

Lattice QCD and Flavour Physics

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Preface

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- In practice, systematic uncertainties reduce the precision which can be achieved.

With experience and improved computing facilities the systematic uncertainties are being reduced (or at least the errors on the errors are being reduced).

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- My aims instead are to give an introductory overview of the applications of lattice QCD to phenomenology, so that you will have some feel for:
 - (i) which quantities can be calculated on the lattice and which cannot;
 - (ii) the precision which might be reached.

Of course my presentation will necessarily include some theoretical background and many numerical results. (I will try to embed a discussion of some of the theoretical ideas into the discussion of the phenomenology.)

Contents

1. General Introduction to Lattice Phenomenology
2. Quark Masses
3. Lattice QCD & the Determination of CKM Matrix Elements
4. $K \rightarrow \pi\pi$ decays.

1. General Introduction to Lattice Phenomenology

- Lattice phenomenology starts with the evaluation of correlation functions of the form:

$$\langle 0 | O(x_1, x_2, \dots, x_n) | 0 \rangle = \frac{1}{Z} \int [dA_\mu] [d\psi] [d\bar{\psi}] e^{iS} O(x_1, x_2, \dots, x_n) ,$$

where $O(x_1, x_2, \dots, x_n)$ is a multilocal operator composed of quark and gluon fields and Z is the partition function:

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- The physics which can be studied depends on the choice of the multilocal operator O .
- The functional integral is performed by discretising space-time and using Monte-Carlo Integration.

Two-Point Correlation Functions

- Consider two-point correlation functions of the form:

$$C_2(t) = \int d^3x e^{i\vec{p}\cdot\vec{x}} \langle 0 | J(\vec{x}, t) J^\dagger(\vec{0}, 0) | 0 \rangle ,$$

where J and J^\dagger are any interpolating operators for the hadron H which we wish to study and the time t is taken to be positive.

- We assume that H is the lightest hadron which can be created by J^\dagger .
- We take $t > 0$, but it should be remembered that lattice simulations are frequently performed on periodic lattices, so that both time-orderings contribute.

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Inserting a complete set of states $\{|n\rangle\}$:

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where the \dots represent contributions from heavier states with the same quantum numbers as H .

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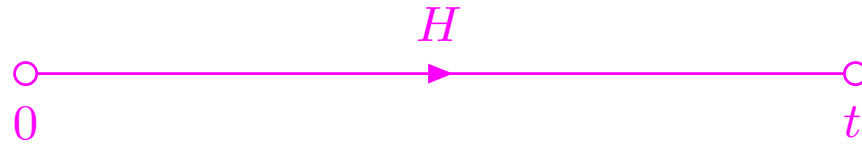
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Finally using translational invariance:

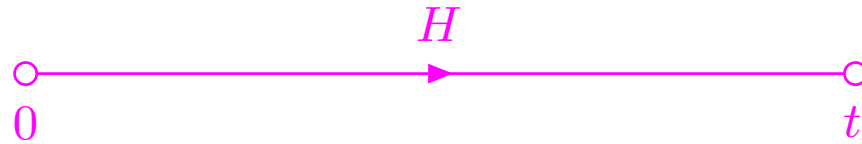
$$C_2(t) = \frac{1}{2E} e^{-iEt} \left| \langle 0 | J(\vec{0}, 0) | H(p) \rangle \right|^2 + \dots ,$$

where $E = \sqrt{m_H^2 + \vec{p}^2}$.

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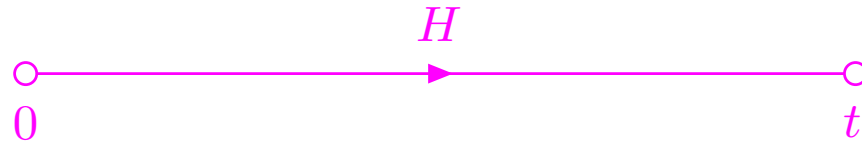


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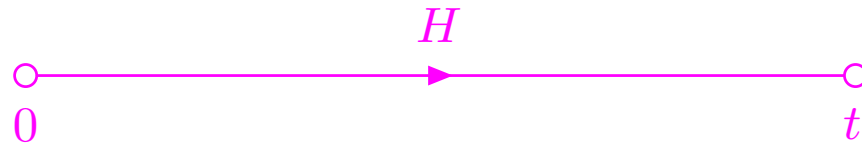


- In Euclidean space $\exp(-iEt) \rightarrow \exp(-Et)$.
- By fitting $C(t)$ to the form above, both the energy (or, if $\vec{p} = 0$, the mass) and the modulus of the matrix element

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- Example: if $J = \bar{u}\gamma^\mu\gamma^5 d$ then the decay constant of the π -meson can be evaluated,

$$|\langle 0 | \bar{u}\gamma^\mu\gamma^5 d | \pi^+(p) \rangle| = f_\pi p^\mu ,$$

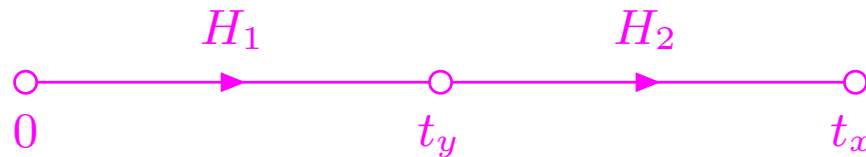
and the physical value is $f_\pi \simeq 132 \text{ MeV}$.

Three-Point Correlation Functions

- Consider now a three-point correlation function of the form:

$$C_3(t_x, t_y) = \int d^3x d^3y e^{i\vec{p}\cdot\vec{x}} e^{i\vec{q}\cdot\vec{y}} \langle 0 | J_2(\vec{x}, t_x) O(\vec{y}, t_y) J_1^\dagger(\vec{0}, 0) | 0 \rangle ,$$

where $J_{1,2}$ may be interpolating operators for different particles and we assume that $t_x > t_y > 0$.

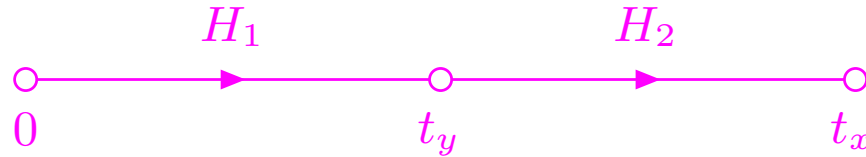


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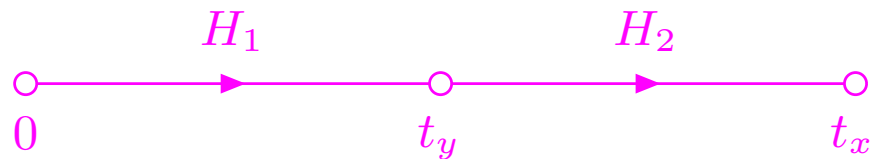


