
Heavy Quark Effective Theory

ANEESH MANOHAR

UNIVERSITY OF CALIFORNIA, SAN DIEGO

Reference

- A.V. Manohar and M.B. Wise,
Heavy Quark Physics,
Cambridge University Press (2000)

Outline

- Basic Ideas
 - Heavy quark spin-flavor symmetry
 - HQET Lagrangian
 - Hadron Multiplets
 - Masses
 - Hadron Fields
 - States
 - Decay Constants
 - $B \rightarrow D$ form factors
 - Isgur-Wise function

-
- Radiative corrections
 - RG evolution
 - Matching
 - Application
 - $1/m$ corrections
 - Lagrangian
 - Reparameterization Invariance
 - Luke's theorem
 - Extracting V_{cb}

Outline

- Inclusive Decays (SKIP)
 - Kinematics
 - OPE
 - Endpoint region
 - Extracting V_{cb} and V_{ub}

Introduction

QCD describes the dynamics of quarks, and has a non-perturbative scale $\Lambda_{\text{QCD}} \sim 200 \text{ MeV}$.

Simplifications when $m_Q \gg \Lambda_{\text{QCD}}$

A **single** heavy quark interacting with light particles can be described by an effective field theory known as **HQET**.

Applied to c and b quarks. The t -quark decays via $t \rightarrow bW$ before it forms hadrons. The width in the standard model is $\Gamma_t \approx 1.5 \text{ GeV}$

NRQCD

Systems with two heavy quarks (such as J/ψ , Υ or $t\bar{t}$ near threshold) are described by a **completely different** effective theory, NRQCD [non-relativistic QCD].

Velocity Superselection Rule

Consider a heavy quark Q interacting with light degrees of freedom, such as light quarks and gluons.

$$v^\mu = \frac{p^\mu}{m_Q}, \quad \delta v^\mu = \frac{\delta p^\mu}{m_Q} \sim \frac{\Lambda_{\text{QCD}}}{m_Q} \rightarrow 0$$

Quark has a constant velocity.

$$[x, v] = \frac{1}{m_Q} [x, p] = \frac{i\hbar}{m_Q} \rightarrow 0.$$

Cannot simultaneously have a well-defined position and momentum, but can have a well-defined position and velocity.

Spin-Flavor Symmetry

In the $m_Q \rightarrow \infty$ limit: static color $\mathbf{3}$ source in the rest frame $v^\mu = (1, 0, 0, 0)$.

Strong interactions flavor blind

\Rightarrow HQ flavor symmetry

Symmetry breaking $\propto 1/m_b - 1/m_c$

Color coupling is color electric charge. The magnetic interaction is $\propto 1/m_Q$ for a pointlike spin-1/2 fermion (not true for the proton). \Rightarrow HQ spin symmetry

Symmetry breaking $\propto 1/m_Q$.

Combining gives HQ $SU(4)$ spin-flavor symmetry :

$b \uparrow, b \downarrow, c \uparrow$ and $c \downarrow$ transform as a $\mathbf{4}$

QCD Lagrangian

$$\mathcal{L} = \sum_{i=c,b,t} \bar{Q}_i (i\not{D} - m_{Q_i}) Q_i + \mathcal{L}_{\text{light}}$$

The Lagrangian has m_Q term, and no well-defined $m_Q \rightarrow \infty$ limit. It describes the interactions of Q at all energies, including those greater than m_Q .

Want to consider an effective theory valid for momenta smaller than m_Q , **which makes the simplifications of low momentum manifest.**

Should have an expansion in $1/m_Q$

HQET Lagrangian

HQET Lagrangian:

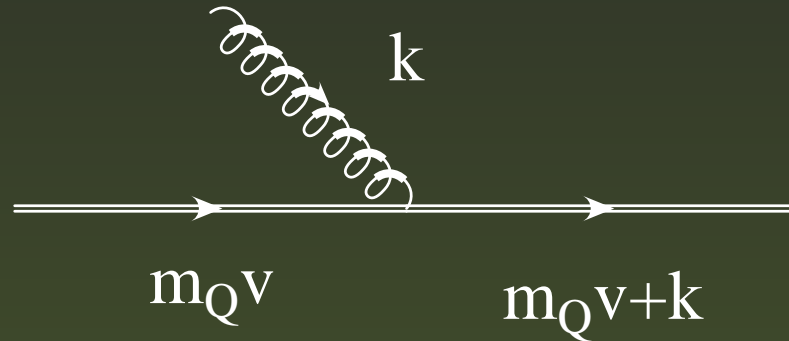
$$\mathcal{L}_{\text{HQET}} = \mathcal{L}_0 + \frac{1}{m_Q} \mathcal{L}_1 + \frac{1}{m_Q^2} \mathcal{L}_2 + \dots$$

\mathcal{L}_0 has spin-flavor symmetry,

$1/m_Q$ terms are symmetry breaking corrections.

Quark Propagator

Look at the quark propagator:



$$i \frac{\not{p} + m_Q}{p^2 - m_Q^2 + i\epsilon}$$

$p = mv + k$, where k is called the residual momentum, and is of order Λ_{QCD} .

HQET Propagator

$$i \frac{m_Q \not{v} + \not{k} + m_Q}{(m_Q v + k)^2 - m_Q^2 + i\epsilon}$$

Expanding this in the limit $k \ll m_Q$ gives

$$i \frac{1 + \not{v}}{2k \cdot v + i\epsilon} + \mathcal{O}\left(\frac{k}{m_Q}\right) = i \frac{P_+}{k \cdot v + i\epsilon} + \mathcal{O}\left(\frac{k}{m_Q}\right),$$

with a well defined limit.

$$P_+ \equiv \frac{1 + \not{v}}{2}$$

Projectors

$$P_+ = \frac{1 + \not{v}}{2}, \quad P_- = \frac{1 - \not{v}}{2},$$

In the rest frame,

$$P_+ = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$P_+^2 = P_+, \quad P_-^2 = P_-, \quad P_+ + P_- = 1, \quad P_+ P_- = 0 \quad P_- P_+ = 0.$$

Gluon Vertex

The quark-gluon vertex

$$-igT^a \gamma^\mu \rightarrow -igT^a P_+ \gamma^\mu P_+ = -igT^a v^\mu,$$

using the identity

$$P_+ \gamma^\mu = v^\mu + \gamma^\mu P_-$$

In the rest frame: the coupling is purely that of an electric charge.

