

Kaon Physics: Report from the Precision Frontier.

R. Tschirhart

Fermilab

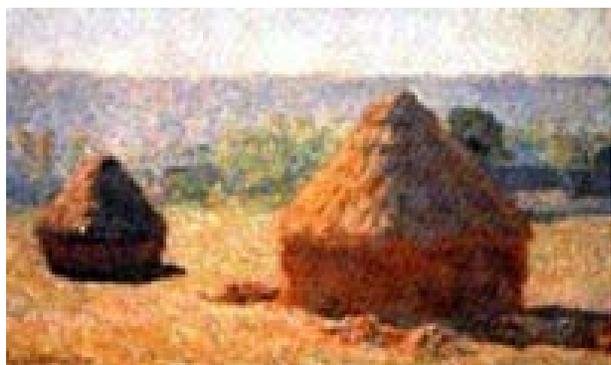
May 19th 2005

LNF School, Frascati

Lecture 1: The Precision Frontier.

Hunt for small asymmetries that signal CP, CPT, or Unitarity violation in the kaon system. .

- Needle in a Haystack: Understand the Haystack in excruciating detail, subtract winter from summer and the needle is revealed.



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Lecture 2: The Sensitivity Frontier: A Window to New Physics Beyond the Standard Model.

- Needle in a Haystack: Get a really strong magnet to separate the signal from the background.



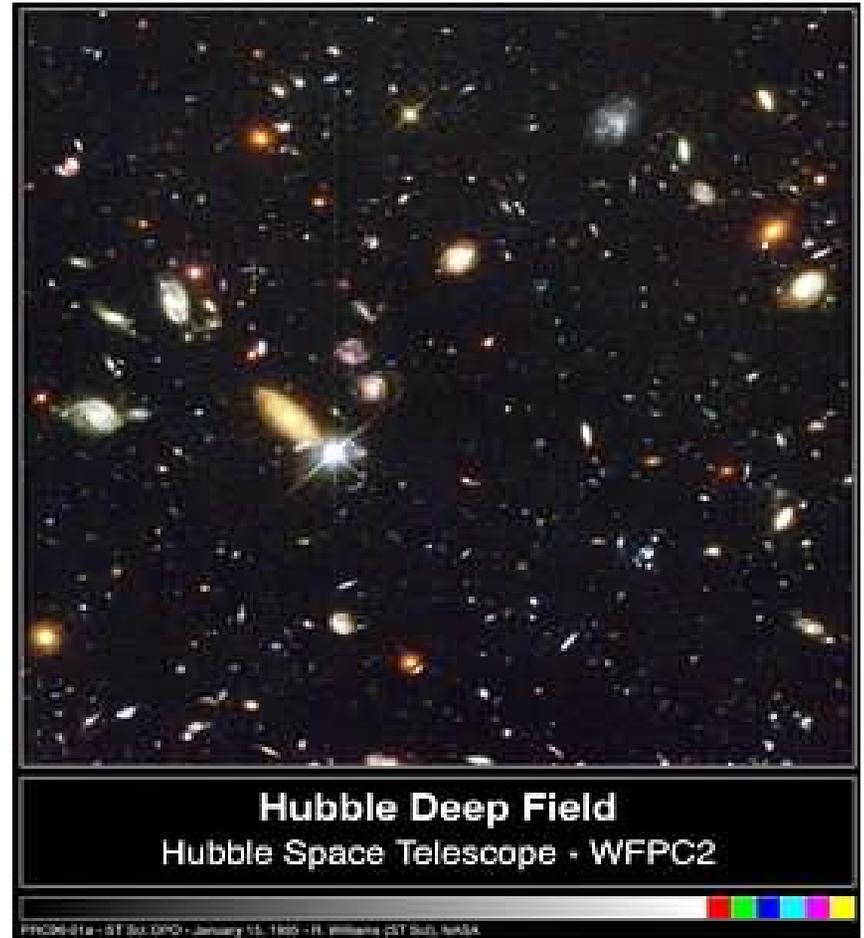
Apologies and Acknowledgements....

- *Not a complete treatment, not a review.* Too many experiments, too much phenomenology. Select a few measurements and explore techniques and results as instruction.
- Special thanks to A. Ceccucci, L. Bellantoni, D. Bryman, S. Chen, G. Isidori, R. Kessler, L. Littenberg, Matteo Palutan, M. Sozzi, K. Schubert for excellent slides.

Big Questions of Flavor: Why Flavor?....

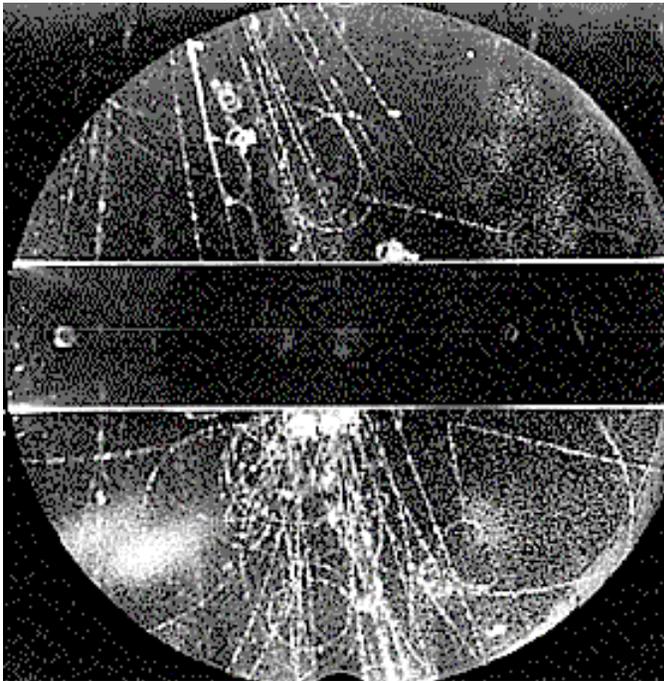
How are We Here? Baryogenesis? Leptogenesis?

- Naïve Big bang cosmology has a *balanced production of matter & antimatter...* but our current universe is dominated by matter.
- **Sakharov's 3 conditions** for matter dominance
 - baryon number non-conservation
 - C and **CP violation**
 - not in thermal equilibrium

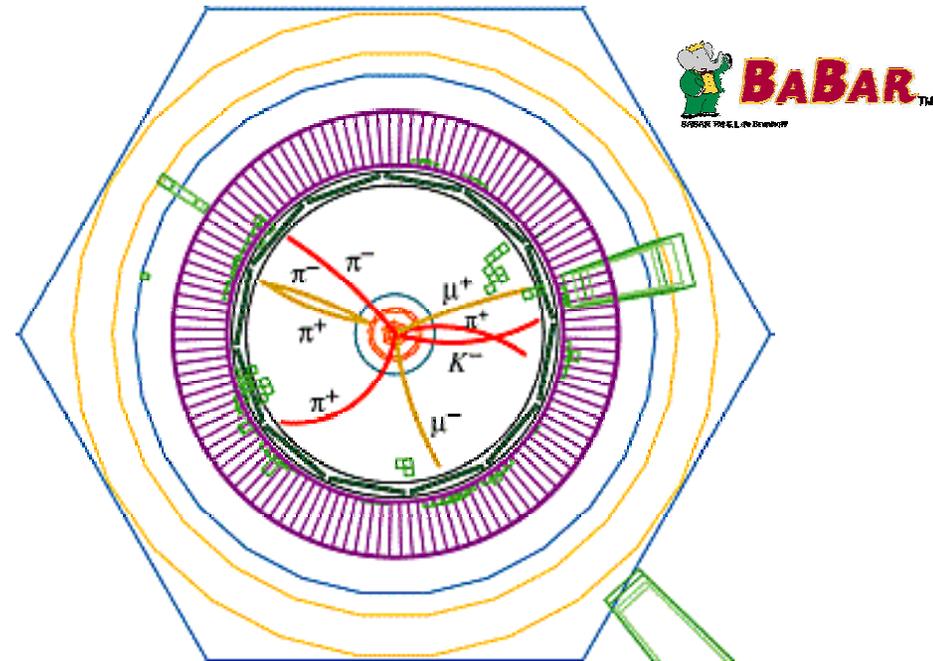


The March of Flavor Physics.

Neutral Kaons discovered in *Cosmic Rays* in 1947.

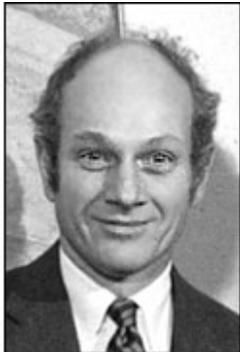


Quantitative Test of Matter-Antimatter Asymmetry of the Standard Model today.

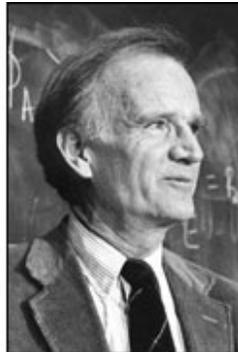


$B^0 \rightarrow \Psi K_S$ Decays

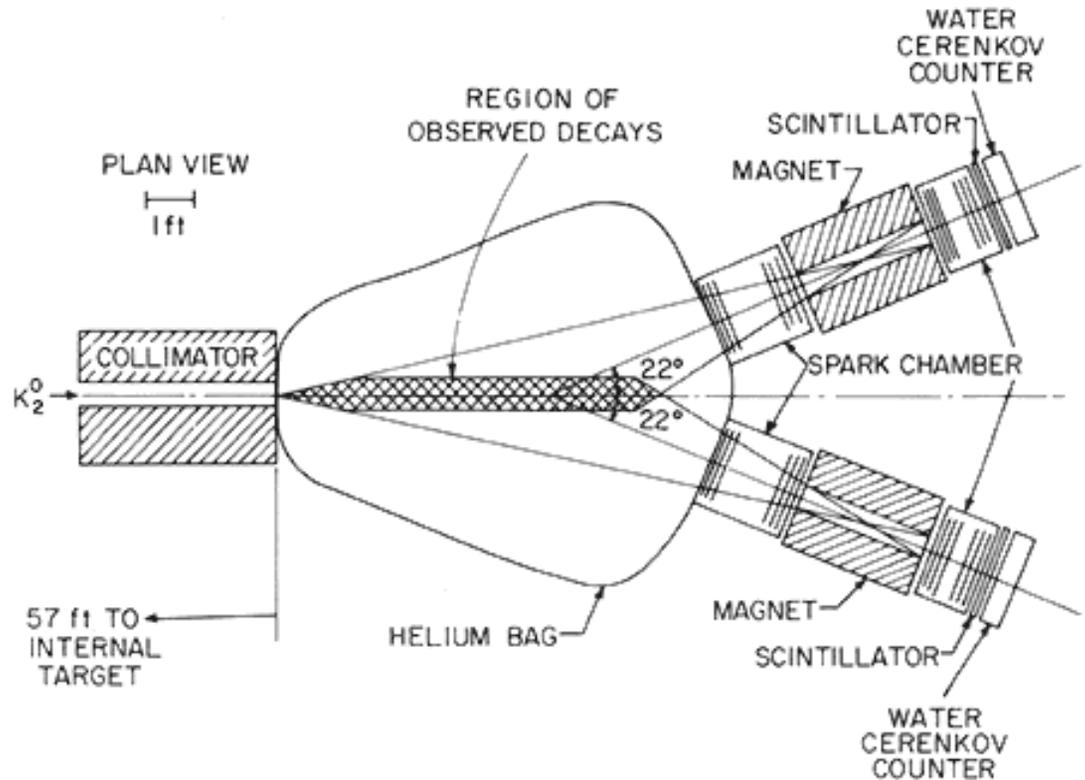
Observation of CP Violation: $K_L^0 \rightarrow 2\pi$ in 1964.



James Cronin

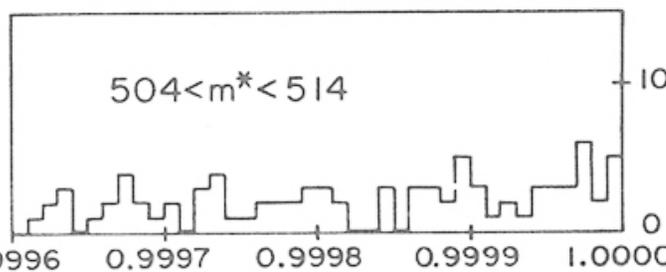
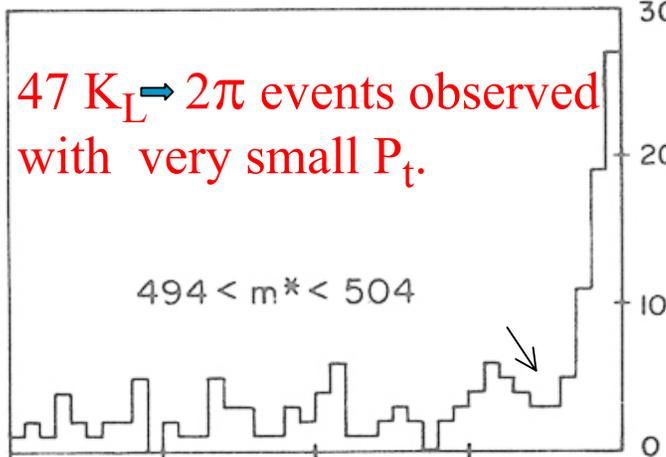
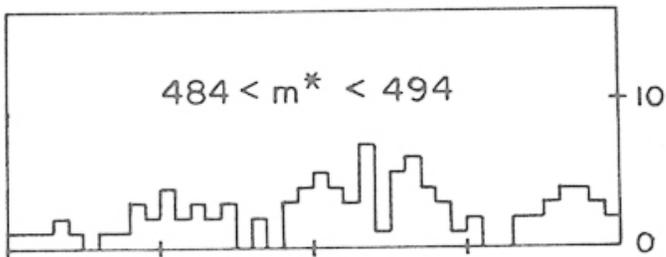


Val Fitch



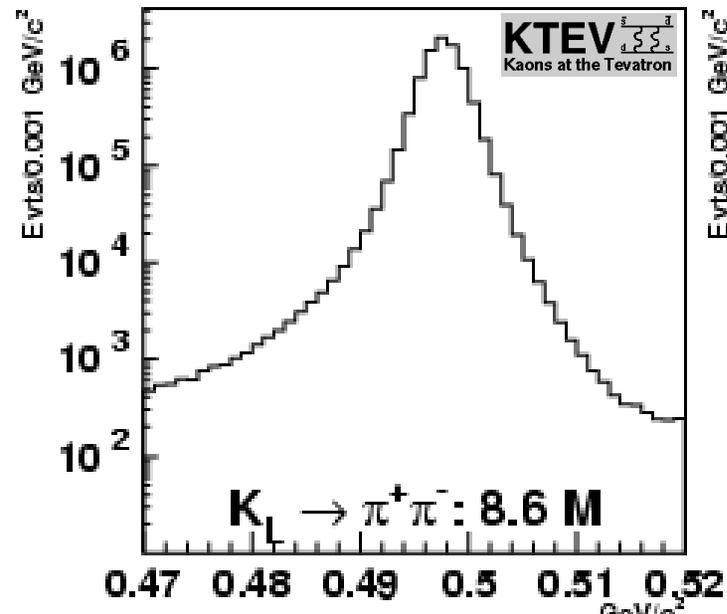
1964

~2004

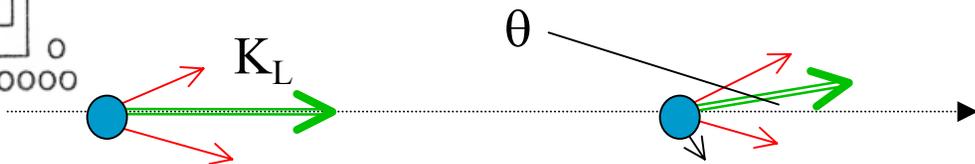


cos θ

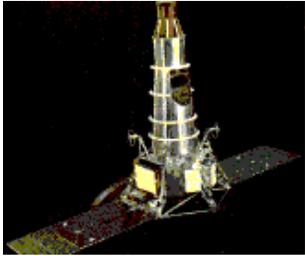
~20 Million CP $K_L \rightarrow 2\pi$ Observed by the KTeV Experiment.



2π Mass (GeV/c²)



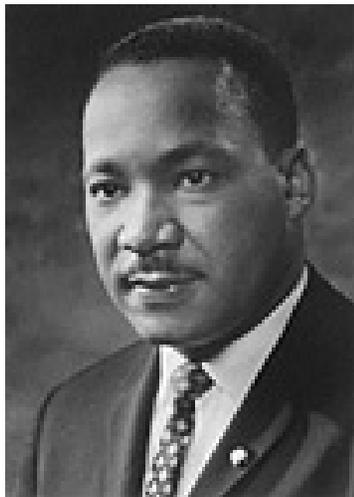
Some Other High Points in 1964....



Ranger-7
to Moon.



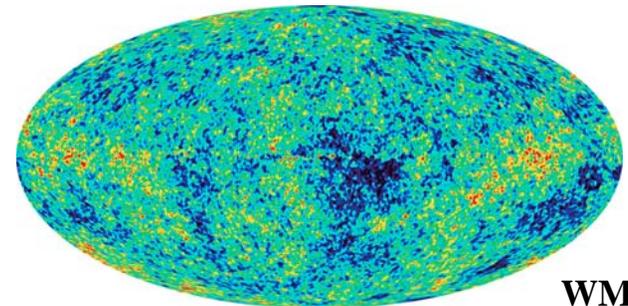
British Invasion....



Martin Luther King
Nobel Peace Prize.



Penzias & Wilson Discover
Cosmic Microwave Bkg.



WMAP

Weak interactions today: The weak quark eigenstates are related to the strong (or mass) eigenstates through a unitary transformation.

$$\begin{bmatrix} d' \\ s' \\ b' \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix} \quad \Rightarrow \quad \begin{pmatrix} u \\ \updownarrow \\ d' \end{pmatrix} \begin{pmatrix} c \\ \updownarrow \\ s' \end{pmatrix} \begin{pmatrix} t \\ \updownarrow \\ b' \end{pmatrix}$$

Cabibbo-Kobayashi-Maskawa (CKM) Matrix

$$\mathcal{L}_{ew} = g u_j V_{ji} \gamma^\lambda (1 - \gamma^5) d_i W^\lambda + \text{h.c.}$$

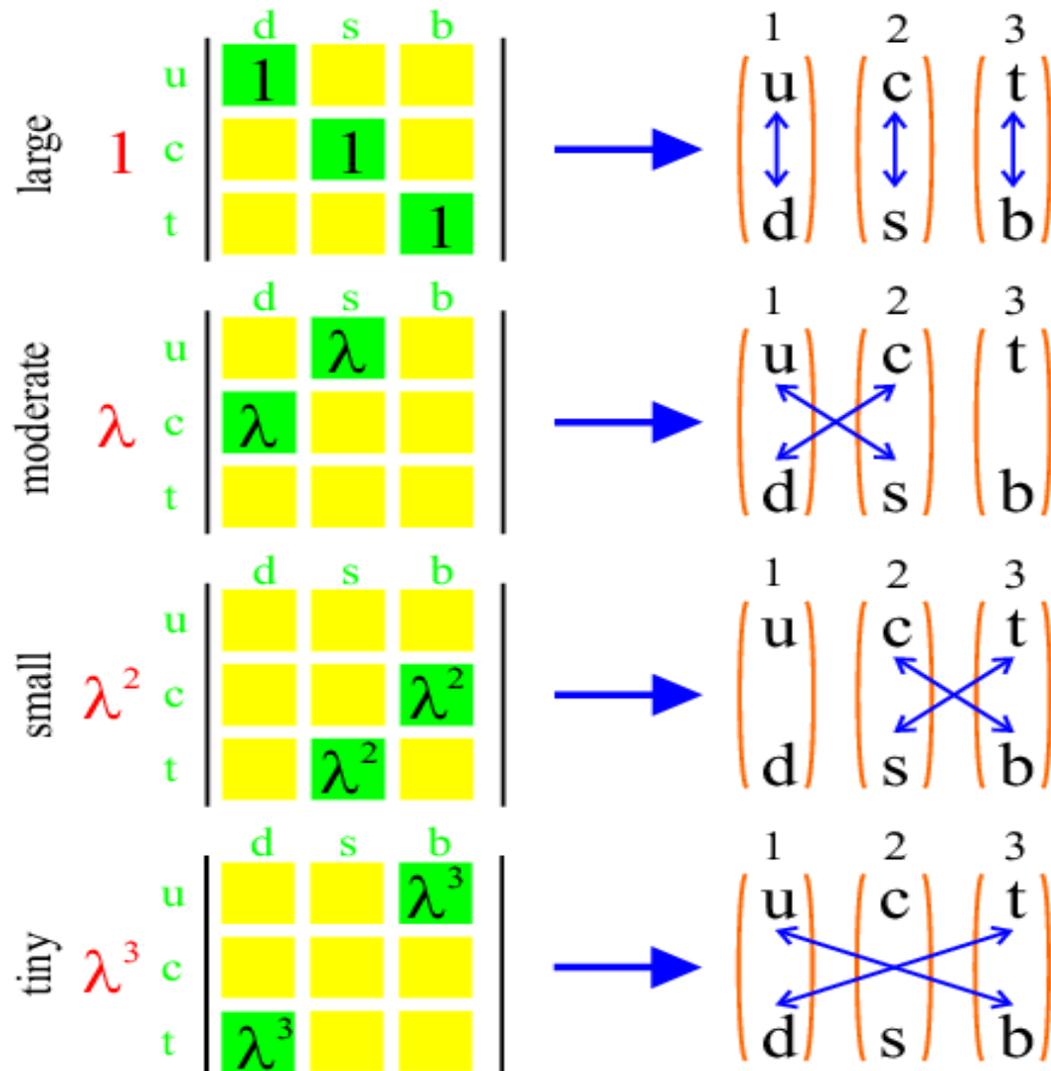
Matter-Antimatter Symmetry: $V_{ij} = V_{ij}^*$

Parity Violation $[(1 - \gamma^5)]$ put in
by hand...the left hand in fact.