- For sufficiently large times t_y and $t_x - t_y$

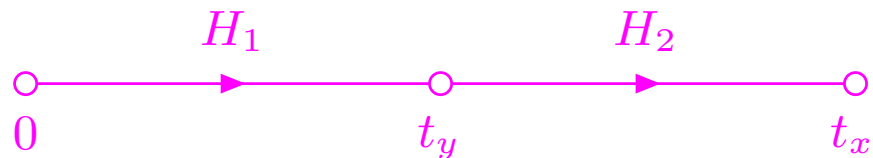
$$C_3(t_x, t_y) \simeq \frac{e^{-E_1 t_y}}{2E_1} \frac{e^{-E_2(t_x - t_y)}}{2E_2} \langle 0 | J_2(0) | H_2(\vec{p}) \rangle$$

$$\times \langle H_2(\vec{p}) | O(0) | H_1(\vec{p} + \vec{q}) \rangle \langle H_1(\vec{p} + \vec{q}) | J_1^\dagger(0) | 0 \rangle ,$$

where $E_1^2 = m_1^2 + (\vec{p} + \vec{q})^2$ and $E_2^2 = m_1^2 + \vec{p}^2$.

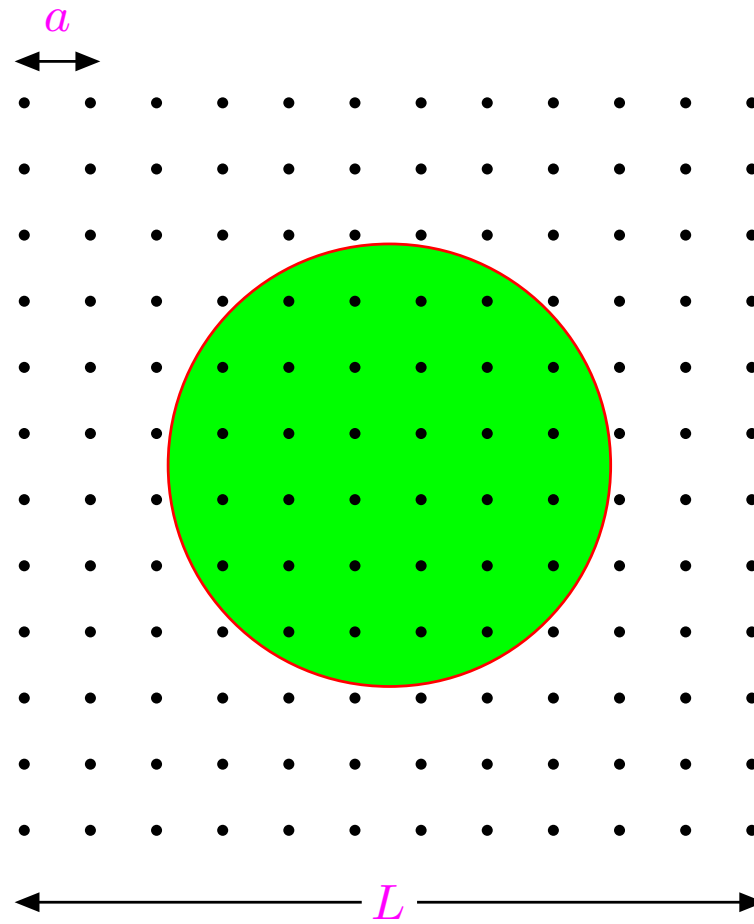


- From the evaluation of two-point functions we have the masses and the matrix elements of the form $|\langle 0|J|H(\vec{p})\rangle|$. Thus, from the evaluation of three-point functions we obtain matrix elements of the form $|\langle H_2|O|H_1\rangle|$.

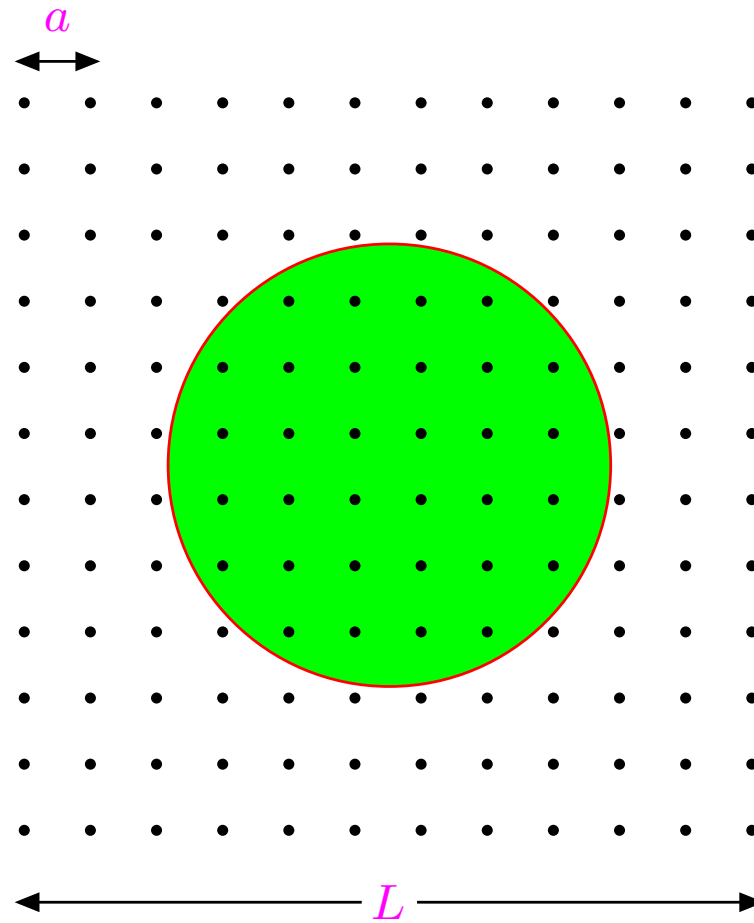


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- Examples: Important examples are $\bar{M}^0 - M^0$ mixing, the semileptonic and rare radiative decays of hadrons of the form $B \rightarrow \pi, \rho + \text{leptons}$ or $B \rightarrow K^* \gamma$. The operators O in this case are quark bilinears.

Systematic Uncertainties



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- We want

$$L \gg 1 \text{ fm} \quad \text{and} \quad a^{-1} \gg \Lambda_{\text{QCD}} .$$

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- The different sources of systematic uncertainty are not independent of each other, so the following discussion is oversimplified.

- **Discretization Errors (Lattice Artefacts):** Current simulations are typically performed with

$$a \sim \left(\frac{1}{20} - \frac{1}{10} \right) \text{ fm}$$

leading to errors of $O(a\Lambda_{\text{QCD}})$ (with Wilson Fermions) or $O(a^2\Lambda_{\text{QCD}}^2)$ for *improved* fermion actions.

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$$f'(x) = \frac{f(x+a) - f(x)}{a} + O(a) \quad \text{or} \quad f'(x) = \frac{f(x+a) - f(x-a)}{2a} + O(a^2).$$

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For example, in this way it is possible to reduce the errors from $O(a)$ for Wilson fermions to ones of $O(a^2)$ by the addition of irrelevant operators.