HQET L_0

HQET Lagrangian:

$$\mathcal{L} = \bar{h}_v(x) (iD \cdot v) h_v(x),$$

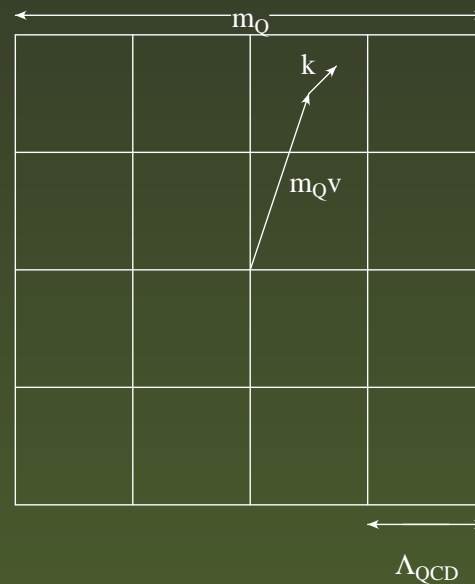
$h_v(x)$ is the quark field in the effective theory and satisfies

$$P_+ h_v(x) = h_v(x).$$

h_v annihilates quarks with velocity v , but **does not create antiquarks**

Dividing up momentum space

v appears explicitly in the HQET Lagrangian.
 h_v describes quarks with velocity v , and momenta within Λ_{QCD} of $m_Q v$.



quarks with velocity $v' \neq v$ are far away in the EFT

Feynman rules

$$\mathcal{L} = \bar{h}_\nu (iD \cdot v) h_\nu$$

$$D_\mu = \partial_\mu + igT^a A_\mu^a$$

The $\partial \cdot v$ term gives a propagator

$$\frac{iP_+}{k \cdot v}$$

The $A \cdot v$ term gives a vertex

$$-igT^a v^\mu$$

Manifest Spin-Flavor Symmetry

$$\mathcal{L}_0 = \sum_{f=c,b,t} \bar{h}_{fv} (iD \cdot v) h_{fv},$$

has manifest spin-flavor symmetry, since $D \cdot v$ does not depend on the spin or the flavor of the heavy quark.

Light degrees of freedom

Hadrons containing a single heavy quark contain Q , and light quarks and gluons [light degrees of freedom ℓ].

D^+ meson has a c quark, \bar{d} quark, plus $\bar{q}q$ pairs and gluons. Quantum numbers of ℓ are the same as the \bar{d} .

Total angular momentum \mathbf{J} is conserved

\mathbf{S}_Q is conserved as $m_Q \rightarrow \infty$

Define $\mathbf{S}_\ell \equiv \mathbf{J} - \mathbf{S}_Q$

Spin of the light degrees of freedom

Multiplet Structure

$$\mathbf{J}^2 = j(j + 1), \quad \mathbf{S}_Q^2 = s_Q(s_Q + 1), \quad \mathbf{S}_\ell^2 = s_\ell(s_\ell + 1)$$

$s_Q = 1/2$, so heavy hadrons are in degenerate multiplets with $j = s_\ell \pm 1/2$, unless $s_\ell = 0$, in which case there is a single $j = 1/2$ multiplet.

Ground state mesons: Q and a light antiquark \bar{q} , so $s_\ell = 1/2$.

$j = 0 \oplus 1$ and negative parity, since quarks and antiquarks have opposite parity

Degenerate 0^- and 1^- mesons which form a flavor $\bar{\mathbf{3}}$

Called $H^{(Q)}$

Ground State Mesons

D_s^+, D_s^{*+}



$c\bar{s}$

D^0, D^{*0}



$c\bar{u}$

D^+, D^{*+}



$c\bar{d}$

$\bar{B}_s^0, \bar{B}^-, \bar{B}^0$ (spin-0)

$\bar{B}_s^{*0}, \bar{B}^{*-}, \bar{B}^{*0}$ (spin-1)

NOTE: $c \in D, b \in \bar{B}$

Excited Mesons

In the quark model, the first excitation has $L = 1$, with $s_\ell = 1/2$ and $s_\ell = 3/2$

$s_\ell = 1/2 \Rightarrow 0^+$ and 1^+ states D_0^* and D_1^*

$s_\ell = 3/2 \Rightarrow 1^+$ and 2^+ states D_1 and D_2^*

The $s_\ell = 1/2$ and $s_\ell = 3/2$ multiplets are not related by HQ symmetry, though they are related in a NR quark model

Quark Field

Write the quark field as

$$\begin{aligned} Q(x) &= e^{-im_Q v \cdot x} [h_v(x) + Q_v(x)] \\ &= e^{-im_Q t} \begin{pmatrix} h_v(x) \\ Q_v(x) \end{pmatrix} \end{aligned}$$

$p = m_Q v + k$, so the x dependence of $h_v(x)$ is k .

$$\begin{aligned} \not{p} h_v(x) &= h_v(x), \\ \not{p} Q_v(x) &= -Q_v(x) \end{aligned}$$

Tree-level Lagrangian

At tree-level, one does not have to worry about renormalization effects:

$$\begin{aligned}\mathcal{L} &= \bar{Q} (i\not{D} - m_Q) Q \\ &= (\bar{h}_v + \bar{Q}_v) e^{im_Q v \cdot x} (i\not{D} - m_Q) e^{-im_Q v \cdot x} (h_v + Q_v) \\ &= (\bar{h}_v + \bar{Q}_v) (i\not{D} - m_Q + m_Q \not{v}) (h_v + Q_v) \\ &= (\bar{h}_v + \bar{Q}_v) (i\not{D} h_v + [i\not{D} - 2m_Q] Q_v)\end{aligned}$$

The result can be simplified using

$$P_+ \gamma^\mu P_+ = v^\mu, \quad P_- \gamma^\mu P_- = -v^\mu, \quad P_+ \gamma^\mu P_- = \gamma_\perp^\mu, \quad P_- \gamma^\mu P_+ = \gamma_\perp^\mu,$$

where the \perp projector is defined for any vector A by

$$A_\perp^\mu \equiv A^\mu - v^\mu v \cdot A.$$

$$\mathcal{L} = \bar{h}_\nu (i\nu \cdot D) h_\nu - Q_\nu (i\nu \cdot D + 2m_Q) Q_\nu + \bar{h}_\nu i\not{D}_\perp Q_\nu + \bar{Q}_\nu i\not{D}_\perp h_\nu$$

Quadratic in Q_ν :

$$\mathcal{L} = \bar{h}_\nu (i\nu \cdot D) h_\nu + \bar{h}_\nu i\not{D}_\perp \frac{1}{2m_Q + i\nu \cdot D} i\not{D}_\perp h_\nu.$$