An interesting *observed* hierarchy.....

The CKM Matrix:



CKM Matrix Highly Constrained by Unitarity

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

- Unitarity implies:

$$V_{\text{CKM}} V_{\text{CKM}}^\dagger = V_{\text{CKM}}^\dagger V_{\text{CKM}} = 1$$

- Rows and columns normalized (weak universality):

$$\sum_{i=1}^3 |V_{ij}|^2 = 1 = \sum_{j=1}^3 |V_{ij}|^2$$

$$\sum_{i=1}^3 V_{ji} V_{ki}^\dagger = 0 = \sum_{i=1}^3 V_{ij} V_{ik}^\dagger$$

⇒ Matrix can be described by only 4 parameters!

$$\begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

where: $\lambda \approx 0.220$ and $A \approx \rho \approx \eta \approx 1$.

Important: Imaginary part η violates CP symmetry!

Properties of the CKM Matrix

- Things rapidly get more complicated with increasing number of generations:

$$n_g = 2 \quad 1 \text{ angle} \quad 0 \text{ CP phase}$$

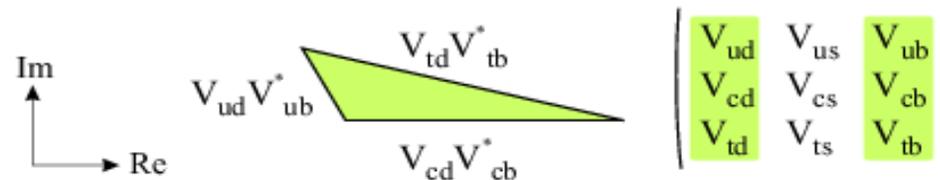
$$n_g = 3 \quad 3 \text{ angles} \quad 1 \text{ CP phase}$$

$$n_g = 4 \quad 6 \text{ angles} \quad 3 \text{ CP phases}$$

- To have CP violation:

$$m_u \neq m_c \neq m_t \quad \text{and} \quad m_d \neq m_s \neq m_b$$

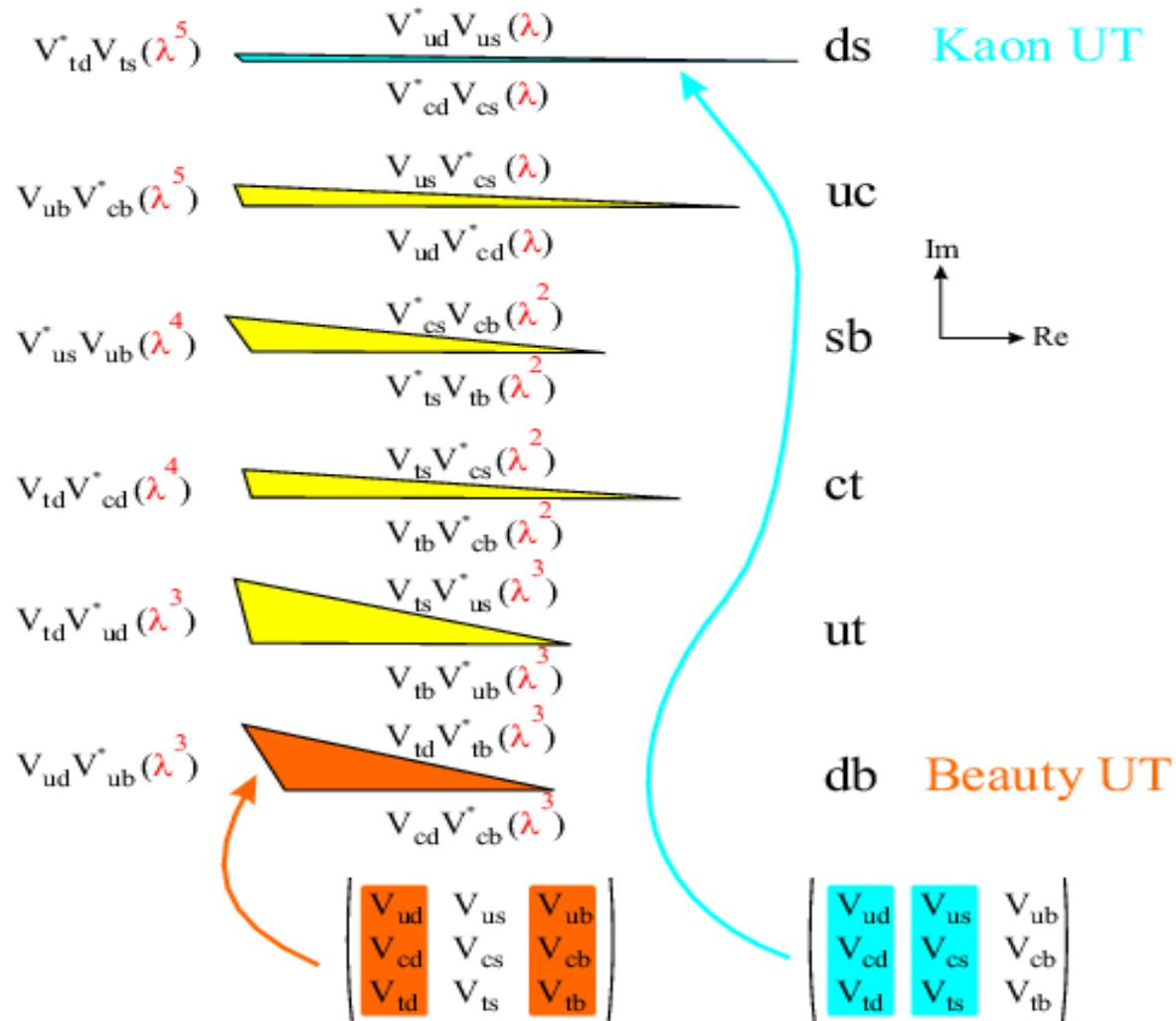
- Can represent 6 unitarity relations in terms triangles in the complex plane.



- Note: area of all triangles is the same: $A^2 \lambda^6 \eta$
- Length of sides determined by measuring decay rates.
- Size of angles determined by measuring CP asymmetries.

One can measure the CP-violating phase in the CKM matrix without ever measuring a CP asymmetry!

The Unitarity Triangles

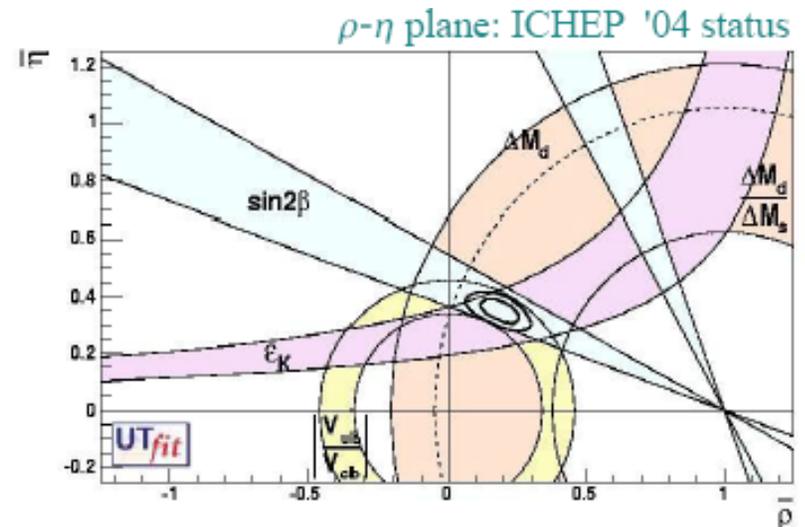


SM works well at the Electroweak Scale

$$L_{SM} = L_{Gauge} + L_{Higgs}(\phi_i, A_i, \psi_i, Y, v)$$

Flavor degeneracy broken by Yukawa couplings
CKM quark mixing matrix:

$$V_{CKM} \approx \begin{bmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$



Where does CP Violation and T-violation manifest itself in our world today?

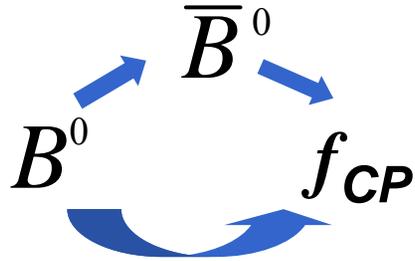
CP Violation:

- 1) CPV in Mixing; e.g. $\text{Re}(\varepsilon_K)$, $\text{Re}(\varepsilon_B)$
- 2) CPV in Mixing-Decay Interference; e.g. $\text{Sin}2\beta$ in neutral B system.
- 3) CPV in Decays to one final state (Direct); e.g. $\text{Re}(\varepsilon'/\varepsilon)$

T Violation and T odd effects:

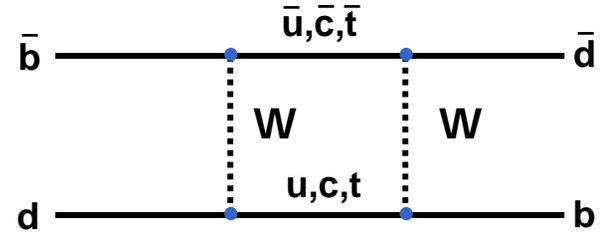
- 1) Observation of **T-Violation** in $K^0 \leftrightarrow \bar{K}^0$.
- 2) Observation of **T-odd** decay asymmetries in $K_L \rightarrow \pi^+\pi^-e^+e^-$.

\bar{B}^0 - B^0 mixing introduces time-dependant CP violation



$$|B_{L,H}\rangle = p|B^0\rangle \pm q|\bar{B}^0\rangle$$

$$\Delta m_d = m_H - m_L$$



top quark box introduces: V_{tb} V_{td}^*

$$f_- = \Gamma(B^0 \rightarrow f_{CP})$$

$$f_+ = \Gamma(\bar{B}^0 \rightarrow f_{CP})$$

$$\lambda_f = \frac{q A(\bar{B}^0 \rightarrow f_{CP})}{p A(B^0 \rightarrow f_{CP})}$$

$$\frac{q}{p} \sim \frac{V_{td}}{V_{td}^*}$$

$$f_{\pm}(t) = \frac{e^{-t/\tau}}{4\tau} \left[1 \mp S_f \sin(\Delta m_d t) \pm C_f \cos(\Delta m_d t) \right]$$

$$S_f = \frac{2 \text{Im} \lambda_f}{1 + |\lambda_f|^2}$$

Sensitive to overall phase of λ_f even if no Direct CP Violation

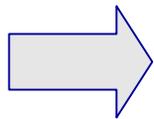
$$C_f = \frac{1 - |\lambda_f|^2}{1 + |\lambda_f|^2}$$

Direct CP violation if multiple amplitudes with different phases

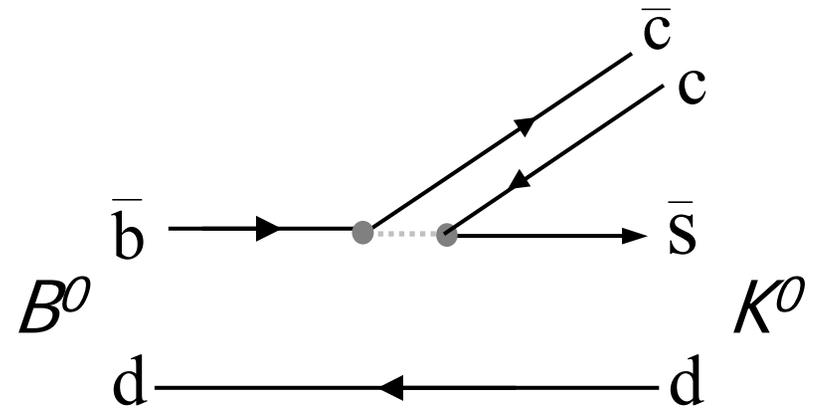
CP asymmetry in $\bar{B}^0 \rightarrow (cc)K^0$

Theoretically clean:
 Tree level dominates
 and CP *only* from
 B^0 - B^0 mixing

Relatively large
 branching fractions



Clear expt
 Signatures:



$$\lambda_f = \frac{q A(\bar{B}^0 \rightarrow f_{CP})}{p A(B^0 \rightarrow f_{CP})}$$

$$\lambda_f = \eta_f e^{-i2\beta}, \quad \eta_f = \pm 1$$

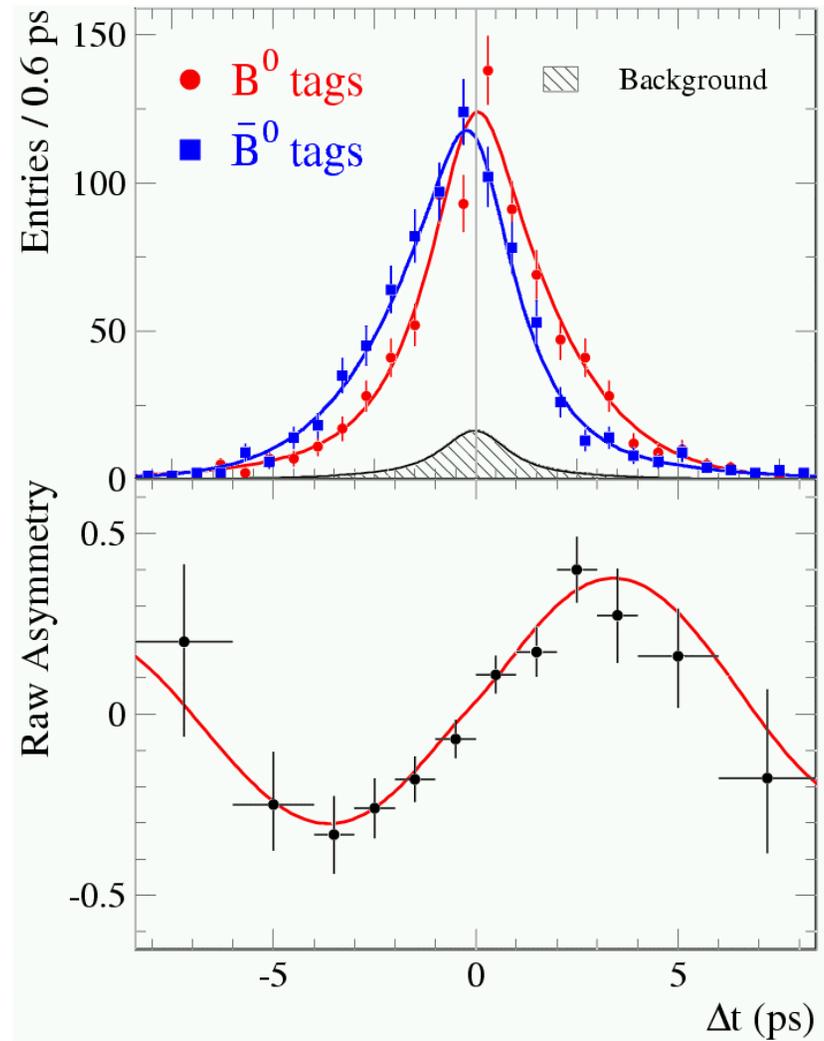
$$A_{CP}(t) = \frac{f_+ - f_-}{f_+ + f_-} = -\eta_f \sin 2\beta \sin(\Delta m_d t)$$

Example for Type-2 CPV: $B^0, \bar{B}^0 \rightarrow c\bar{c}K$



$$\sin 2\beta = 0.736 \pm 0.049$$

(PDG 2004)



Neutral Kaon Phenomenology Review:

Strangeness eigenstates:

$$K^0(\bar{s}d) \quad (S = +1)$$

$$\bar{K}^0(s\bar{d}) \quad (S = -1)$$

CP eigenstates:

$$K_1 = (K^0 + \bar{K}^0)/\sqrt{2} \quad (CP = +1)$$

$$K_2 = (K^0 - \bar{K}^0)/\sqrt{2} \quad (CP = -1)$$

$$\pi^+\pi^-, \pi^0\pi^0 \quad (CP = +1)$$

Mass and Lifetime eigenstates:

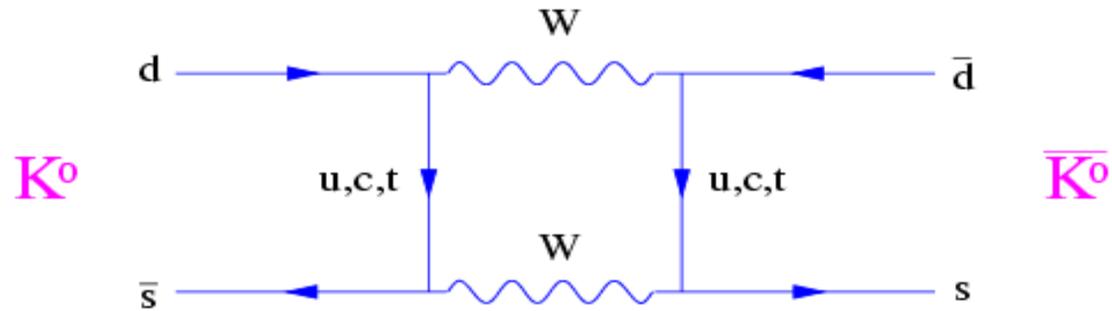
$$K_S \simeq K_1 + \varepsilon K_2 \quad (c\tau_S = 2.67 \text{ cm})$$

$$K_L \simeq K_2 + \varepsilon K_1 \quad (c\tau_L = 15.5 \text{ m})$$

	K_S		K_L
69 %	$\pi^+\pi^-$	21 %	$3\pi^0$
31 %	$\pi^0\pi^0$	13 %	$\pi^+\pi^-\pi^0$
		27 %	$\pi\mu\nu$
		39 %	$\pi e\nu$
		0.2 %	$\pi^+\pi^-$
		0.1 %	$\pi^0\pi^0$

$$\varepsilon = (2.27 \pm 0.02) \times 10^{-3}$$

$\varepsilon \Rightarrow$ Indirect CP violation via K^0/\bar{K}^0 mixing



Is there also a component of CP violation in the decay process?

$$K_L = K_2^{-1} + \varepsilon K_1^{+1} \quad \underbrace{\pi^+ \pi^-, \pi^0 \pi^0}_{\text{CP} = +1}$$

Need interference of two decay amplitudes

$\pi\pi$ from K^0 can have $I=0,2 \Rightarrow$ amplitudes A_0, A_2

$$A(K^0 \rightarrow \pi\pi, I) = A_I \exp(i\delta_I)$$

$$A(\bar{K}^0 \rightarrow \pi\pi, I) = A_I^* \exp(i\delta_I)$$

$$\varepsilon' = \frac{i}{\sqrt{2}} \mathcal{I}m \frac{A_2}{A_0} \exp(i(\delta_2 - \delta_0))$$

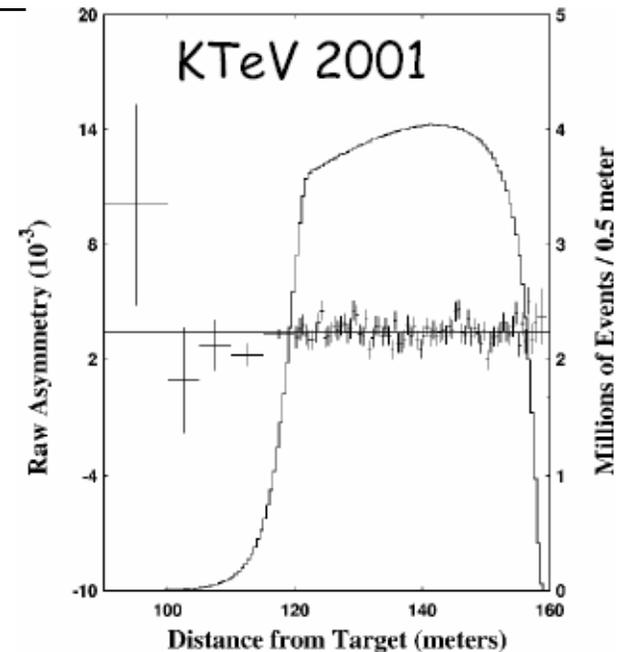
CP Violating Charge Asymmetry due to mixing is very precisely measured in the K_L system.

$$\delta_L^e = \frac{\Gamma(K_L \rightarrow \pi^- e^+ \nu) - \Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu})}{\Gamma(K_L \rightarrow \pi^- e^+ \nu) + \Gamma(K_L \rightarrow \pi^+ e^- \bar{\nu})}$$

Definitive measurement based on 300M $K_L \rightarrow \pi e \nu$ Decays!

