



ON 2-D MESOSCOPIC MODELING OF A POLY-CRYSTAL

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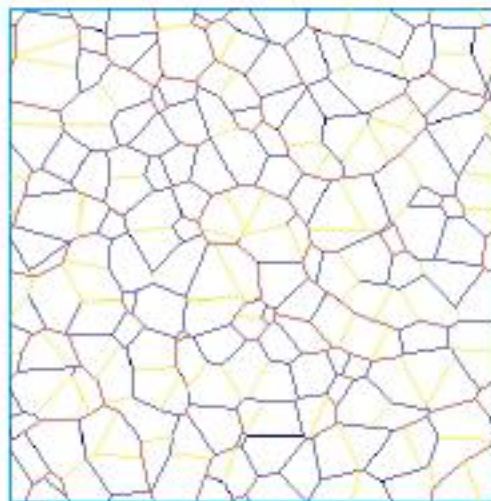
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Summary

- *Simulation of Microstructure evolution:*
Meso-scale approach from nucleation to poly-crystal plasticity.
- *Stochastic Network versus Lattice Markov chain:*
FreeHenthalpy . Friction terms. Transition probability.
- *Vertex mode* for Secondary Growth.
Interacting triple junctions. Scaling laws.
- *Nucleation and Primary Growth*
Transition FreeHenthalpy, Mobility and Nucleation Rate.
- *Anisotropy* effects.
Grain Boundary versus mobility Anisotropy.
- *Elasticity and Dislocation plasticity.*
Constitution equations. Local stresses.

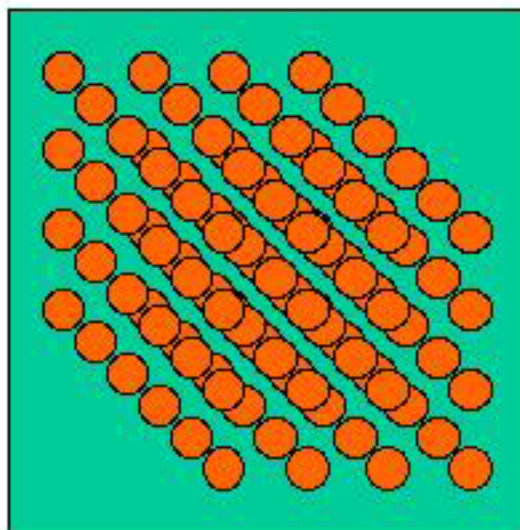
Meso-scale



Mesoscopic Simulations

Driving Functional Π

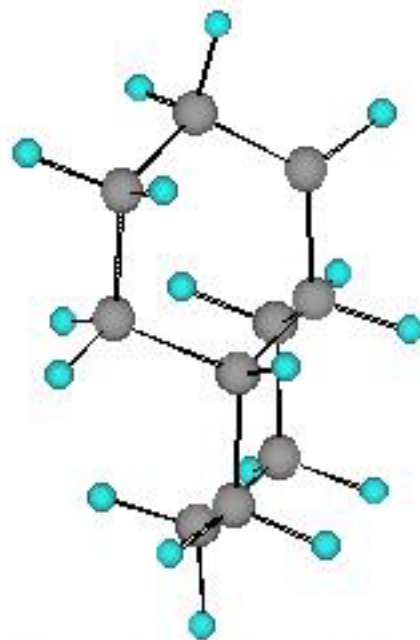
$$W(a \rightarrow b) = 1/\tau e^{-(\Delta\Pi_k \Delta t / k_B T)}$$



Atomistic Simulations

Classical Potential

$$m \cdot \ddot{x} = -\vec{\nabla} V$$



Quantum Simulations

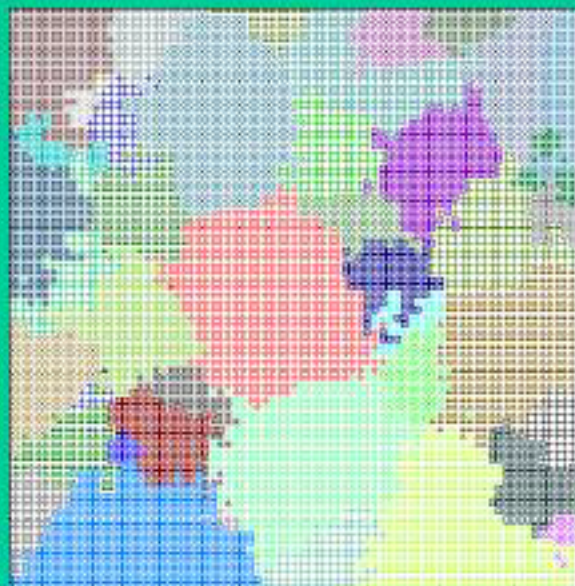
Heisemberg Equation

$$i \frac{\partial}{\partial t} \Psi = H \Psi$$

Twofold Approach

L.S.C
 Lattice Stochastic Chain

Stochastic Lattice

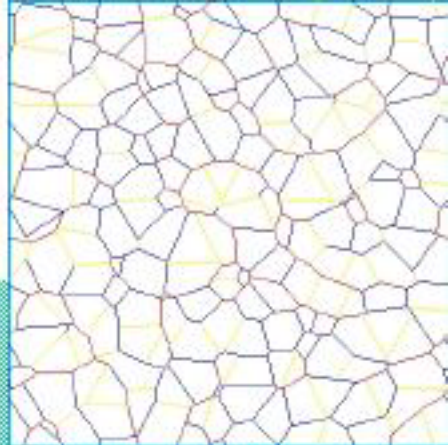


S.N.
 Stochastic Network

Stochastic network



Stochastic Network



Modeling is based on a **"Network evolution"**

- ❖ Physical system is **partitioned** in **cells** of suitable size and shape. Discrete Time-step evolution is assumed. Cells are separated by **interfaces**.
- ❖ Each cell represents a **homogeneous portion** of matter.
- ❖ Each cell is assigned an **order parameter** (Index) representing the state of the system. Several other degrees of freedom are definite: average **temperature**, stress tensor, **strain tensor** etc.
- ❖ A 2-D **crystal lattice orientation** angle is assigned to "solid" cells.
- ❖ A driving **Functional** is introduced that provides physical evolution via an **Extremality Variational Principle**.