The last term can be expanded in a power series in $1/m_Q$,

$$\frac{1}{2m_Q + i\nu \cdot D} = \frac{1}{2m_Q} - \frac{1}{4m_Q^2} i\nu \cdot D + \dots$$

$1/m_Q$ Lagrangian

The effective Lagrangian to order $1/m_Q$ is thus

$$\mathcal{L} = \bar{h}_v (i v \cdot D) h_v + \frac{1}{2m_Q} \bar{h}_v i \not{D}_\perp i \not{D}_\perp h_v.$$

This can be rewritten using the identities

$$\gamma^\alpha \gamma^\beta = g^{\alpha\beta} - i\sigma^{\alpha\beta}, \quad [D^\alpha, D^\beta] = igG^{\alpha\beta}$$

$$\begin{aligned} \mathcal{L} = & \bar{h}_v (i v \cdot D) h_v + \frac{1}{2m_Q} \bar{h}_v (i D_\perp)^2 h_v - \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v \\ & + \mathcal{O}\left(\frac{1}{m_Q^2}\right) \end{aligned}$$

$$\mathcal{L} = \bar{h}_v (i v \cdot D) h_v + \frac{1}{2m_Q} \bar{h}_v (i D_\perp)^2 h_v - c_F \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v$$

The $(i D_\perp)^2$ term violates flavor symmetry at order $1/m_Q$

$g \sigma_{\alpha\beta} G^{\alpha\beta}$ term violates spin and flavor symmetry at order $1/m_Q$

One can carry out the expansion to higher order in $1/m_Q$ to obtain the tree level HQET Lagrangian.

Field Redefinitions

Field redefinition

$$h_v \rightarrow \left[1 + \frac{a}{m_Q} i v \cdot D \right] h_v$$

changes the effective Lagrangian to

$$\begin{aligned} \mathcal{L} = & \bar{h}_v (i v \cdot D) h_v + \frac{1}{2m_Q} \bar{h}_v (i D_\perp)^2 h_v - \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v \\ & + \frac{2a}{m_Q} h_v (i v \cdot D)^2 h_v + \mathcal{O} \left(\frac{1}{m_Q^2} \right) \end{aligned}$$

For $a = 1/2$, one can replace $D_\perp^2 \rightarrow D_\perp^2 + (v \cdot D)^2 = D^2$

Field redefinition change off-shell amplitudes, but not S -matrix elements.

The only thing that the effective theory and full theory have to agree on are S -matrix elements.

Convenient to eliminate t derivatives, i.e. $v \cdot D$ terms in \mathcal{L}

[Use the lowest order equation of motion $(v \cdot D) h_v = 0$ to remove time derivatives]

Masses

Hadron mass mass in effective theory is $M_H - m_Q$.

- Lowest order: all hadrons degenerate, mass m_Q
- Order one: Hadron mass

$$\langle H_Q | \mathcal{H}_0 | H_Q \rangle \equiv \bar{\Lambda}$$

where $H_0 =$ Hamiltonian from lowest order Lagrangian (including the light degrees of freedom).

$\bar{\Lambda}$ has different values for each multiplet:

$\bar{\Lambda}$ for mesons, $\bar{\Lambda}_\Lambda$, $\bar{\Lambda}_\Sigma$

λ_1, λ_2

- Order $1/m_Q$:

$$\frac{\mathcal{H}_1}{m_Q} = -\frac{\mathcal{L}_1}{m_Q} = -\frac{1}{2m_Q} \bar{h}_v (iD_\perp)^2 h_v + c_F \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v.$$

Define two non-perturbative parameters

$$\begin{aligned} \lambda_1 &= \langle H_Q | \bar{h}_v (iD_\perp)^2 h_v | H_Q \rangle, \\ 8(\mathbf{S}_Q \cdot \mathbf{S}_\ell) \lambda_2 &= \langle H_Q | \bar{h}_v g \sigma_{\alpha\beta} G^{\alpha\beta} h_v | H_Q \rangle, \end{aligned}$$

In the rest frame:

$$\begin{aligned} (iD_\perp)^2 &= -\mathbf{p}^2 \\ \sigma_{\alpha\beta} G^{\alpha\beta} &= -2\boldsymbol{\sigma} \cdot \mathbf{B} \end{aligned}$$

Meson Masses

$$m_H = m_Q + \bar{\Lambda} - \frac{\lambda_1}{2m_Q} + c_F \frac{2\lambda_2 \mathbf{S}_Q \cdot \mathbf{S}_\ell}{m_Q}$$

$$\mathbf{S}_Q \cdot \mathbf{S}_\ell = (J^2 - S_Q^2 - S_\ell^2)/2, \text{ so}$$

$$m_B = m_b + \bar{\Lambda} - \frac{\lambda_1}{2m_b} - \frac{3\lambda_2}{2m_b}$$

$$m_{B^*} = m_b + \bar{\Lambda} - \frac{\lambda_1}{2m_b} + \frac{\lambda_2}{2m_b}$$

$$m_D = m_c + \bar{\Lambda} - \frac{\lambda_1}{2m_c} - \frac{3\lambda_2}{2m_c}$$

$$m_{D^*} = m_c + \bar{\Lambda} - \frac{\lambda_1}{2m_c} + \frac{\lambda_2}{2m_c}$$

Note that heavy quark symmetry implies that $\bar{\Lambda}$, λ_1 and λ_2 have the same value in the b and c systems (upto renormalization)

$$0.49 \text{ GeV}^2 = m_{B^*}^2 - m_B^2 = 4\lambda_2 = m_{D^*}^2 - m_D^2 = 0.55 \text{ GeV}^2,$$

up to corrections of order $1/m_{b,c}$.

$$90 \pm 3 \text{ MeV} = m_{B_s} - m_{B_d} = \bar{\Lambda}_s - \bar{\Lambda}_d = m_{D_s} - m_{D_d} = 99 \pm 1 \text{ MeV}$$

$$345 \pm 9 \text{ MeV} = m_{\Lambda_b} - m_B = \bar{\Lambda}_\Lambda - \bar{\Lambda}_d = m_{\Lambda_c} - m_D = 416 \pm 1 \text{ MeV}$$

$\bar{\Lambda}$, λ_1 and λ_2 will occur elsewhere

Meson Field

$Q\bar{q}$ mesons \rightarrow field $H_v^{(Q)}$ (4×4 matrix, bispinor)

$$H_v^{(Q)}(x) \rightarrow D(\Lambda) H_{\Lambda^{-1}v}^{(Q)}(\Lambda^{-1}x) D(\Lambda)^{-1}$$