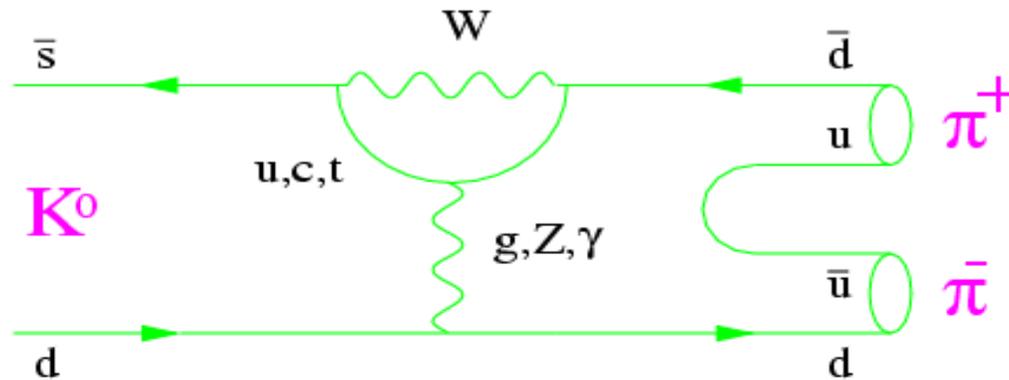
$$\text{Re}(\varepsilon_K) = (1.64 \pm 0.06) \cdot 10^{-3}$$

[PDG 2002]



$$2 \text{Re}(\varepsilon_m) = \delta_L (3.322 \pm 0.074) \cdot 10^{-3}$$

$\varepsilon' \Rightarrow$ Direct CP violation:



$$\eta_{+-} \equiv \frac{A(K_L \rightarrow \pi^+ \pi^-)}{A(K_S \rightarrow \pi^+ \pi^-)} \simeq \varepsilon + \varepsilon'$$

$$\eta_{00} \equiv \frac{A(K_L \rightarrow \pi^0 \pi^0)}{A(K_S \rightarrow \pi^0 \pi^0)} \simeq \varepsilon - 2 \varepsilon'$$

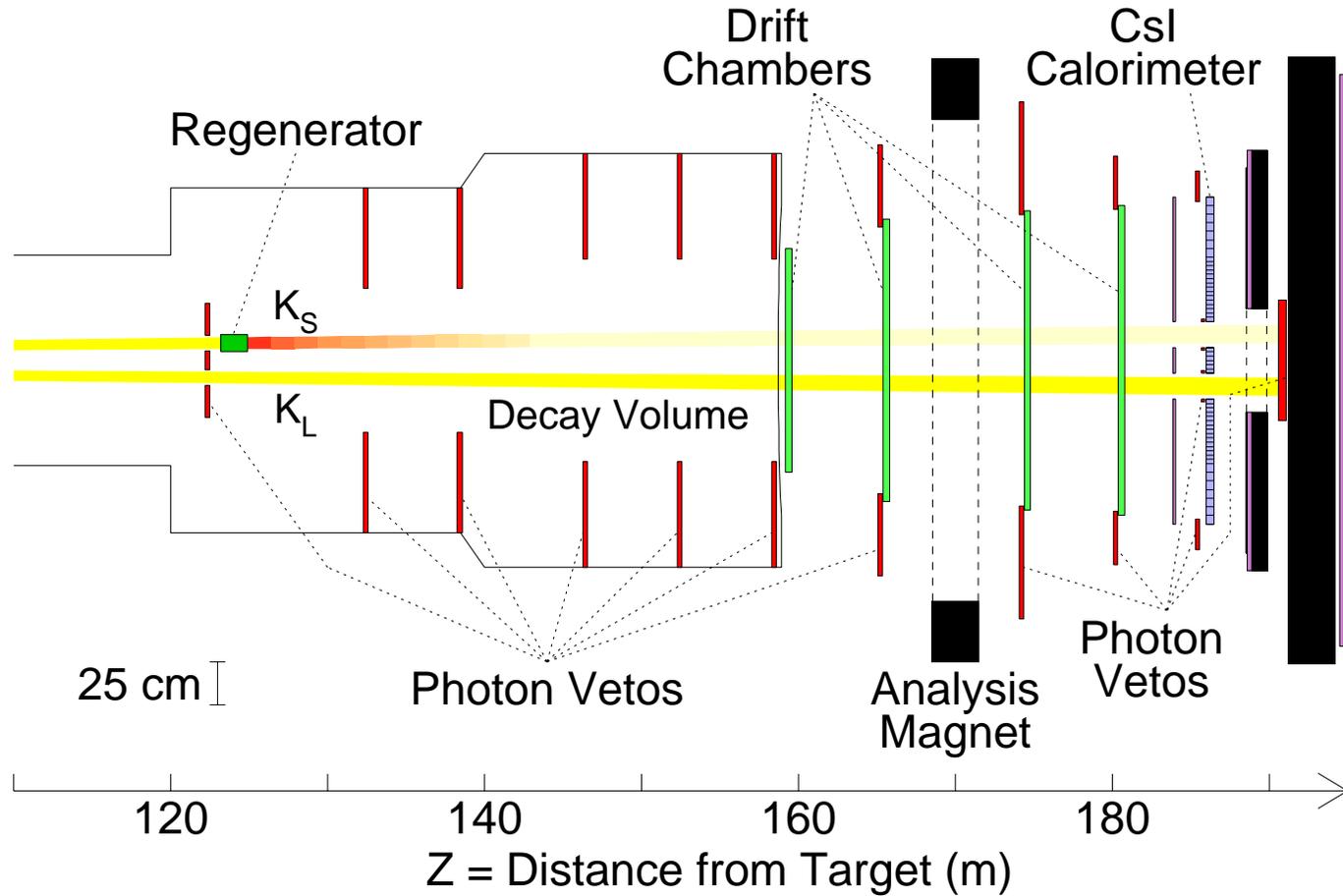
$$R = \frac{\Gamma(K_L \rightarrow \pi^0 \pi^0) / \Gamma(K_S \rightarrow \pi^0 \pi^0)}{\Gamma(K_L \rightarrow \pi^+ \pi^-) / \Gamma(K_S \rightarrow \pi^+ \pi^-)} \simeq 1 - 6 \operatorname{Re}\left(\frac{\varepsilon'}{\varepsilon}\right)$$

IF the 4 modes are taken

- **simultaneously**
- **in the same decay region**

$$R = \frac{N(K_L \rightarrow \pi^0 \pi^0) N(K_S \rightarrow \pi^+ \pi^-)}{N(K_S \rightarrow \pi^0 \pi^0) N(K_L \rightarrow \pi^+ \pi^-)}$$

KTeV Experiment: K_S beam made from an incident K_L beam.



K_L Beam passes through 2m of plastic scintillator, which induces a *~3% coherent* K_S component in the downstream amplitude.

$$K_{\text{Down}} = K_L + \rho K_S$$

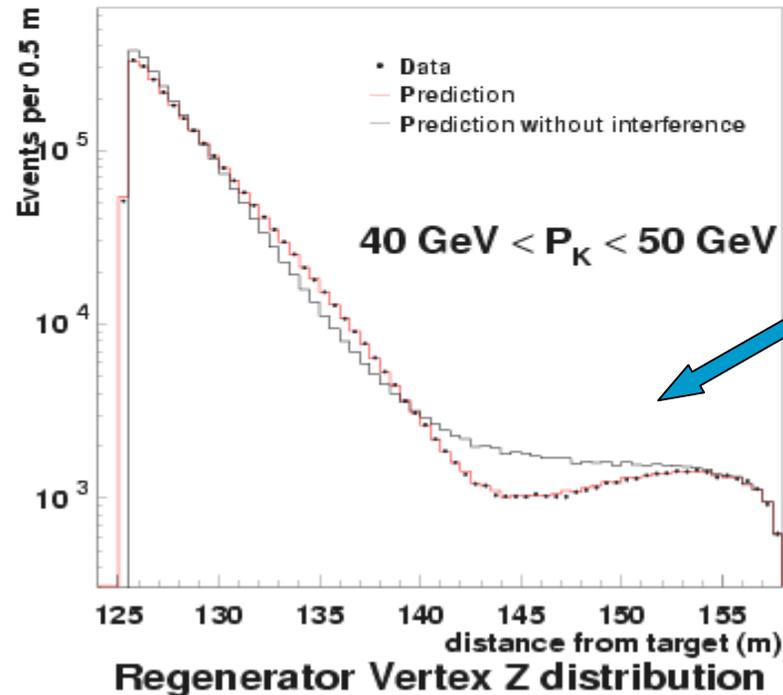


K_L and K_S in the Regenerator Beam.

The regenerator beam is a coherent super-position of K_S and K_L . Must account for K_L component to extract correct value of $\text{Re}(\epsilon'/\epsilon)$.

K_{Reg} shape depends on:

- $\tau_S, \Delta m, \phi_\eta$.
- Attenuation in the regenerator.



Quantum
coherence
over 30m!

Sample $K \rightarrow \pi^+ \pi^-$ Event

KTEV Event Display

Run Number: 9097
Spill Number: 210
Event Number: 40284850
Trigger Mask: 1
All Slices

Track and Cluster Info

HCC cluster count: 2

ID Yes No P or E

T 1: -0.471 0 0.3490 -34.98

C 2: -0.4769 0.3477 17.30

T 2: 0.3155 -0.3218 +19.68

C 1: 0.3088 -0.5177 0.44

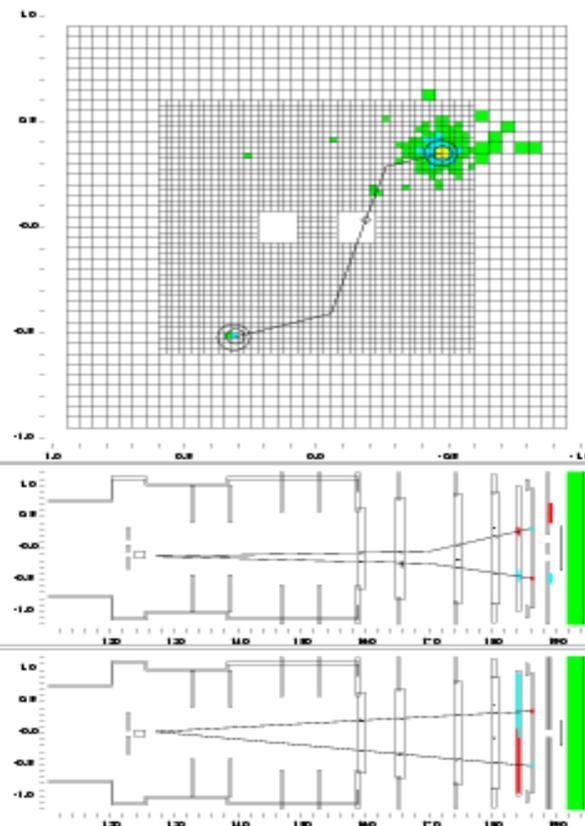
Vertex: 2 tracks

X Y Z

-0.1265 0.0232 127.122

Mass=0.4664 (assuming pions)

ChiSq=0.00 P12=0.00001 0



- Magnetic spectrometer to reconstruct kinematics.
- Regenerator/Vacuum beam identification using x -vertex position
- Clearance cuts define fiducial volume.

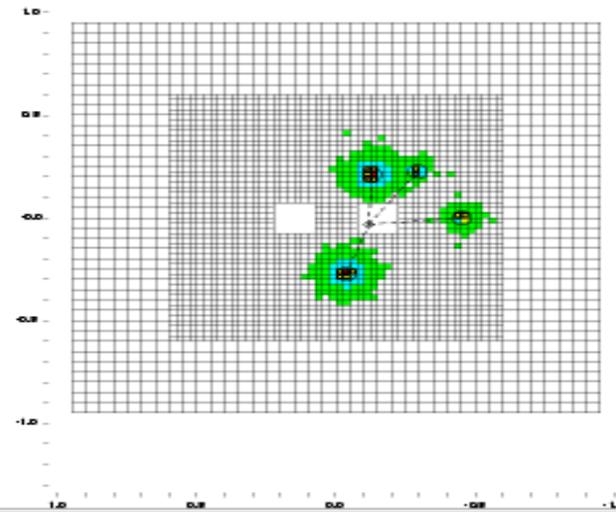
Sample $K \rightarrow \pi^0 \pi^0$ Event

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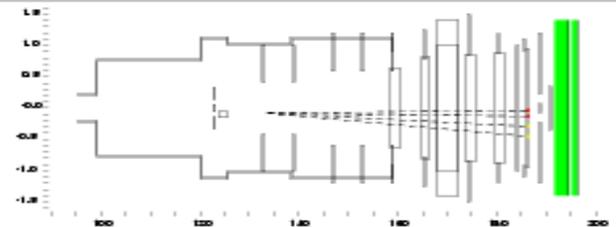
Run Number: 7095
Spill Number: 220
Event Number: 23595232
Trigger Mask: 8
All Slices

Track and Cluster Info
HCC cluster count: 4
ID  Xcal  Ycal  P  E
C 1: -0.1296  0.2107  42.65
C 2: -0.3926  0.2236   3.42
C 3: -0.4527 -0.0008   7.89
C 4: -0.0376 -0.2730  47.45