✓✓

- **Finite Volume Effects and Chiral Extrapolations:**

The pion is light \Rightarrow it can propagate over large distances.

Simulations are performed with heavier pions (typically with $m_{u,d} \geq m_s/2$) and the results are then extrapolated to the chiral limit.

Typically we impose that $m_\pi L > 4$.

The extrapolation to $m_{u,d} \simeq 0$ is a major uncertainty for many processes.

Use χ PT to guide this extrapolation? Chiral logarithms suggest that there may be subtle effects at low masses.

This will be discussed in some detail later.

$\rho \rightarrow \pi\pi$ decays have not been achieved on the lattice up to now.



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- **Renormalization of Lattice Operators:** Relating bare lattice operators to standard renormalized ones (e.g. \overline{MS} ones) introduces uncertainties.

Non-perturbative renormalization is possible.



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- Under a gauge transformation:

$$\psi(x_i) \rightarrow g(x)\psi(x_i) \quad \text{and} \quad U_\mu(x_i) \rightarrow g(x_i)U_\mu(x_i)g^\dagger(x_i + \hat{\mu}).$$

- Thus we can think of $U_\mu(x_i)$ as the path-ordered exponential of gauge fields between x_i and $x_i + \hat{\mu}$.

- Writing

$$U_\mu(x_i) = \exp \left\{ ig_0 A_\mu \left(x_i + \frac{\hat{\mu}}{2} \right) a \right\} ,$$

Wilson proposed the gauge action

$$S = \sum_{\mathcal{P}_{\mu\nu}} \mathcal{P}_{\mu\nu} \quad \text{where} \quad \mathcal{P}_{\mu\nu} = \beta \left\{ 1 - \frac{1}{3} \text{Re Tr} \left(U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x) \right) \right\}$$

where $\beta = 6/g_0^2$ and \mathcal{P} is called the *plaquette*.

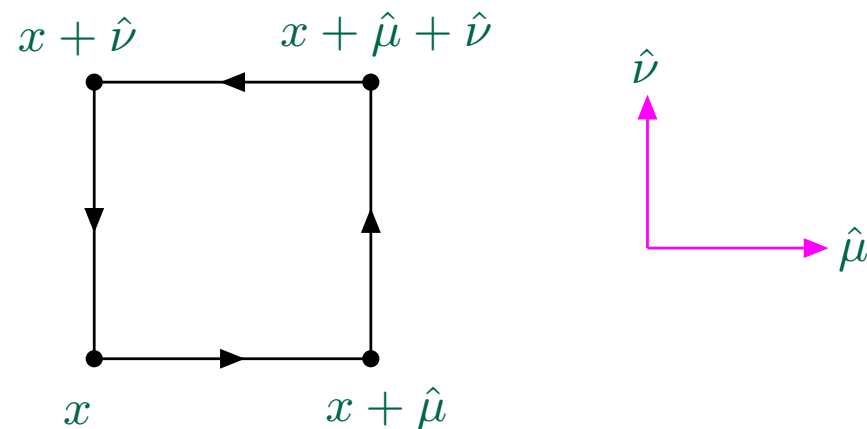
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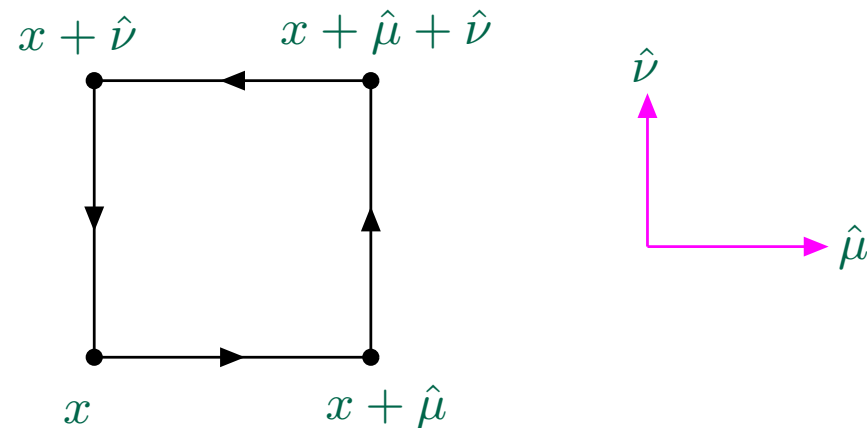
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- “A little suppressed algebra” [Creutz] \Rightarrow

$$S = \frac{1}{2} \int d^4x \text{Tr}(F_{\mu\nu} F_{\mu\nu}) \quad + \text{terms suppressed by } a^2 .$$

Fermion Actions

- Naive Fermions \Rightarrow Fermion Doubling Problem. The inverse free propagator is

$$m + ia^{-1} \sum_{\mu} \gamma_{\mu} \sin(aq_{\mu}),$$

where q is the momentum.

At low momenta this is correct, but there are also similar contributions from $q_{\mu} \simeq \pi/a$ and we have $2^4 = 16$ independent Fermion species.

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- As a result there is a plethora of Lattice Fermion Actions which overcome this problem:

1. Wilson Fermions (+ improved versions);
2. Staggered Fermions (and modified versions);
3. Twisted Mass QCD;
4. Actions satisfying the Ginsparg-Wilson relation (Domain Wall Fermions, Overlap Fermions, Perfect Actions)
5. ...

- Wilson Fermions: Add an irrelevant term to the action (proportional to $a\bar{\psi}D^2\psi$, adding a term proportional to $a^{-1} \sum_{\mu} \{1 - \cos(aq_{\mu})\}$ in the inverse propagator). This Wilson term breaks the chiral symmetry and induces artefacts of $O(a\Lambda_{\text{QCD}})$.

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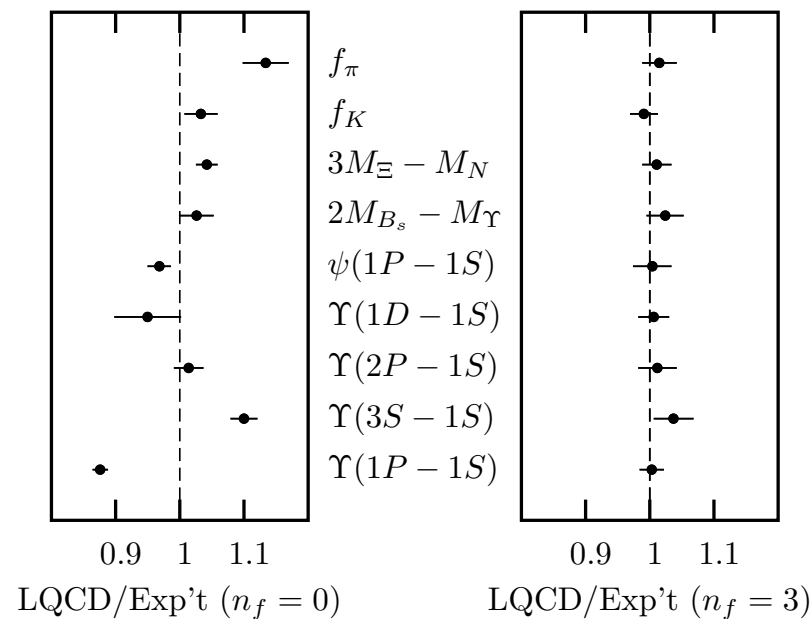
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- Chiral Fermions: Much work is being devoted to developing algorithms for lattice fermions which have a continuum-like chiral symmetry even at finite lattice spacing.

