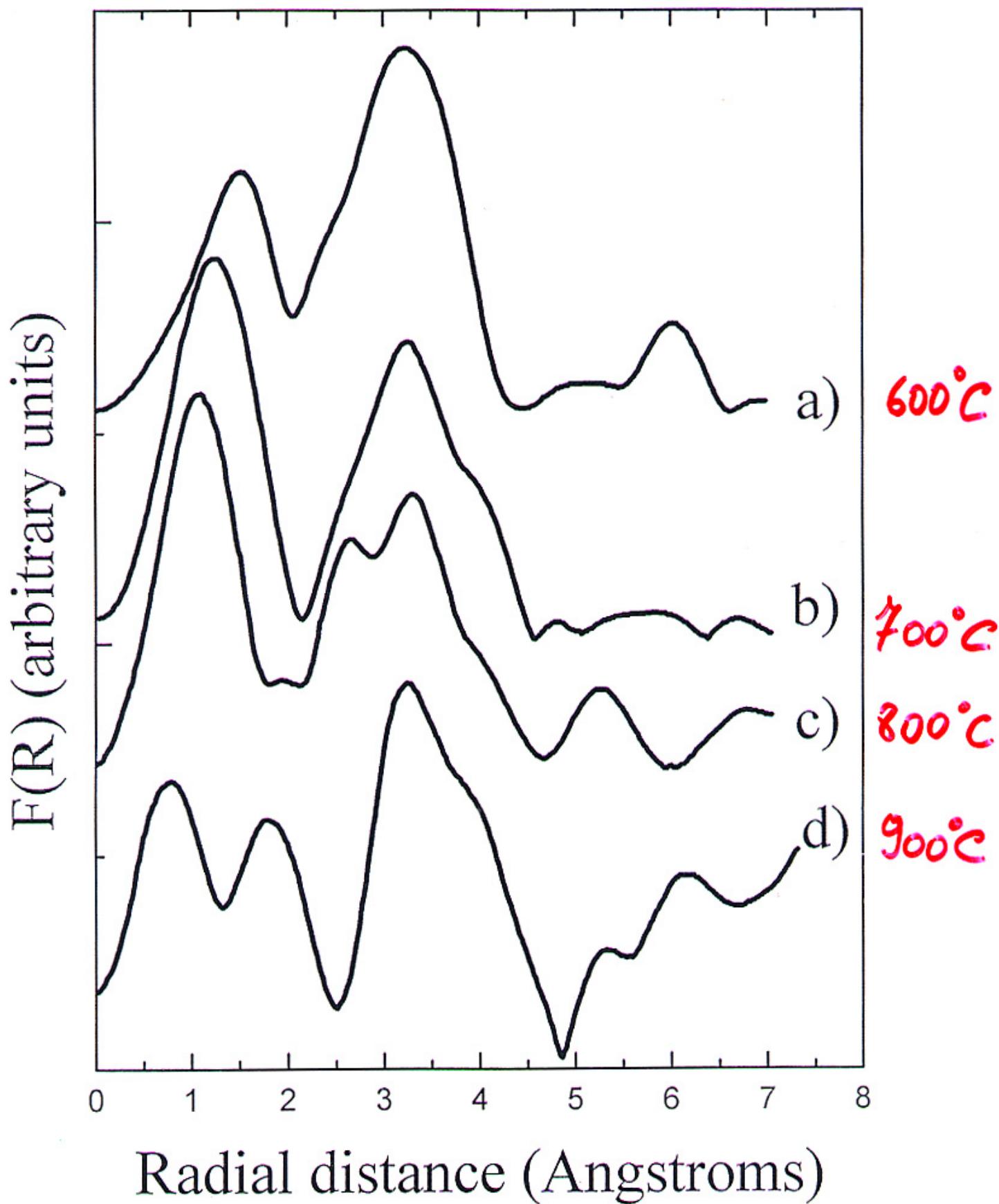
LEED  $E_p = 62 \text{ eV}$

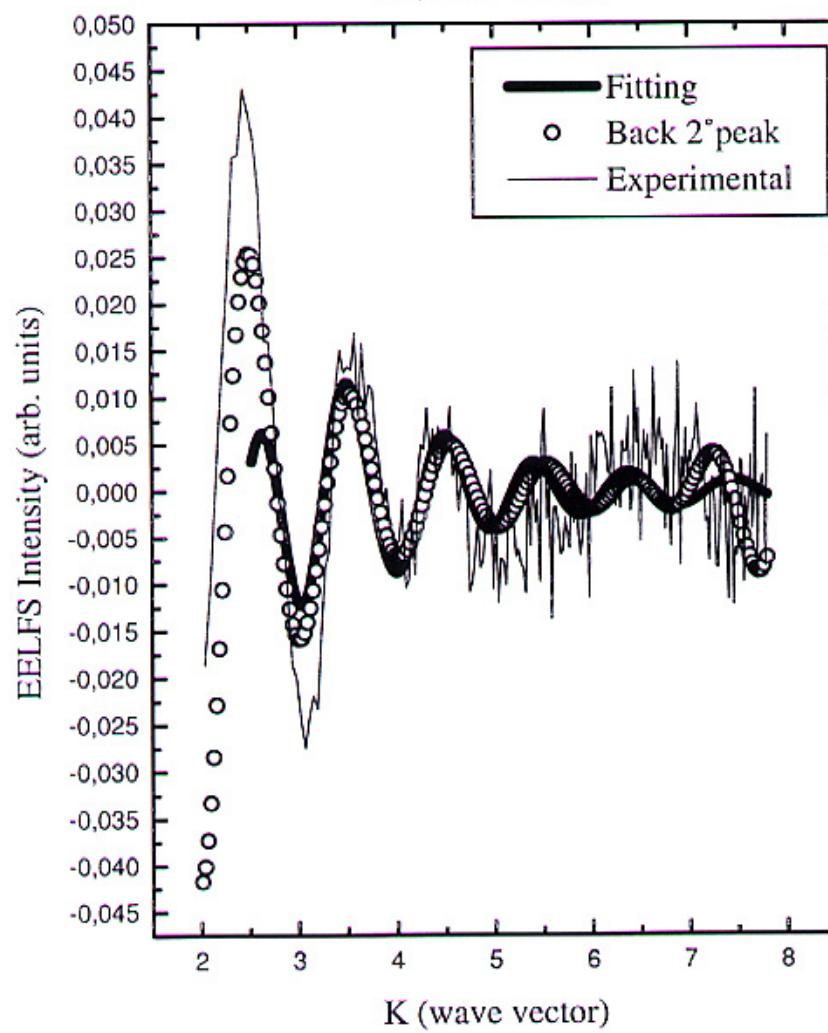
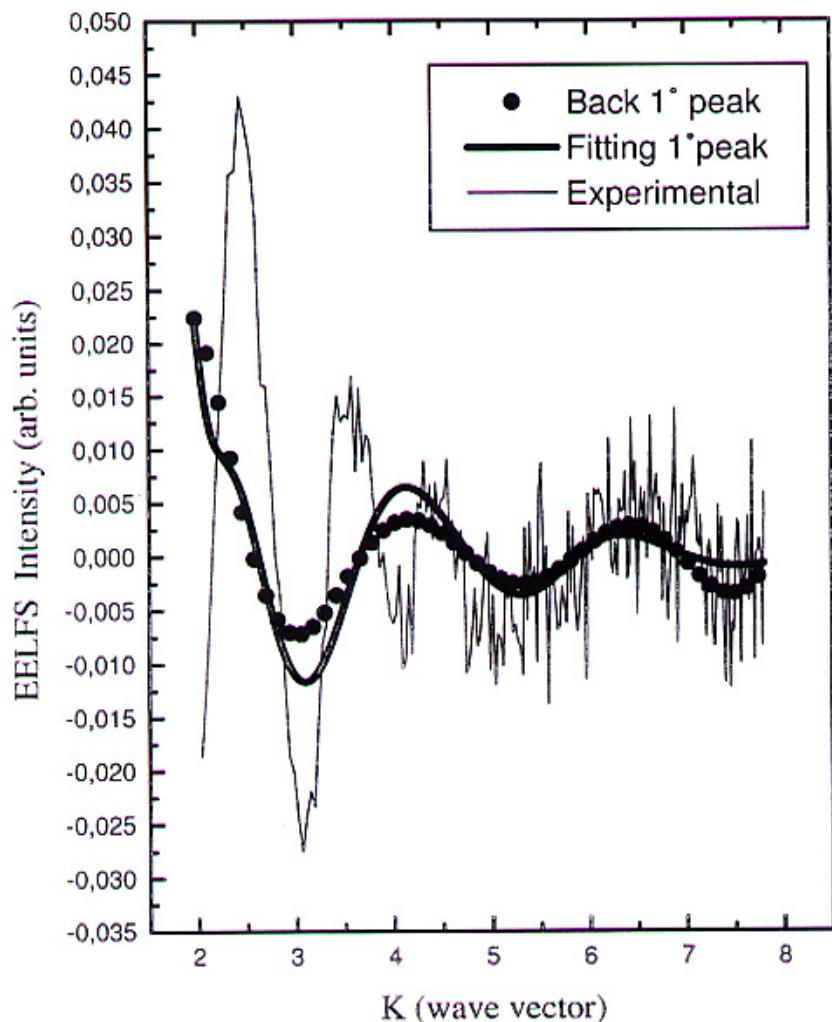