Vertex: 4 clusters
X  Y  Z
-0.0841 -0.0228 133.617
Mass=0.4995
Fitting chi2=0.15
    
```



○ - Cluster
 ○ - Track
 ■ - 10.00 GeV
 ■ - 1.00 GeV
 ■ - 0.10 GeV
 ■ - 0.01 GeV



- CsI calorimeter to reconstruct photons energies and positions
- z_v determined as average of

$$z_{\pi^0} = \sqrt{E_1 E_2 R_{12}} / m_{\pi^0}$$
- Regenerator/Vacuum beam identification using x -center of energy
- Fiducial volume defined by veto detectors & z_v

Sister Experiment to KTeV: CERN-NA48.

$\pi^0\pi^0$ detection ($\rightarrow 4\gamma$)

LKr calorimeter

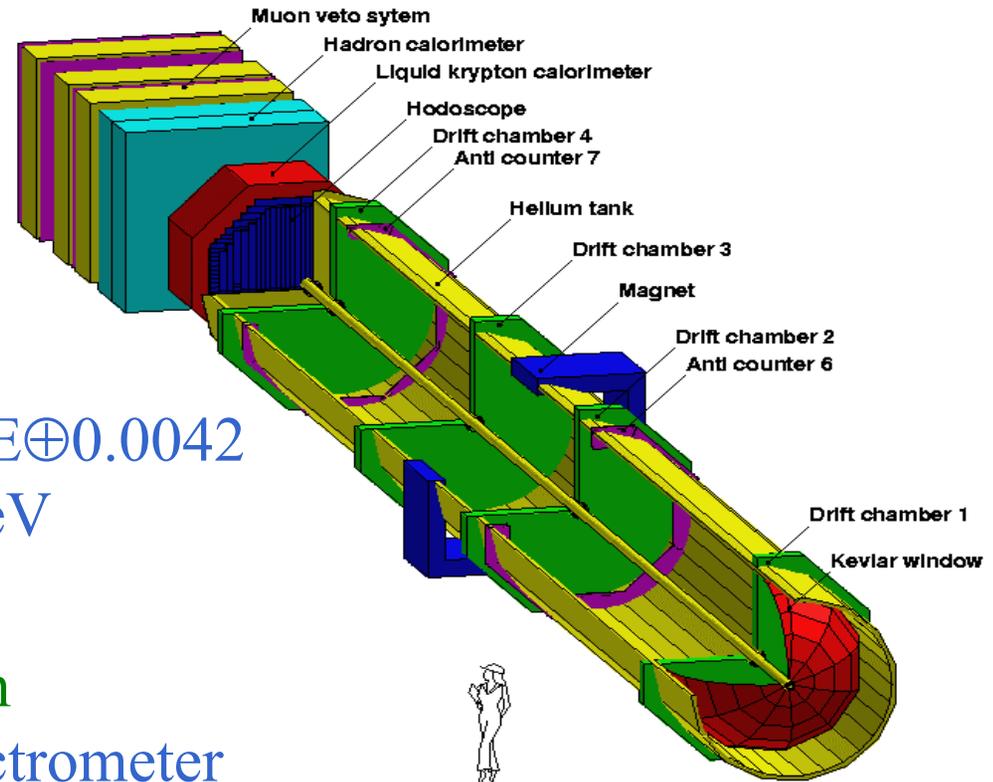
$$\sigma(E)/E = 0.032/\sqrt{E} \oplus 0.09/E \oplus 0.0042$$

< 1% for E=25 GeV

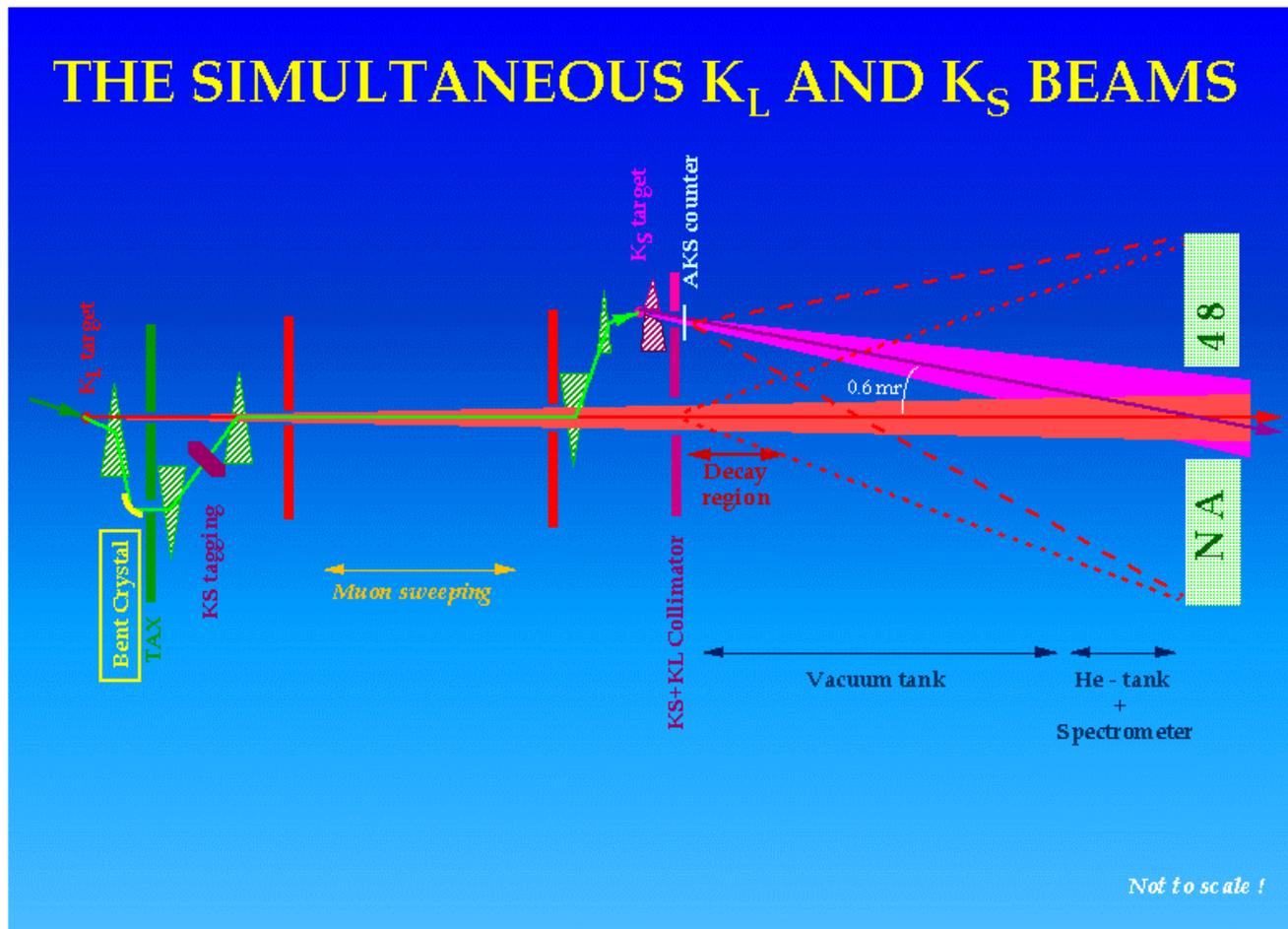
$\pi^+\pi^-$ detection

magnetic spectrometer

$$\sigma(p)/p = 0.5\% \oplus 0.9\% * (p/100 \text{ GeV})$$

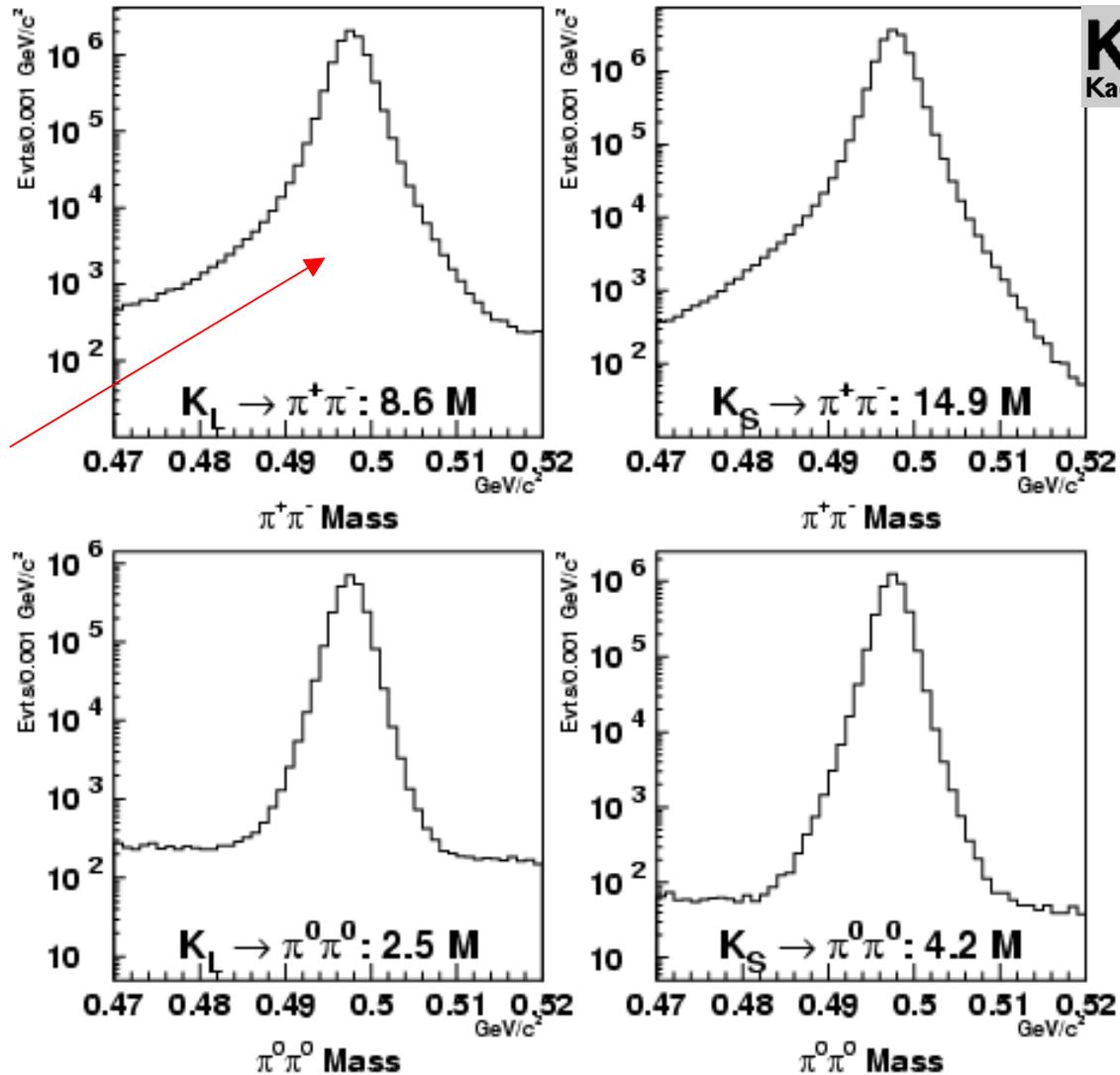


Innovative NA48 CERN Beamline; K_L/K_S tagged event-by-event with time



Raw $K^0 \rightarrow \pi\pi$ Statistics: $\sigma(\varepsilon'/\varepsilon) = 1.7 \times 10^{-4}$

CPV first found
with 47 $K_L \rightarrow \pi^+\pi^-$
events!



Status of $\text{Re}(\varepsilon'/\varepsilon)$...

Experiment Direct CPV Established!:

NA48, Final Result: $\text{Re}(\varepsilon'/\varepsilon_0) = (14.7 \pm 2.2) \cdot 10^{-4}$

KTeV, (1/2 Data): $\text{Re}(\varepsilon'/\varepsilon_0) = (20.7 \pm 2.8) \cdot 10^{-4}$

World Average: $\text{Re}(\varepsilon'/\varepsilon_0) = (16.6 \pm 1.6) \cdot 10^{-4}$

Theory: (victim of connecting quarks to hadrons)

Typical range has been 5×10^{-4} to 40×10^{-4} ,

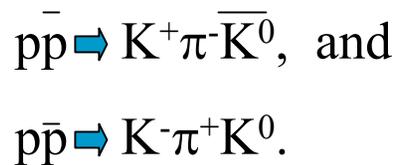
Some hope that Lattice theory and technology can make a precise prediction someday.

Turning now to T-Violation

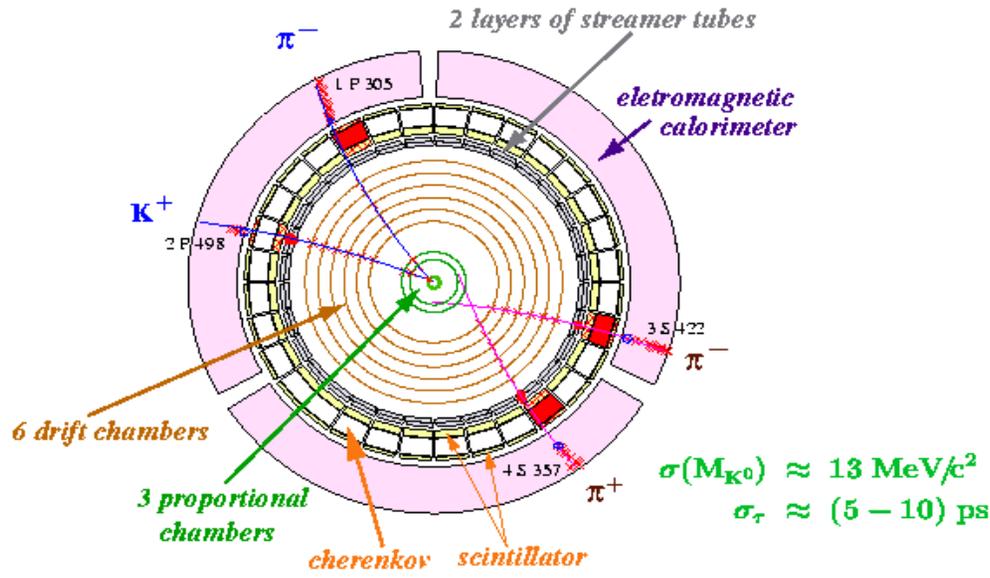
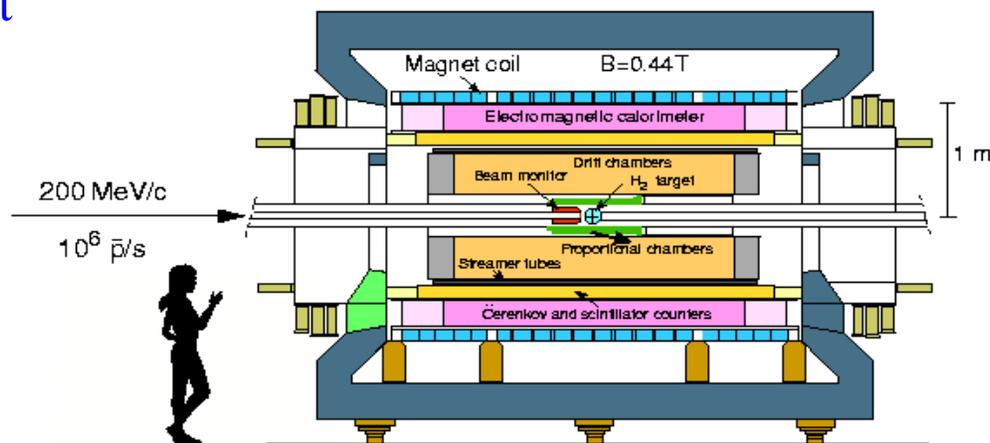
- CP Violation is precisely measured in neutral K and B mixing phenomena, and established in in K decay amplitudes.
- CPT symmetry predicts corresponding phenomena in T violation.
- **Let's go look for it in neutral kaons....**

The CPLEAR Detector

CPLEAR: CP Physics at a Low Energy Antiproton Ring at CERN. Technique is to stop \bar{p} 's in H_2 , and observe the following reactions:



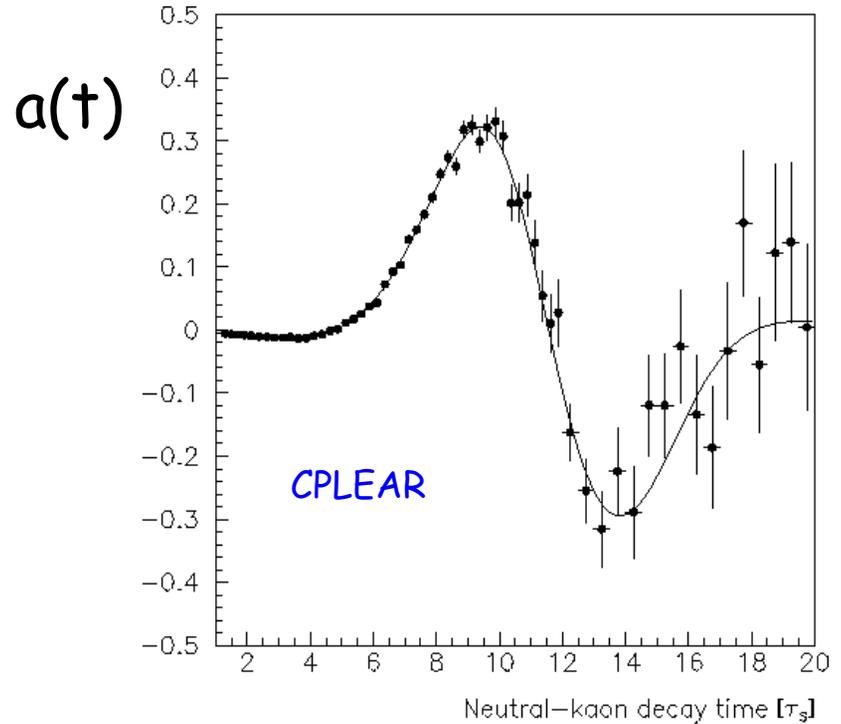
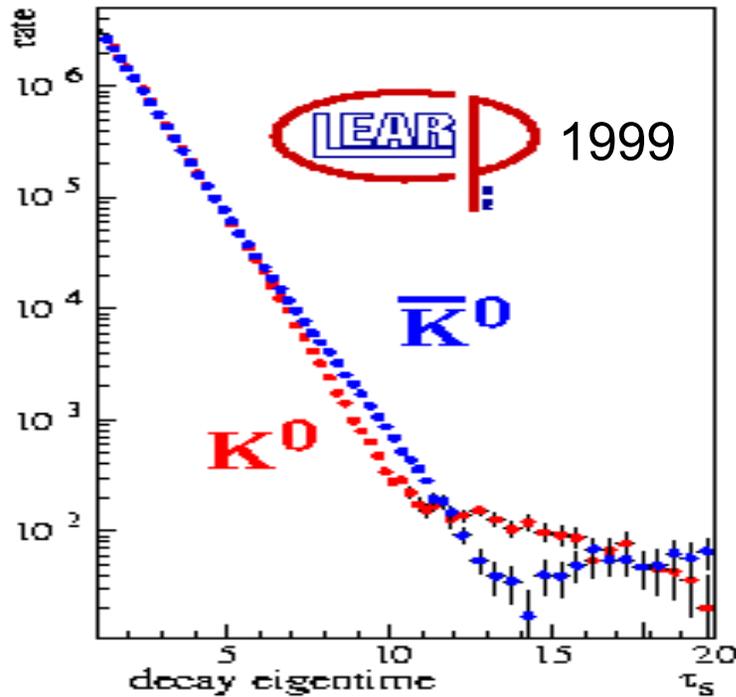
The K^0/\bar{K}^0 is tagged by the away-side $K\pi$. Hence one can study the time evolution of CPV in $K^0 \leftrightarrow \bar{K}^0$ mixing.



$$\sigma(M_{K^0}) \approx 13 \text{ MeV}/c^2$$

$$\sigma_\tau \approx (5 - 10) \text{ ps}$$

$\pi^+\pi^-$ Results from CPLEAR, CPV in mixing:



$$a(t) = \frac{N(\bar{K}^0 \rightarrow \pi^+\pi^-) - N(K^0 \rightarrow \pi^+\pi^-)}{N(\bar{K}^0 \rightarrow \pi^+\pi^-) + N(K^0 \rightarrow \pi^+\pi^-)} = \frac{-2|\eta_{+-}|e^{-(\Gamma_S+\Gamma_L)t/2} \cos(\Delta m \cdot t - \varphi_{+-})}{e^{-\Gamma_S t} + |\eta_{+-}|^2 e^{-\Gamma_L t}}$$

$$\eta_{+-} = (2.27 \pm 0.02) \cdot 10^{-3} \cdot e^{i(43.3 \pm 0.5)^\circ}$$

$$\eta_{+-} = \varepsilon + \varepsilon'$$

Measurement of \mathcal{T} violation

$$A_{\mathcal{T}} = \frac{R(\bar{K}^0 \rightarrow K^0) - R(K^0 \rightarrow \bar{K}^0)}{R(\bar{K}^0 \rightarrow K^0) + R(K^0 \rightarrow \bar{K}^0)} = 4\text{Re } \epsilon_{\mathcal{T}}$$

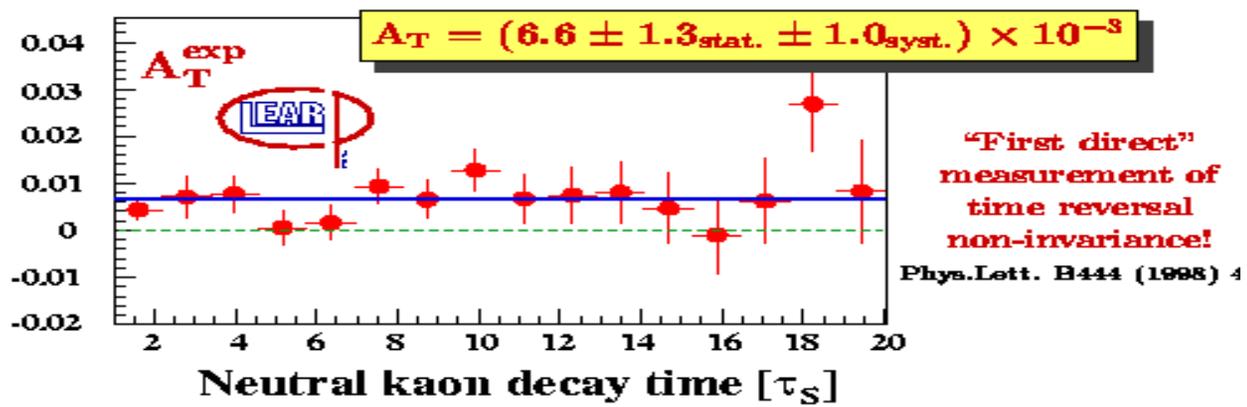
Example $\tau = 6.5\tau_S$

CPLEAR measures: $N(K^0_{\tau=0} \rightarrow e^-\pi^+\bar{\nu})[\tau] = 15050$ $A = 0.0521$
 $N(\bar{K}^0_{\tau=0} \rightarrow e^+\pi^-\nu)[\tau] = 13559$

first correction: different reconstruction efficiency for $e^+\pi^-$ and $e^-\pi^+$. Obtained from unbiased pure electron and pion samples: $\langle \eta \rangle = 1.014 \pm 0.002$ $A = 0.0610$

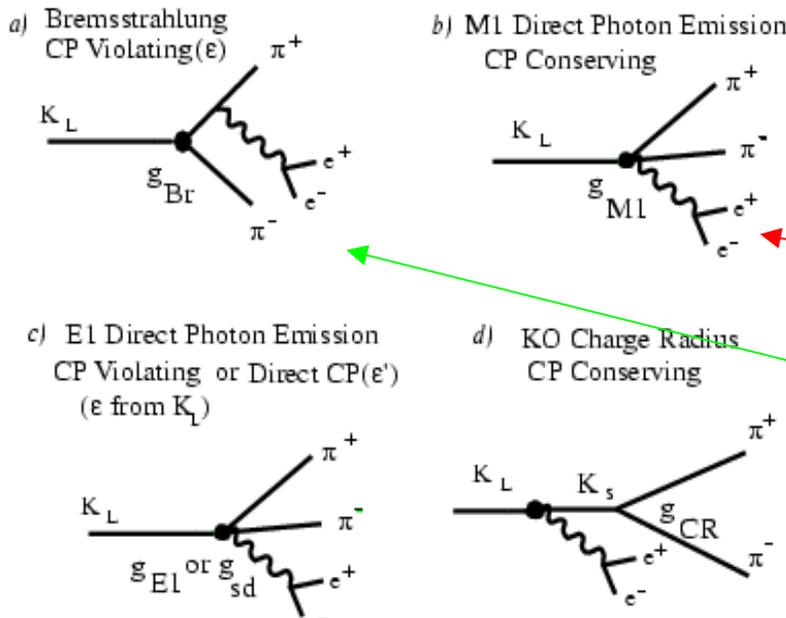
second correction: different reconstruction efficiency for $K^+\pi^-$ and $K^-\pi^+$. Obtained from $\pi\pi$ decays: $\langle \alpha \rangle = 1.12756 \pm 0.00034$ $A = 0.0098$
 (ratio of $K^+\pi^-/K^-\pi^+$ efficiencies) $\times [1 + 4\text{Re}(\epsilon_{\mathcal{T}} + \delta)]$

third correction: assume CPT conservation in semileptonic decay amplitudes, use $\delta_i = 2\text{Re}(\epsilon_{\mathcal{T}} + \delta) = (0.327 \pm 0.012)\%$ $A = 0.0066$

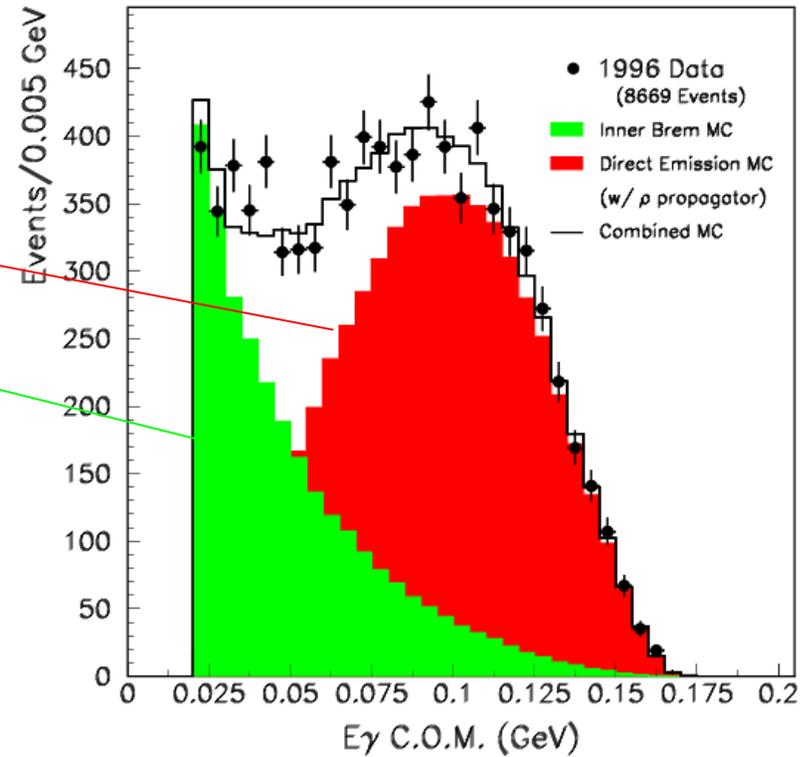


$K_L \rightarrow \pi^+ \pi^- e^+ e^-$, Another T-odd laboratory...

$K \rightarrow \pi \pi e e$ Processes

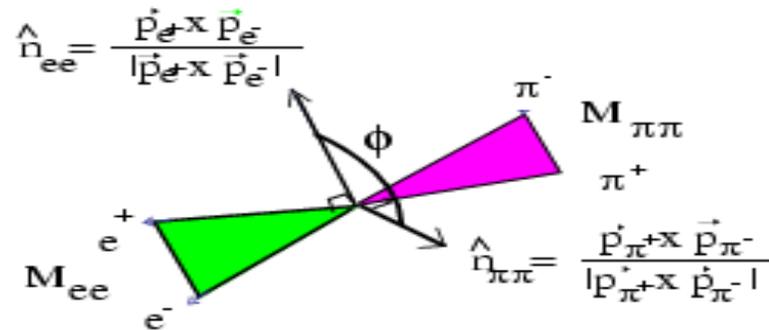


$K_L \rightarrow \pi \pi \gamma$



T-odd Observable

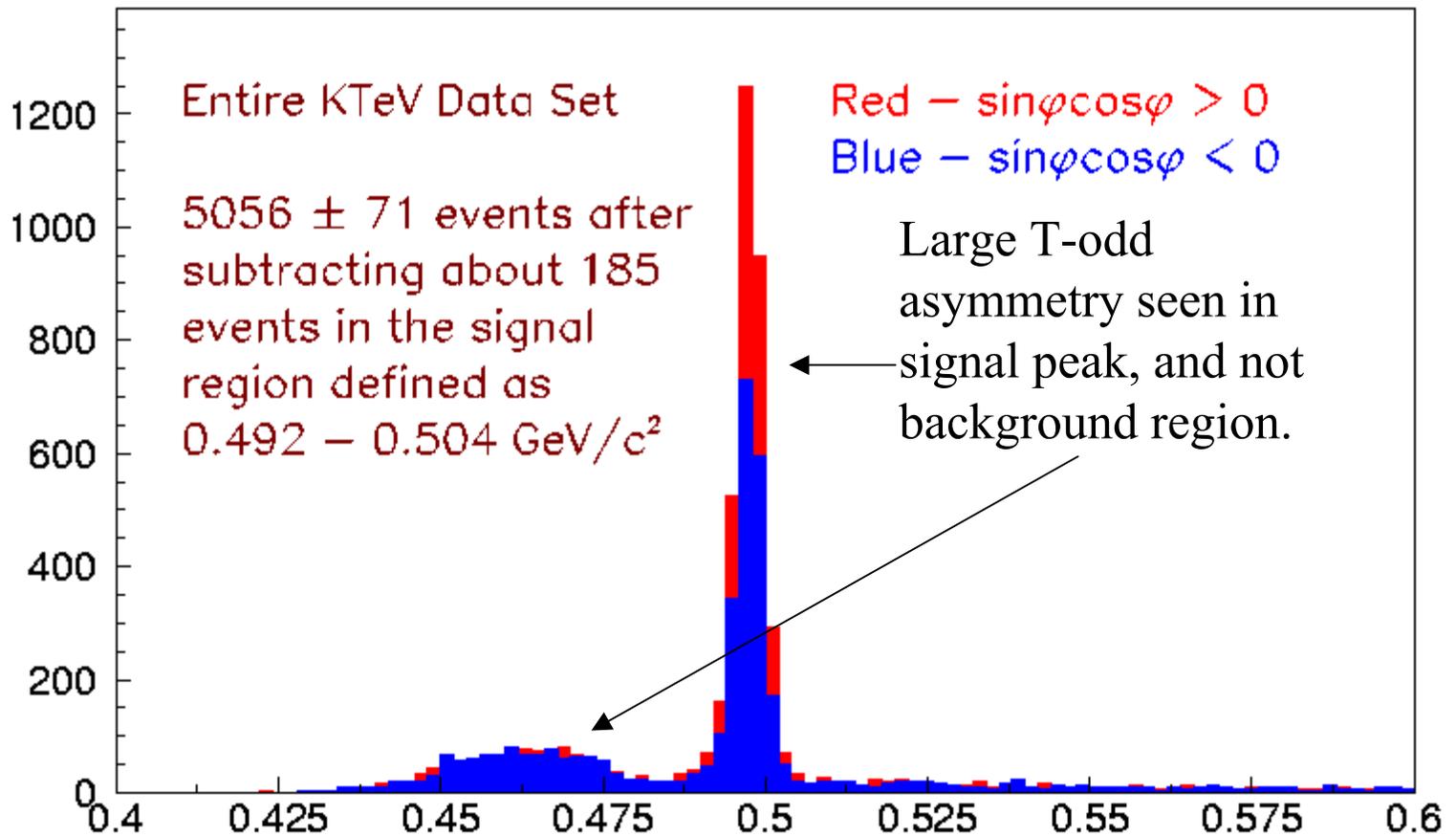