This is ultimately likely to be the method of choice!



High-Precision Lattice QCD Confronts Experiment
 C.T.H.Davies + 25 authors (HPQCD, UKQCD, MILC and Fermilab Lattice Collaborations),

hep-lat/0304004

- The authors claim that the use of a *new improved staggered-quark discretization* allows light quark masses to be reached down to about $m_s/8$ and represents “a breakthrough for phenomenology and, in particular, for heavy-quark physics”.

Very Intriguing!!

2. Quark Masses

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- It is for this reason that we have developed methods for *non-perturbative renormalization* (described later).

- Thus we can compare the lattice results for a number of physical quantities (e.g. some hadronic masses) with the experimental data to determine the bare quark masses and then use perturbation theory and/or non-perturbative renormalization to determine the renormalized values.

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- One standard method exploits the axial Ward identity

$$\partial_\mu A^\mu = (m_1 + m_2)P$$

where A and P are the axial current and pseudoscalar density corresponding to quarks with masses $m_{1,2}$:

$$A^\mu(x) = \bar{\psi}_1(x)\gamma^\mu\gamma^5\psi_2(x) \quad \text{and} \quad P(x) = \bar{\psi}_1(x)\gamma^5\psi_2(x).$$

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For simplicity here let me take $m_1 = m_2$.

$$\partial_\mu A^\mu = (m_1 + m_2)P$$

- Calculate the two-point correlation function

$$\langle 0 | P^l(t) P^{s\dagger}(0) | 0 \rangle = \frac{Z_P^l Z_P^s}{2m_P} \{ \exp(-m_P t) + \exp(-m_P(L_t - t)) \} .$$



- m_P is the mass of the pseudoscalar meson.
- The superscripts l and s stand for *local* and *smeared* respectively.
- The $Z_P^{l,s}$'s are the matrix elements $\langle 0 | P^{l,s}(0) | P \rangle$.

Optional

$$\partial_\mu A^\mu = 2m_q P$$

$$\langle 0 | P^l(t) P^{s\dagger}(0) | 0 \rangle = \frac{C_P^l C_P^s}{2m_P} \{ \exp(-m_P t) + \exp(-m_P(L_t - t)) \} .$$

- Calculate also

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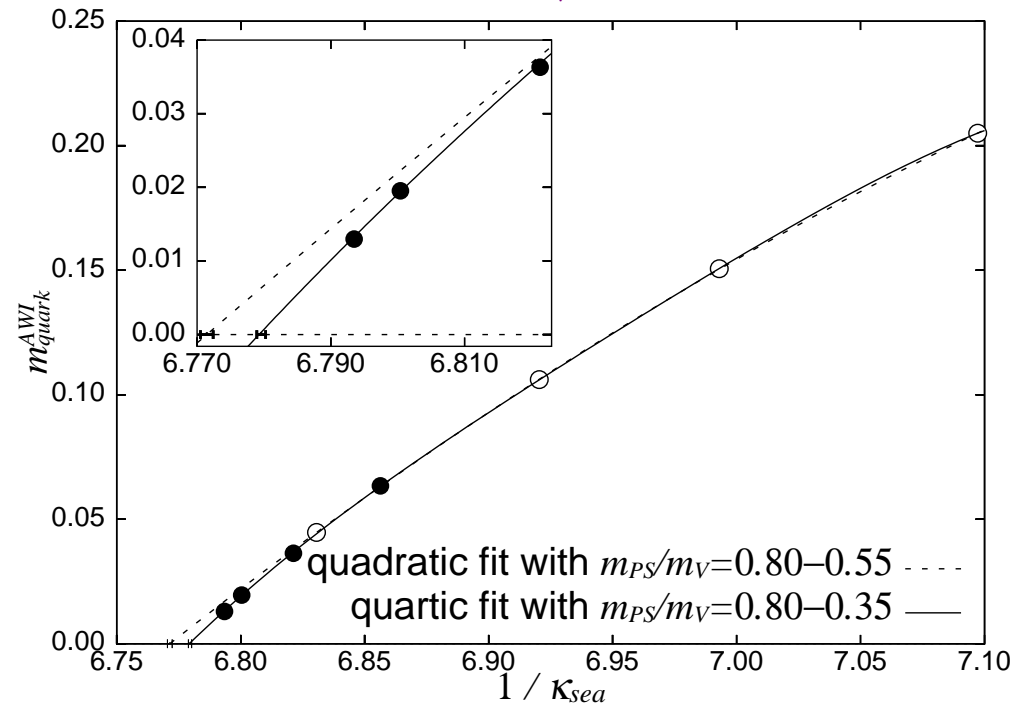
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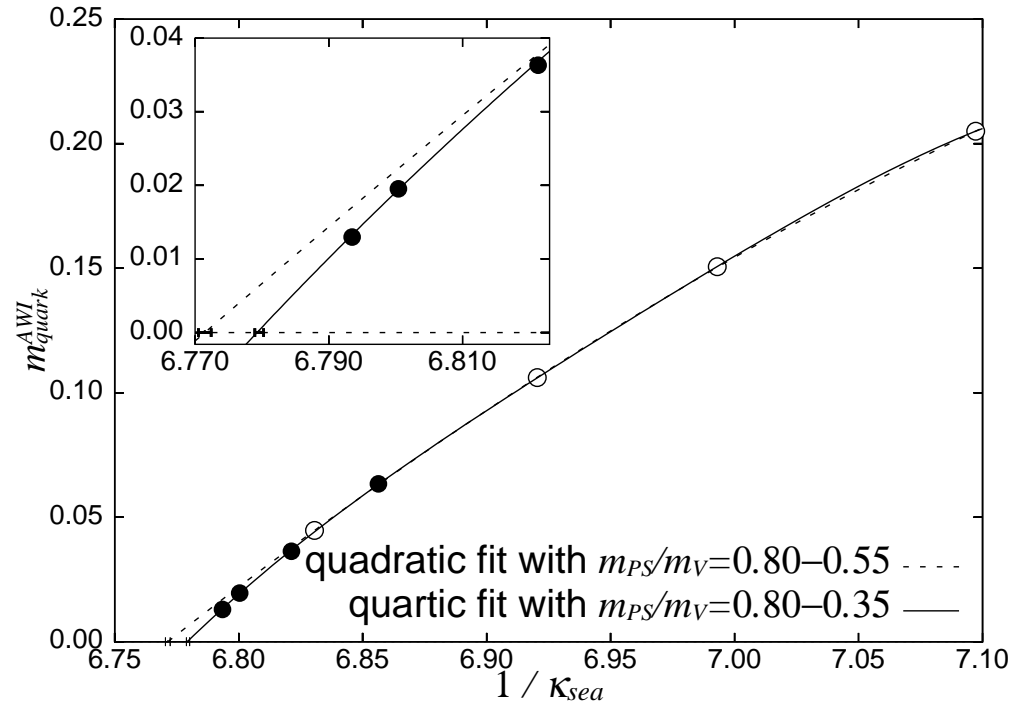
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Vector Ward Identities can also be used.