Pseudoscalar $P_v^{(Q)}(x)$ and vector $P_{v\mu}^{*(Q)}(x)$

Vector particles have a polarization vector ϵ_μ , with $\epsilon \cdot \epsilon = -1$, and $v \cdot \epsilon = 0$.

$$H_v^{(Q)} = \frac{1 + \not{v}}{2} [\not{P}_v^{*(Q)} + iP_v^{(Q)}\gamma_5].$$

$$H_v^{(Q)} = \frac{1 + \not{v}}{2} [\not{P}_v^{*(Q)} + iP_v^{(Q)} \gamma_5] .$$

Parity:

$$H_v^{(Q)}(x) \rightarrow \gamma^0 H_{v_P}^{(Q)}(x_P) \gamma^0 ,$$

where

$$x_P = (x^0, -\mathbf{x}) , \quad v_P = (v^0, -\mathbf{v}) .$$

$$H_v^{(Q)} = \frac{1 + \not{v}}{2} [\not{P}_v^{*(Q)} + iP_v^{(Q)}\gamma_5].$$

$$\not{v}H_v^{(Q)} = H_v^{(Q)}, \quad H_v^{(Q)}\not{v} = -H_v^{(Q)}.$$

using $v \cdot P_v^{*(Q)} = 0$

Conjugate field:

$$\bar{H}_v^{(Q)} = \gamma^0 H_v^{(Q)\dagger} \gamma^0 = \left[\not{P}_v^{*(Q)\dagger} + i P_v^{(Q)\dagger} \gamma_5 \right] \frac{1 + \not{\psi}}{2},$$

which also transforms as a bispinor,

$$\bar{H}_v^{(Q)} \rightarrow D(\Lambda) \bar{H}_{v'}^{(Q)} D(\Lambda)^{-1}.$$

since

$$\gamma^0 D(\Lambda)^\dagger \gamma^0 = D(\Lambda)^{-1}.$$

Normalization of States

$$\langle H(p', \varepsilon') | H(p, \varepsilon) \rangle = 2E_p (2\pi)^3 \delta^{(3)}(\mathbf{p} - \mathbf{p}') \delta_{\varepsilon\varepsilon'},$$

Mass dimension -1

HQET states eigenstates of the $m_Q \rightarrow \infty$ theory and labelled by v and k , with $v \cdot k = 0$. They differ from full QCD states.

$$\langle H(v', k', \varepsilon') | H(v, k, \varepsilon) \rangle = 2v^0 (2\pi)^3 \delta_{vv'} \delta^3(\mathbf{k} - \mathbf{k}') \delta_{\varepsilon\varepsilon'}.$$

Usually take $k = 0$. States have mass dimension $-3/2$

$$|H(\boldsymbol{p})\rangle = \sqrt{m_H} \left[|H(\boldsymbol{v})\rangle + \mathcal{O}\left(\frac{1}{m_Q}\right) \right]$$

Similarly

$$\bar{u}(\boldsymbol{p}, s) \gamma^\mu u(\boldsymbol{p}, s) = 2p^\mu$$

$$\bar{u}(\boldsymbol{v}, s) \gamma^\mu u(\boldsymbol{v}, s) = 2v^\mu$$

$$u(\boldsymbol{p}, s) = \sqrt{m_H} u(\boldsymbol{v}, s)$$

Meson Decay Constants

$$\langle 0 | \bar{q} \gamma^\mu \gamma_5 Q | P(p) \rangle = -i f_P p^\mu,$$

where f_P has mass dimension one. ($f_\pi = 131$ MeV)

$$\langle 0 | \bar{q} \gamma^\mu Q | P^*(p, \epsilon) \rangle = f_{P^*} \epsilon^\mu,$$

f_{P^*} has mass dimension two.

$$\bar{q} \Gamma Q = \bar{q} \Gamma Q_v + \mathcal{O}(\alpha_s) + \mathcal{O}\left(\frac{1}{m_Q}\right)$$

so we need

$$\langle 0 | \bar{q} \Gamma Q_v | H(v) \rangle,$$

Spurion Analysis

$$\bar{q} \Gamma Q_v \rightarrow \bar{q} \Gamma D(R)_Q Q_v$$

- Pretend that Γ transforms as $\Gamma \rightarrow \Gamma D(R)_Q^{-1}$
- Write down operators which are invariant when $Q_v \rightarrow D(R)_Q Q_v$, $\Gamma \rightarrow \Gamma D(R)_Q^{-1}$, $H_v^{(Q)} \rightarrow D(R)_Q H_v^{(Q)}$.
- Set Γ to its fixed value γ^μ or $\gamma^\mu \gamma_5$ to obtain the operator with the correct transformation properties.

Decay Matrix Element

$$\langle 0 | \bar{q} \Gamma Q_v | H(v) \rangle = \text{Tr} \frac{a}{2} \Gamma H_v^{(Q)}$$

$$a = a_0(v^2) + a_1(v^2) \not{v}$$

$$a \times \begin{cases} -i v^\mu P_v^{(Q)} & \text{if } \Gamma = \gamma^\mu \gamma_5, \\ P_v^{*(Q)\mu} & \text{if } \Gamma = \gamma^\mu, \end{cases}$$

$$\langle 0 | \bar{q} \gamma^\mu \gamma_5 Q_v | P(v) \rangle = -i a v^\mu,$$

$$\langle 0 | \bar{q} \gamma^\mu Q_v | P^*(v) \rangle = a \epsilon^\mu.$$

$$f_P = \frac{a}{\sqrt{m_P}}, \quad f_{P^*} = a\sqrt{m_{P^*}}.$$

$$f_P = \frac{a}{\sqrt{m_P}}, \quad f_{P^*} = m_P f_P,$$

so $f_P \propto m_P^{-1/2}$, $f_{P^*} \propto m_P^{1/2}$.

a has the same value for c and b :

$$\frac{f_B}{f_D} = \sqrt{\frac{m_D}{m_B}}, \quad f_{D^*} = m_D f_D, \quad f_{B^*} = m_B f_B.$$

Measure from the decays $D \rightarrow \bar{\ell}\nu_\ell$ and $\bar{B} \rightarrow \ell\bar{\nu}_\ell$

$$\Gamma = \frac{G_F^2 |V_{Qq}|^2}{8\pi} f_P^2 m_\ell^2 m_P \left(1 - \frac{m_\ell^2}{m_P^2}\right)^2.$$

JLQCD Collaboration [S. Aoki et al., Phys. Rev. Lett. 80 (1998) 5711]

Decay Constant	Value in MeV
f_D	197 ± 2
f_{D_s}	224 ± 2
f_B	173 ± 4
f_{B_s}	199 ± 3

Note that this simulation suggests that there is a substantial correction to the heavy quark symmetry prediction $f_B/f_D = \sqrt{m_D/m_B} \simeq 0.6$.