$K_L^0 \rightarrow \pi^+ \pi^- e^+ e^-$ ϕ Angle
 (K_L^0 Center of Mass)



$$\hat{z} = \frac{\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}}{|\vec{p}_{\pi^+} \times \vec{p}_{\pi^-}|}$$

$$\sin\phi \cos\phi = (\hat{n}_{ee} \times \hat{n}_{\pi\pi}) \cdot \hat{z} (\hat{n}_{ee} \cdot \hat{n}_{\pi\pi})$$

Observed by KTeV and NA48

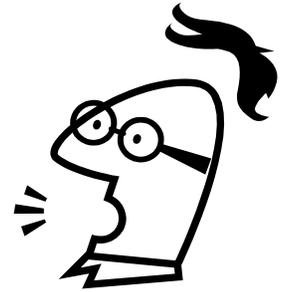


$$\text{BR}(K_L \rightarrow \pi^+\pi^-e^+e^-) = 3.6 \times 10^{-7}$$

Does observing a T-odd effect imply T-violation??.....No.

- Final state interactions in *decays* can fake a T-odd effect. Consider $K_L \rightarrow \pi^+ \pi^- e^+ e^-$, where Coulomb interactions and strong phase shifts can bias $\sin\phi\cos\phi$.
- Also, it is in general difficult to untangle T-odd effects from CPT-odd effects...Oh to have that problem!

CPT Violation...



- CPT Violation? That is nuts! Yes, but so was CP violation in 1964, and P & C violation before then.
- CPT violation is an enormous challenge to field theory...serious problems with Lorentz invariance and Causality...but is this the world at the Plank scale?
- Experimentally well defined: Search for differences in particle-antiparticle masses, lifetimes, total decay rates. One does have to be very careful about expressing results in a form that does not implicitly presume CPT symmetry.

CP & CPT One Page Primer...

CPT symmetry in Particle-Antiparticles:

$$\mathbf{m}_K = \overline{\mathbf{m}}_K, \quad \tau_K = \overline{\tau}_K, \quad V_{ckm} = V_{ckm}^\dagger.$$

Hence the stringent limit on $m_K - \overline{m}_K$ from $\Delta m(K_L - K_S)$ ($\sim 10^{-7}$ eV, 5 GHz RF!):

$$\Delta m_{K0} / M_{K0} < 1 \times 10^{-18} ; \text{ 95\% C.L.}$$

$$M_{K0} / M_{\text{Plank}} \sim 1 \times 10^{-19} \dots \text{Relevant??}$$

$$\text{Re}(\varepsilon'/\varepsilon): \quad K^0 \rightarrow 2\pi \neq \overline{K}^0 \rightarrow 2\pi, \quad \delta \sim 1 \times 10^{-6}$$

This is a violation in *partial rate* (CPV), not the *total rate* (CPT).

Probing CPT Symmetry in Kaons

- In general the most sensitive probe of CPT in mixing and decay amplitudes come from precise comparison of CP violating amplitudes (η) and charge asymmetries (δ).
- As a relatively simple exercise we will study CPT signatures in the balance of K_S and K_L charge asymmetries.

Can we see CPT Violation in Mixing?

- Sensitivity to CPT violating effects through charge asymmetry:

$$A_{S,L} = \frac{\Gamma(K_{S,L} \rightarrow \pi^- e^+ \nu) - \Gamma(K_{S,L} \rightarrow \pi^+ e^- \bar{\nu})}{\Gamma(K_{S,L} \rightarrow \pi^- e^+ \nu) + \Gamma(K_{S,L} \rightarrow \pi^+ e^- \bar{\nu})}$$

$\langle \pi^+ e^- \bar{\nu} H_W \bar{K}^0 \rangle = a + b$	b=d=0 if CPT holds
$\langle \pi^- e^+ \nu H_W K^0 \rangle = a^* - b^*$	
$\langle \pi^- e^+ \nu H_W \bar{K}^0 \rangle = c + d$	c=d=0 by $\Delta S = \Delta Q$ rule
$\langle \pi^+ e^- \bar{\nu} H_W K^0 \rangle = c^* - d^*$	

K → πeν amplitudes

$$A_S = 2(\text{Re } \varepsilon_K + \text{Re } \delta_K + \text{Re } b/a - \text{Re } d^*/a)$$

$$A_L = 2(\text{Re } \varepsilon_K - \text{Re } \delta_K + \text{Re } b/a + \text{Re } d^*/a)$$

\mathcal{CP}

~~CPT~~ in
mixing

~~CPT~~ in
decay

$\Delta S \neq \Delta Q$
and ~~CPT~~

$A_S - A_L \neq 0$
implies
~~CPT~~

$$A_L = (3.322 \pm 0.058 \pm 0.047) 10^{-3}, \text{ KTeV 2002}$$

M. Palutan

Constraining $\Delta S = \Delta Q$:

- **Test of $\Delta S = \Delta Q$ rule: no $\Delta S \neq \Delta Q$ transitions at first order in SM**

$$x = (c^* - d^*) / (a + b)$$

$\Delta S \neq \Delta Q$ in \bar{K}^0 decay to e^+

$$\bar{x} = (c + d) / (a^* - b^*)$$

$\Delta S \neq \Delta Q$ in K^0 decay to e^-

$$\Rightarrow x_+ = (x + \bar{x}) / 2 \sim \mathbf{Re}(c^*/a) + \mathbf{i Im}(d^*/a)$$

$Re x_+$ describes $\Delta S \neq \Delta Q$ when CPT conserved

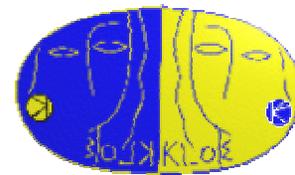
$$Re x_+ = \frac{1}{2} \frac{\Gamma_S^{\pi e \nu} - \Gamma_L^{\pi e \nu}}{\Gamma_S^{\pi e \nu} + \Gamma_L^{\pi e \nu}}$$

**$|x| \sim 10^{-7}$
SM expect.**

$$\mathbf{Re}(x_+) = (-0.0018 \pm 0.0041_{\text{stat}} \pm 0.0045_{\text{syst}}) \quad \mathbf{CPLEAR 98}$$

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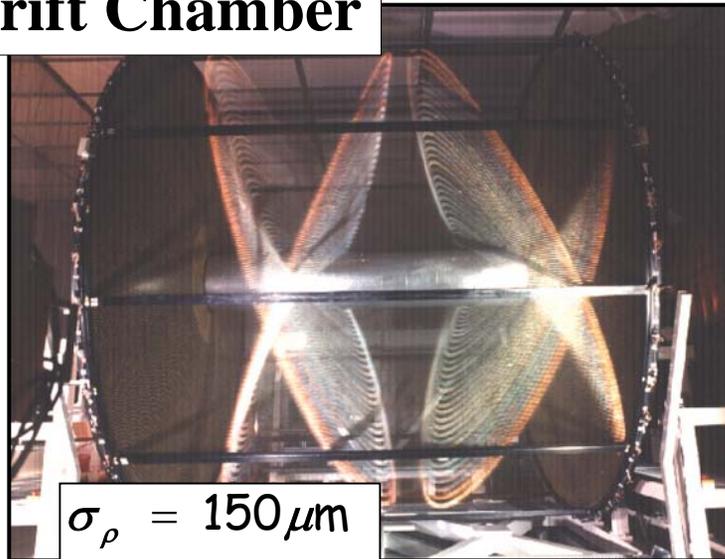
✚ KLOE operates at DAΦNE, the e^+e^- collider known as the "Frascati ϕ -factory"



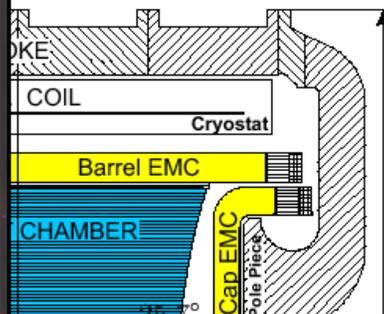
✚ Kaons are produced almost back-to-back in the Laboratory

The KLOE detector

Drift Chamber