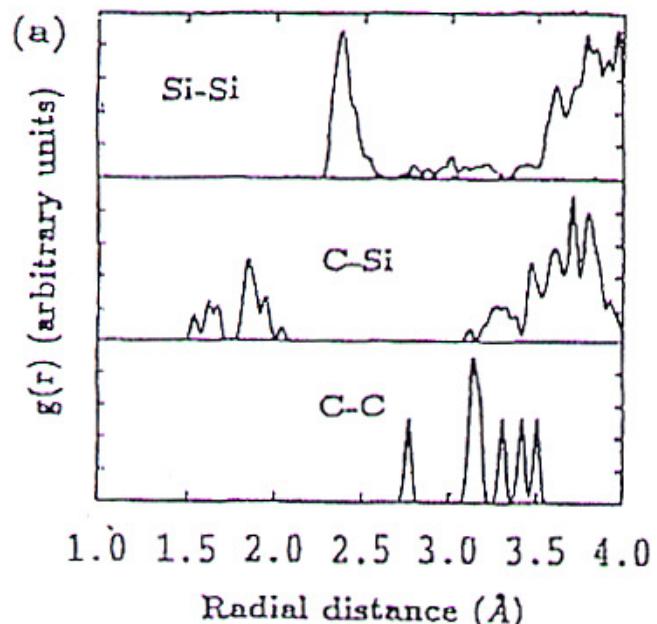


$C_2H_2/Si(111)$



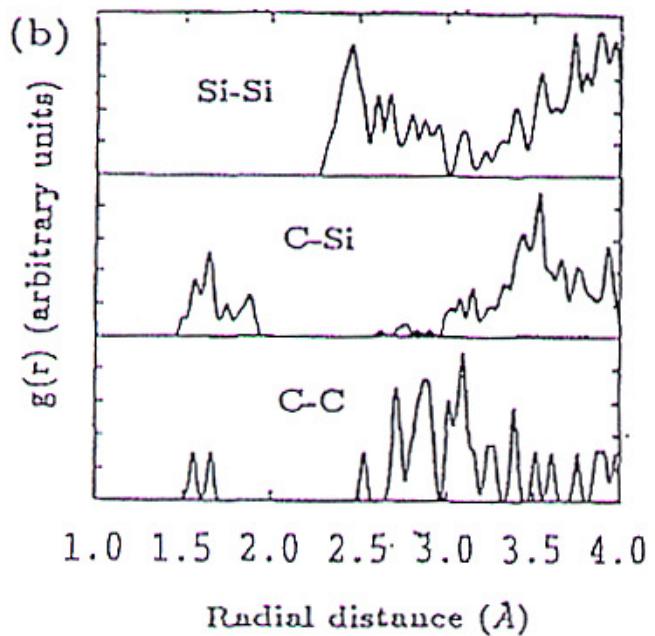


*Si<sub>67</sub>C<sub>7</sub> (10.9 at.%C)*

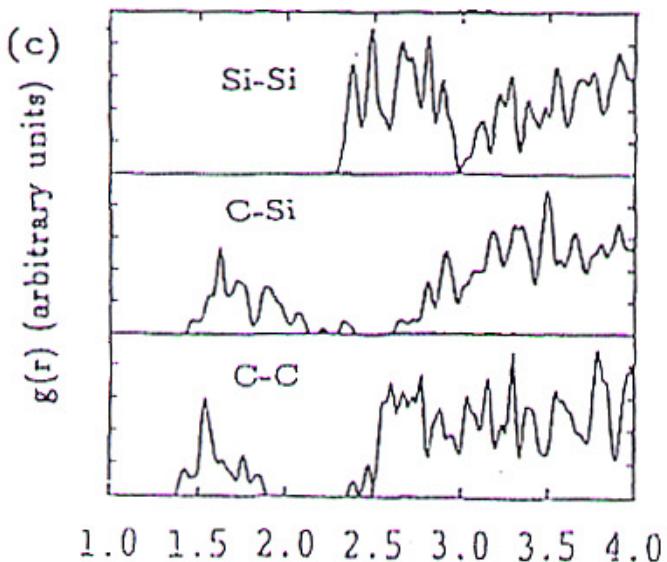


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*Si<sub>48</sub>C<sub>10</sub> (25 at.%C)*



*Si<sub>32</sub>C<sub>32</sub> (50 at.%C)*



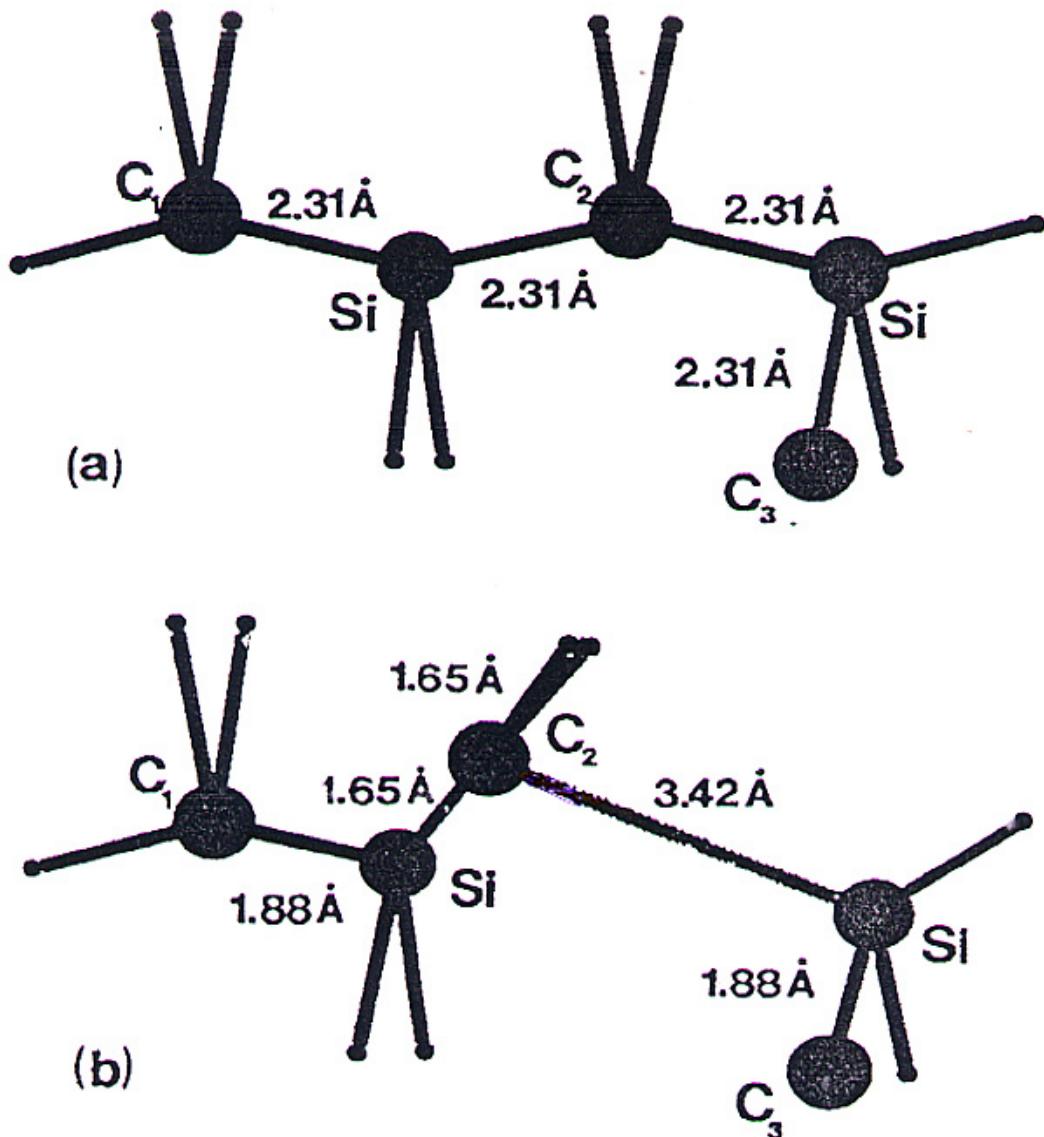
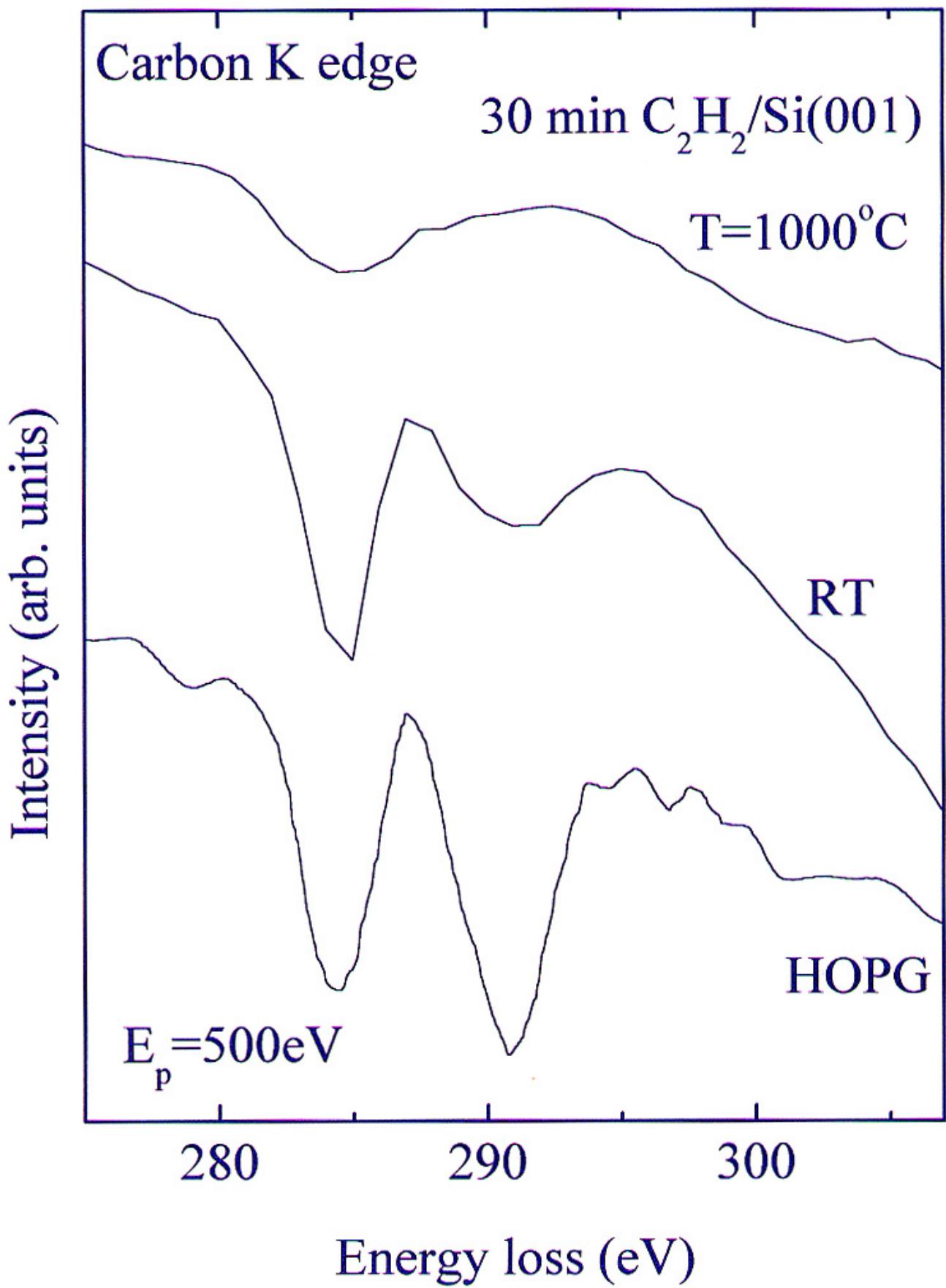
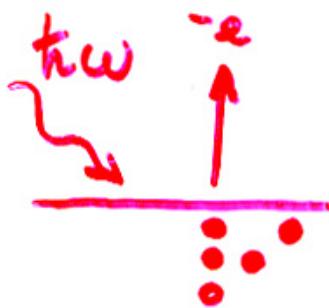


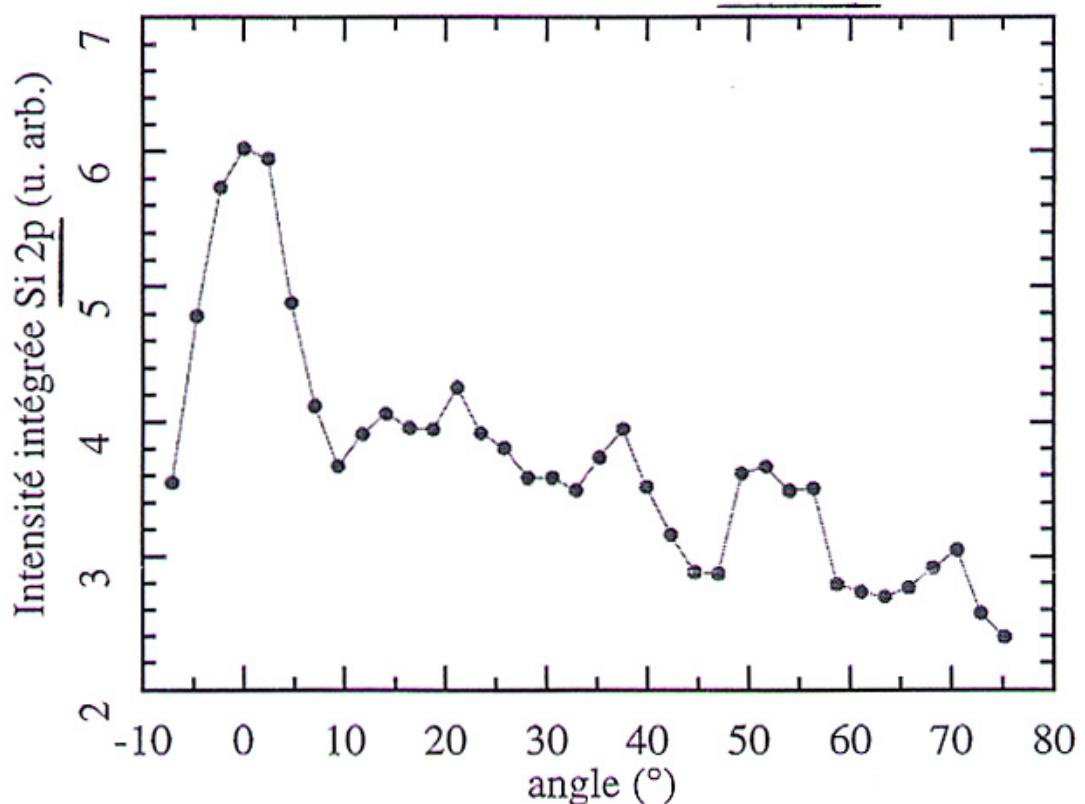
FIG. 4. A schematic picture of a fragment of the structure of a 6.3% C concentration in Si ( $\text{Si}_{60}\text{C}_4$  supercell). Three of the four carbon atoms in the supercell were randomly selected to be second neighbors of each other. (a) The local geometry before relaxation of the atomic coordinates. (b) The zero force final configuration of the fragment. Notice formation of a  $\text{CSi}_3$  graphitic structure with the atom  $\text{C}_2$  in the center.