Toward Equilibrium



Thermodynamics equilibrium is achieved a **Free enthalpy** minimum

The way the system approaches equilibrium is a general problem that is solved by means of an **ansatz**.

Basic idea is to introduce a suited **Functional** depending on a small number of average physical quantities and a **Variational Principle** to achieve network motion.

The Functional consists of two terms: the **Driving Force** represented by the gradient of Free Enthalpy and a **Friction Term** that provides Inertia to the system:

$$\Pi = \frac{dG}{dt} + \Pi_{in}$$

Network evolution is described by **Variational Equations**:

$$\delta\Pi = 0$$

The Needleman-Rice Variational Functional

Solid state poly-crystalline Grain growth

A. Needleman and J.R. Rice, *Acta Met.* **28**, 1315 (1980)

A.C.F. Cocks and S.P. Gill, *Acta Mater.* **44**, 4765 (1996)

Free Enthalpy depends on Grain Boundaries (G.B.) degrees of freedom only i.e. surface energy (free enthalpy) γ and length L :

$$G = \sum_{N_{GB}} \int_{L_i} \gamma_i ds$$

Therefore **variational functional** Π depends only on the G.B. velocity field $v(s)$ as independent degrees of freedom defined on the collection of grain-boundaries:

$$\Pi[v] = \sum_{N_{GB}} \left(\int_{L_i} \gamma_i \kappa_i v_n(s) ds + \int_{L_i} \gamma_i \frac{\partial v_s(s)}{\partial s} ds + \int_{L_i} \frac{v_n^2(s)}{2\mu_i} ds \right)$$

The three terms describe **curvature-driven** boundary migration, **line tension imbalance** at triple junctions and **viscous resistance** to boundary motion. Parameter μ will be referred to as GB **mobility**

Additional terms to describe GB rotation, sliding, diffusion are already available....

(see: Pan-Cocks-Kucherenko, *Proc. R. Soc. London A* **453**, 2161 (1997))

Velocity Monte Carlo Scheme

(F. Cleri, *Physica A* 282, 339 (2000))



Adopting a **straight boundaries** constraint, dynamics will depend on **Triple Junctions** (“*vertex-model*”) only.

Extremality of (free enthalpy) energy dissipation rate is achieved by means of a Monte Carlo scheme. As a consequence, the **probability** per unit time of occurrence of a given configuration is written in terms of a **transition rate** between two microscopic configurations a and b , $W(a \rightarrow b)$, as a function of the energy dissipation rate:

$$W(a \rightarrow b) = 1/\tau e^{-(\Delta\Pi_k \Delta t / k_B T)} \quad \text{if } \Delta\Pi_k > 0 \quad \text{for a variation of } \mathbf{v}_k \rightarrow \mathbf{v}_k'$$

$$W(a \rightarrow b) = 1/\tau \quad \text{if } \Delta\Pi_k \leq 0$$

the Needleman-Rice functional reducing to a function of the discrete set $\{\mathbf{v}\}_k$, e.g. :

$$\Pi(\mathbf{v}_k) = \sum_{i=1,3} \{ \gamma_i (\mathbf{v}_{s,i} - \mathbf{v}_{s,k}) + (L_i/6\mu_i) [(\mathbf{v}_{n,i})^2 + (\mathbf{v}_{n,k})^2 + \mathbf{v}_{n,i} \mathbf{v}_{n,k}] \}$$

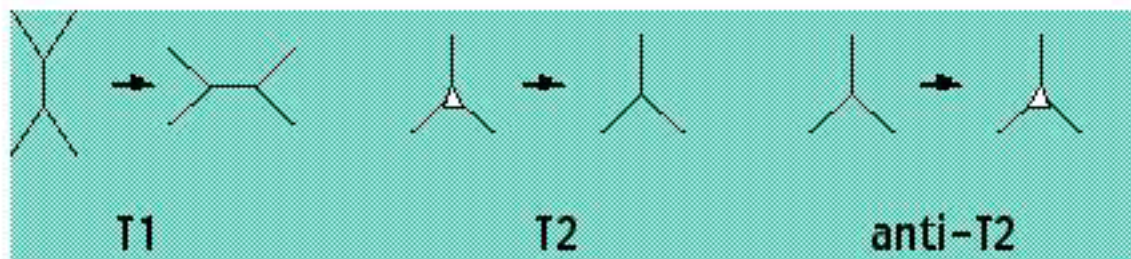
This is a “velocity MC method!”

For a random variation of $\mathbf{v}_k \rightarrow \mathbf{v}_k'$

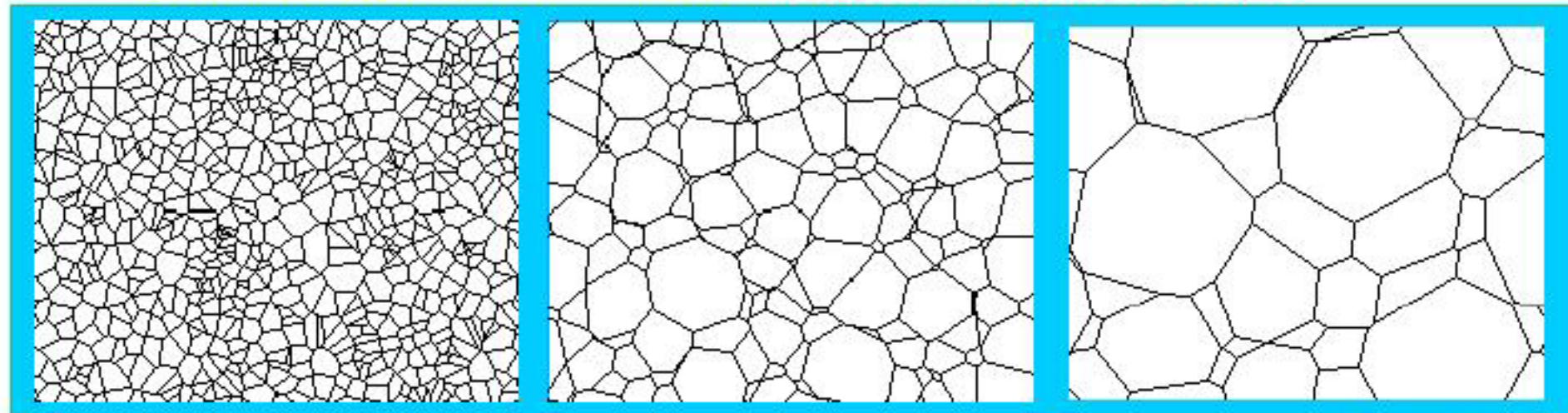
$$\Delta\Pi_k = \Pi(\mathbf{v}_k') - \Pi(\mathbf{v}_k)$$