$\bar{B} \rightarrow D^{(*)}$ Form Factors

Semileptonic $b \rightarrow c$ decays via the weak current $\bar{c} \gamma_\mu P_L b$
Decay form-factors are defined by:

$$\begin{aligned}\langle D(p') | V^\mu | \bar{B}(p) \rangle &= f_+(q^2) (p + p')^\mu + f_-(q^2) (p - p')^\mu, \\ \langle D^*(p', \epsilon) | V^\mu | \bar{B}(p) \rangle &= g(q^2) \epsilon^{\mu\nu\alpha\tau} \epsilon_\nu^* (p + p')_\alpha (p - p')_\tau, \\ \langle D^*(p', \epsilon) | A^\mu | \bar{B}(p) \rangle &= -i f(q^2) \epsilon^{*\mu} \\ &\quad - i \epsilon^* \cdot p \left[a_+(q^2) (p + p')^\mu + a_-(q^2) (p - p')^\mu \right],\end{aligned}$$

where $q = p - p'$
Six form-factors

w

Label states by v and v' , and use

$$w = v \cdot v' = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$$

The allowed kinematic range for w is

$$0 \leq w - 1 \leq \frac{(m_B - m_{D^{(*)}})^2}{2m_B m_{D^{(*)}}}$$

The zero-recoil point, at which $D^{(*)}$ is at rest in the \bar{B} rest frame, is $w = 1$ (maximum q^2)

Better to use:

$$\frac{\langle D(p') | V^\mu | \bar{B}(p) \rangle}{\sqrt{m_B m_D}} = h_+(w) (v + v')^\mu + h_-(w) (v - v')^\mu,$$

$$\frac{\langle D^*(p', \epsilon) | V^\mu | \bar{B}(p) \rangle}{\sqrt{m_B m_{D^*}}} = h_V(w) \epsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta,$$

$$\begin{aligned} \frac{\langle D^*(p', \epsilon) | A^\mu | \bar{B}(p) \rangle}{\sqrt{m_B m_{D^*}}} &= -i h_{A_1}(w) (w + 1) \epsilon^{*\mu} + i h_{A_2}(w) (\epsilon^* \cdot v) v^\mu \\ &\quad + i h_{A_3}(w) (\epsilon^* \cdot v) v'^\mu. \end{aligned}$$

$$q_{\text{light}}^2 \sim (\Lambda_{\text{QCD}}v - \Lambda_{\text{QCD}}v')^2 = 2\Lambda_{\text{QCD}}^2(1 - w)$$

HQ symmetry should hold if:

$$2\Lambda_{\text{QCD}}^2(w - 1) \ll m_{b,c}^2.$$

The heavy meson form factors are expected to vary on the scale $q_{\text{light}}^2 \sim \Lambda_{\text{QCD}}^2$, i.e. on the scale $w \sim 1$.

QCD matrix elements are of the form:

$$\langle H^{(c)}(p') | \bar{c} \Gamma b | H^{(b)}(p) \rangle$$

At leading order in $1/m_{c,b}$ and $\alpha_s(m_{c,b})$:

$$\langle H^{(c)}(v') | \bar{c}_{v'} \Gamma b_v | H^{(b)}(v) \rangle$$

use trick as before $\Gamma \rightarrow D(R)_c \Gamma D(R)_b^{-1}$

$$\bar{c}_{v'} \Gamma b_v = \text{Tr} X \bar{H}_{v'}^{(c)} \Gamma H_v^{(b)},$$

$$X = X_0 + X_1 \not{v} + X_2 \not{v}' + X_3 \not{v} \not{v}',$$

where the coefficients are functions of $w = v \cdot v'$.

Isgur-Wise Function

Use $X = -\xi(w)$:

$$\langle D(v') | \bar{c}_{v'} \gamma_\mu b_v | \bar{B}(v) \rangle = \xi(w) [v_\mu + v'_\mu],$$

$$\langle D^*(v', \epsilon) | \bar{c}_{v'} \gamma_\mu \gamma_5 b_v | \bar{B}(v) \rangle = -i\xi(w) [(1+w)\epsilon_\mu^* - (\epsilon^* \cdot v)v'_\mu],$$

$$\langle D^*(v', \epsilon) | \bar{c}_{v'} \gamma_\mu b_v | \bar{B}(v) \rangle = \xi(w) \epsilon_{\mu\nu\alpha\beta} \epsilon^{*\nu} v'^\alpha v^\beta.$$

Six form-factors in terms of one Isgur-Wise function

$$h_+(w) = h_V(w) = h_{A_1}(w) = h_{A_3}(w) = \xi(w),$$

$$h_-(w) = h_{A_2}(w) = 0$$

Normalization of $\xi(1)$

Consider the forward matrix element of the vector current $\bar{b}\gamma^\mu b$ between \bar{B} meson states. Setting $v' = v$, and letting $c \rightarrow b$, $D \rightarrow \bar{B}$,

$$\frac{\langle \bar{B}(p) | \bar{b}\gamma_\mu b | \bar{B}(p) \rangle}{m_B} = \langle \bar{B}(v) | \bar{b}_v \gamma_\mu b_v | \bar{B}(v) \rangle = 2 \xi(w=1) v_\mu.$$

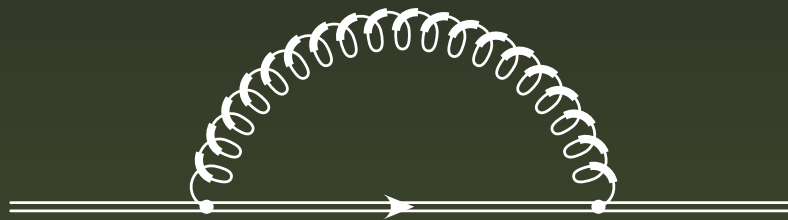
where ξ for $b \rightarrow b$ is the same as for $b \rightarrow c$.

So $\xi(1) = 1$.

This fixes the absolute normalization and allows one to determine V_{cb} .