$C_2H_2 / Si(111)$   $T=650^\circ C$



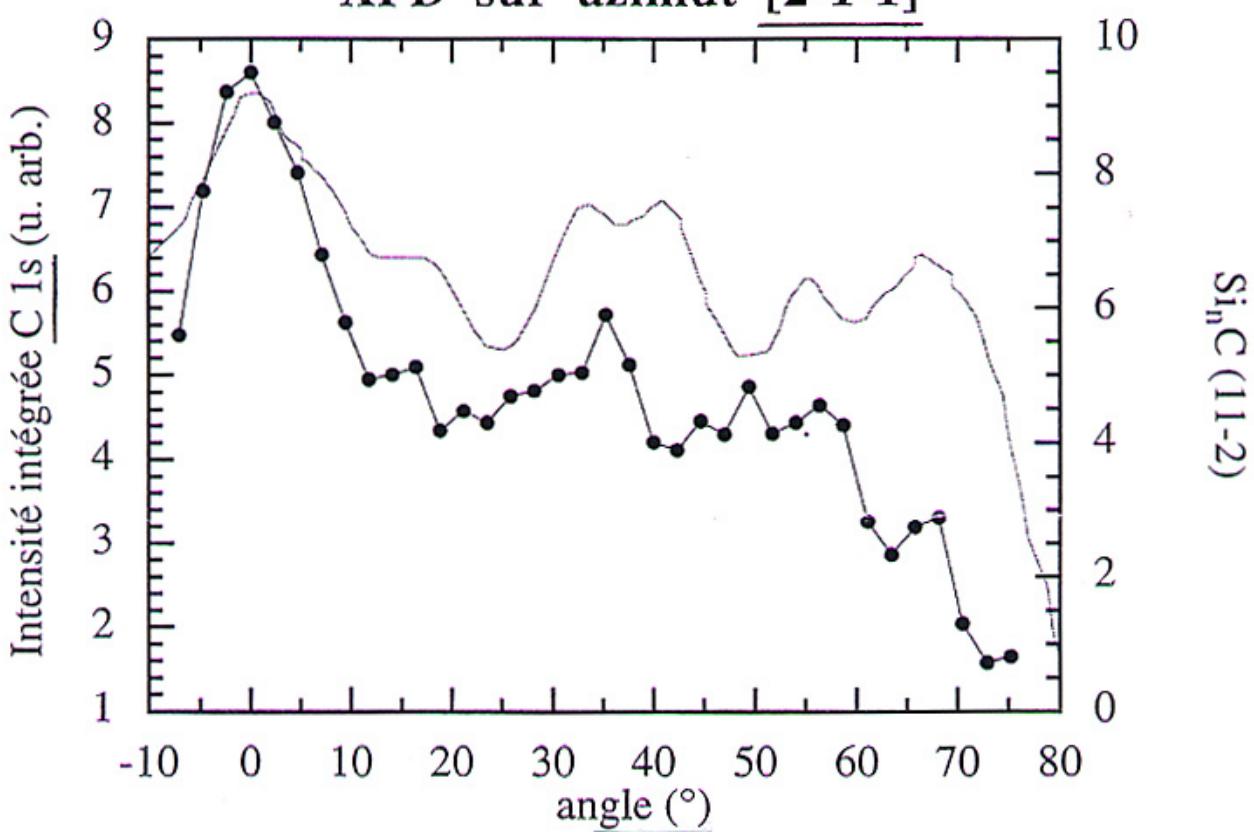
### XPD sur azimut [2-1-1]



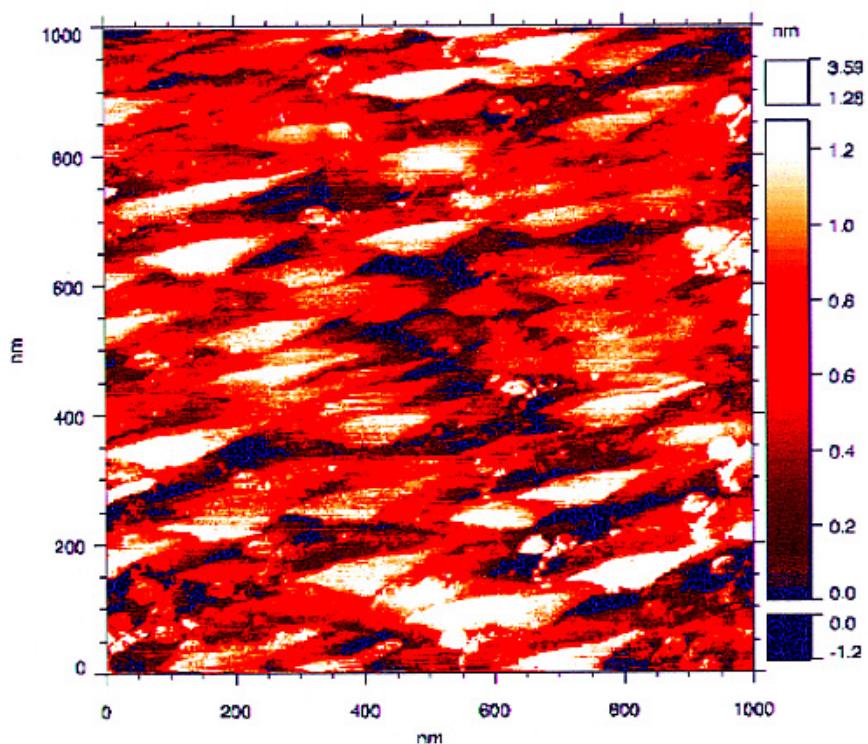
●  $C 1s (2-1-1)$   
expérimentales

— SinC-thirdNN15%-dr  
(calculs)

### XPD sur azimut [2-1-1]

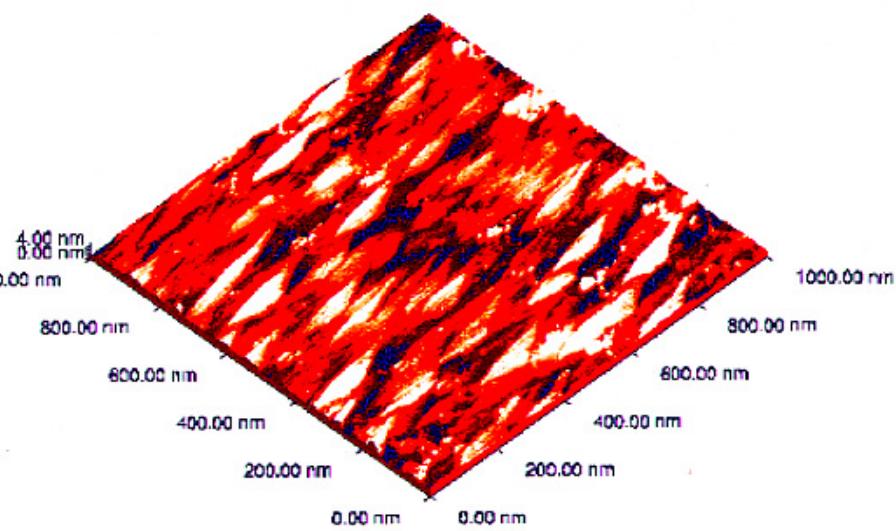
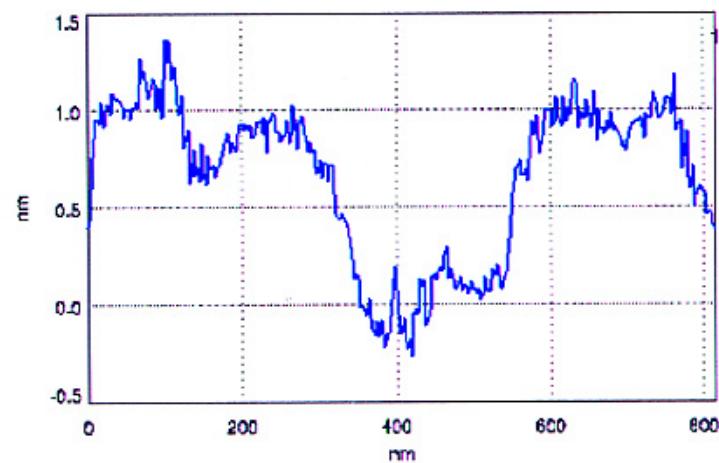


Si:C (11-2)



$\text{C}_2\text{H}_2/\text{Si}(111)$

$T=650^\circ\text{C}$   
 $P=10^{-6} \text{ torr}$   
 $t=30 \text{ min}$



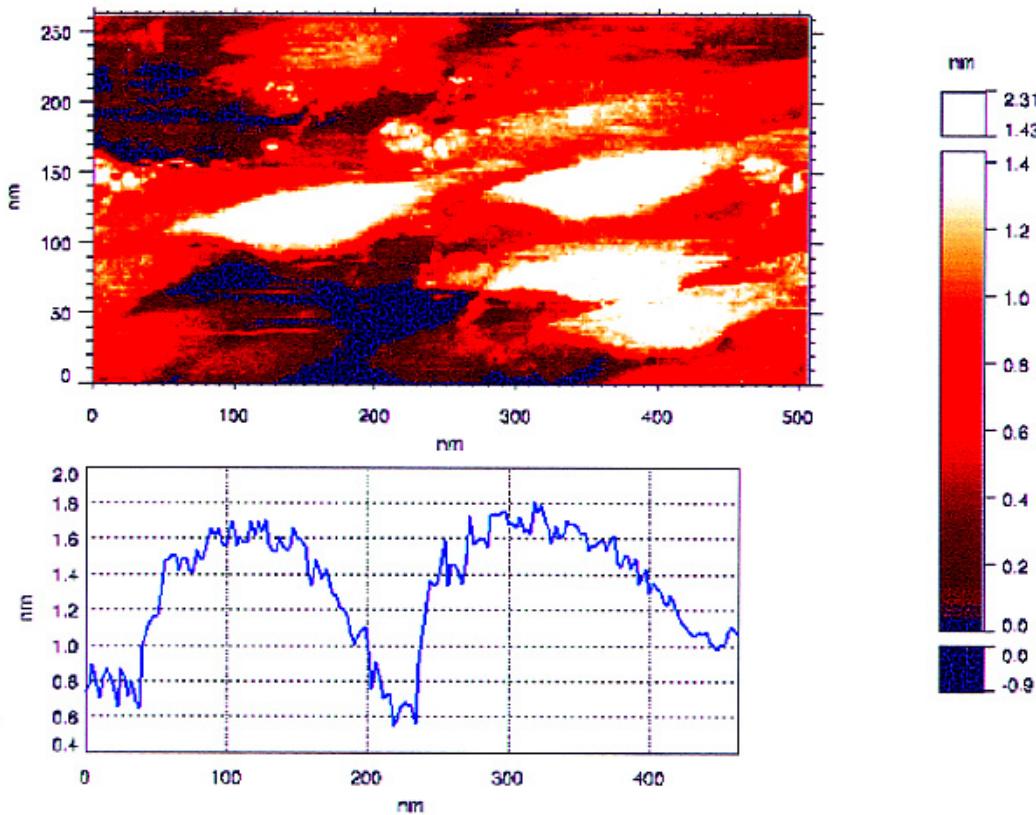
*STM images show the formation of flat regions large about 150 nm, high about 1.8 nm and of voids with a depth in the range of 0.5-0.8 nm*