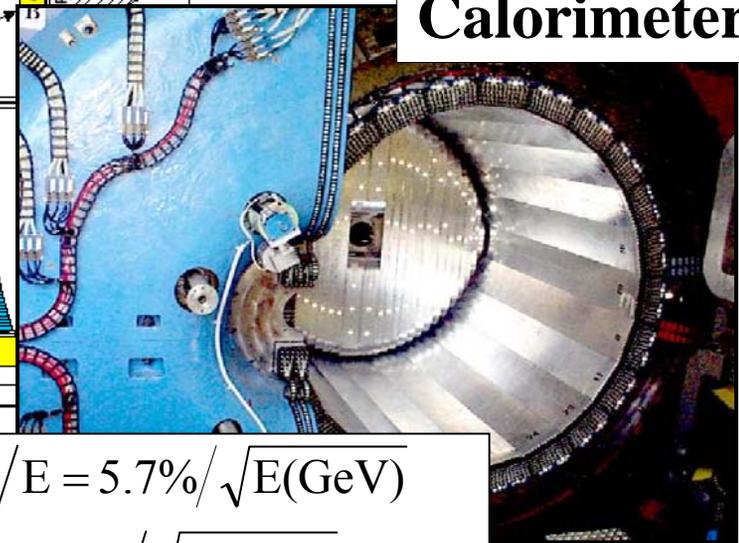


$$\begin{aligned} \sigma_\rho &= 150 \mu\text{m} \\ \sigma_z &= 2 \text{mm} \\ \sigma_V &= 3 \text{mm} \\ \sigma_p/p &= 0.4\% \end{aligned}$$



$$\begin{aligned} \lambda_S &= 0.6 \text{cm} \\ \lambda_L &= 340 \text{cm} \\ \lambda_\pm &= 95 \text{cm} \end{aligned}$$

Calorimeter



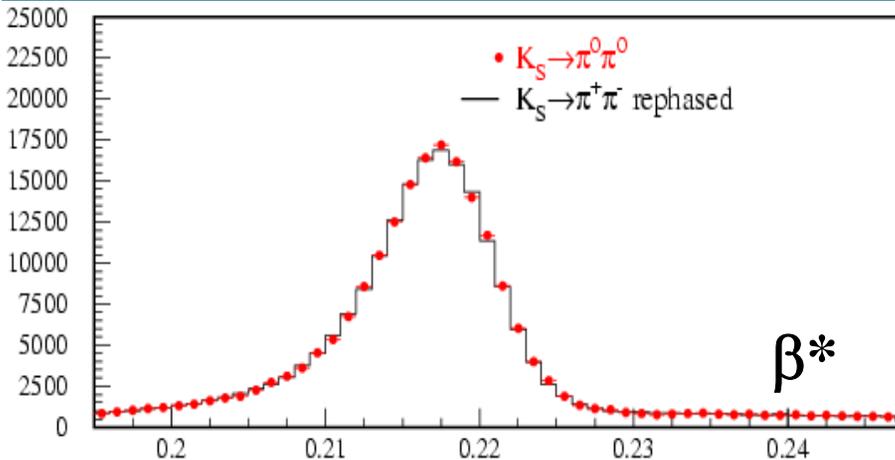
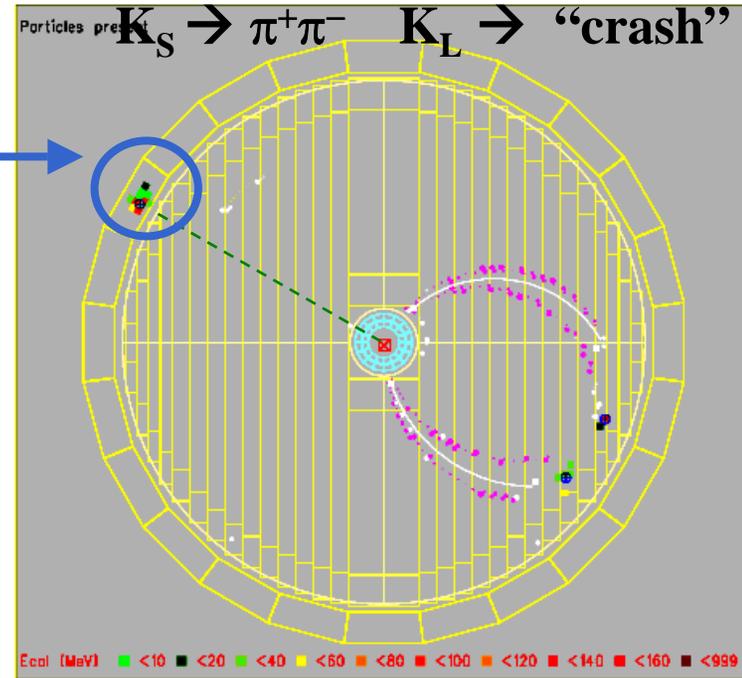
$$\begin{aligned} \sigma_E/E &= 5.7\%/\sqrt{E(\text{GeV})} \\ \sigma_t &= 54 \text{ps}/\sqrt{E(\text{GeV})} \oplus 50 \text{ps} \end{aligned}$$

Neutral kaons tagging: K_S “beam”

- Clean K_S tagging by time-of-flight identification of K_L interactions in the calorimeter :

$$\text{tof}(K_L) \sim 30 \text{ ns} \quad (\text{tof}(\gamma) \sim 6 \text{ ns})$$

- K_L velocity in the ϕ rest frame $\beta^* \sim 0.218$
- Tagging efficiency $\epsilon_{\text{tag,total}} \sim 30\% \Rightarrow 1.4 \cdot 10^8$ tagged K_S



Kinematic closure of the event

$$(\mathbf{p}_S = \mathbf{p}_\phi - \mathbf{p}_L):$$

K_S angular resolution: $\sim 1^\circ$ (0.3° in ϕ)

K_S momentum resolution: $\sim 1 \text{ MeV}/c$

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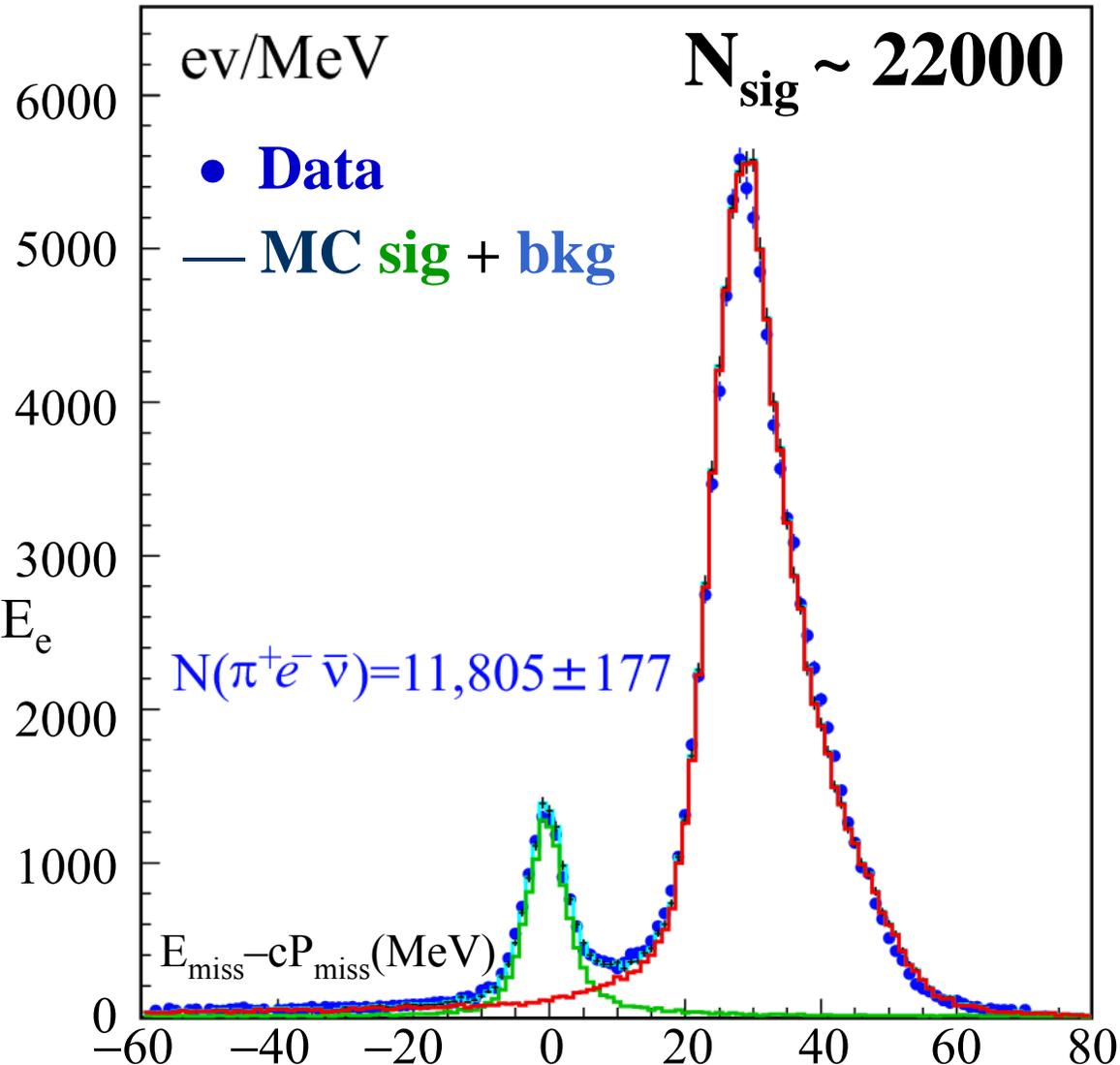
$K_S \rightarrow \pi e \nu$ – Events counting

Kinematic closure: use K_L to obtain K_S momentum \mathbf{P}_K and test for presence of neutrino:

$$E_{\text{miss}} = \sqrt{M_K^2 + \mathbf{P}_K^2} - E_\pi - E_e$$

$$\mathbf{P}_{\text{miss}} = |\mathbf{P}_K - \mathbf{P}_\pi - \mathbf{P}_e|$$

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$K_S \rightarrow \pi e \nu$ – Preliminary BR , A_S , $\text{Re}(x_+)$

Normalize signal counts to $K_S \rightarrow \pi\pi(\gamma)$ counts in the same data set ; use KLOE measurement for $\text{BR}(K_S \rightarrow \pi^+\pi^-(\gamma))$

$$\text{BR}(K_S \rightarrow \pi e \nu) = (7.09 \pm 0.07_{\text{stat}} \pm 0.08_{\text{syst}}) 10^{-4}$$

(Published result: $(6.91 \pm 0.34_{\text{stat}} \pm 0.15_{\text{syst}}) 10^{-4}$, KLOE '02)

$$A_S = (-2 \pm 9_{\text{stat}} \pm 6_{\text{syst}}) 10^{-3} \quad (\text{first measurement})$$

CPT
Violation
Probed at
1% here.

$$A_L = (3.28 \pm 0.06) 10^{-3} \quad (\text{PDG})$$

$$\begin{aligned} \text{Re}(x_+) &= (0.0136 \pm 0.0031_{\text{stat}} \pm 0.0029_{\text{syst}}) \quad \text{with PDG02} \quad \text{BR}(K_L \rightarrow \pi e \nu) \\ \text{Re}(x_+) &= (0.0017 \pm 0.0029_{\text{stat}} \pm 0.0029_{\text{syst}}) \quad \text{with KTeV} \quad \text{BR}(K_L \rightarrow \pi e \nu) \\ \text{Re}(x_+) &= (-0.0018 \pm 0.0041_{\text{stat}} \pm 0.0045_{\text{syst}}) \quad \text{CPLEAR} \end{aligned}$$

Summary of CPT in the kaon system.

- Tightest constraint comes from the **very small value of $\Delta m_{(L,S)}$** . The actual limit on $\Delta m_{(K,\bar{K})}$ comes from an additional factor of $\sim 1/100$ from CP violating phase differences. This can in principle be improved by another x10 with next generation precision experiments.

At Plank scale then?

- Constraints on CPT effects in mixing and rate differences are in the **$10^{-2} - 10^{-6}$ range**. This can improve by x10 with next generation experiments.

Back to Unitarity...How Tightly is CKM Constrained? Are There Hidden Flavors?

Weak eigenstates $\begin{pmatrix} \mathbf{d}_w \\ \mathbf{s}_w \\ \mathbf{b}_w \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} \mathbf{d} \\ \mathbf{s} \\ \mathbf{b} \end{pmatrix}$ **Mass eigenstates**

w/ Particle Data Group '04 Central Values $\begin{pmatrix} \mathbf{d}_w \\ \mathbf{s}_w \\ \mathbf{b}_w \end{pmatrix} = \begin{pmatrix} 0.974 & 0.22 & 0.004 \\ 0.22 & 0.97 & 0.04 \\ 0.005 & 0.04 & 0.99 \end{pmatrix} \begin{pmatrix} \mathbf{d} \\ \mathbf{s} \\ \mathbf{b} \end{pmatrix}$ **Uncertainty on $\sum_j |V_{ij}|^2$**

< 0.2%
2.7%
30%

Unitarity (or lack thereof) of CKM matrix tests existence of further quark generations and possible new physics (eg. Supersymmetry)

Tests of Unitarity, before 2004

V_{us} Revolution:

Precise test of unitarity

$$\text{SM: } V_{ud}^2 + V_{us}^2 + V_{ub}^2 = 1$$

$$V_{ud}^2 = 0.9487 \pm 0.0010 \text{ (nuclear decays)}$$

$$V_{us}^2 = 0.0482 \pm 0.0010 \text{ (from e.g. } K^+ \rightarrow \pi^0 e^+ \nu_e \text{)}$$

$$V_{ub}^2 = 0.000011 \pm 0.000003 \text{ (B meson decays)}$$

PDG
2004

$$\text{Data: } V_{ud}^2 + V_{us}^2 + V_{ub}^2 = 0.9970 \pm 0.0014$$

(2.2 σ deviation)

First hint: BNL-865 Measurement of