Radiative Corrections

Consider heavy quark wavefunction renormalization:



In Feynman gauge ($n = 4 - \epsilon$)

$$\int \frac{d^n q}{(2\pi)^n} (-igT^A \mu^{\epsilon/2}) v_\lambda \frac{i}{(q+p) \cdot v} (-igT^A \mu^{\epsilon/2}) v^\lambda \frac{(-i)}{q^2}$$
$$= - \left(\frac{4}{3} \right) g^2 \mu^\epsilon \int \frac{d^n q}{(2\pi)^n} \frac{1}{q^2 v \cdot (q+p)}$$

Add a gluon mass to regulate the IR divergence, so that one can isolate the UV divergence from the $1/\epsilon$ pole

$$- \left(\frac{4}{3} \right) g^2 \mu^\epsilon \int \frac{d^n q}{(2\pi)^n} \frac{1}{(q^2 - m^2) v \cdot (q + p)}$$

Use the identity

$$\frac{1}{a^r b^s} = 2^s \frac{\Gamma(r+s)}{\Gamma(r)\Gamma(s)} \int_0^\infty d\lambda \frac{\lambda^{s-1}}{[a + 2b\lambda]^{r+s}},$$

to get

$$- \left(\frac{8}{3} \right) g^2 \mu^\epsilon \int_0^\infty d\lambda \int \frac{d^n q}{(2\pi)^n} \frac{1}{[q^2 - m^2 + 2\lambda v \cdot (q + p)]^2}.$$

Let $q \rightarrow q - \lambda v$

$$- \left(\frac{8}{3} \right) g^2 \mu^\epsilon \int_0^\infty d\lambda \int \frac{d^n q}{(2\pi)^n} \frac{1}{[q^2 - m^2 - \lambda^2 + 2\lambda v \cdot p]^2}.$$

Use the standard dim reg formula:

$$\int \frac{d^n q}{(2\pi)^n} \frac{(q^2)^\alpha}{(q^2 - M^2)^\beta} = \frac{i}{2^n \pi^{n/2}} (-1)^{\alpha+\beta} (M^2)^{\alpha-\beta+n/2} \frac{\Gamma(\alpha + n/2) \Gamma(\beta - \alpha - n/2)}{\Gamma(n/2) \Gamma(\beta)},$$

$$- \frac{i}{(4\pi)^{2-\epsilon/2}} \left(\frac{8}{3} \right) g^2 \mu^\epsilon \Gamma(\epsilon/2) \int_0^\infty d\lambda [\lambda^2 - 2\lambda v \cdot p + m^2]^{-\epsilon/2}.$$

Recursion Relation

Evaluate λ integral using the recursion relation

$$\begin{aligned} I(a, b, c) &\equiv \int_0^\infty d\lambda [\lambda^2 + 2b\lambda + c]^a \\ &= \frac{1}{1 + 2a} \left[(\lambda^2 + 2b\lambda + c)^a (\lambda + b) \Big|_0^\infty + 2a(c - b^2) I(a - 1, b, c) \right], \end{aligned}$$

to convert it to one that is convergent when $\epsilon = 0$,

$$\begin{aligned} &\int_0^\infty d\lambda [\lambda^2 - 2\lambda v \cdot p + m^2]^{-\epsilon/2} \\ &= \frac{1}{1 - \epsilon} \left[(\lambda^2 - 2\lambda v \cdot p + m^2)^{-\epsilon/2} (\lambda - v \cdot p) \Big|_0^\infty \right. \\ &\quad \left. - \epsilon (m^2 - (v \cdot p)^2) \int_0^\infty d\lambda [\lambda^2 - 2\lambda v \cdot p + m^2]^{-1-\epsilon/2} \right]. \end{aligned}$$

Z_h

Can set $\epsilon = 0$ in the last term. Also use

$$\lim_{\lambda \rightarrow \infty} \lambda^z = 0,$$

to get

$$-i \frac{g^2}{3\pi^2 \epsilon} v \cdot p + \text{finite}$$

This gives

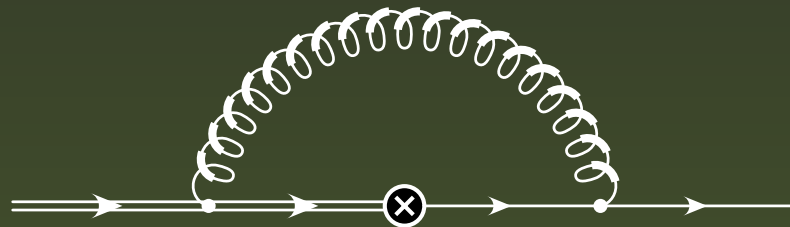
$$Z_h = 1 + \frac{g^2}{3\pi^2 \epsilon}, \quad \gamma_h = \frac{1}{2} \frac{\mu}{Z_h} \frac{dZ_h}{d\mu} = -\frac{g^2}{6\pi^2}.$$

Note that $Z_q = 1 - \frac{g^2}{6\pi^2 \epsilon}$

Heavy-Light Current

Compute the anomalous dimension for

$$O_\Gamma = \bar{q}\Gamma Q_v$$



The light quark vertex is γ^μ and the heavy quark vertex is v^μ . Find that

$$\gamma_0 = -\frac{g^2}{4\pi^2}.$$

independent of Γ .

Operator Mixing

Use the convention that

$$\mu \frac{d}{d\mu} O_j = -\gamma_{ji} O_i$$

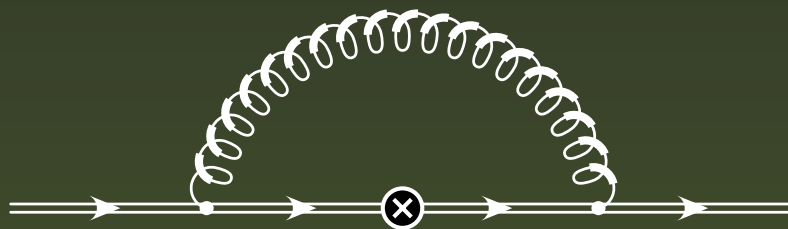
$$\mu \frac{d}{d\mu} C_i = \gamma_{ji} C_j$$

with

$$L = C_i O_i$$

Heavy-Heavy Current

$$\bar{Q}_{v'} \Gamma Q_v$$



$$\gamma = \frac{g^2}{3\pi^2} [w r(w) - 1]$$

$$r(w) = \frac{1}{\sqrt{w^2 - 1}} \ln \left(w + \sqrt{w^2 - 1} \right)$$

$$\gamma = \frac{g^2}{\pi^2} \left[\frac{2}{9} (w - 1) - \frac{1}{15} (w - 1)^2 + \dots \right],$$

that vanishes at $w = 1$.

Matching heavy-light currents

$$\bar{q}\gamma^\lambda Q = C_1^{(V)} \bar{q}\gamma^\lambda Q_v + C_2^{(V)} \bar{q}v^\lambda Q_v$$

where to lowest order $C_1 = 1$, $C_2 = 0$.