**C<sub>2</sub>H<sub>2</sub>/Si(111)**

**T=650°C**

**P=10<sup>-6</sup> torr**

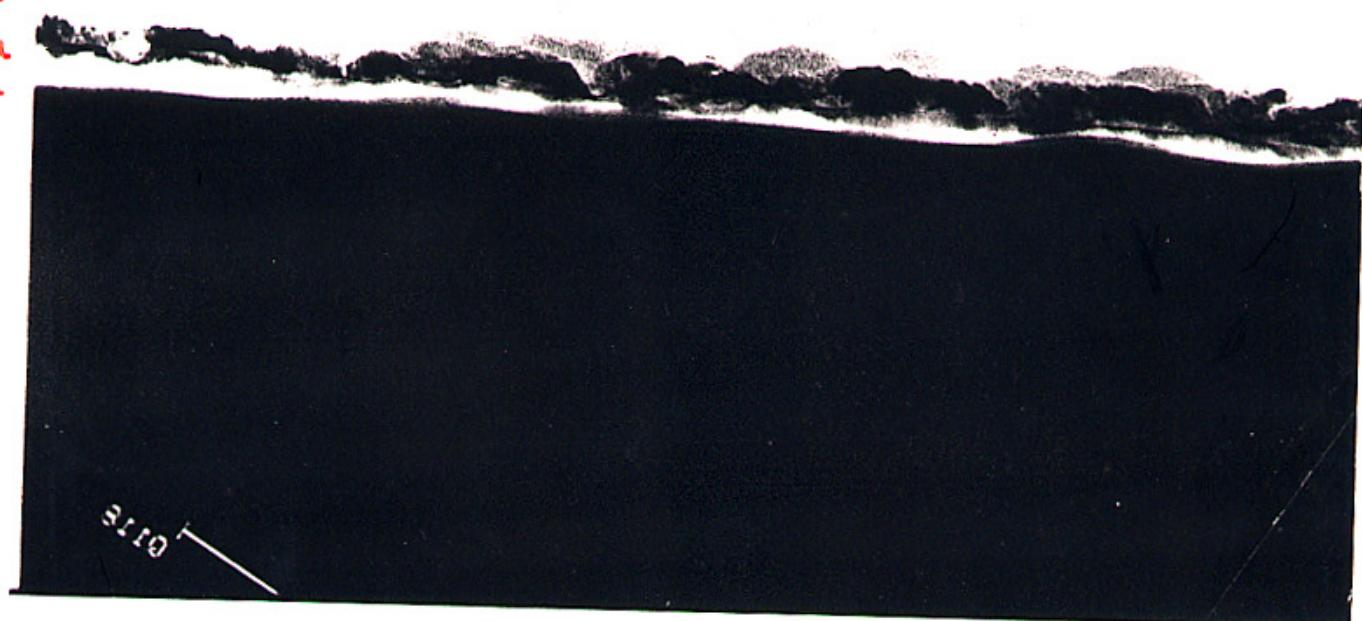
**t= 30 min**



TEM

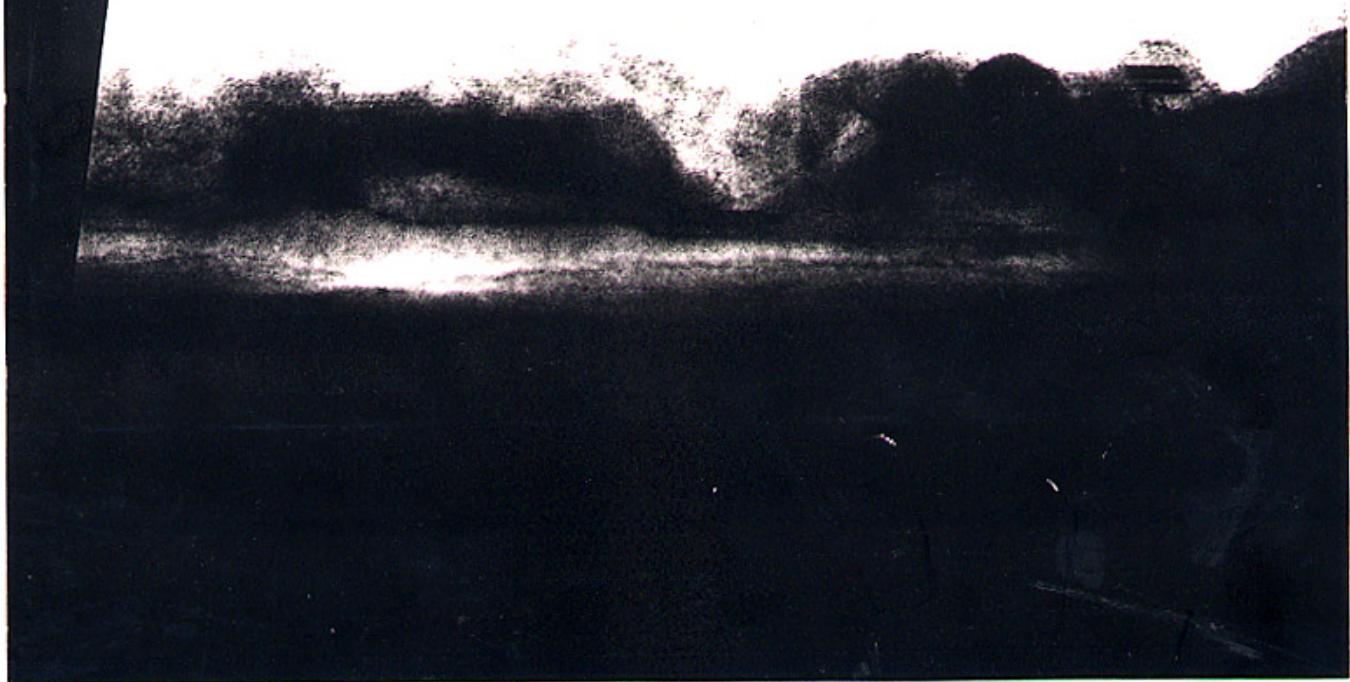
BARUCCA & MAJNI  
ANCONA UNIVERSITY

50 nm



$C_60/Si(111)$   $T=600^\circ C$

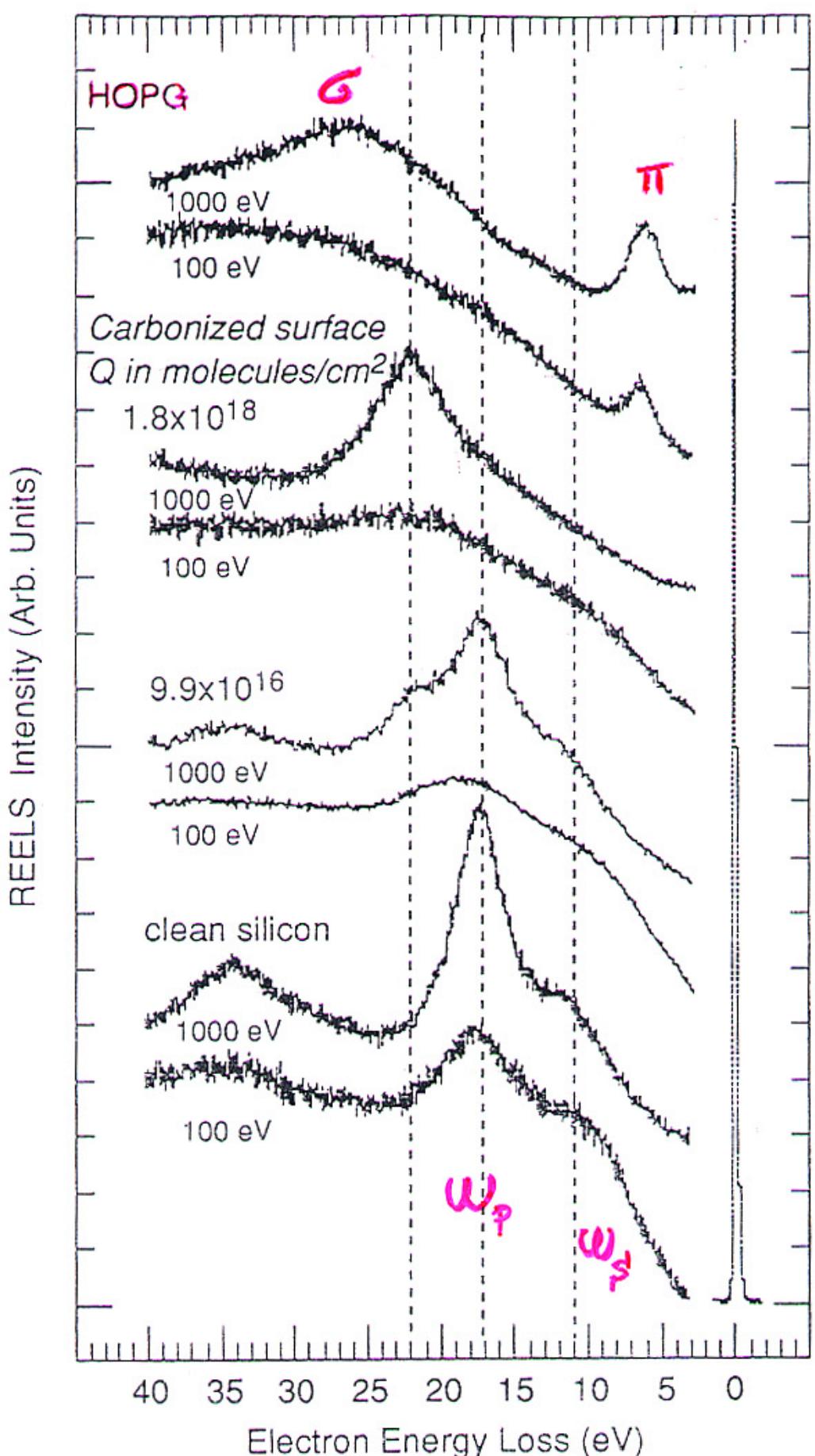
50 nm



# EELS

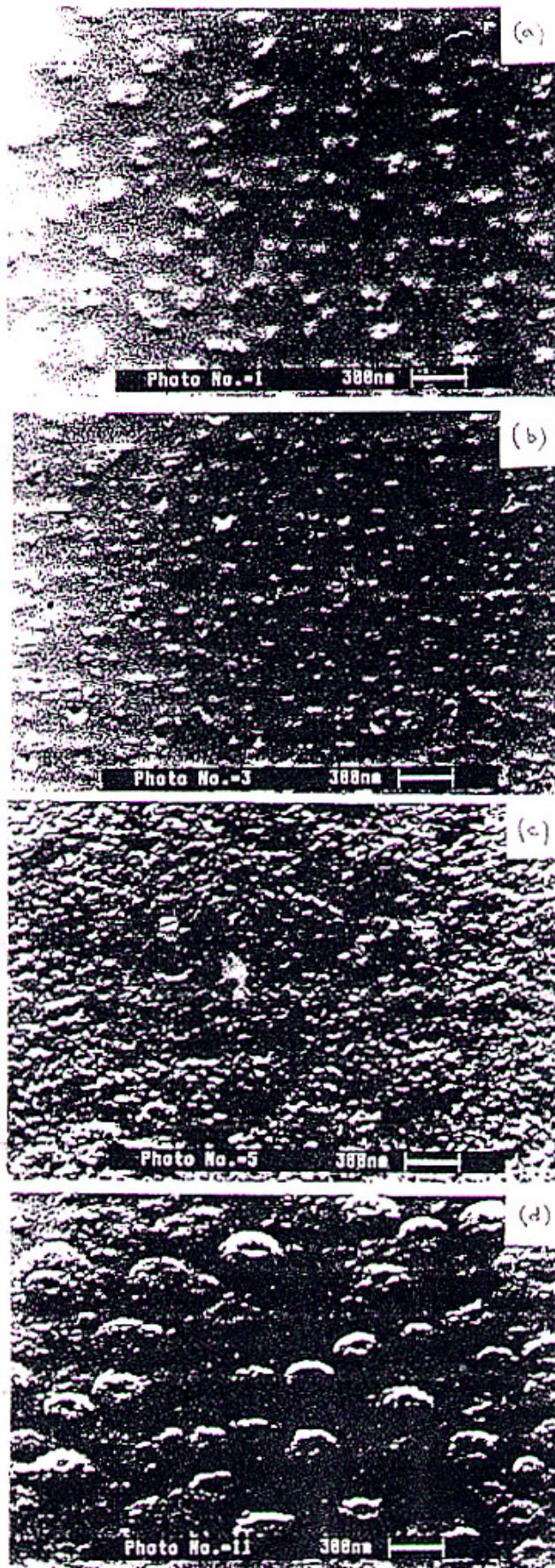
$C_2H_2/Si(100)$

650°C



REELS spectra, taken at  $E_p = 100$  eV (surface sensitive) and  $E_p = 1000$  eV (bulk sensitive), for the clean Si surface, the carbonized surface at two exposures, and a clean HOPG surface. SiC

-e  
45°  
SEM

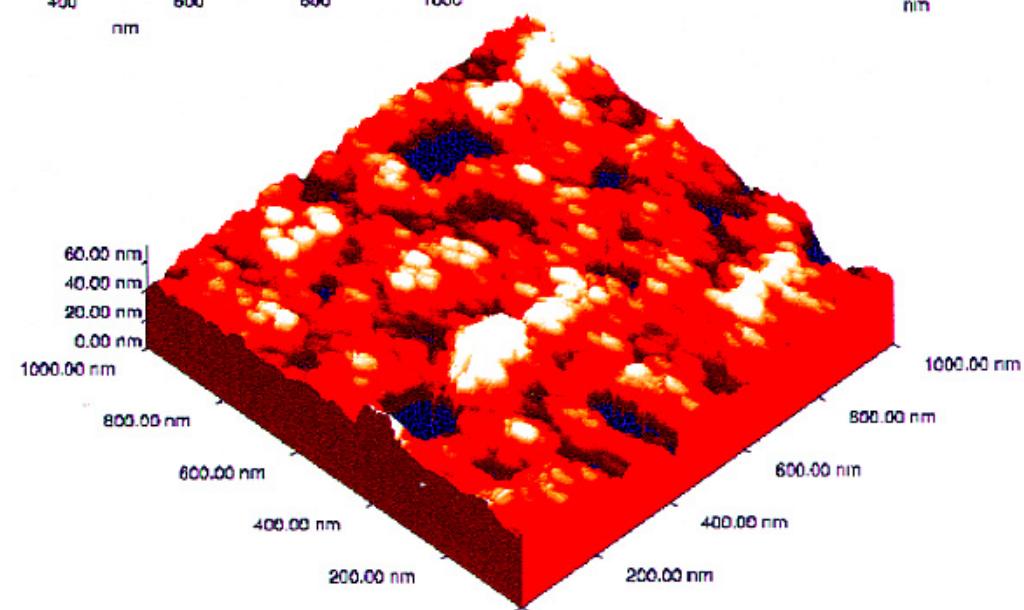
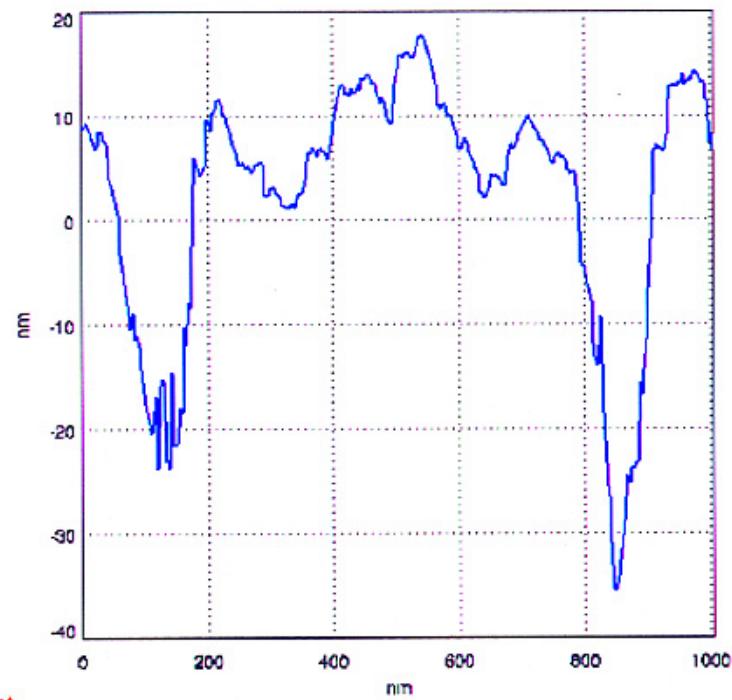
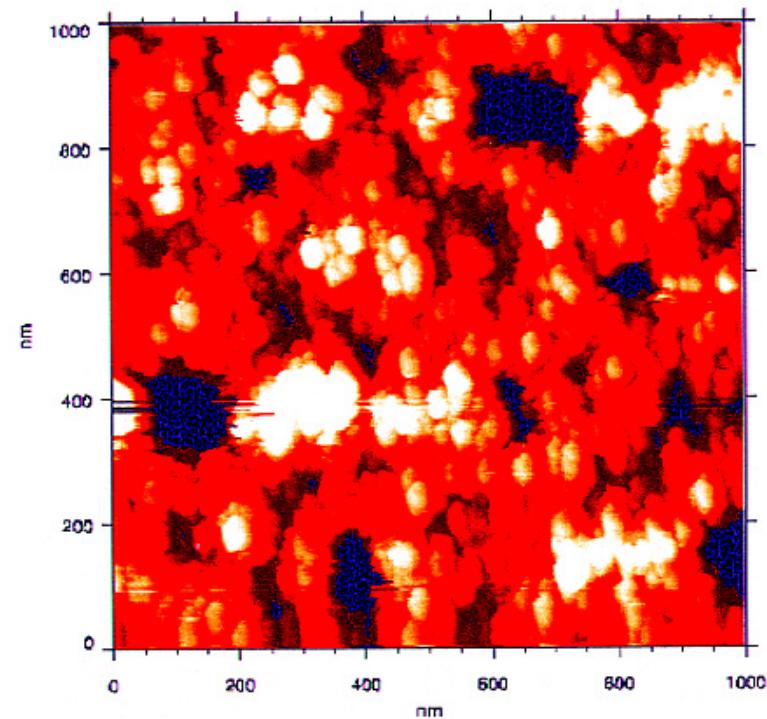


Si(100)  
+ C<sub>2</sub>H<sub>2</sub>  
550 °C

650 °C

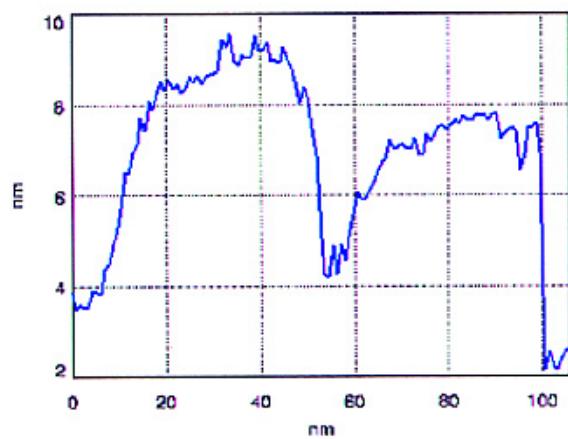
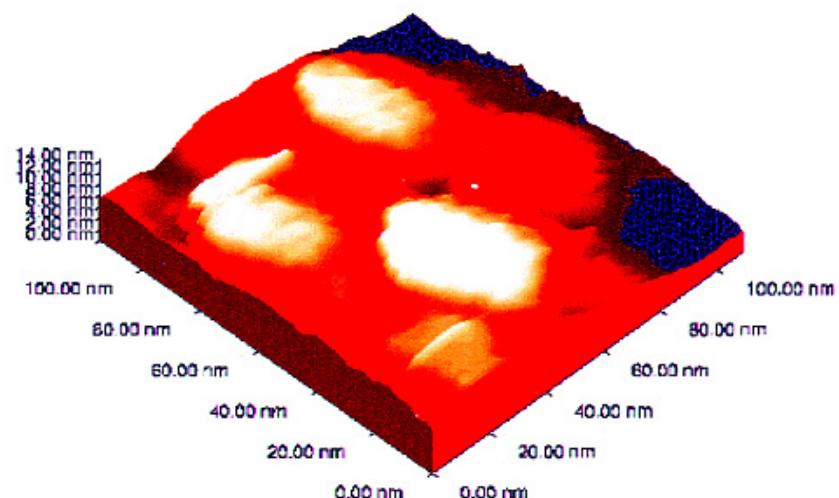
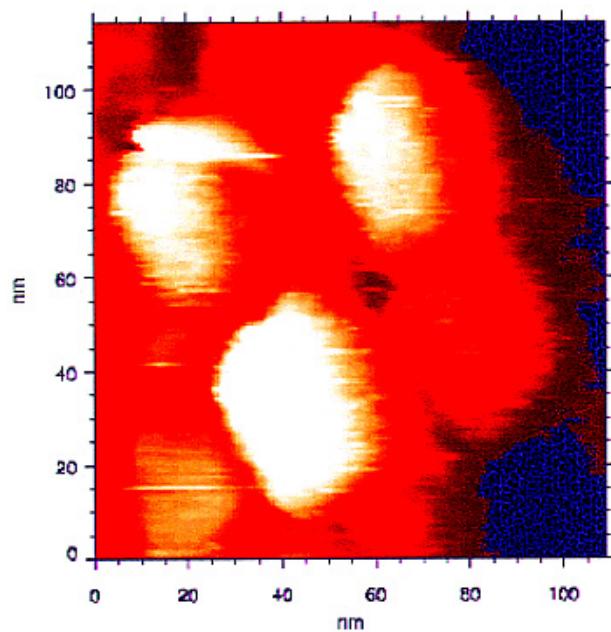
750 °C