➤ Key issue is systematic control of the Branching Ratio.

➤ Detector designed for $\pi^+ \mu^- e^+$. not optimized for photons.

Require: $\pi^0 \rightarrow e^+ e^- \gamma$ in signal and normalization.

➤ $BR = (5.13 \pm 0.02_{\text{stat}} \pm 0.10_{\text{sys}})\%$

➤ PDG(<2004): $(4.87 \pm 0.06)\%$

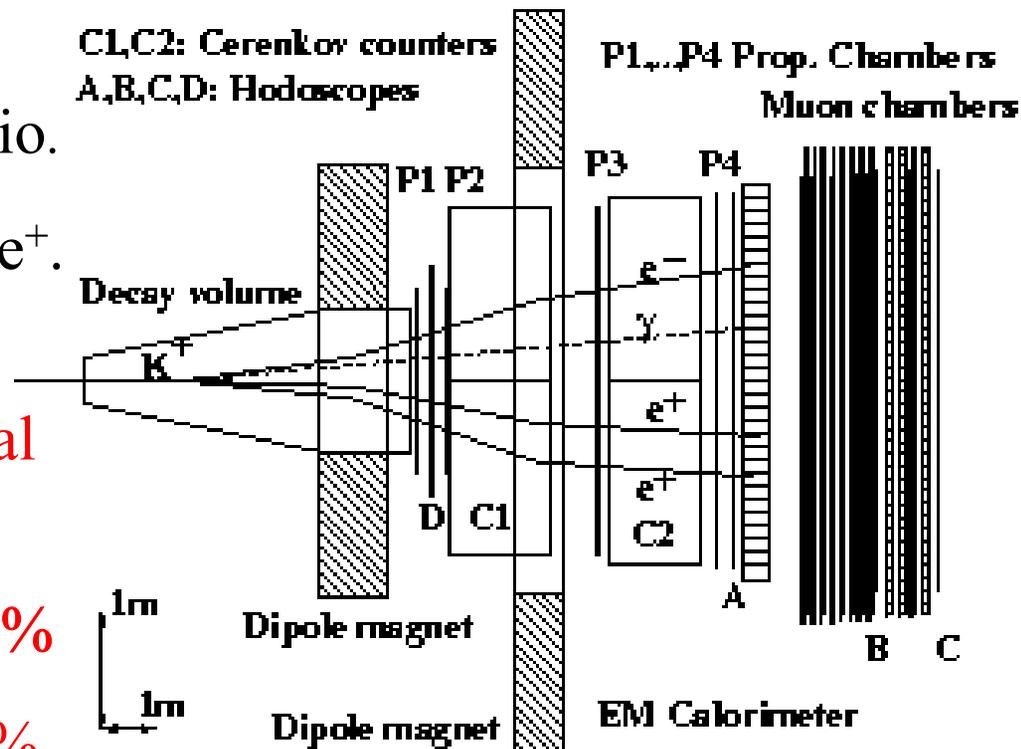


FIG. 1: Plan view of the E865 detector with a simulated $K^+ \rightarrow \pi^0 e^+ \nu$ decay followed by $\pi^0 \rightarrow e^+ e^- \gamma$.

Extracting $|V_{us}|$ from K^0_{e3}

Long standing issue: 1st row unitarity $|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 \neq 1$

BNL E865 (May 2003) found a higher value $@ \sim 2.2 \sigma$ level
 for $Br(K^+ \rightarrow \pi^0 e^+ \nu)$ consistent with unitarity
 but giving $|V_{us}| \sim 2.7\sigma$ above existing $Br(K_L \rightarrow \pi^\pm e^\pm \nu)$ value.

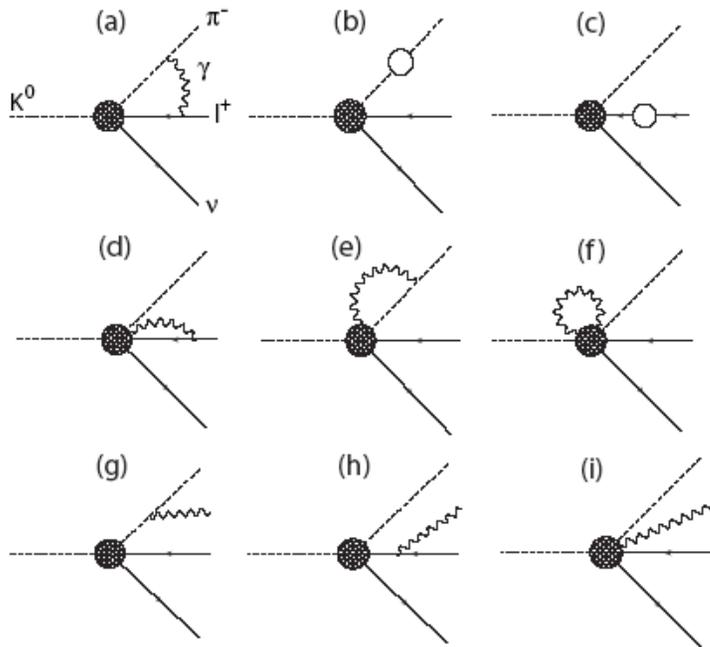
$$\Gamma_{\ell 3} = \frac{Br(K_L \rightarrow \pi^\pm \ell^\mp \nu)}{\tau_L} = \left(\frac{G_F^2 m_K^5}{384 \pi^3} \right) S_{EW} f_+^2(t=0) (1 + \delta_\ell) |V_{us}|^2 \int \hat{f}^2$$

- S_{EW} Short range EW & QCD corrections - same for e, μ
- $f_+(0)$ Form factor at $t = (P_\ell + P_\nu)^2 = 0$; we use 0.961 ± 0.008
[Leutwyler & Roos, 1984]
- δ_ℓ Long range (mode-dependent) radiative corrections
- $\int \hat{f}^2$ Integral over phase space of form factor squared

Step 1: Radiative corrections

$$\Gamma_{\ell 3} = \frac{Br(K_L \rightarrow \pi^\pm \ell^\mp \nu)}{\tau_L} = \left(\frac{G_F^2 m_K^5}{384 \pi^3} \right) S_{EW} f_+^2(t=0) (1 + \delta_\ell) |V_{us}|^2 \int \hat{f}^2$$

T.Andre, hep-ph/0406006



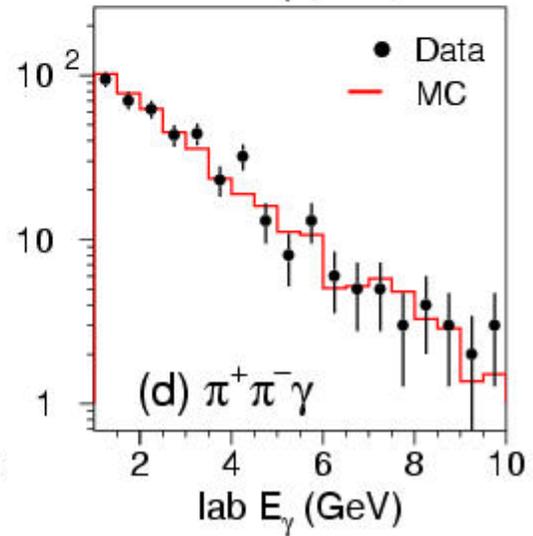
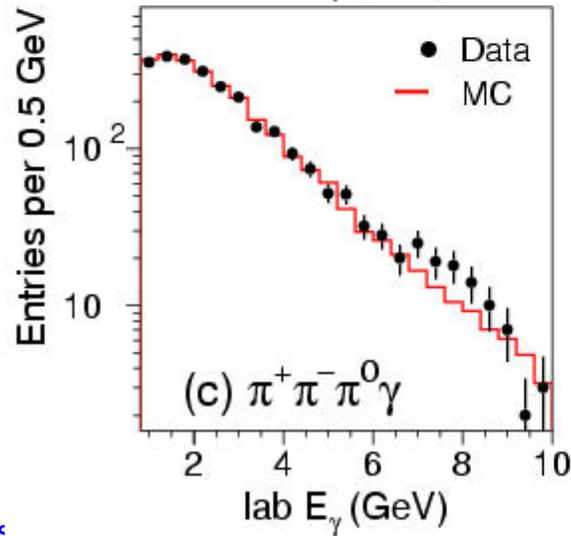
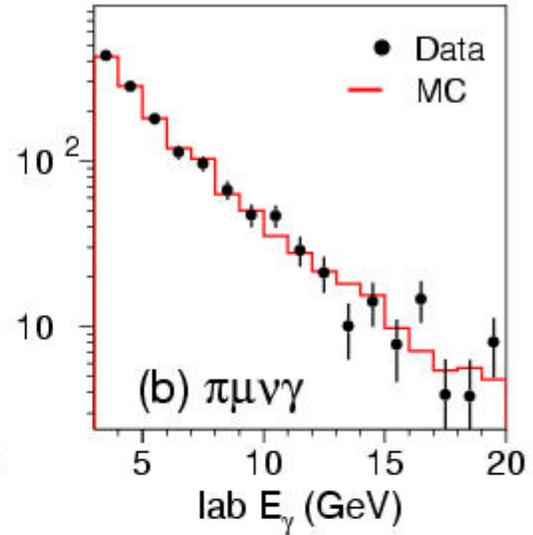
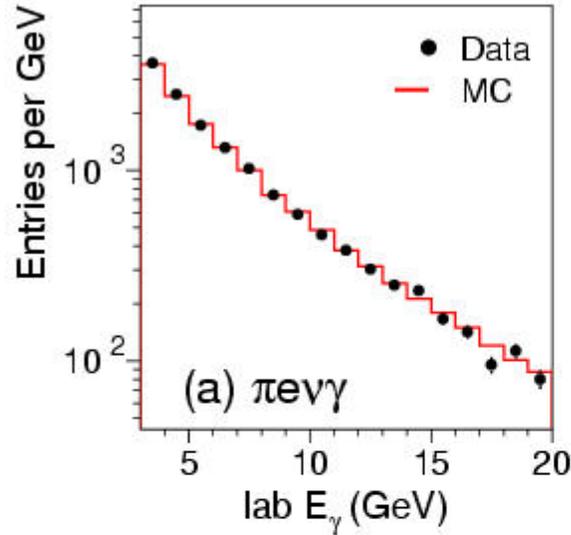
Take

$$\langle \pi^-(p_\pi) | \bar{s}_L \gamma^\alpha u_L | K^0(p_K) \rangle = f_+(t)[p_K + p_\pi] + f_-(t)[p_K - p_\pi]$$

Evaluate with linear, quadratic and pole model form factors f

Radiative MC Check

Data-MC comparison of radiated photon energy well modeled for all relevant charged decay modes.



Step 2: Form factors

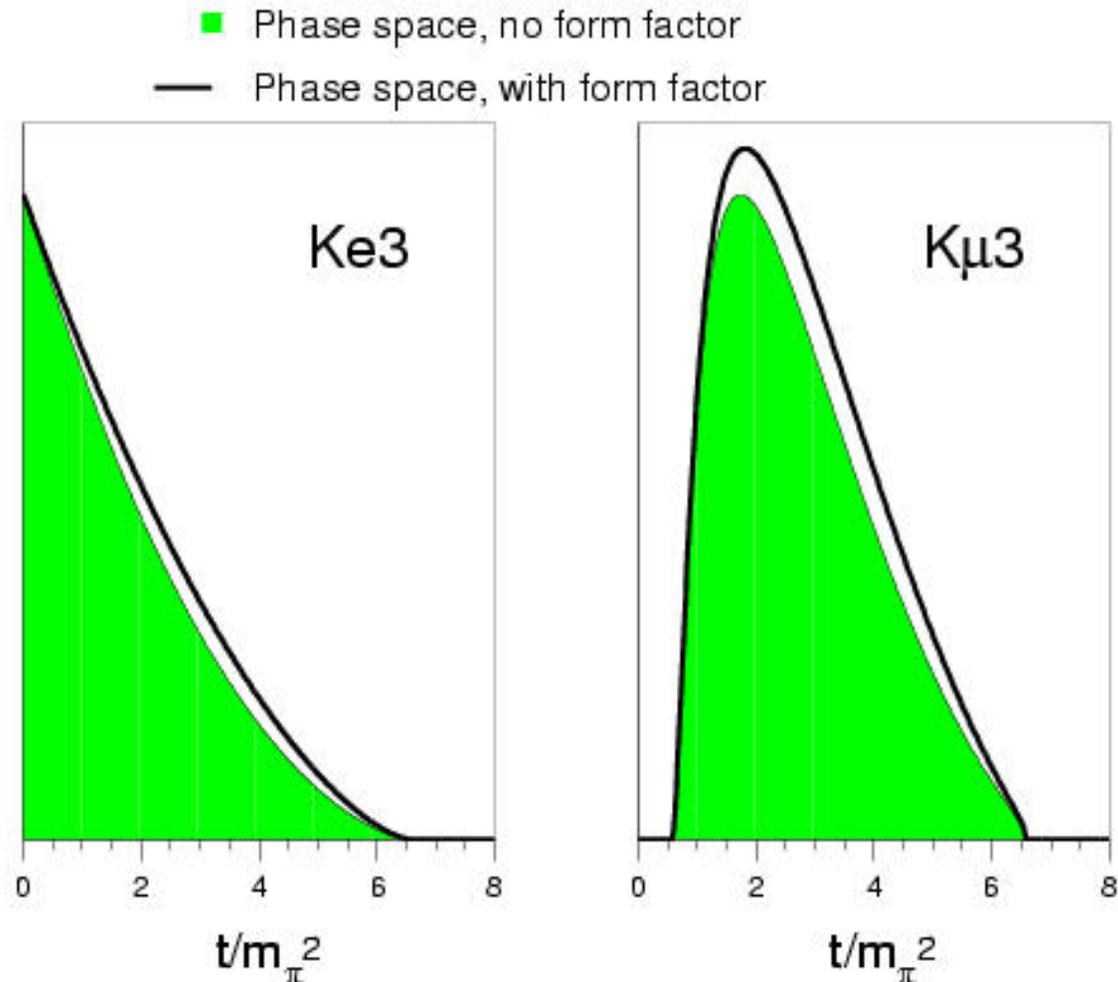
$$\Gamma_{\ell 3} = \frac{Br(K_L \rightarrow \pi^\pm \ell^\mp \nu)}{\tau_L} = \left(\frac{G_F^2 m_K^5}{384 \pi^3} \right) S_{EW} f_+^2(t=0) (1 + \delta_\ell) |V_{us}|^2 \int \hat{f}^2$$

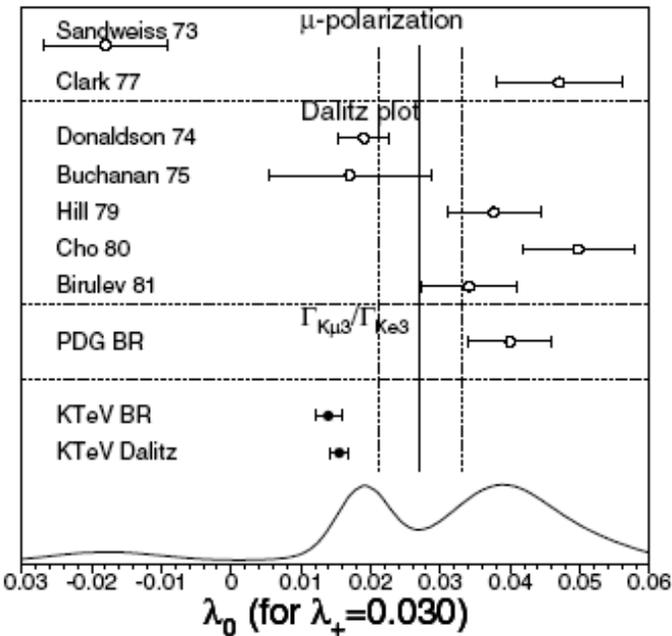
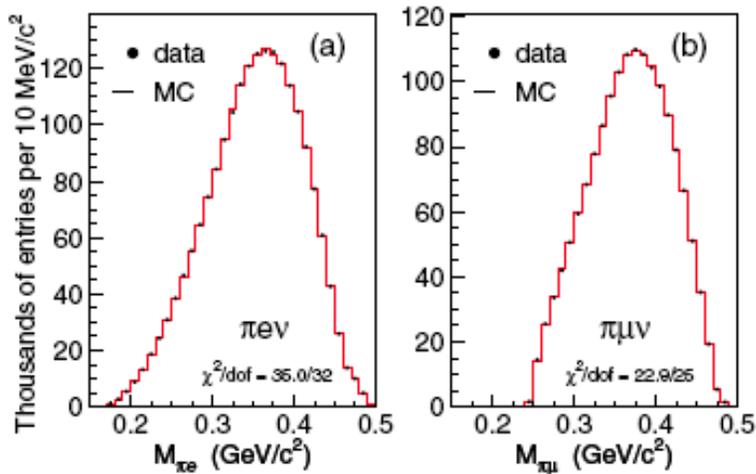
We parameterize with $f_+(t)$ and $f_0(t) = f_+(t) + \frac{t}{m_K^2 - m_\pi^2} f_-(t)$

f_i expanded in powers of t / m_π^2 ; coefficients are λ_i

- Since p_K is not known, there is a two-fold reconstruction ambiguity due to unseen ν
- We use $t_\perp^\ell = (P'_\ell + P'_\nu)^2$ or $t_\perp^\pi = (P'_K - P'_\pi)^2$ - Basically, t evaluated without longitudinal coordinates to momenta. Costs ~15% of statistical power
- Some fits also use $m_{\ell\pi}$

Form Factor modeling, critical for precision extraction of $|V_{us}|$





	K_{e3}	$K_{\mu3}$
Linear model $\times 10^{-3}$		
λ_+	28.32 ± 0.57	27.45 ± 1.08
λ_0	-	16.57 ± 1.25
Quadratic model $\times 10^{-3}$		
λ_+'	21.67 ± 1.99	17.03 ± 3.65
λ_+''	2.87 ± 0.87	4.43 ± 1.49
λ_0	-	12.81 ± 1.83

Pole model fits also reported...

Linear model λ_+ values consistent with PDG values.

Quadratic term significant at 4σ level
Lowers phase space integral by $\sim 1\%$.

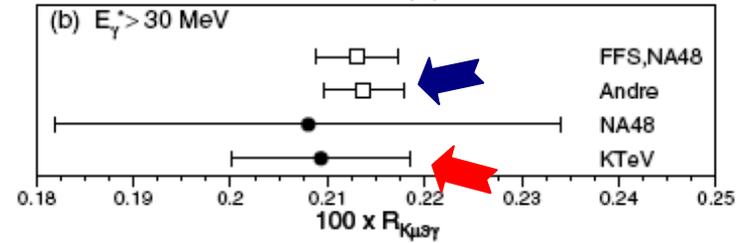
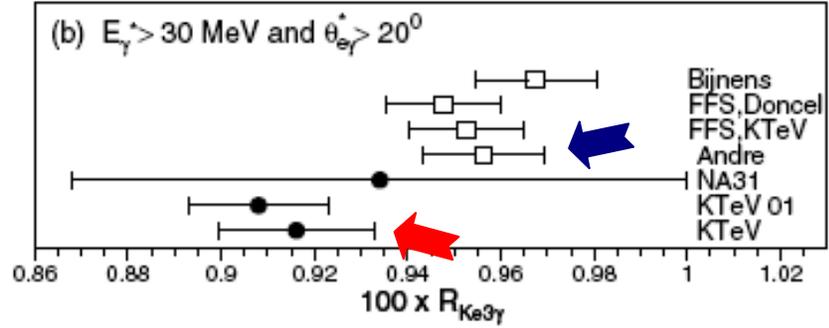
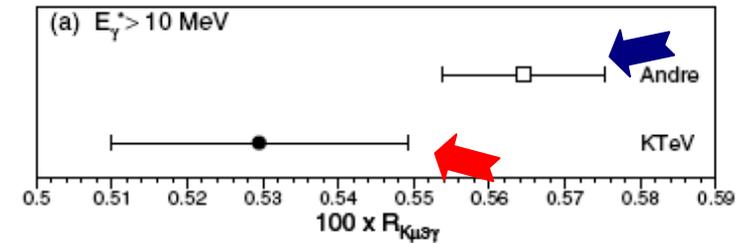
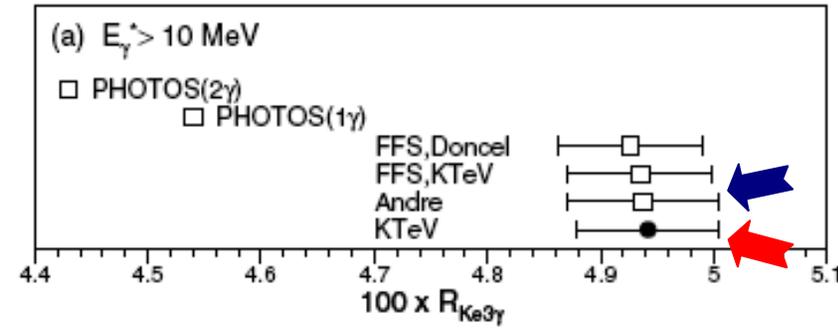
Step 3: Check steps 1&2 with $K_L \rightarrow \pi \ell \nu \gamma$

$$R_{K\gamma 3\gamma} \equiv \frac{\Gamma(K_L \rightarrow \pi^\pm \ell^\mp \nu \gamma, E_\gamma^* > 10 \text{ MeV})}{\Gamma(K_L \rightarrow \pi^\pm \ell^\mp \nu + n\gamma)}$$

Acceptance corrections for 2nd γ
 via PHOTOS $\sim 1.8\%$ for K_{e3}

← Andre's prediction

← KTeV's measurement



Step 4: Get the branching ratio

$$\Gamma_{\ell 3} = \frac{Br(K_L \rightarrow \pi^\pm \ell^\mp \nu)}{\tau_L} = \left(\frac{G_F^2 m_K^5}{384 \pi^3} \right) S_{EW} f_+^2(t=0) (1 + \delta_\ell) |V_{us}|^2 \int \hat{f}^2$$

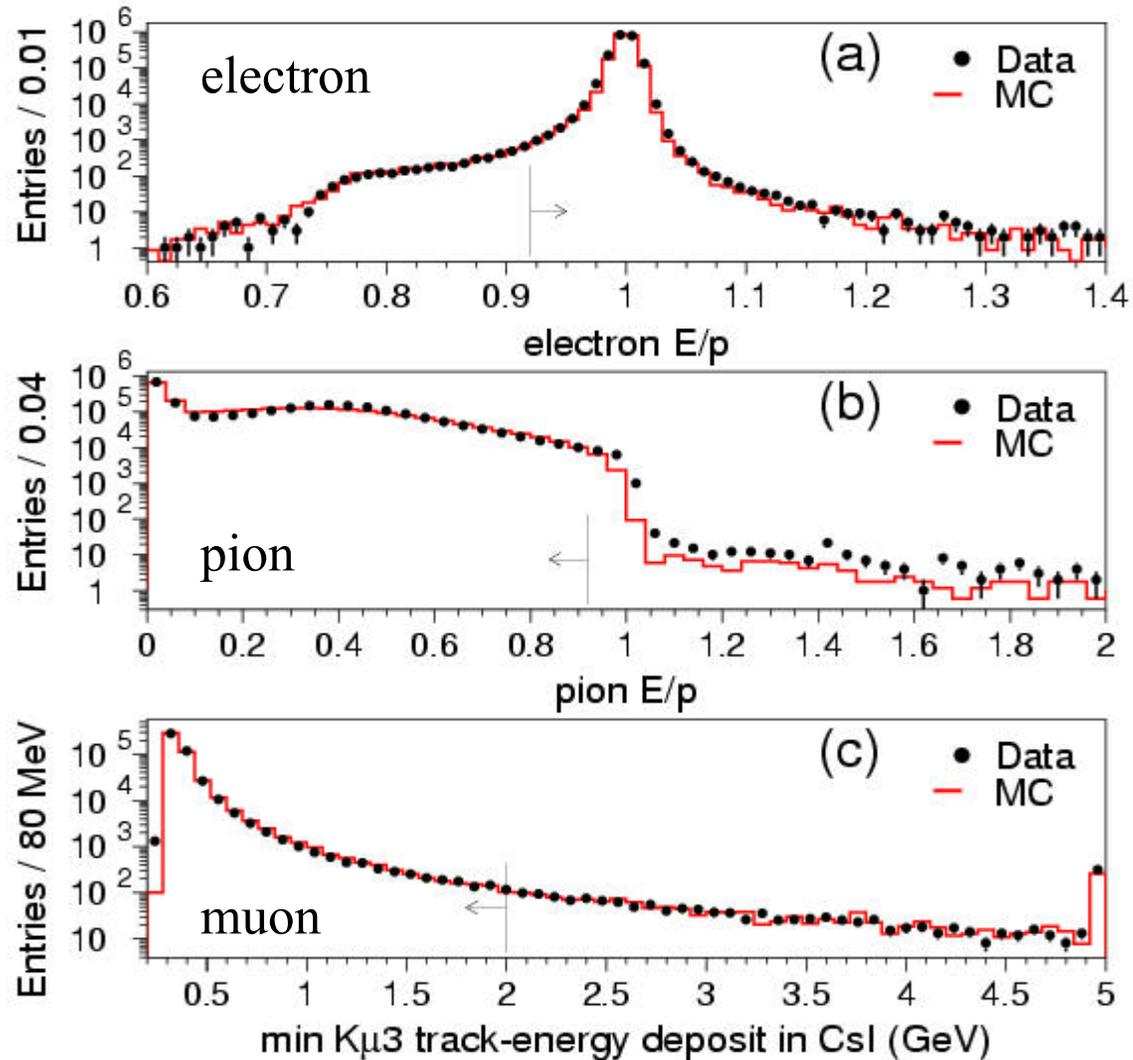
Ordinarily, would measure something like $\Gamma(K_L \rightarrow \pi^\pm \ell^\mp \nu) / \Gamma(K_L \rightarrow \textit{nice})$ where the “*nice*” mode has high statistics, a well-known rate, and is similar to $K_{\ell 3}$ in the detector. Sadly, there is no “*nice*” mode.