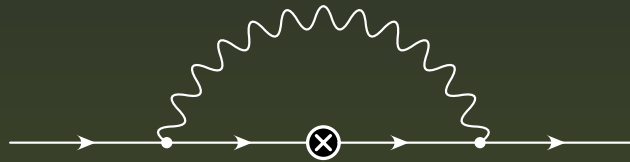
To compute the α_s corrections, compute on-shell matrix elements of both sides at one loop.

Computation of a matching coefficient. Need the difference between the full and effective theory results.

Full and EFT results can have IR divergences, but the difference is IR finite. [UV divergences taken care of by renormalization]

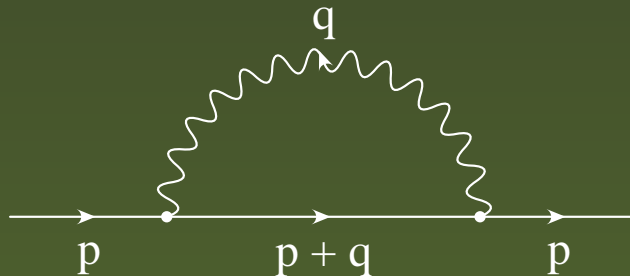
Full Theory

Full theory: evaluate on-shell with $p = m_Q v$



$$C_1 = -\frac{2\alpha_s}{3\pi} \left[\frac{1}{\epsilon_{IR}} + 1 \right], \quad C_2 = \frac{2\alpha_s}{3\pi}.$$

Wavefunction renormalization:



$$\Sigma(p) = A(p^2)m + B(p^2)\not{p}$$

$$\delta Z \equiv B + 2m^2 \frac{d(A + B)}{dp^2} \Big|_{p^2=m^2}$$

Gives $C_1 = \delta Z_Q/2$,

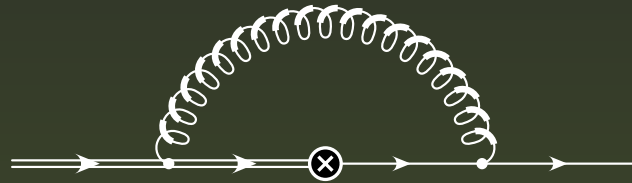
$$C_1 = -\frac{\alpha_s}{3\pi} \left[\frac{2}{\epsilon_{IR}} + 2 + 3 \ln \frac{\mu}{m_Q} \right], \quad C_2 = 0$$

(including UV counterterm)

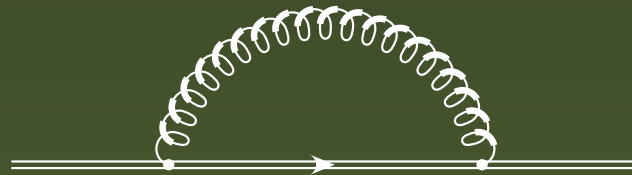
Neglect Z_q as it will cancel out.

Effective Theory

Effective theory:



$$C_1 = -\frac{2\alpha_s}{3\pi\epsilon_{IR}} \quad C_2 = 0.$$



$$C_1 = -\frac{2\alpha_s}{3\pi\epsilon_{IR}} \quad C_2 = 0$$

Light quark wavefunction cancels between full and
EFT

Scaleless Integrals

EFT integrals: have no scale in them when evaluated on-shell. e.g. wavefunction graph

$$\int \frac{d^n q}{(2\pi)^n} \frac{1}{q^2 v \cdot (q + p)} \rightarrow \int \frac{d^n q}{(2\pi)^n} \frac{1}{q^2 (v \cdot q)^2}$$

and is zero in dim-reg. Adding the UV counterterm gives the $1/\epsilon$ terms.

$$\int \frac{d^n q}{(2\pi)^n} \frac{1}{q^4} = \frac{i}{8\pi^2 \epsilon_{UV}} - \frac{i}{8\pi^2 \epsilon_{IR}},$$

$$\int \frac{d^n q}{(2\pi)^n} \frac{1}{q^4} + \text{counterterm} = -\frac{i}{8\pi^2 \epsilon_{IR}},$$

Matching UV and IR

Full theory integrals can have finite parts, since they depend on m_Q .

IR divergence match between full and effective theory. Since the EFT integrals are scaleless, UV=IR in the EFT. Thus one finds

$$\frac{1}{\epsilon_{IR}} \Big|_{\text{FULL}} = - \frac{1}{\epsilon_{UV}} \Big|_{\text{EFT}}$$

The anomalous dimensions in the EFT are related to the IR behavior in the full theory.

Matching Correction

Full theory:

$$C_1 = -\frac{\alpha_s}{3\pi} \left[\frac{4}{\epsilon} + 4 + 3 \ln \frac{\mu}{m_Q} \right], \quad C_2 = \frac{2\alpha_s}{3\pi}.$$

EFT:

$$C_1 = -\frac{\alpha_s}{3\pi} \left[\frac{4}{\epsilon} \right] \quad C_2 = 0$$

Difference

$$C_1 = -\frac{\alpha_s}{3\pi} \left[4 + 3 \ln \frac{\mu}{m_Q} \right], \quad C_2 = \frac{2\alpha_s}{3\pi}.$$

Or compute in full theory and drop $1/\epsilon$ terms.

Log in matching related to difference in anomalous dimensions in Full and EFT.

Usually choose matching scale $\mu = m_Q$, so one finds:

$$C_1^{(V)}(m) = 1 - \frac{4\alpha_s}{3\pi} \quad C_2^{(V)}(m) = \frac{2\alpha_s}{3\pi}.$$

One can show that the matching for the axial current is $C_1 \rightarrow C_1$, $C_2 \rightarrow -C_2$

$$C_1^{(A)}(m) = 1 - \frac{4\alpha_s}{3\pi} \quad C_2^{(A)}(m) = -\frac{2\alpha_s}{3\pi}.$$

Meson Decay Constants

Compute the radiative corrections to the meson decay constants:

Match at the scale m to the EFT:

$$\bar{q}\gamma^\mu\gamma_5 Q \rightarrow C_1^{(A)}(m) \bar{q}\gamma^\mu\gamma_5 Q_v + C_2^{(A)}(m) \bar{q}v^\mu\gamma_5 Q_v$$

Run in the EFT to μ :

$$\bar{q}\gamma^\mu\gamma_5 Q \rightarrow C_1^{(A)}(\mu) \bar{q}\gamma^\mu\gamma_5 Q_v + C_2^{(A)}(\mu) \bar{q}v^\mu\gamma_5 Q_v$$