Grain Growth

In order to account for grain and boundary annihilation or creation, three additional **topological moves** (or “rules”) were also introduced:

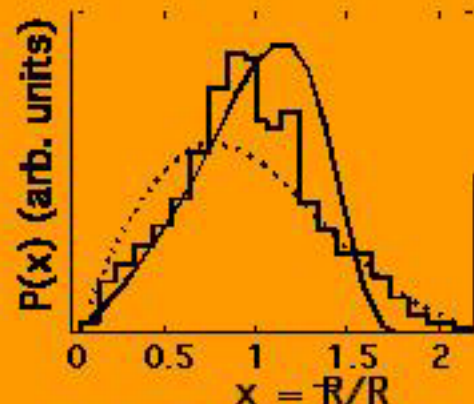


Typical example of 2D modeling of **“normal” grain coarsening**

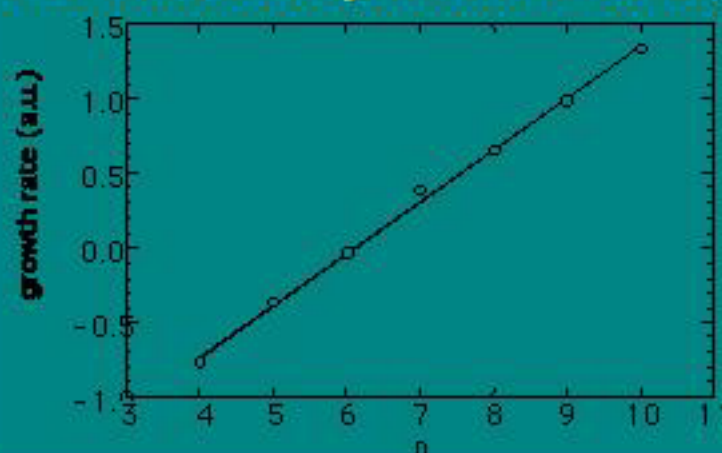


Scaling laws

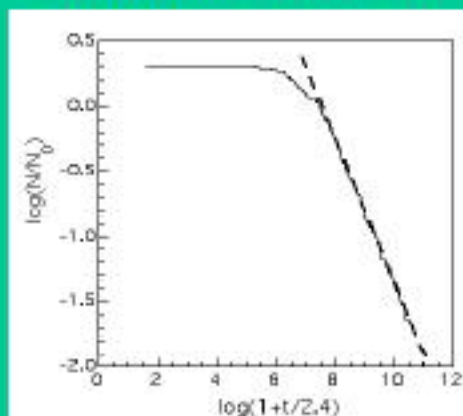
Grain size distribution



Growth velocity vs number of edges



Grain-size scaling



$$\frac{dA_n}{dt} \approx n - 6 \quad \text{Von Neuman law is respected:}$$

$$\langle d \rangle \approx t^{2.4} \quad \text{Number of grains decrease as a power}$$

Size distribution follows Reileigh distribution initially and Hillert distribution at large diameters

Mobility and GB Energy anisotropy

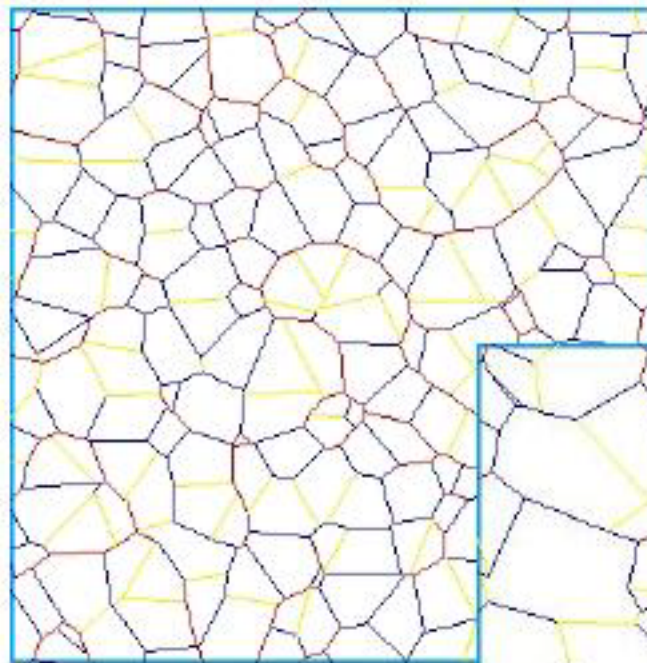
γ and μ depend on Misorientation

Both GB energy and mobility are assumed to be functions of the **relative misorientation** of grains. Each grain is assigned an absolute value of rotation θ_k with respect to the common polar axis. The GB energy is given by the **Read-Shockley** formula as a function of $\Delta\theta = \theta_k - \theta_n$:

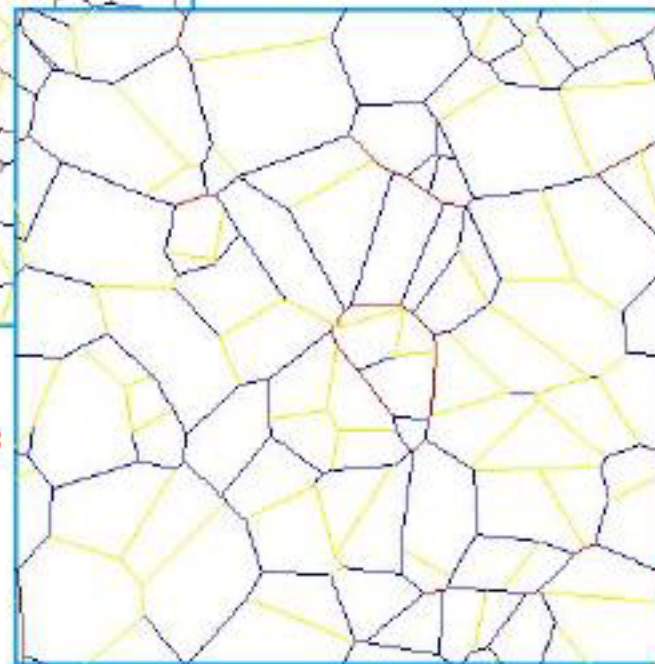
$$\gamma(\Delta\theta) = \gamma_0 \Delta\theta [A - \log(\Delta\theta)]$$