Y Namekawa et al., CP-PACS – hep-lat/0404014

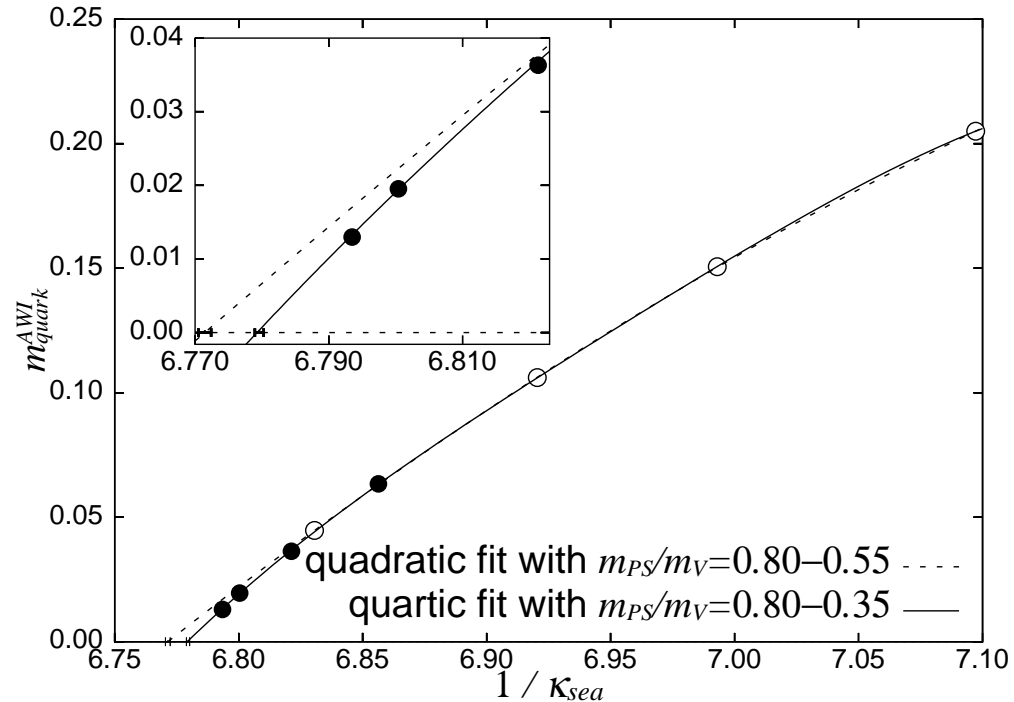


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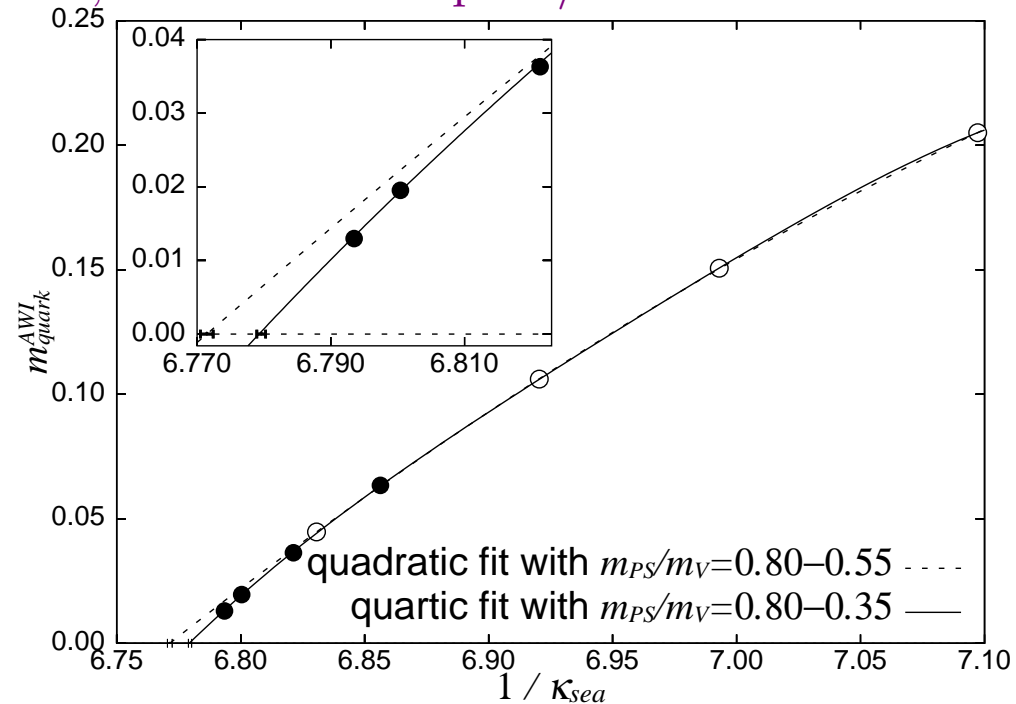
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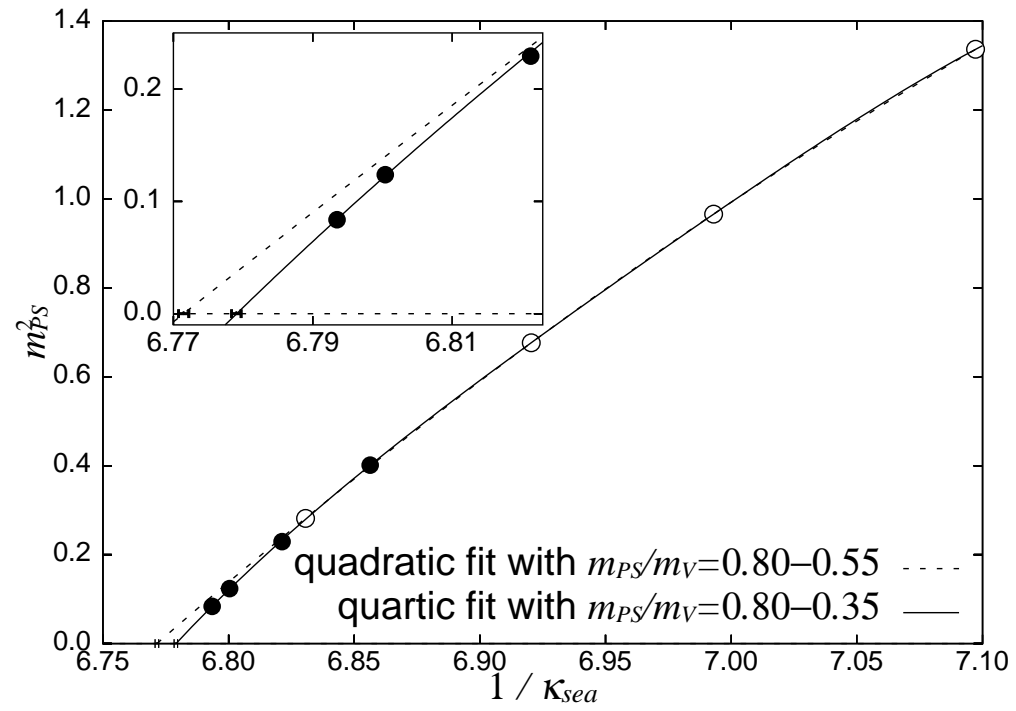
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- They use the π and ρ masses to determine a ($a \simeq 0.2$ fm) and the (single) quark mass.