850 °C



$\text{C}_2\text{H}_2/\text{Si}(001)$

$T=650^\circ\text{C}$   
 $P=10^{-6} \text{ torr}$   
 $t=10 \text{ min}$



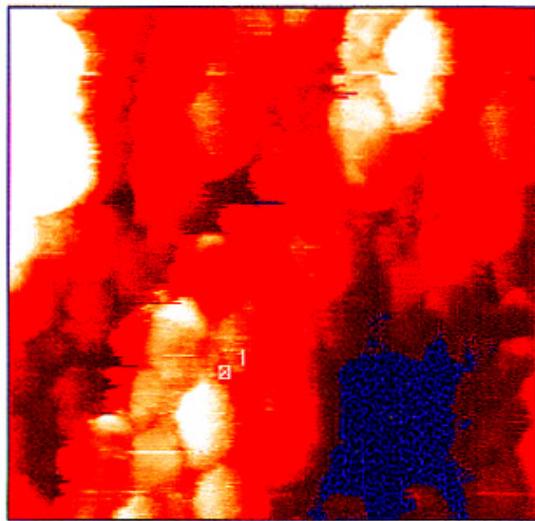
**C<sub>2</sub>H<sub>2</sub>/Si(001)**

**T=650°C**

**P=10<sup>-6</sup> torr**

**t=10 min**

## I-V spectroscopy

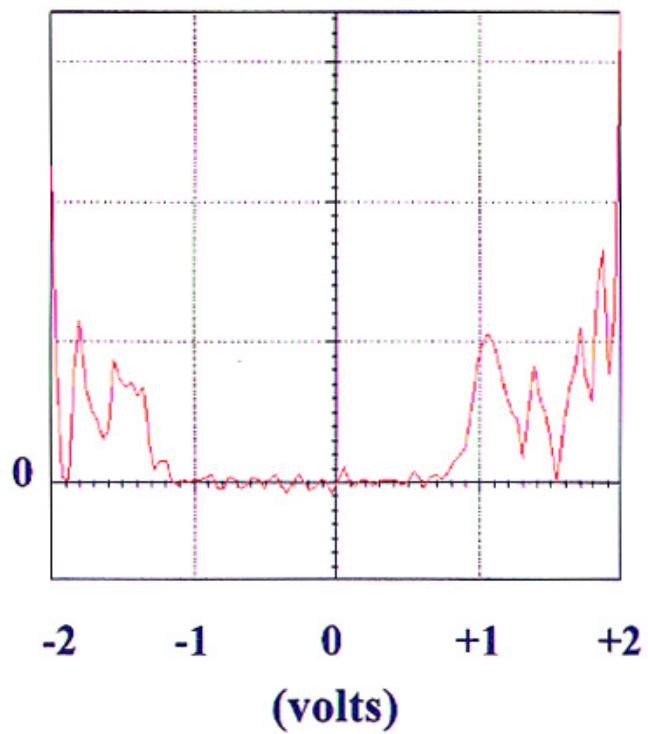
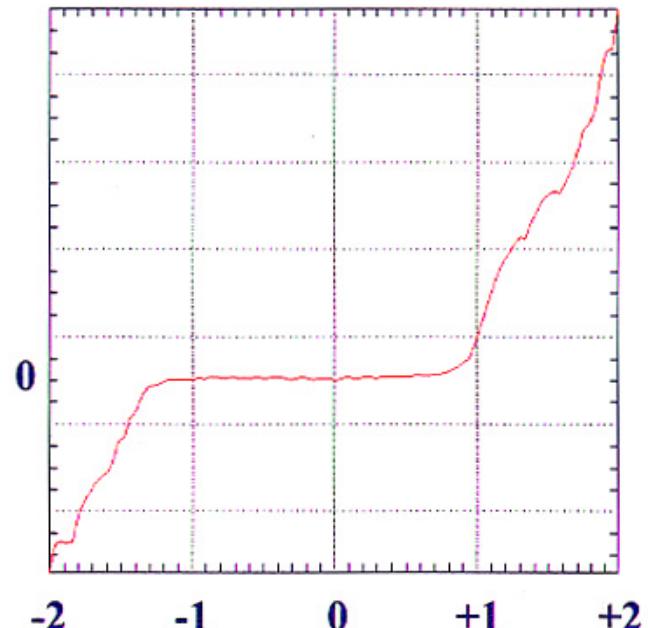