For the GB mobility an expression already adopted also by other authors is:

$$\mu(\Delta\theta) = \mu_0 \Delta\theta^{0.2}$$



example of anisotropic grain growth ---->



F.Cleri and G. D'Agostino,
J. Mater. Res. to appear (2001)

F.Cleri, G. D'Agostino, A. Satta &
L. Colombo, *Comp. Mat. Sci.* to
appear (2001)

Mobility anisotropy only induces a different behavior



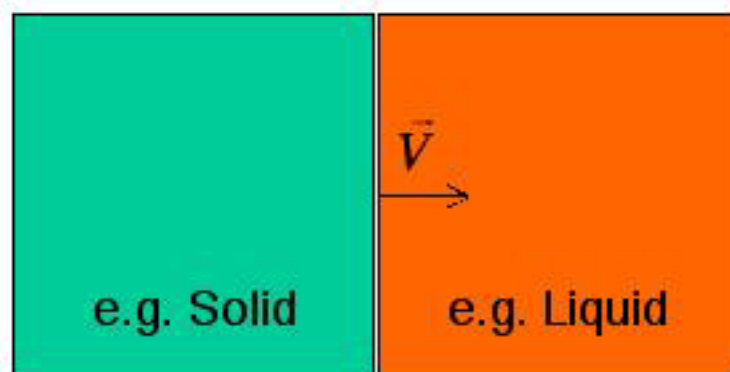
Multi-Phase Systems

So far single phase domains have been involved. When the system is phase inhomogeneous the total Free Enthalpy acquires a phase dependent “**volume term**” (actually, a surface term in D=2):

$$G = \sum_{i \in N_p} \int_i G_V(\phi_i) dV$$

As a consequence, the driving functional becomes:

$$\Pi_{PT}[v] = \Pi_{NR}[v] + \sum_{i \in N_p} \int_{L_i} \Delta G_{L_i} v_n ds$$



Solving the equation for a planar interface:

$$\frac{v}{\mu} = \Delta G$$



Primary Growth

Single nucleus results

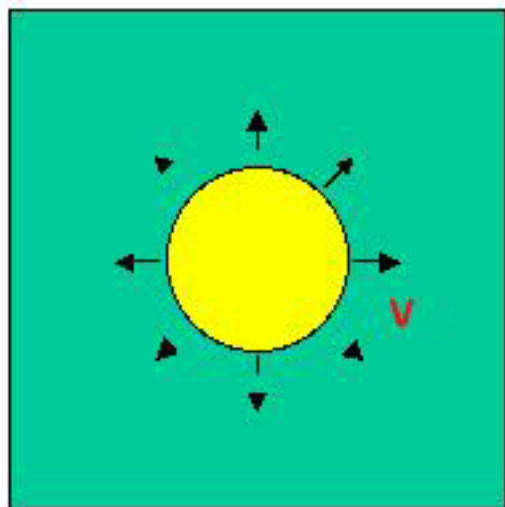
Applying the previous formalism to a spherical embryo creation one obtains the following functional:

$$\Pi_{PT}[v] = 2\pi \cdot R \cdot \frac{v^2}{2\mu} + 2\pi \cdot R \cdot v \cdot \Delta G_v - 2\pi \cdot v \cdot \gamma$$

Variational principle provides nucleus evolution equations:

$$2 \frac{1}{\mu} \frac{dR}{dt} = -\Delta G_v + \frac{\gamma}{R}$$

This behavior was first postulated
by Hillert M. 1965 *Acta Metall* **13**,227



Primary growth law

$$\frac{dr}{ds} = \pm \left(1 + \frac{1}{r} \right)$$

$$t = r - r_0 + \log \left(\frac{r-1}{r-r_0} \right) + t_0$$

Scaled variables:

Critical radius: $R_c = \gamma / \Delta G$

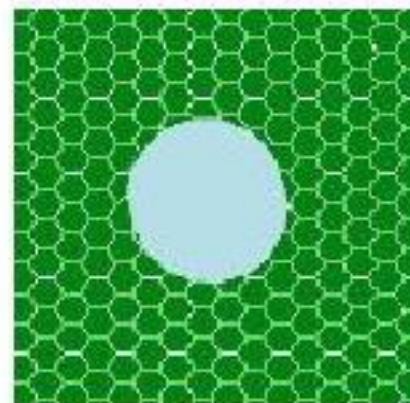
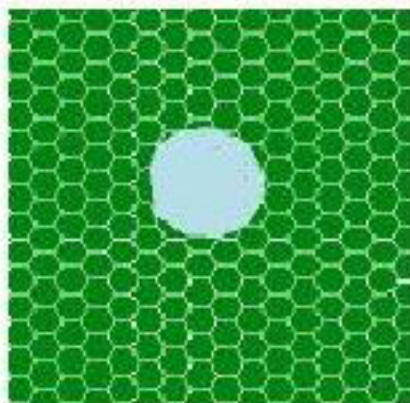
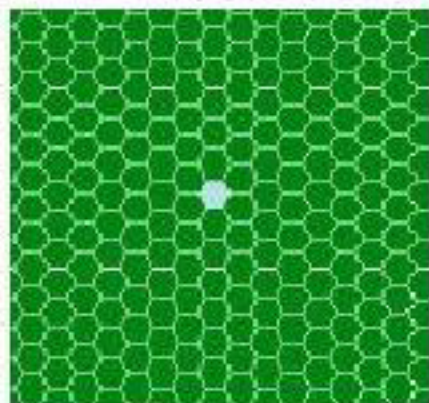
Scaled time $s = \mu \Delta G / 2R_c$