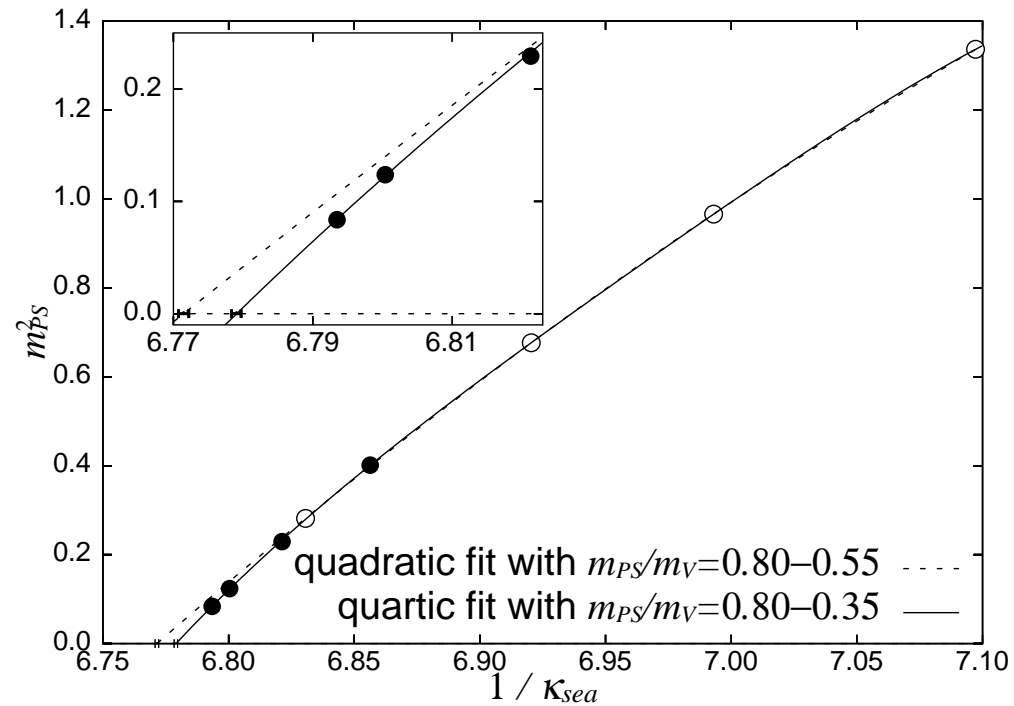
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- They use the π and ρ masses to determine a ($a \simeq 0.2$ fm) and the (single) quark mass.
- The primary aim was to simulate with light masses and to study the chiral behaviour.

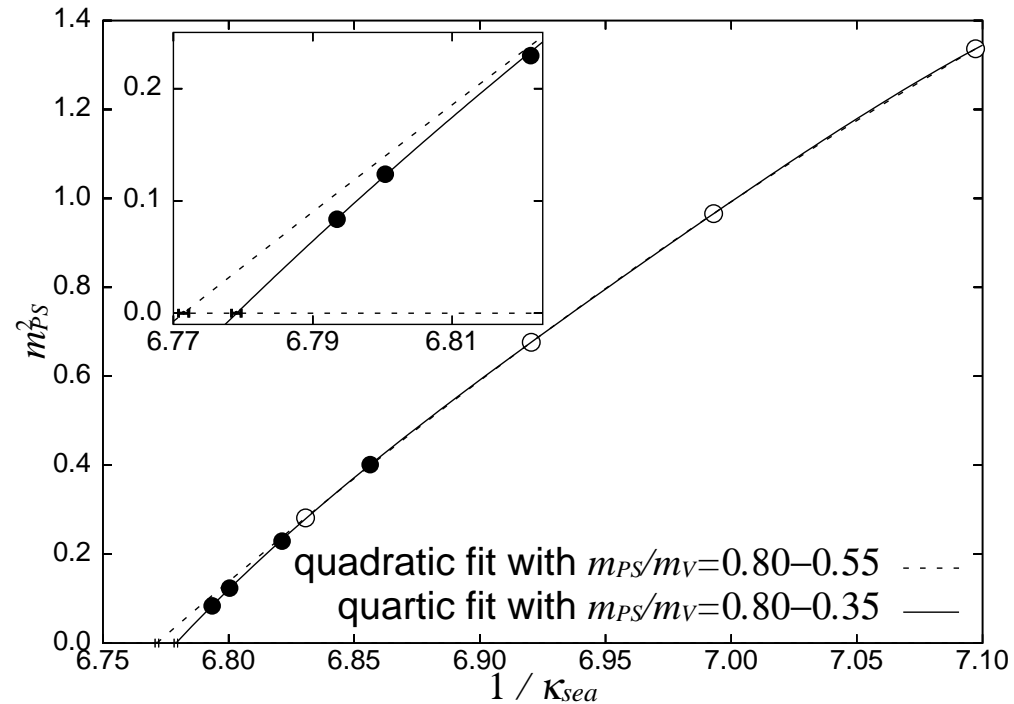
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Y Namekawa et al., CP-PACS – hep-lat/0404014



- The authors claim that neither the quadratic fits that they had used earlier, nor continuum χ PT fit the data well, but that $W\chi$ PT, which includes a^2 effects associated with explicit chiral symmetry breaking fits the whole data.
- Reanalyzing their previous data with $W\chi$ PT lowers $m_{u,d}$ by about 10% ($m_{u,d}^{\overline{MS}} = 3.11(17)$ MeV).