**C<sub>2</sub>H<sub>2</sub>/Si(001)**

T = 650 °C

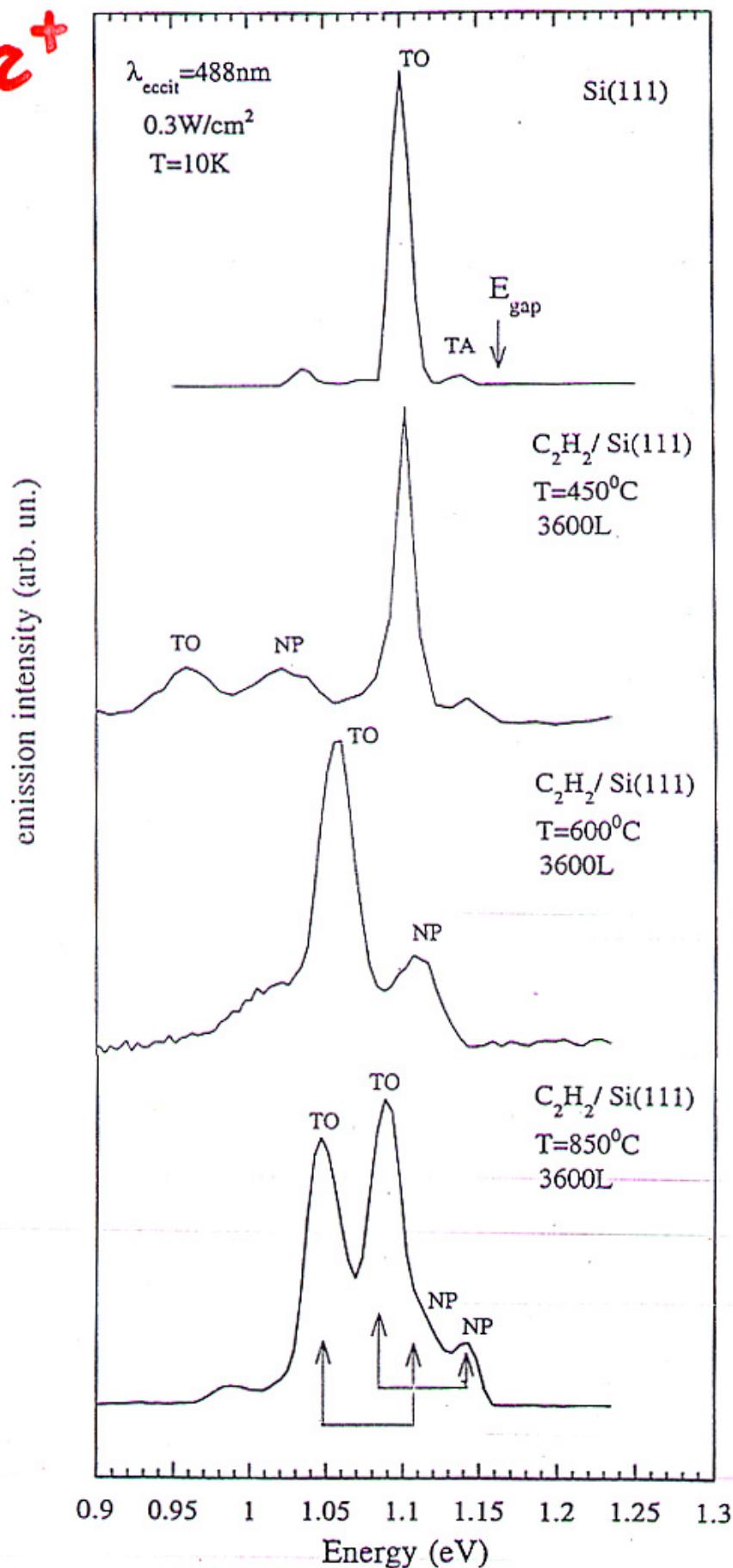
P = 10<sup>-6</sup> torr

t = 10 min



# LUMINESCENCE

$Az^+$



$Si_{(1-x)}C_x$

$C = 2.5\%$

$C = 1.5\%$

$C_1 = 1.5\%$

$C_2 = 1.0\%$

K. Bräuer, K. Eberl and W. Winter, PRL 76, 303 (1996)

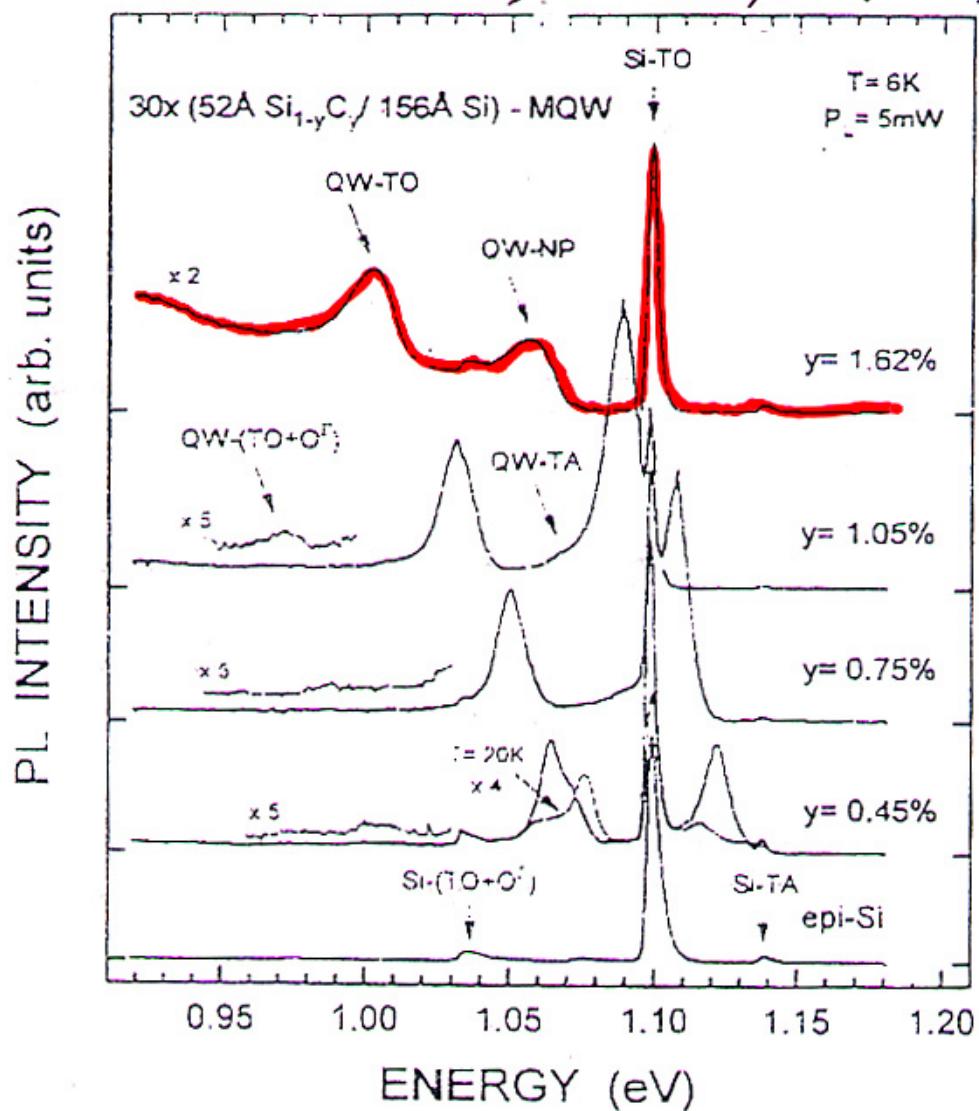
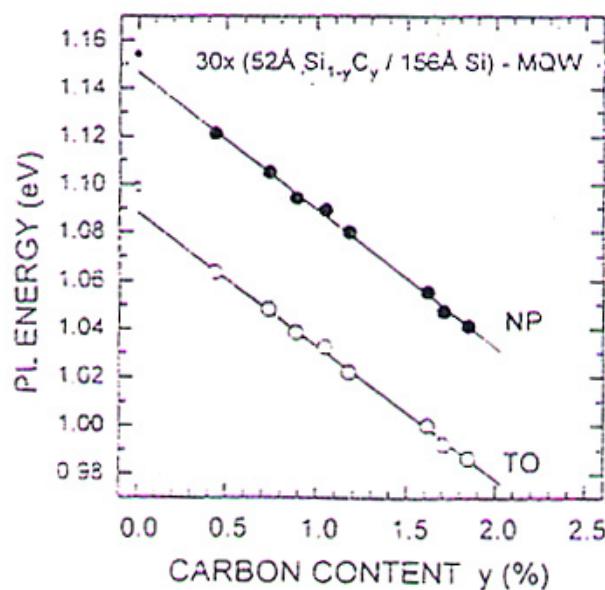