Reduced radius $r = R / R_c$

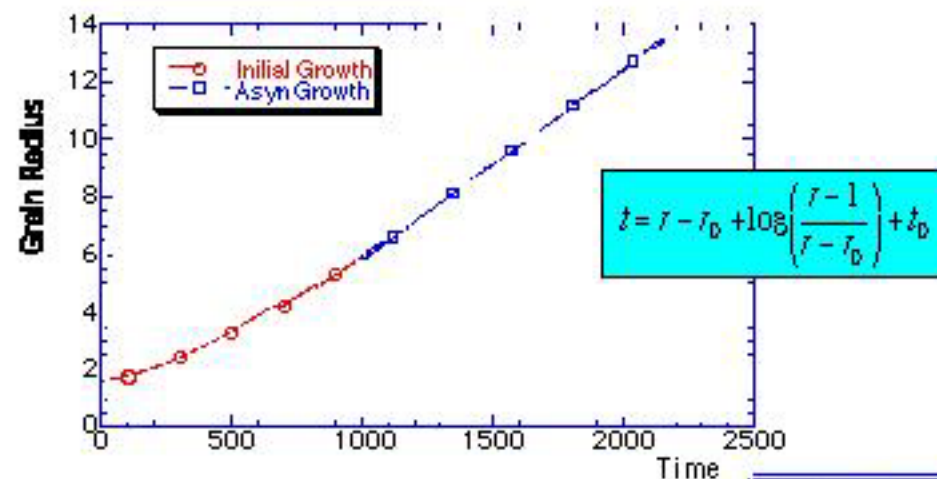
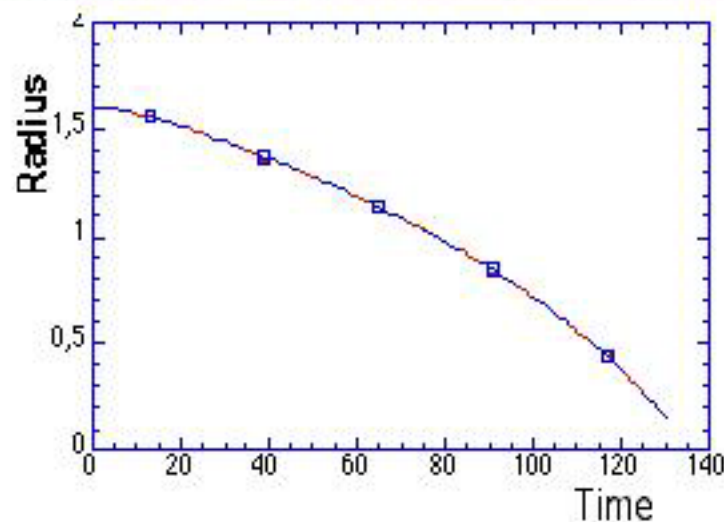
Embryo Evolution



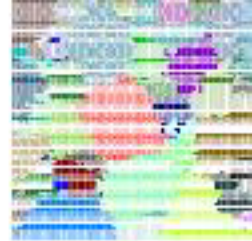
Green cells cover a unique **meta-stable phase** domain (e.g. a overcooled liquid domain). Light blue cell represents **more stable nucleating phase**.



Edges separating greencells represent a computational artifact and do not contribute to physical quantities or network dynamics



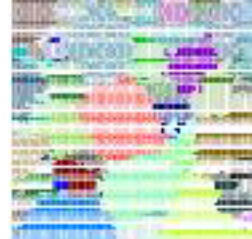
Lattice Stochastic Chain



The model represents a **"discrete Markov chain"**

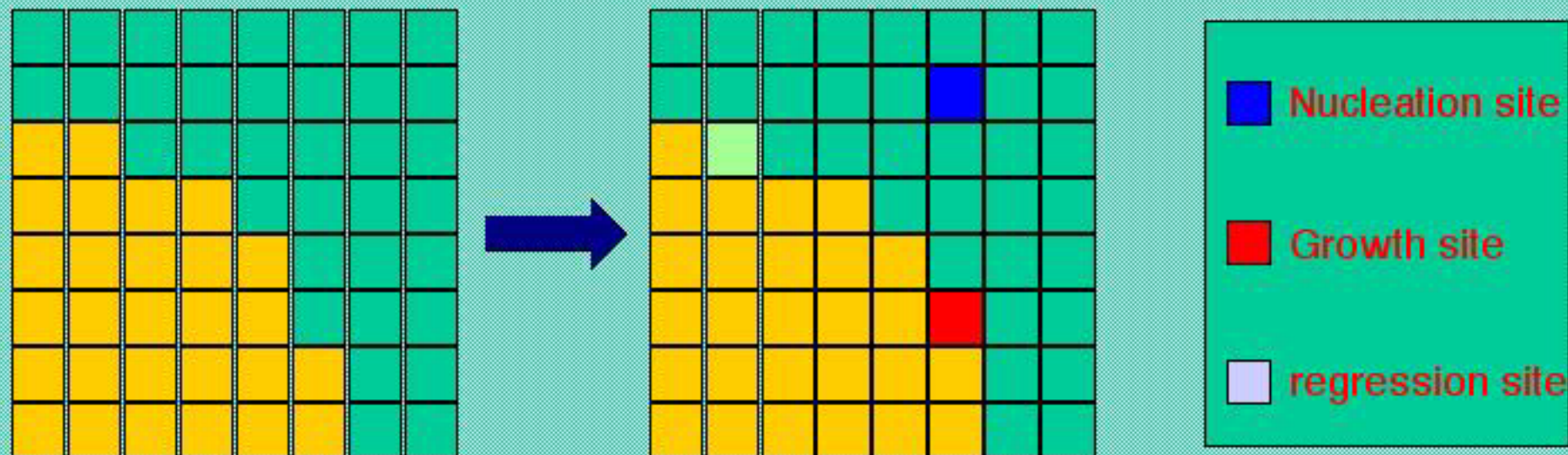
- ❖ Physical systems are **partitioned** by an assigned (squared) **lattice**. Discrete Time-step evolution is assumed.
- ❖ Each site represents a **homogeneous portion** of matter.
- ❖ Each site is assigned an **order parameter** (Index) representing the state of the system. Several other degrees of freedom are definite: average **temperature**, stress tensor, strain tensor etc.
- ❖ A 2-D **crystal lattice orientation** angle is assigned to "solid" sites.
- ❖ A stochastic **transition matrix** is defined accounting for nucleation and growth events.

Phase Transition Events



At any time step two possible processes have been accounted:

- **Nucleation** Spontaneous phase transition.
- **Phase growth** Aggregation to an existing contiguous phase.