R.Gupta hep-ph/0311033

	Action Renorm.	\bar{m} $= (m_u + m_d)/2$	$m_s(M_K)$	$m_s(M_\phi)$	scale $1/a$
JLQCD (1999)	Staggered RI/MOM	4.23(29)	106(7)	129(12)	M_ρ
CPPACS (1999)	Wilson 1-loop TI	4.57(18)	116(3)	144(6)	M_ρ
CP-PACS (2000)	Iwasaki+SW 1-loop TI	4.37^{+13}_{-16}	111^{+3}_{-4}	132^{+4}_{-5}	M_ρ
ALPHA-UKQCD (1999)	O(a) SW SF		97(4)		f_K
QCDSF (1999)	O(a) SW SF	4.4(2)	105(4)		r_0
QCDSF (1999)	Wilson RI/MOM	3.8(6)	87(15)		r_0
SPQcdR (2002)	O(a) SW RI/MOM	4.4(1)(4)	106(2)(8)		r_0

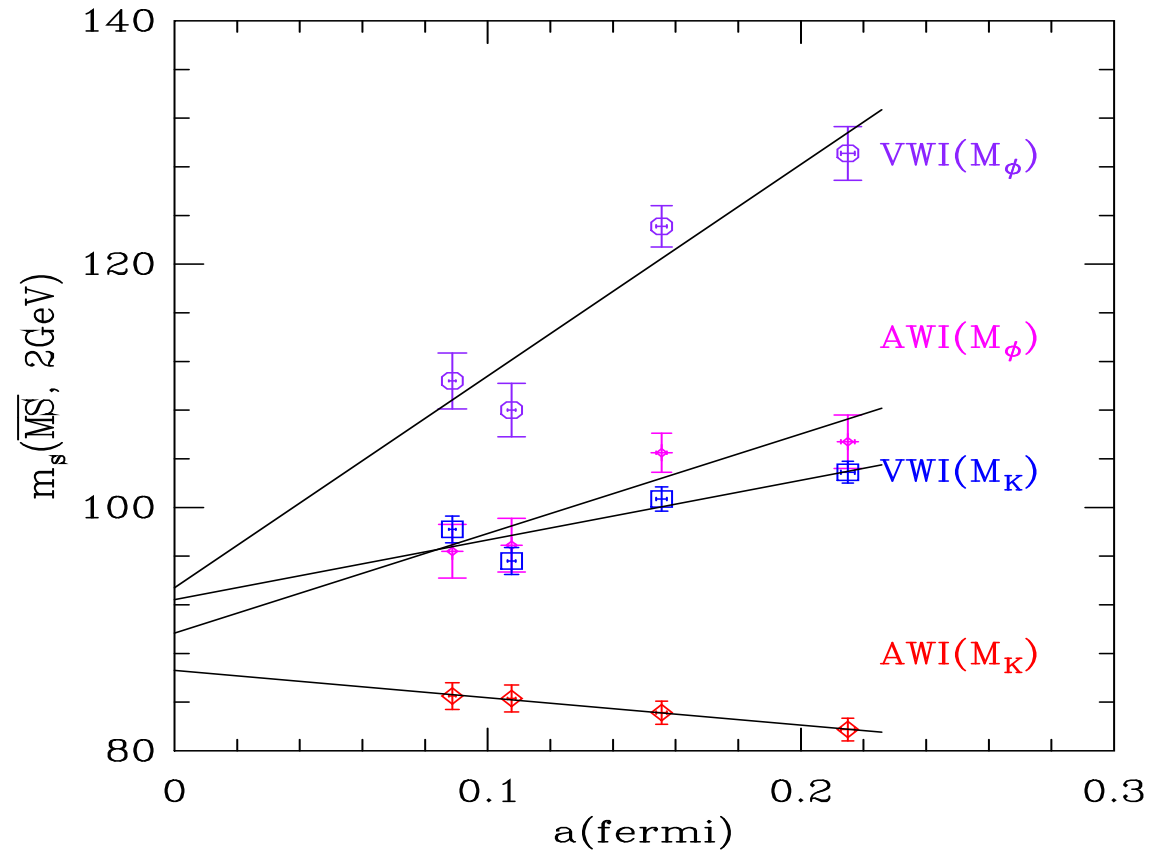
State of the art quenched results for quark masses.

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	Action Renorm	\bar{m}	$m_s(M_K)$	$m_s(M_\phi)$	scale $1/a$ (GeV)
JLQCD (2002)	Wilson+SW 1-loop TI	3.22(4)	84.5(1.1)	96.4(2.2)	M_ρ (2.22)
CP-PACS (2000)	Iwasaki+SW 1-loop TI	$3.45^{+0.14}_{-0.20}$	89^{+3}_{-6}	90^{+5}_{-11}	M_ρ ($a \rightarrow 0$)
QCDSF-UKQCD (2003)	O(a) SW 1-loop TI	3.5(2)	90^{+5}_{-10}		r_0 [1.9 – 2.2]
QCDSF-UKQCD (2003)	O(a) SW RI-MOM		85(1)		r_0 [1.9 – 2.2]

Recent $N_f = 2$ results for quark masses.

R.Gupta hep-ph/0311033



Estimates of m_s from the $N_f = 2$ simulations by the CP-PACS and JLQCD (points on the finest lattice with $a \approx 0.09$ fermi) collaborations.

- Of course we would like to simulate with dynamical strange quarks ($N_f = 3$), and this still has major uncertainties.

	Action Renorm	\bar{m}	$m_s(M_K)$ AWI	scale $1/a$ (GeV)
JLQCD (2003)	Iwasaki+SW 1-loop TI	2.89(6)	75.6(3.4)	M_ρ (2.05(5))
MILC (2003)	AsqTad 1-loop TI	2.5(1)	66(1)	M_ρ (1.6)
MILC (2003)	AsqTad 1-loop TI	2.6(1)	68(1)	M_ρ (2.2)

Recent $N_f = 3$ results for quark masses from Gupta's review.

AsqTad is a perturbatively improved version of Staggered fermions which reduces "taste" symmetry breaking. The quoted errors in the MILC results include both statistical and those due to varying q^* in the 1-loop matching between $(1/a \rightarrow 2/a)$.

Non-Perturbative Renormalization

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In lattice simulations we compute

$$\langle f | O_B(a) | i \rangle ,$$

whereas we would like to know

$$\langle f | O_R(\mu) | i \rangle ,$$

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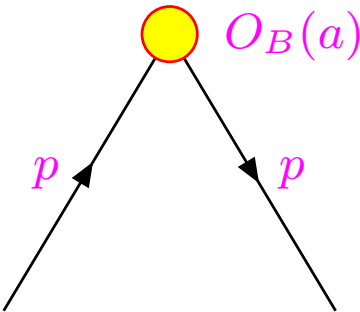
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- For sufficiently large scale, a^{-1} and $\mu \gg \Lambda_{\text{QCD}}$, the relation between these two matrix elements can be determined in perturbation theory, but the coefficients in Lattice PT are frequently large.

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For example (there are more sophisticated schemes), let us define the renormalized operator O_R as being the one whose matrix element between quark states, at some scale $p^2 = \mu^2$ and in some gauge (the Landau gauge say) is the tree-level one. We compute

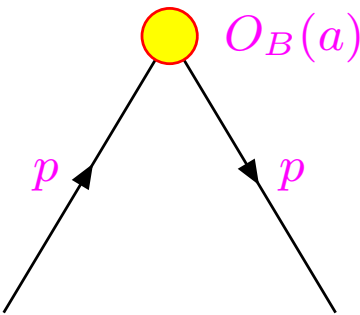
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- The renormalized operator

$$O_R^{RI\ Mom}(\mu) \equiv Z_O(a\mu) O_B(a)$$

is independent of the regularization (RI) and can be used in hadronic matrix elements.