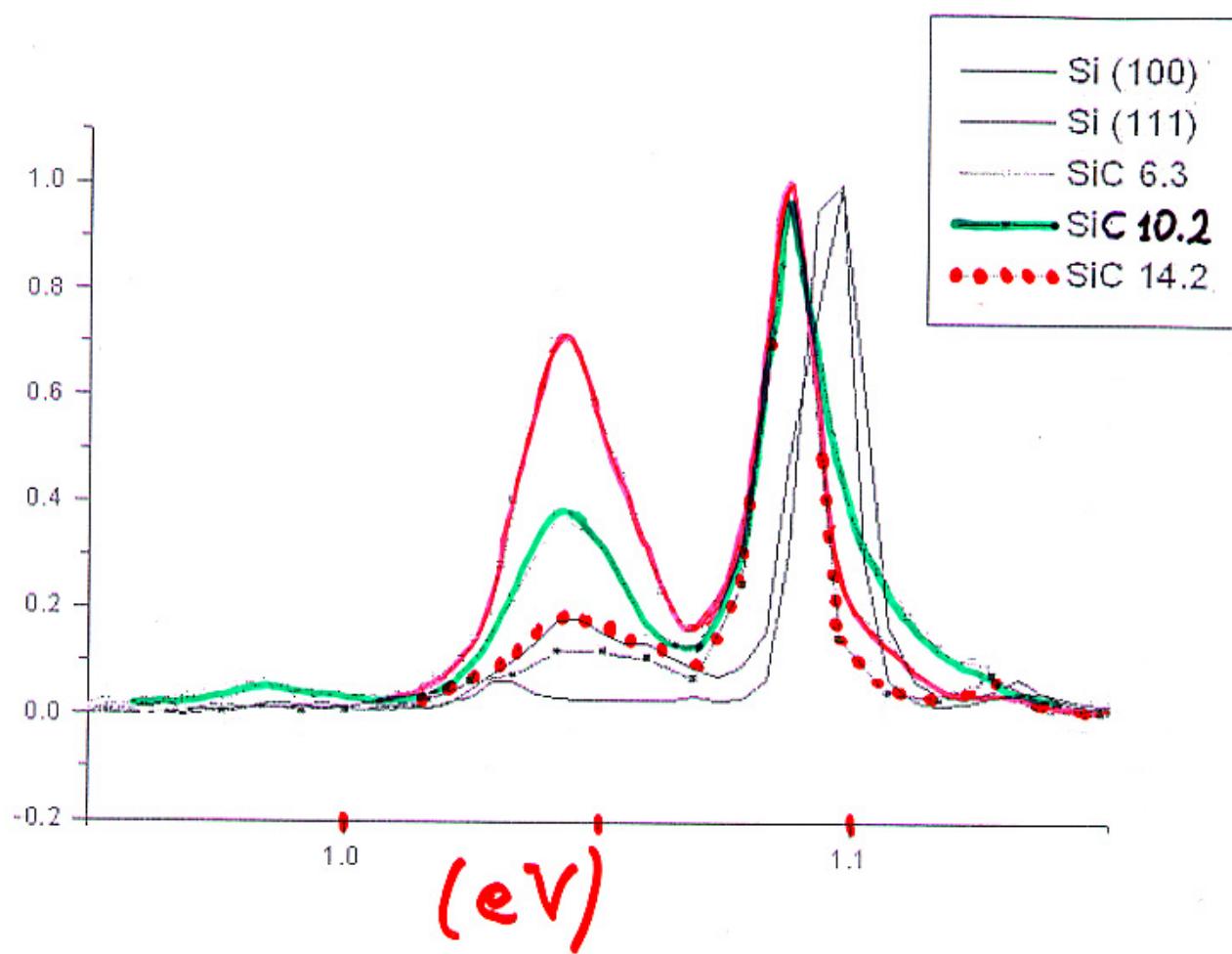


FIG. 2. PL spectra of  $52\text{ \AA}$   $\text{Si}_{1-y}\text{C}_y/156\text{ \AA}$  Si MQW structures with different C content  $y$ . The samples were excited by a  $476\text{ nm}$   $\text{Kr}^+$  laser beam at  $T = 6\text{ K}$ .





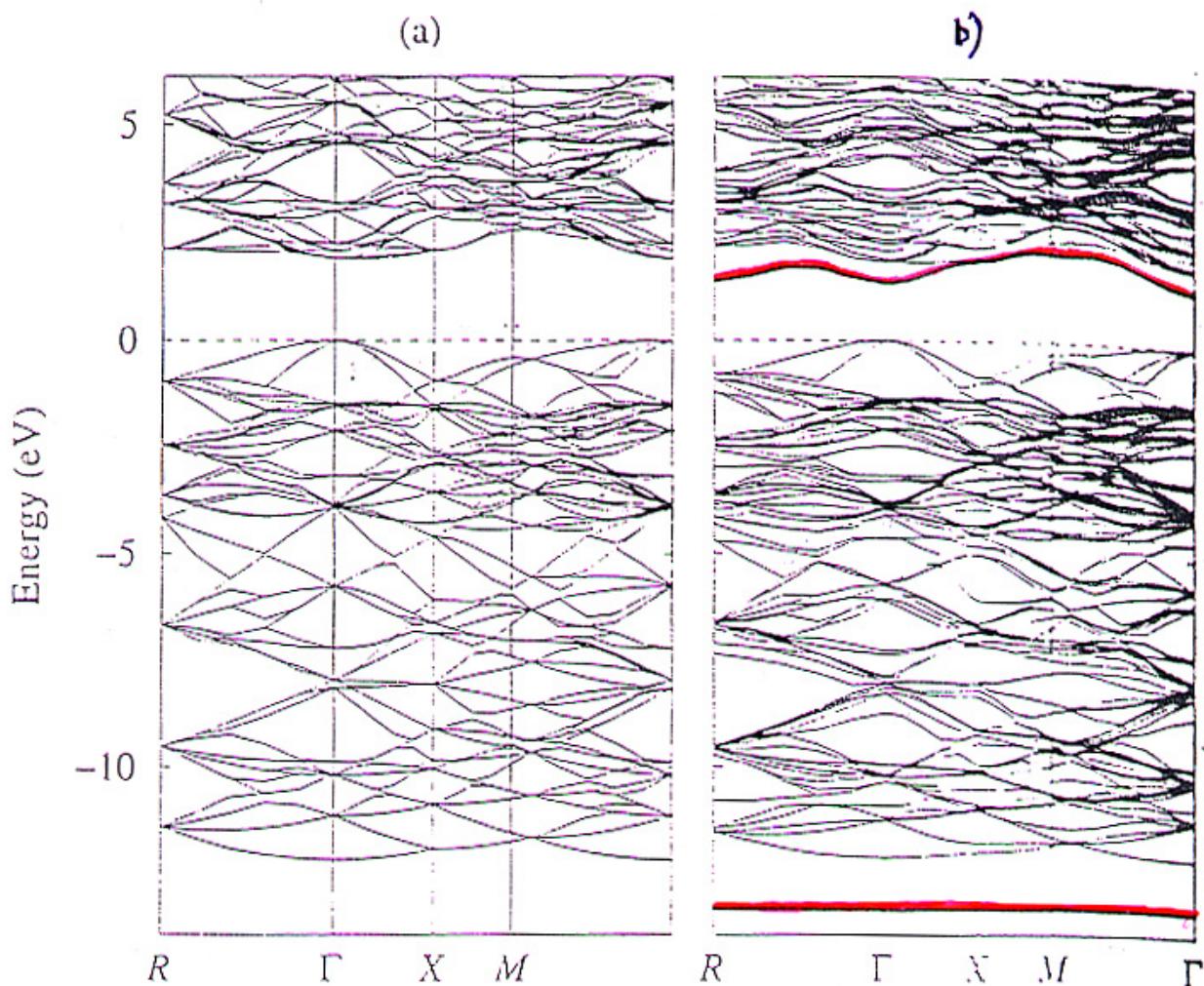
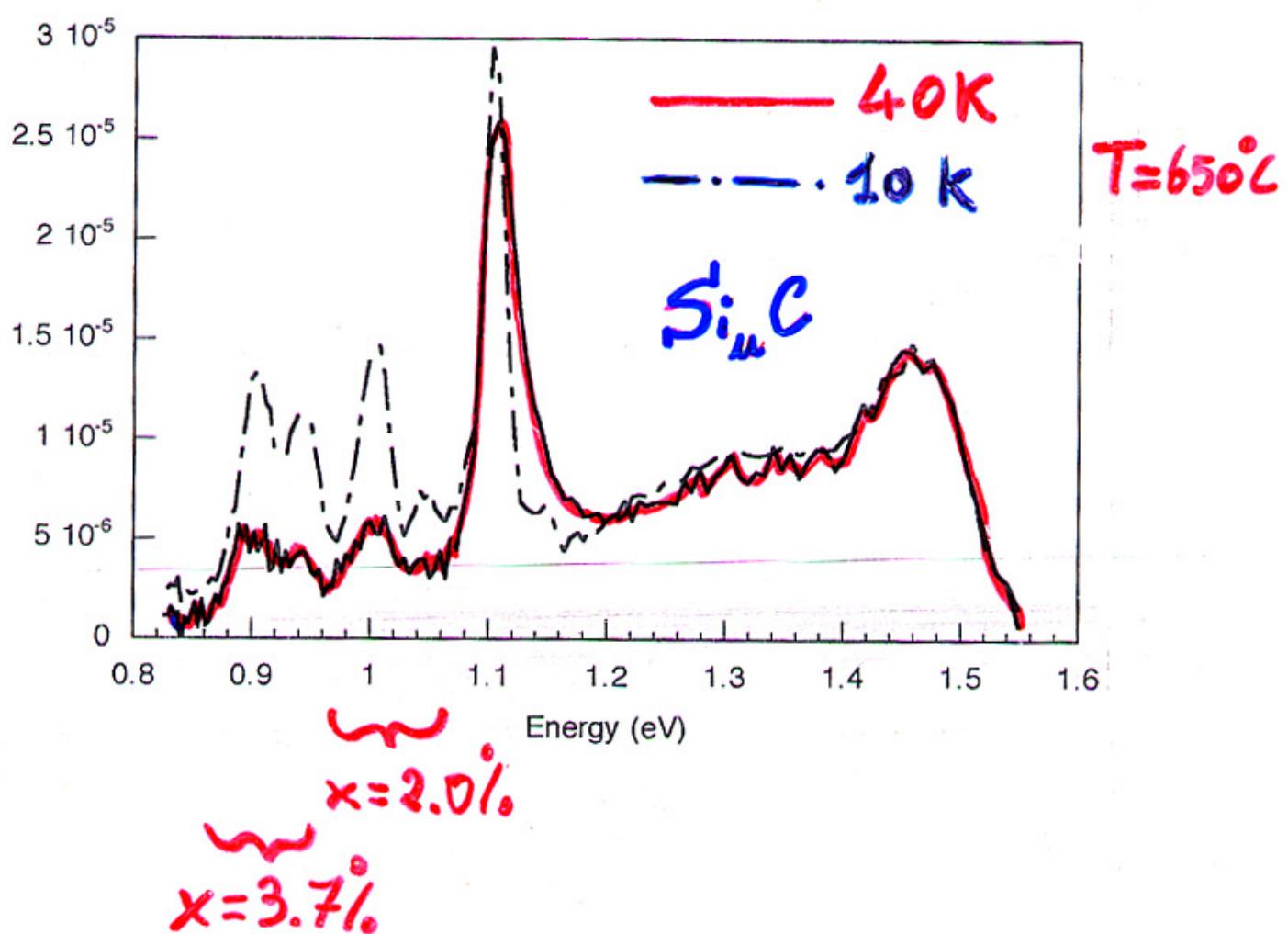
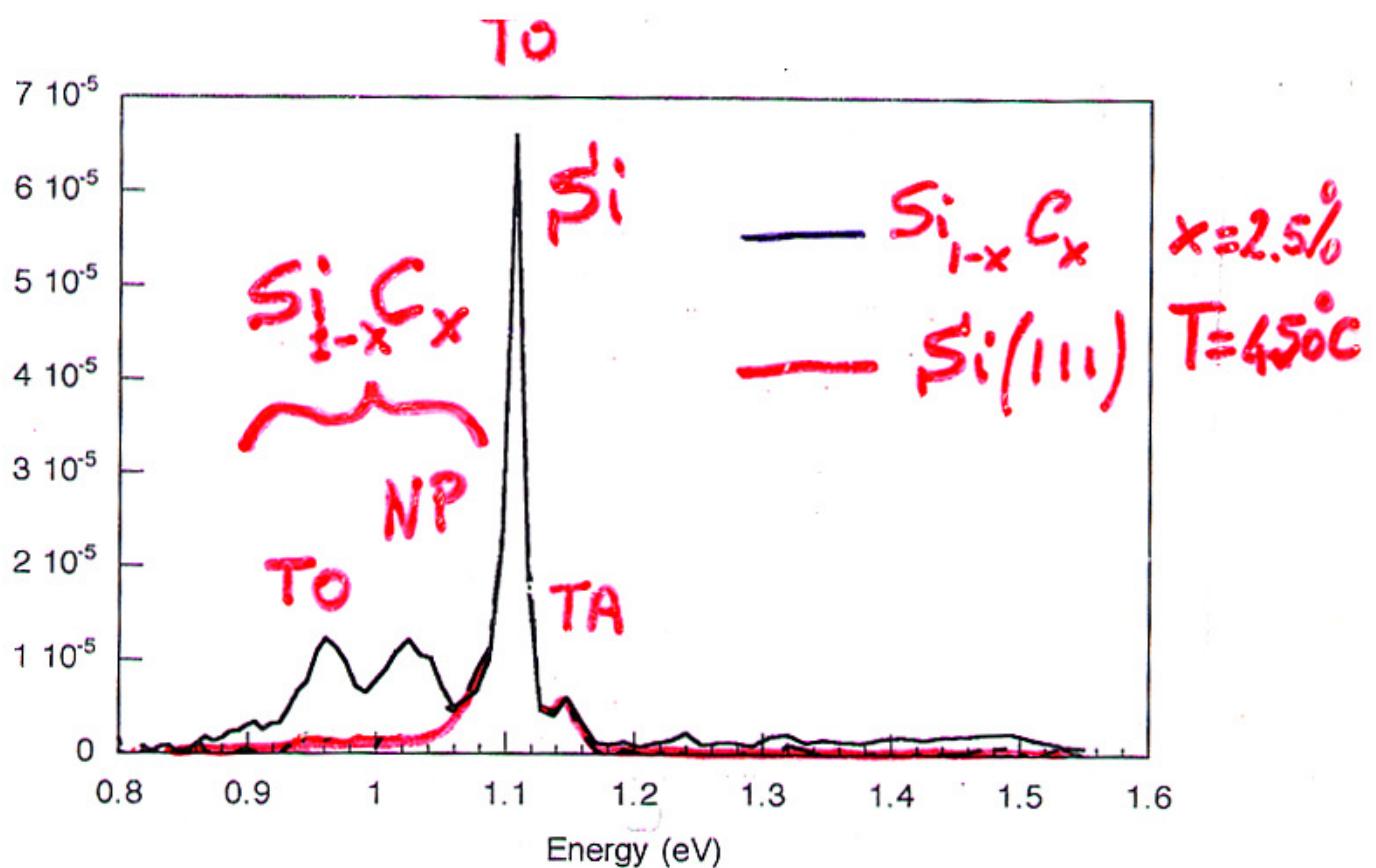