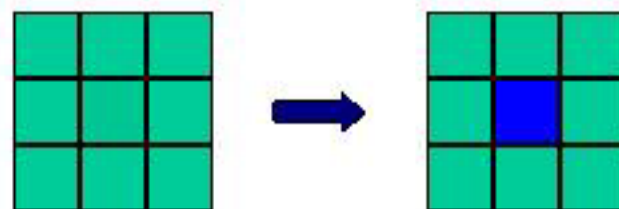


Transition Matrix

Lattice evolution is determined by the **transition probability matrix**

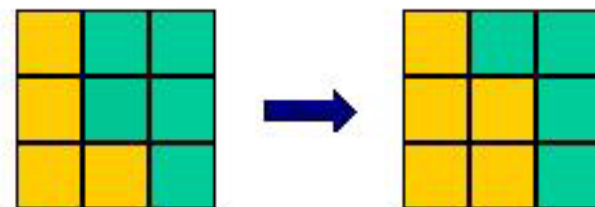
Assigned a **nucleation rate**: Γ , the critical nucleus formation probability per time step Δt is:

$$P_{nucl} = 1 - e^{-\Gamma \cdot \Delta t} \cong \Gamma \cdot \Delta t$$



Assigned a **growth probability** per unit length unit time: p the probability of including a site in a formed phase is:

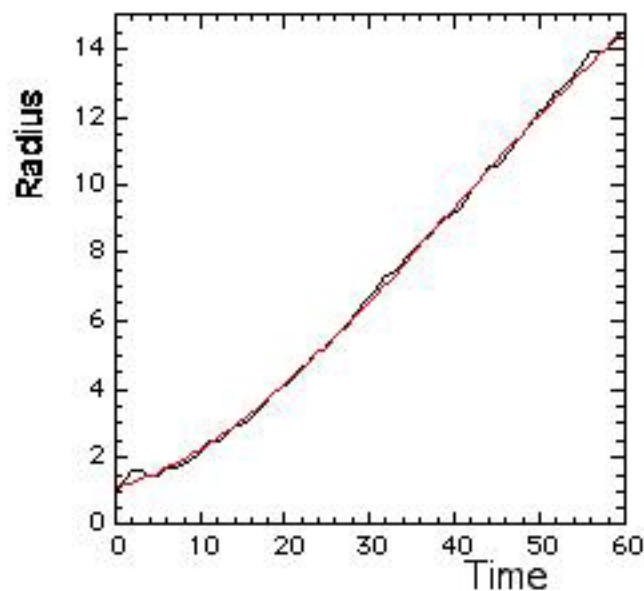
$$P_{incl} = 1 - \left[1 - \left(1 - e^{-p \cdot \Delta t} \right) \right]^N \cong N \cdot p \cdot \Delta t$$



N is number or neighboring sites of the growing phase.

Primary Growth

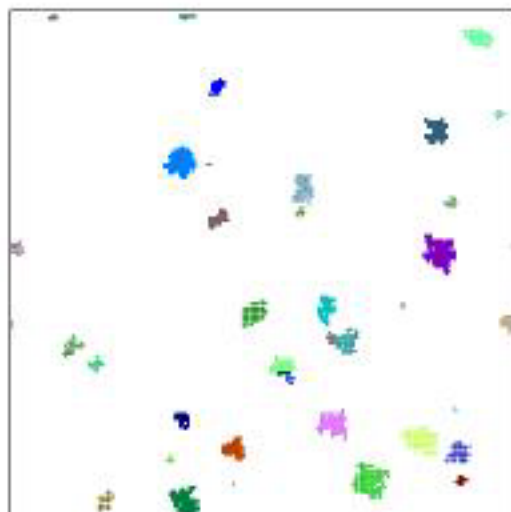
Single grain Growth



Quite surprising a single seed grows following the same universal law found for the Stochastic Network approach.

$$t = r - r_0 + \log\left(\frac{r - 1}{r - r_0}\right) + t_0$$

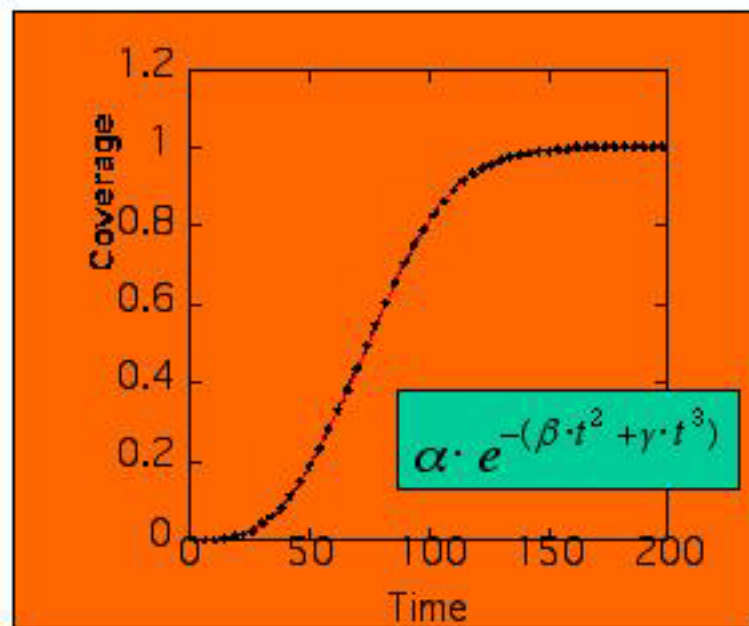
Typical Primary Growth



Space exhaustion

Primary growth stops when grains come in contact. By this way the space is totally filled.