μ dependence given by the anomalous dimension in the EFT

RGE

$$\mu \frac{dC}{d\mu} = \gamma_0 C = \frac{\alpha_s}{\pi} C$$

Can integrate a one-loop anomalous dimension:

$$\mu \frac{dC}{d\mu} = \gamma C, \quad \gamma = \gamma_0 \frac{g^2}{16\pi^2}$$

$$\mu \frac{dg}{d\mu} = -\frac{g^3}{16\pi^2} b_0$$

Then

$$\frac{dC}{dg} = -\frac{\gamma_0 C}{b_0 g},$$

Solution to one-loop RGE

$$\frac{C(\mu_1)}{C(\mu_2)} = \left[\frac{\alpha_s(\mu_1)}{\alpha_s(\mu_2)} \right]^{-\gamma_0/(2b_0)}$$

$$b_0 = 11 - \frac{2}{3}n_f$$

$$\gamma_0 = -\frac{g^2}{4\pi^2}$$

so $\gamma_0 = -4$, $b_0 = 25/3$ below m_b , and

$$\frac{C(\mu)}{C(m_b)} = \left[\frac{\alpha_s(\mu)}{\alpha_s(m_b)} \right]^{6/25}$$

Compute matrix elements at μ :

$$a(\mu) \times \begin{cases} -i v^\mu P_v^{(Q)} & \text{if } \Gamma^\mu = \gamma^\mu \gamma_5, \\ i v^\mu P_v^{(Q)} & \text{if } \Gamma^\mu = v^\mu \gamma_5, \\ P_v^{*(Q)\mu} & \text{if } \Gamma^\mu = \gamma^\mu, \\ 0 & \text{if } \Gamma^\mu = v^\mu. \end{cases}$$

so that

$$f_{P^*} = \sqrt{m_{P^*}} a(\mu) C_1^{(V)}(\mu),$$

$$f_P = \frac{1}{\sqrt{m_P}} a(\mu) \left(C_1^{(A)}(\mu) - C_2^{(A)}(\mu) \right).$$

$a(\mu)C(\mu)$ is μ independent.

$$\frac{f_{P^*}}{f_P} = \sqrt{m_{P^*}m_P} \left\{ \frac{C_1^{(V)}}{C_1^{(A)} - C_2^{(A)}} \right\} = \sqrt{m_{P^*}m_P} \left\{ 1 - \frac{2}{3} \frac{\alpha_s(m_Q)}{\pi} \right\}.$$

$$f_B \sqrt{m_B} = a(\mu) \left[\frac{\alpha_s(\mu)}{\alpha_s(m_b)} \right]^{6/25} \left\{ 1 - \frac{2}{3} \frac{\alpha_s(m_b)}{\pi} \right\}$$

$$f_D \sqrt{m_D} = a(m_c) \left\{ 1 - \frac{2}{3} \frac{\alpha_s(m_c)}{\pi} \right\}$$

$$\frac{f_B \sqrt{m_B}}{f_D \sqrt{m_D}} = \left[\frac{\alpha_s(m_c)}{\alpha_s(m_b)} \right]^{6/25}$$

One-loop running and tree-level matching

two-loop running and one-loop matching

Matching and running must be computed in the same scheme.

1/m Corrections

$$\mathcal{L} = \bar{h}_v (i v \cdot D) h_v + \frac{1}{2m_Q} \bar{h}_v (i D_\perp)^2 h_v - c_F \frac{g}{4m_Q} \bar{h}_v \sigma_{\alpha\beta} G^{\alpha\beta} h_v$$

$$c_F(\mu) = \left[\frac{\alpha_s(m_Q)}{\alpha_s(\mu)} \right]^{9/(33-2n_f)}$$

Reparameterization Invariance

$$\begin{aligned}p_Q &= m_Q v + k, \\v &\rightarrow v + \varepsilon/m_Q, \\k &\rightarrow k - \varepsilon.\end{aligned}$$

Since $v^2 = 1$, $v \cdot \varepsilon = 0$.

Also $\psi Q_v = Q_v$ so the change in the field:

$$Q_v \rightarrow Q_v + \delta Q_v,$$

δQ_v satisfies

$$\left(\psi + \frac{\not{\varepsilon}}{m_Q} \right) (Q_v + \delta Q_v) = Q_v + \delta Q_v.$$

so that

$$(1 - \psi)\delta Q_v = \frac{\not{x}}{m_Q} Q_v.$$

One can choose:

$$\delta Q_v = \frac{\not{x}}{2m_Q} Q_v.$$

[Not unique, one can always make field redefinitions]
 L invariant under

$$\begin{aligned} v &\rightarrow v + \varepsilon/m_Q, \\ Q_v &\rightarrow e^{i\varepsilon \cdot x} \left(1 + \frac{\not{x}}{2m_Q} \right) Q_v, \end{aligned}$$

$$\mathcal{L}_0 \rightarrow \mathcal{L}_0 + \frac{1}{m_Q} \bar{Q}_v (i\varepsilon \cdot D) Q_v,$$
$$\mathcal{L}_1 \rightarrow \mathcal{L}_1 - \frac{1}{m_Q} \bar{Q}_v (i\varepsilon \cdot D) Q_v.$$

so that the kinetic energy is not renormalized.

Other connections that follow from reparameterization invariance:

$$c_S = 2c_F - 1, \quad \sigma \cdot \nabla \times E$$

E.g. relates matching coefficients of leading order and $1/m$ operators, and their anomalous dimensions.

Luke's Theorem

Can compute $1/m$ corrections to meson form-factors. Two sources of $1/m$ corrections, those from the Lagrangian, and from the current. So one has

$$T(\mathcal{L}_1, J_0), \quad J_1$$

where

$$\mathcal{L} = \mathcal{L}_0 + \frac{1}{m}\mathcal{L}_1 + \dots, \quad J = J_0 + \frac{1}{m}J_1 + \dots$$

Can apply the same spurion analysis as before, and work out the form-factors. Complicated expressions involving more Isgur-Wise functions for the matrix elements that enter.

Luke's theorem: no $1/m$ corrections to the form-factor at zero recoil.

Experimentally, measure $\bar{B} \rightarrow D^*$ which determines $|V_{cb}\mathcal{F}(1)|$.

$$\mathcal{F}(1) = \eta_A + 0 + \mathcal{O}\left(\frac{1}{m^2}\right)$$

$\eta_A = 0.96$, and $1/m^2 \approx -0.05$, so

$$\mathcal{F}(1) = 0.91 \pm 0.05$$

and from this one finds

$$|V_{cb}| = [38.6 \pm 1.5(\text{exp}) \pm 2.0(\text{th})] \times 10^{-3},$$