FIG. 4. Theoretical band structure of (a)  $\text{Si}_{64}$  and (b)  $\text{Si}_{63}\text{C}_1$ . Because of the use of a supercell, the band structure for pure Si is folded with the conduction-band minimum—which is close to the  $X$  point of the primitive BZ—being folded back to approximately the  $\Gamma$  point. The thick lines denote the deep and hyperdeep band of the  $\text{Si}_{63}\text{C}_1$  system. The deep band is within the gap region of pure silicon which results in a smaller gap for  $\text{Si}_{63}\text{C}_1$ .

– W. Windl, D.F. Sankey, J. Menendez  
 Phys. Rev. B 57, 2431 (1998)



A. Demkov and O. Sankay, Phys. Rev. B 48, 2207 (93)

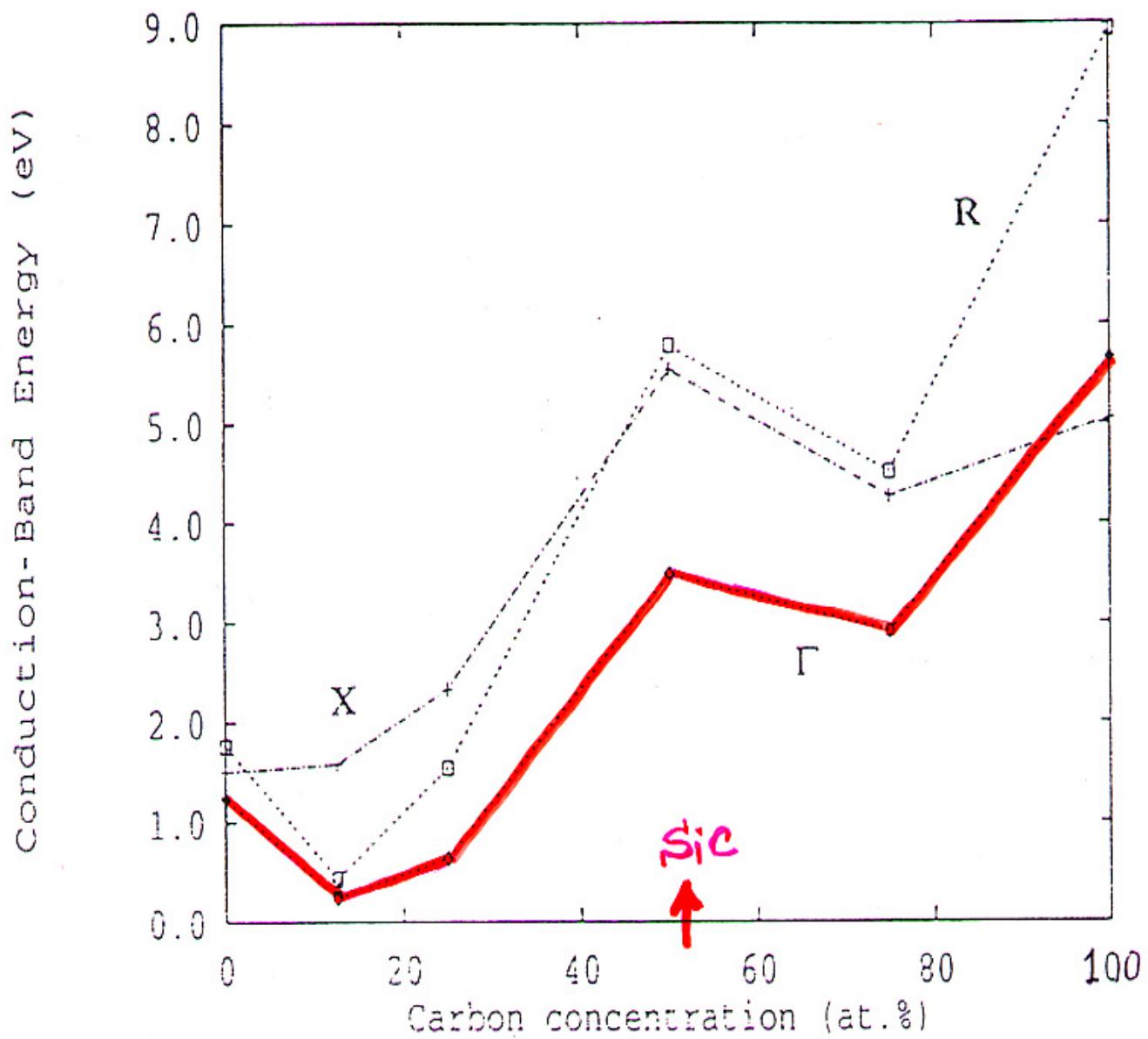


FIG. 1 . Conduction-band eigenenergies at the  $\Gamma$ ,  $R$ , and  $X$  points of the Brillouin zone vs carbon concentration computed for 8-atom cells using the plane-wave method and the Harris energy functional. All cells are unrelaxed (perfect tetrahedral bonding) with a lattice constant determined by Vegard's rule.

## Conclusions

- The carbonization process of a Si(111) and Si(100) clean surfaces exposed to acetylene molecules has been investigated by several UHV techniques, including STM, to follow the surface reaction and chemisorption as a function of different doses and temperatures of the substrates.
- $\text{C}_2\text{H}_2$  chemisorbs at RT on Si(111) surface forming an ordered arrangement with adatoms and restatoms of the  $7\times 7$  reconstruction.
- We found that the best growth condition occurs at very low temperature (around  $600\text{-}650^\circ\text{C}$ ) exposing the substrate to  $10^{-5}$  Torr of  $\text{C}_2\text{H}_2$  for the Si(111) surface orientation. In this case, LEED patterns are good and the STM images show the presence of flat, large nanostructures of about 150 nm. Besides, the study of the Carbon CVV lineshape, of the near carbon K-edge EELS profile and the EELFS features clearly indicate the formation of a single 3c-SiC phase.

- Conversely, for the Si(100) substrate no LEED images have been observed and the STM images show large volcanoes-shaped holes due to the interaction of silicon atoms with acetylene giving rise to the SiC compound. The resulting SiC layer appears fully nanostructured and formed by agglomerated bubbles whose medium size has been found to be in the range of 2.5-4.0 nm.
- Infrared photoluminescence spectra evidence of the formation of a  $\text{Si}_{(1-x)}\text{C}_{(x)}$  alloy with  $x$  of about 1%
- The exposure to acetylene is an highly efficient method to change in a continuous way the near-infrared optical properties of clean silicon surfaces in the spectral range 0.8-1.5 eV.