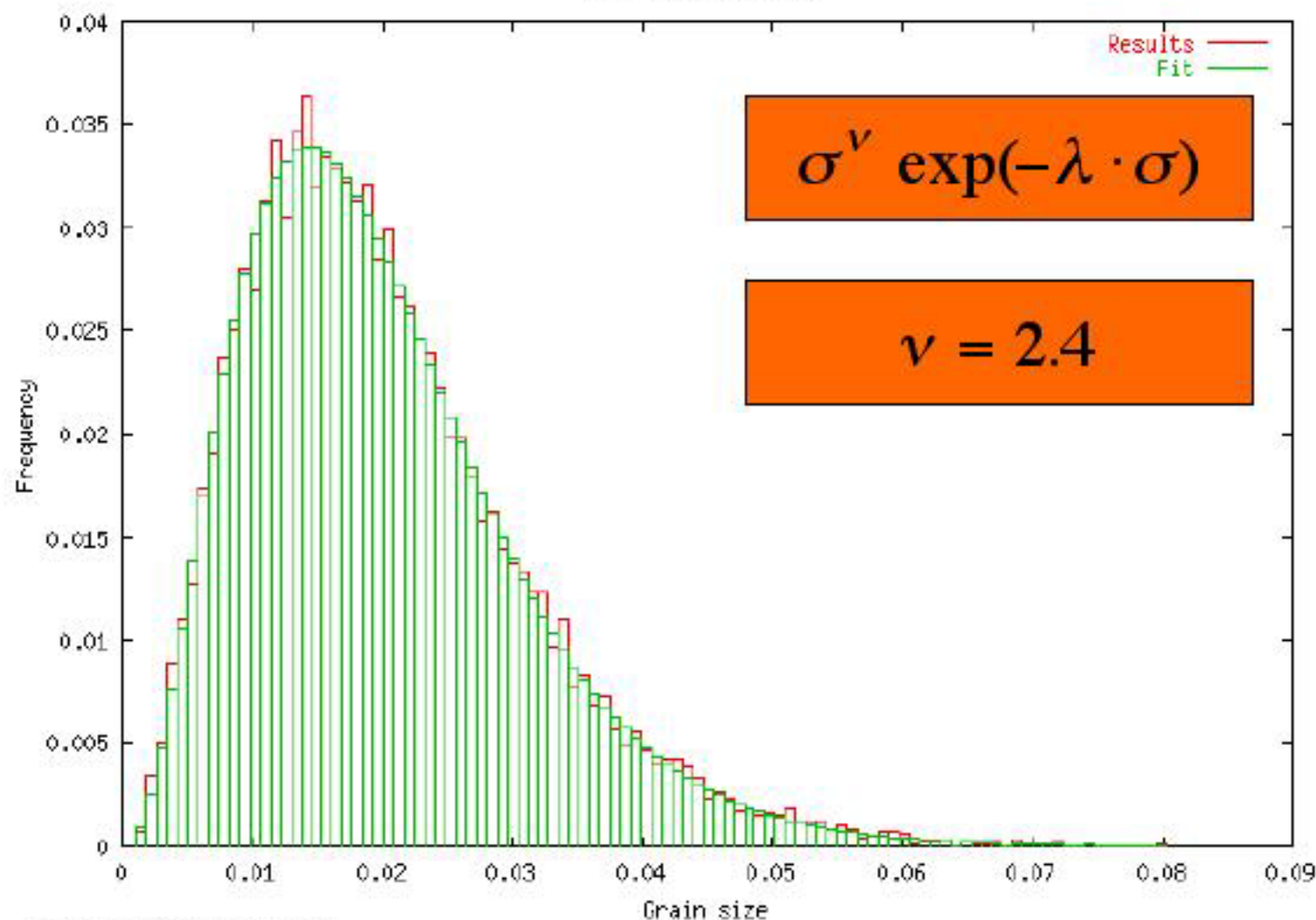
Space filling follows a (suitable variation of) Johnson, Mehl, Avrami, Kolmogorov Theory



Grain Size Distribution

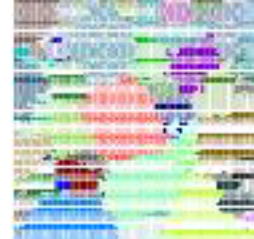
Impurity dominated nucleation

2-D Primary Growth



Wed Oct 24 20:13:28 2001

Heat flow



Heat flow is accounted at any time step by solving deterministic

$$\text{Fourier Equation } \frac{\partial u}{c \partial t} = -\Delta u + W$$

The variable U represents local internal energy (related to temperature) and

The constant C represents the product of specific heat times conductivity.

It contains all physical information as system local isotropy is assumed

W represents the resulting power:

$$W = W_{Ext} + W_{P.T.} + W_I$$

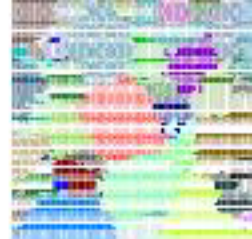
W_{ext} represents an external source term (e.g. laser supply)

$W_{P.T.}$ represents latent heat of possible (first order) Phase Transition

and

W_I represents heat released at interfaces and triple Junctions due to curvature or surface (i.e. length in D=2) reduction.

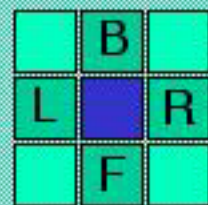
Temperature Evolution



Heat flow is accounted at any time step by an approximate solution of Fourier Equation:

$$u(t + \Delta t) - u(t) = \alpha [u_L(t) + u_R(t) + u_F(t) + u_B(t) - 4u(t)] + Q$$

B, F, L, R label neighbors, α is a suited function and Q represents power released during integration time (times c).



$$\alpha = \frac{1}{g} \left(1 - e^{-g \frac{c \Delta t}{\Delta x^2}} \right) \cong \frac{c \Delta t}{\Delta x^2}$$

$$\alpha_{\infty} = \frac{1}{g}$$

$g=5$ (i.e. number of neighbors plus one) represents the correct asymptotic value for an isolate cluster as time interval goes to infinity. It allows **energy conservation**

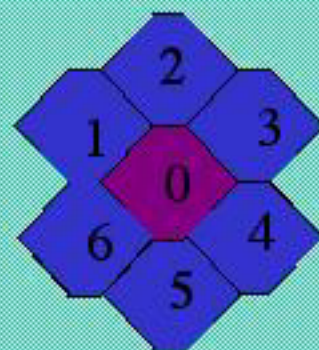
$g=4$ represents the correct asymptotic value when an infinite system sustains neighbors temperature.

Deterministic Temperature Evolution S.N.