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By calculating the matrix element between quark states on a sequence of lattices with decreasing a (and hence smaller volumes) and matching, it is possible to eliminate the constraint $p^2 \gg \Lambda_{\text{QCD}}^2$. This procedure is called *step scaling*.

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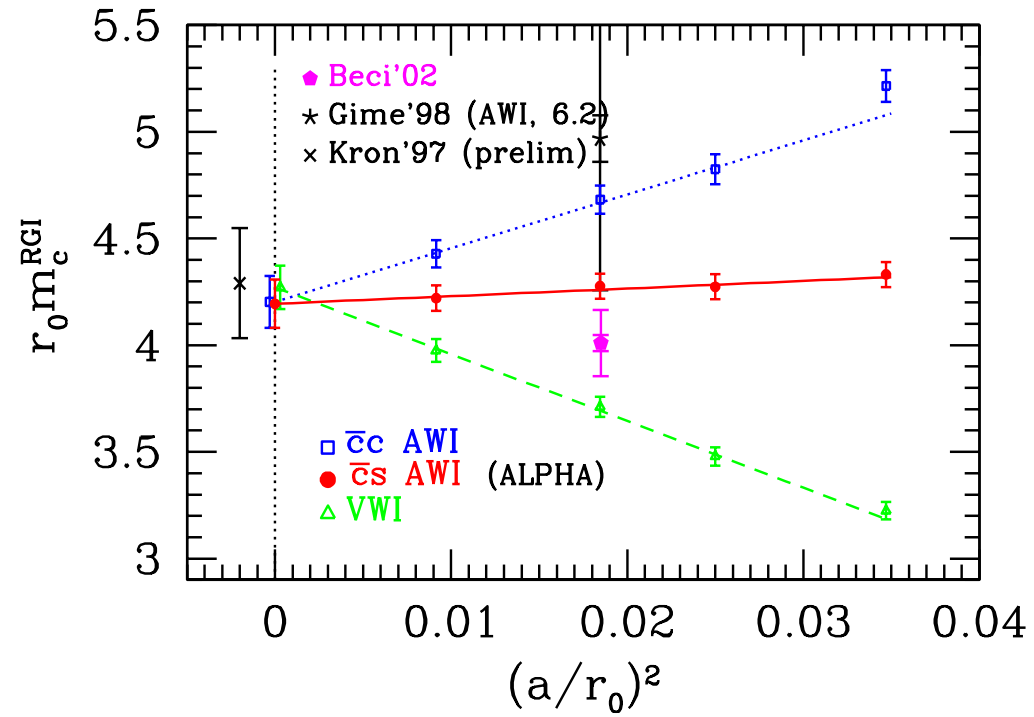
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- Setting the scale by m_p increases \bar{m}_c by about 3%.

L.Lellouch – hep-ph/0211359



Continuum extrapolation of the RGI charm mass by ALPHA (Rolf and Sint).

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- At large t :

$$C(t) \simeq Z^2 \exp(-\xi t)$$

where from Z we obtain the value of the decay constant, f_B , in the static approximation.

(E.Eichten - 1987)

The Mass of the b-quark

$$m_b \gg a^{-1} \gg \Lambda_{\text{QCD}} \Rightarrow \text{Effective Theories (HQET or NRQCD)}$$

Consider the correlation function

$$C(t) = \sum_{\vec{x}} \langle 0 | A_0(\vec{x}, t) A_0(\vec{0}, 0) | 0 \rangle$$

in the HQET. A_μ is the axial current,

$$A_\mu = \bar{h} \gamma_\mu \gamma^5 q .$$

h and q are heavy- and light-quark fields.

- At large t :

$$C(t) \simeq Z^2 \exp(-\xi t)$$

where from Z we obtain the value of the decay constant, f_B , in the static approximation. (E.Eichten - 1987)

- From the measured value of ξ one can obtain m_b (up to $\Lambda_{\text{QCD}}^2/m_b$ corrections.) (M.Crisafulli, V.Giménez, G.Martinelli, CTS - 1995)

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- The renormalons in the pole mass and δm cancel.

$$\begin{aligned}\bar{m}_b &= \left[1 + \sum_n D_n \alpha_s^n(m_b)\right] m_B^{\text{pole}} \\ &= \left[1 + \sum_n D_n \alpha_s^n(m_b)\right] \left[M_B - \xi + \frac{1}{a} \sum_n X_n \alpha_s^n(m_b)\right].\end{aligned}$$

Perturbative Matching

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- Relation between the Pole Mass and the $\overline{\text{MS}}$ Mass:

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- Relation between the Bare Lattice Coupling and the $\overline{\text{MS}}$ Coupling:

$$\alpha_s(\mu) = \left[1 + \sum_n d_n(a\mu) \alpha_0^n \right] \alpha_0.$$

- d_2 – M.Lüscher and P.Weisz (1995)
C.Christou, A.Feo, H.Panagopoulos and E.Vicari (1998)

Perturbative Matching - Continued

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$$a\delta m = C_1 \alpha_0 + C_2 \alpha_0^2 + C_3 \alpha_0^3 + \dots$$

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$$W(R, T) \sim \exp(-2\delta m(R + T))$$

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For NRQCD only the first coefficient (C_1) is known.

Quenched Results

- $\bar{m}_b = 4.15 \pm 0.05 \pm 0.20 \text{ GeV}$
Quenched NLO; Second error estimate of uncertainty due to higher order perturbation theory. V.Giménez, G.Martinelli and CTS (1996)
- $\bar{m}_b = 4.30 \pm 0.05 \pm 0.10 \text{ GeV}$
Quenched N²LO G.Martinelli and CTS (1998)
- $\bar{m}_b = 4.30 \pm 0.05 \pm 0.05 \text{ GeV}$
Quenched N³LO V.Giménez et al. (2000) private comm.
- $\bar{m}_b = 4.34 \pm 0.03 \pm 0.06 \text{ GeV}$
Quenched N³LO from NRQCD in the static limit. C.Davies et al. (2000)

Unquenched Results

- $\bar{m}_b = 4.26 \pm 0.06 \pm 0.07 \text{ GeV}$
 $n_f = 2, \text{ N}^2\text{LO.}$ V.Giménez, L.Giusti, G.Martinelli and F.Rapuano (2000)

Results using NRQCD are in good agreement, but we need to understand the errors due to higher orders of perturbation theory in that case.

- A strategy to renormalize the HQET non-perturbatively is being successfully developed by the DESY-Zeuthen group.

J.Heitger & R.Sommer; M.Kurth & R.Sommer; Heitger, Kurth & Sommer, . . .