Iterative temperature evolution for **stochastic net**

$$u(t + \Delta t) - u(t) = \alpha \cdot \left[\sum_{i=1}^N L_i \cdot u_i - L \cdot u(t) \right] + Q$$



*Index i spans on all neighboring cells
 α is a time interval dependent function*

$$\alpha = \frac{1}{g} \left(1 - e^{-g \frac{c \Delta t}{\Delta x^2}} \right) \quad g \approx L + L_0 \quad i = 1, \dots, \text{Neighbors}$$

$$L = \sum_{i=1}^N L_i \quad L_i \text{ can be length or surface of neighboring cells}$$

Present **ansatz** represents a rough approximation for large cell sizes.

To improve temperature control **ghost** cells are introduced

Including Dislocations

A continuum distribution of dislocations in each grain

Application of an external load results in **additional energy-dissipation terms** in the functional $\Pi[v]$:

$$\Pi_D[v] = \Pi[v] + \sum_{N_D} \left\{ \int_S \Omega_{elastic}(\epsilon_{kl}, \dot{\epsilon}_{kl}) dS + \int_S \Omega_D(\dot{\epsilon}_{kl}) dS \right\} - \int_{L_{ext}} (T \cdot v) ds$$

elastic deformation energy
plastic deformation energy
external loading

The **elastic energy dissipation** is trivially obtained by calculating the effective strain in each grain:

$$W_{elastic} = \frac{1}{2} C_{\alpha\beta\gamma\delta} \epsilon_{\gamma\delta}^{(k)} \epsilon_{\alpha\beta}^{(k)} \quad \longrightarrow \quad \Omega_{elastic} = \frac{\partial W}{\partial t} = C_{\alpha\beta\gamma\delta} \epsilon_{\gamma\delta}^{(k)} \dot{\epsilon}_{\alpha\beta}^{(k)}$$

The **plastic energy dissipation** is obtained from a non-linear-viscous stress strain relation as:

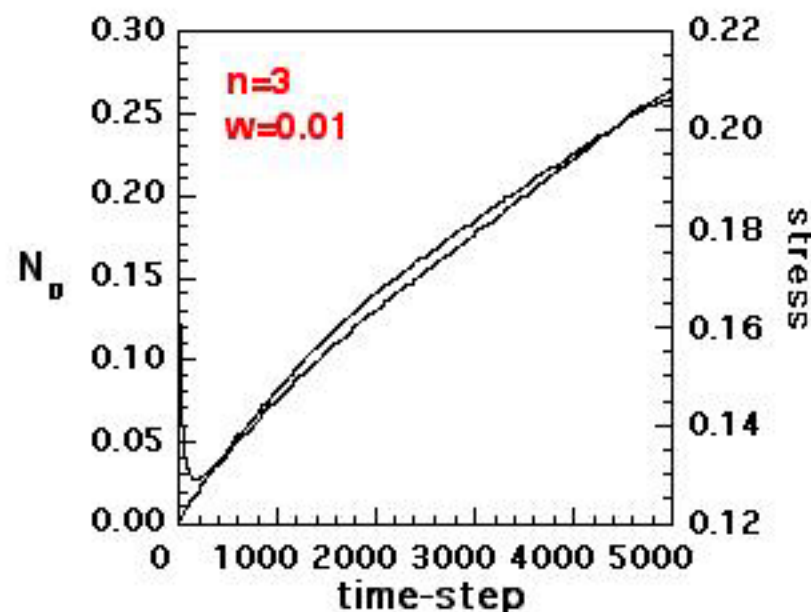
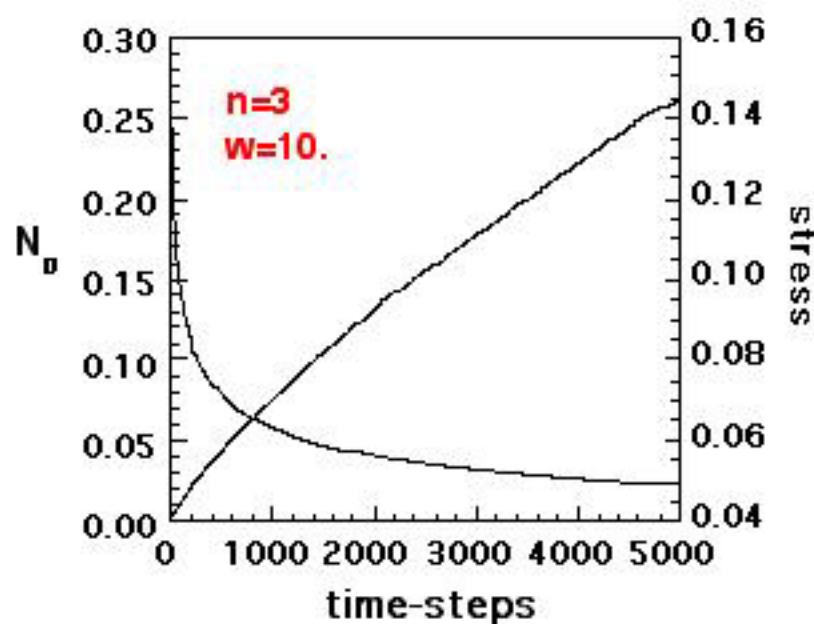
$$\int_0^{\dot{\epsilon}_{kl}} \sigma_{ij} d\dot{\epsilon}_{ij} = \Omega_D(\dot{\epsilon}_{kl}) = \frac{n}{1+n} B(N_D) \dot{\epsilon}^{(1+n)/n}$$

for a continuously-evolving **dislocation density** in each grain:

$$\frac{dN_D}{dt} = k N_D (\tau - A N_D^{1/2})^{m+1} \exp(-U/kT)$$

Preliminary results

The model is still in its infancy. We are still checking that under simple conditions (e.g. a few steps of straining at constant rate) the average quantities evolve as expected:



Evolution of the grain-averaged dislocation density and internal plastic stress for two different sets of model parameters.

Conclusions

- We have assessed a versatile bi-dimensional tool that allows (qualitative) reproduction of different phenomena such as: Phase Nucleation, Primary Growth, Grain Coarsening Elastic and Plastic Deformation of poly-crystalline systems. Heat flow control is also accounted.
- Consistent Results from both Stochastic Network and Lattice Markov Chain were presented concerning 2-D primary growth.
- Future theoretical work on the subject will possibly focus on:
 - Dendritic primary growth simulation.
 - A deeper understanding of dislocation dynamics.
 - Improving temperature control
 - Extension to 3D System (ongoing for Lattice Markov Chain)