Measure these
5 ratios, use
 $\Sigma = 1$ constraint
to get Br

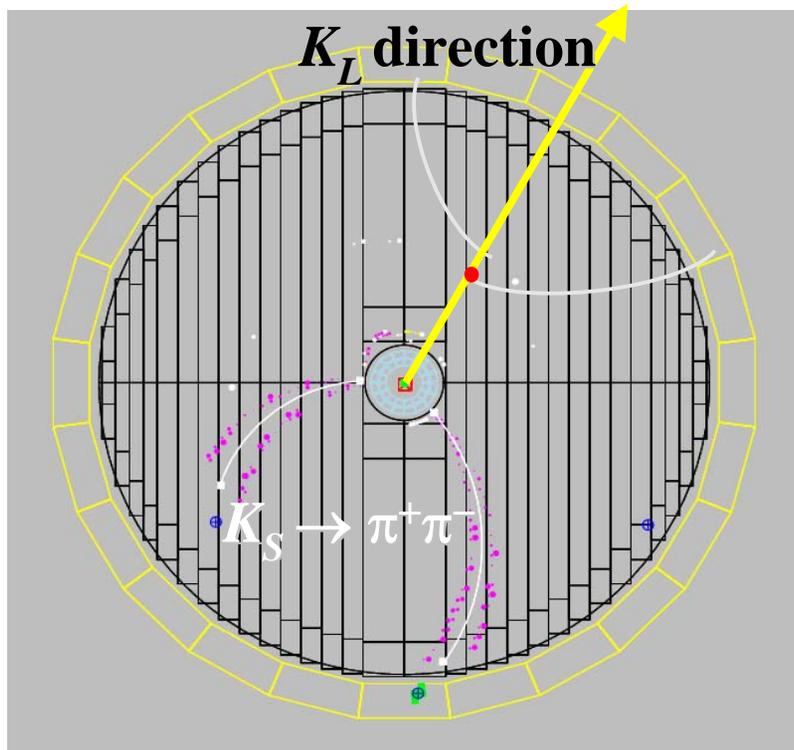
$$\begin{array}{ccc} \Gamma_{K\mu 3} / \Gamma_{Ke 3} & \Gamma_{+-0} / \Gamma_{Ke 3} & \Gamma_{000} / \Gamma_{Ke 3} \\ & \Gamma_{+-} / \Gamma_{Ke 3} & \Gamma_{000} / \Gamma_{00} \end{array}$$

Particle Identification in KTeV

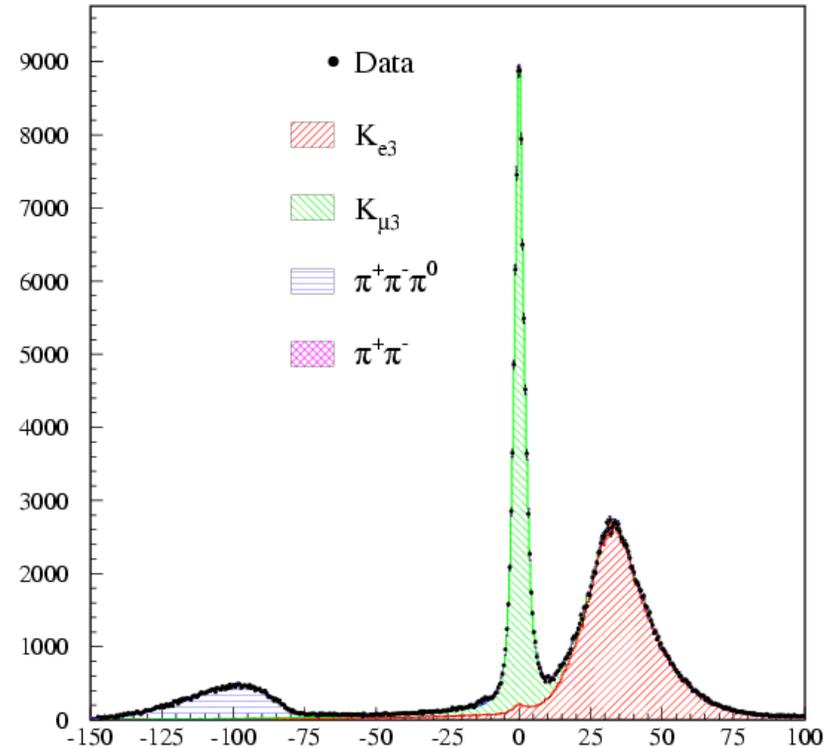
Benefits of High Energy Experiments:
Clear separation of electrons, muons, and pions with calorimetry. Detector response is well modeled.



Contrast: *KLOE Particle ID in K_L decays: Use Kinematics and timing*

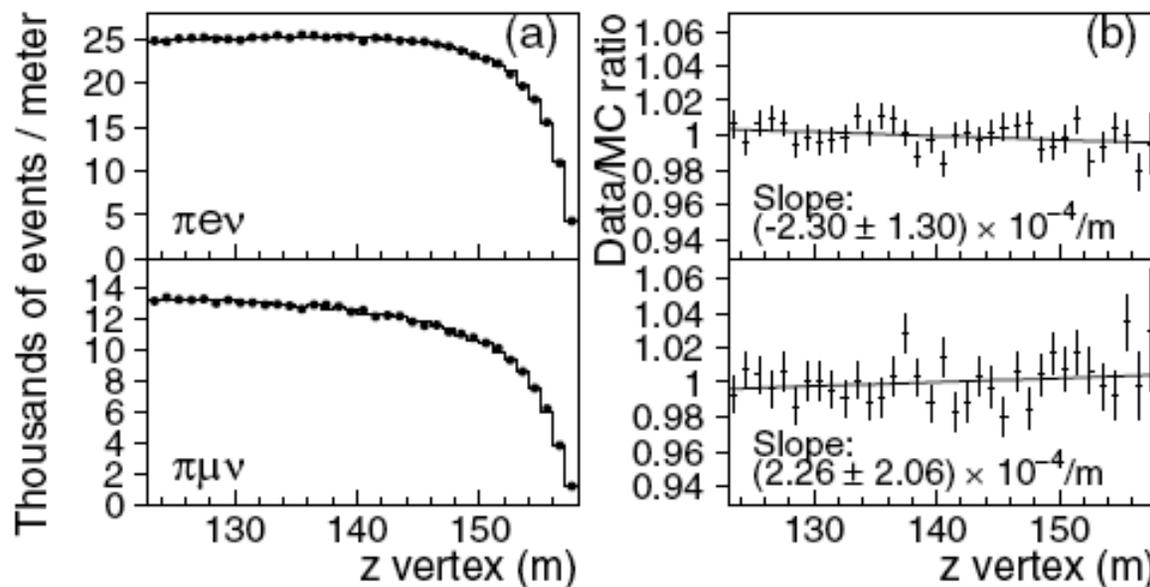


Ev/MeV



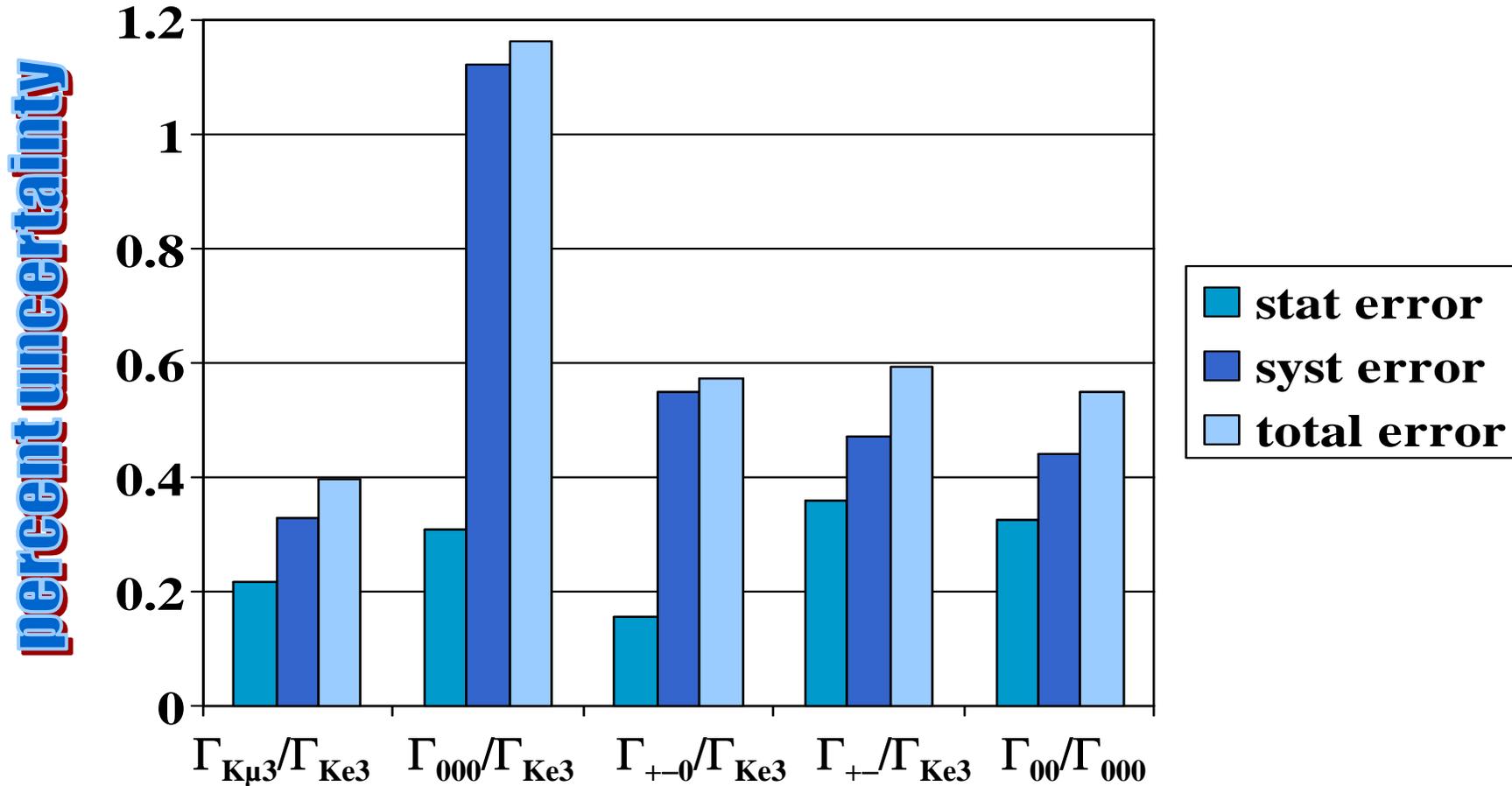
Lesser of $\mathbf{P}_{\text{miss}} - \mathbf{E}_{\text{miss}}$ in $\pi\mu$ or $\mu\pi$ hyp. (MeV)

- Except for $\Gamma_{000}/\Gamma_{K\epsilon 3}$, all ratios have final similar states
- Except for Γ_{00}/Γ_{000} , all ratios in same trigger; this analysis similar to the ϵ'/ϵ neutral mode analysis

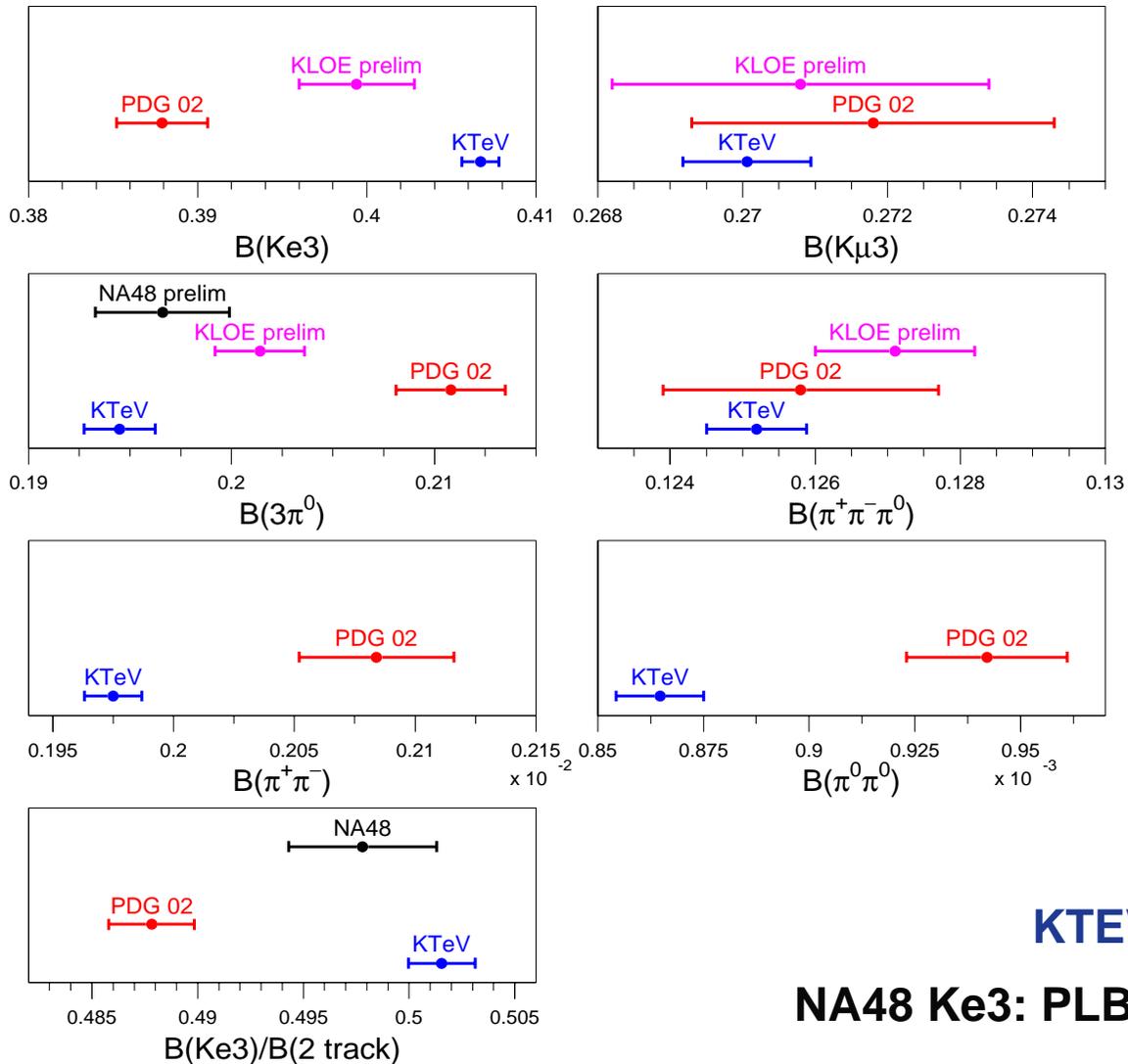


- $K \rightarrow \pi \mu \nu$ ratios without/with μ ID agree to $(0.08 \pm 0.02_{\text{stat}})\%$
- $K \rightarrow \pi^+ \pi^- \pi^0$ ratios without/with $\pi^0 \rightarrow \gamma \gamma$ reconstruction in CsI -factor ~ 4 change in acceptance- agree to $(0.03 \pm 0.28_{\text{stat}})\%$

Uncertainties on Partial Width Ratios



K_L BR Measurements



NA48 $3\pi^0$: ICHEP04

KLOE: ICHEP04

KTeV: PRD 70, 092006 (2004)

NA48 $Ke3$: PLB, Volume 602, Nov (2004)

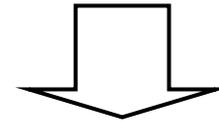
From measured ratios to $|V_{us}|$

Modes	Partial Width Ratio
$\Gamma_{K_{\mu 3}} / \Gamma_{K_{e 3}}$	$0.6640 \pm 0.0014 \pm 0.0022$
$\Gamma_{000} / \Gamma_{K_{e 3}}$	$0.4782 \pm 0.0014 \pm 0.0053$
$\Gamma_{+0} / \Gamma_{K_{e 3}}$	$0.3078 \pm 0.0005 \pm 0.0017$
$\Gamma_{+-} / \Gamma_{K_{e 3}}$	$(4.856 \pm 0.017 \pm 0.023) \times 10^{-3}$
$\Gamma_{00} / \Gamma_{000}$	$(4.446 \pm 0.016 \pm 0.019) \times 10^{-3}$



$$Br(K_{e3}) = 0.4067 \pm 0.0011$$

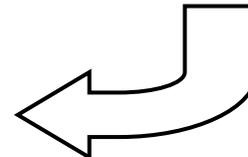
$$Br(K_{\mu 3}) = 0.2701 \pm 0.0009$$



Using $\tau_K = 51.5 \pm 0.4 \text{ ns}$

$$\Gamma(K_{e3}) = (7.897 \pm 0.065) \times 10^6 \text{ s}^{-1}$$

$$\Gamma(K_{\mu 3}) = (5.244 \pm 0.044) \times 10^6 \text{ s}^{-1}$$



K_{e3} : $|V_{us}| = 0.2253 \pm 0.0023$

$K_{\mu 3}$: $|V_{us}| = 0.2250 \pm 0.0023$

Average: $|V_{us}| = 0.2252 \pm 0.0008_{\text{KTeV}} \pm 0.0021_{\text{ext}}$

Γ ratios,
form factors

$f_+(0)$, τ_K ,
rad corrs

Lepton Universality Test with Semileptonic Branching Fractions and Form Factors

Long-distance rad cor ratio from KLOR is 1.0058(10)

KTeV measures
0.6622 ± 0.0018

$$\left[\frac{\Gamma_{K\mu 3}}{\Gamma_{Ke 3}} \right]_{\text{pred}} = \frac{1 + \delta_K^\mu}{1 + \delta_K^e} \cdot \frac{I_K^\mu}{I_K^e}$$

$$\frac{\left[\frac{\Gamma_{K\mu 3}}{\Gamma_{Ke 3}} \right]_{\text{KTeV}}}{\left[\frac{\Gamma_{K\mu 3}}{\Gamma_{Ke 3}} \right]_{\text{pred}}} = 0.9969 \pm 0.0048$$

KTeV
 Kaons at the Tevatron

(same test with PDG02 BR and FF: 1.027 ± 0.018)

Theory Corrections

$$\Gamma_{K\ell 3} = \frac{G_F^2 M_K^5}{192\pi^3} S_{EW} (1 + \delta_K^\ell) |V_{us}|^2 |f_+^2(0)| I_K^\ell$$

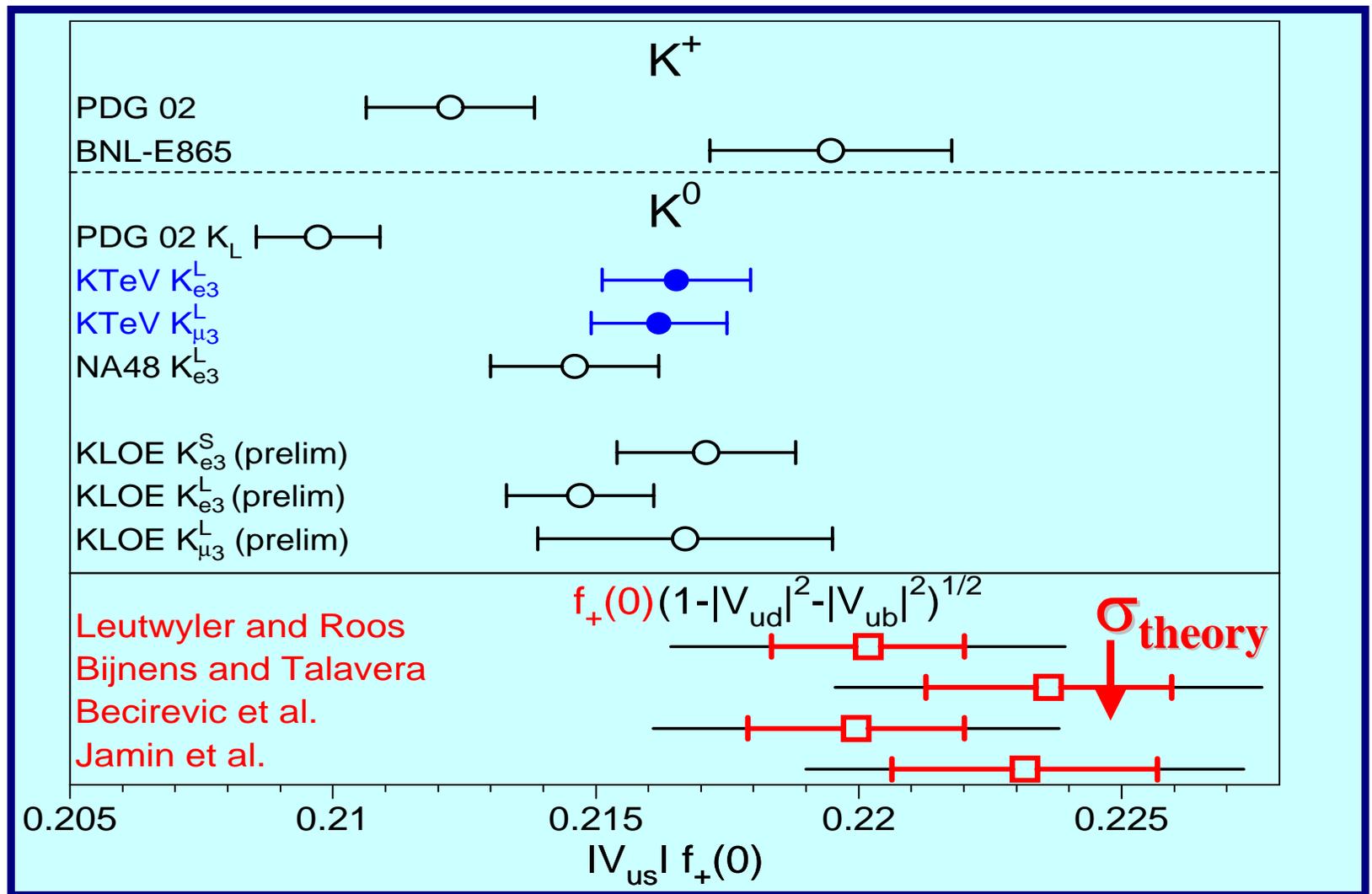
**short-distance
rad cor: 1.022**

**long-distance rad
cor from KLOR,
 $\delta^e = 1.013$
 $\delta^\mu = 1.019$**

**Use Leutwyler-Roos 84:
 $f_+(0) = 0.961(8)$**

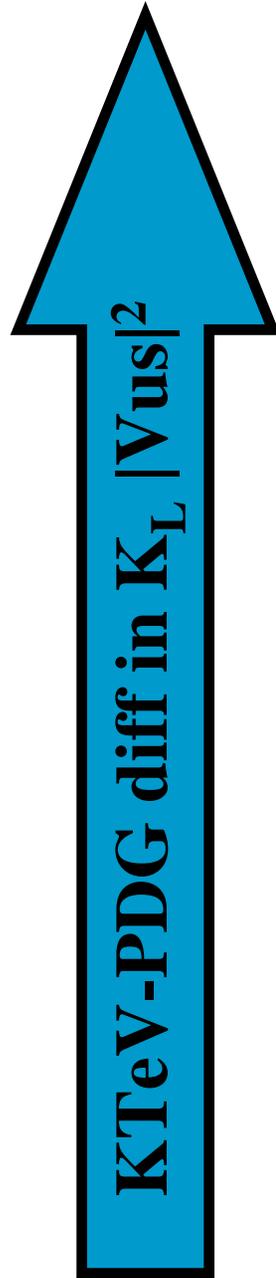
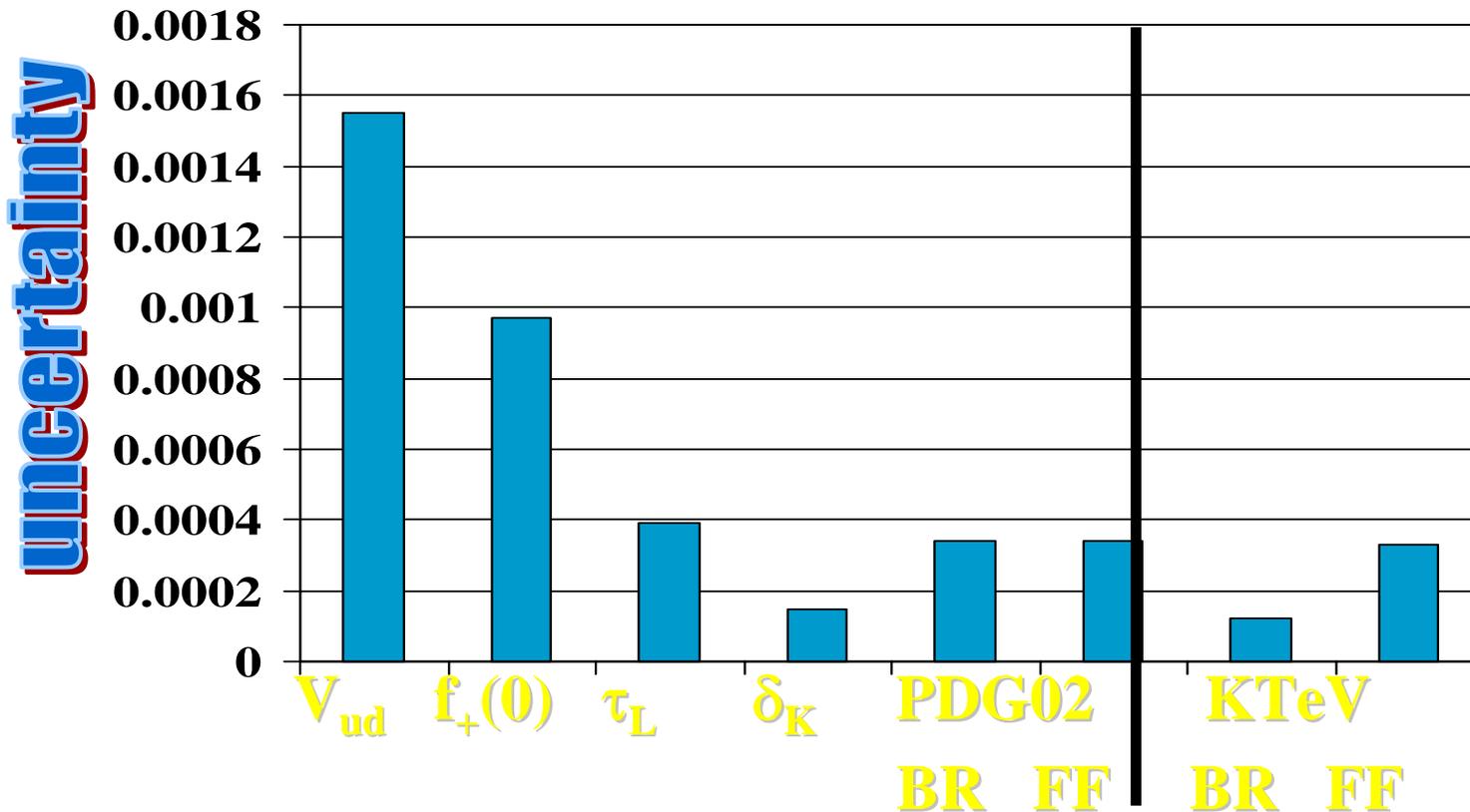
**Recent works: 0.96-0.98
(no consensus yet ?)**

Comparisons with Theory and $|V_{ud}|$

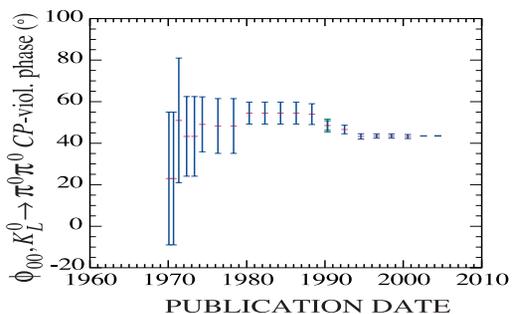
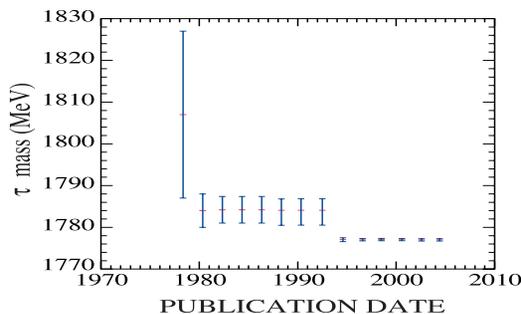
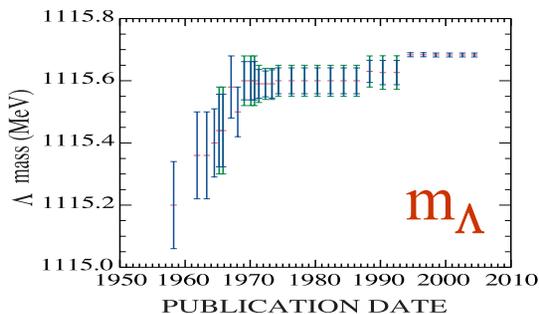
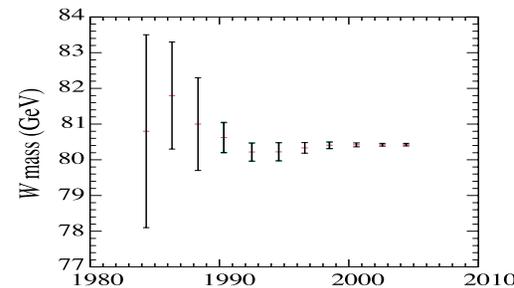
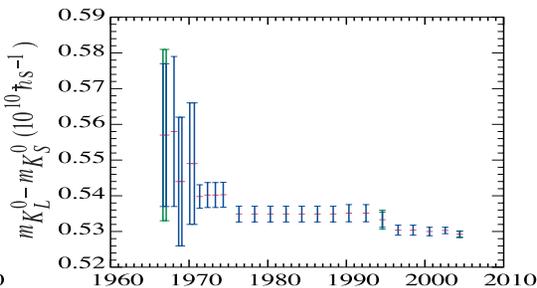
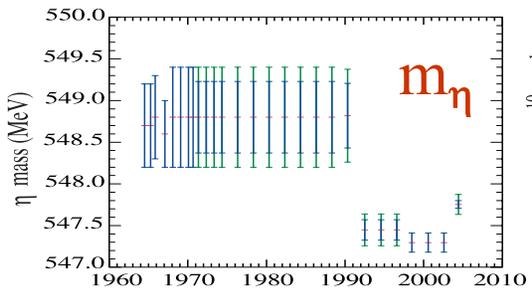
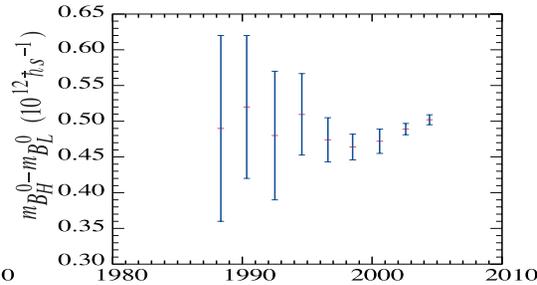
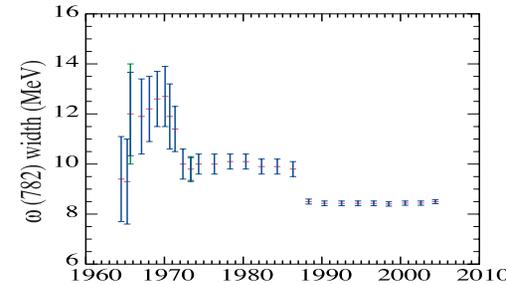
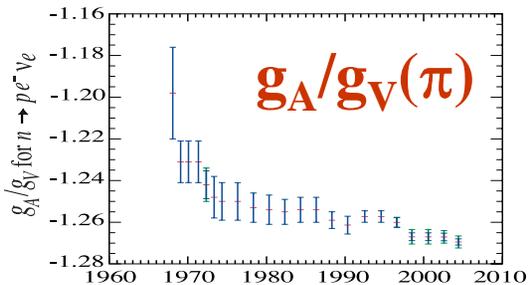
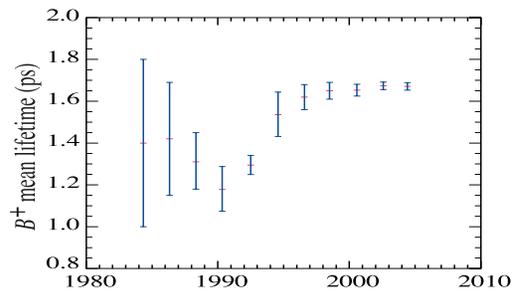
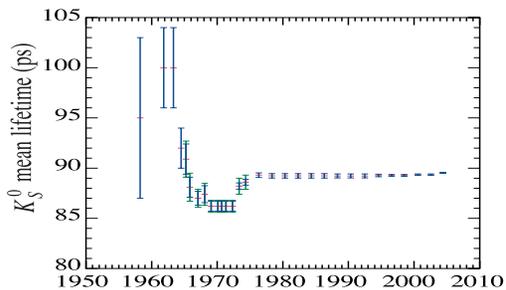
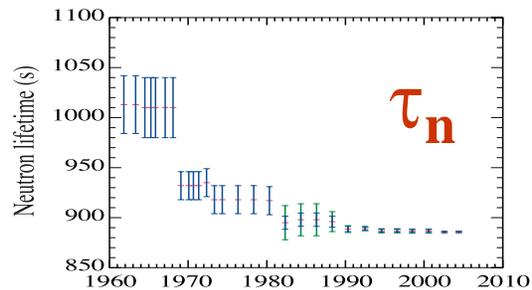


Summary of Uncertainties on

$$|V_{ud}|^2 + |V_{us}|^2$$



PDG Compilation of Selected Measurements....A lesson here!



Unitarity Conclusions

- “Unitarity Crisis” in first row of CKM matrix resolved.
- Radiative corrections are critical.
- Ball is now in theory court to further extract $|V_{us}|$
- Analysis of full KLOE statistics will be very welcome.

Precise Conclusions.

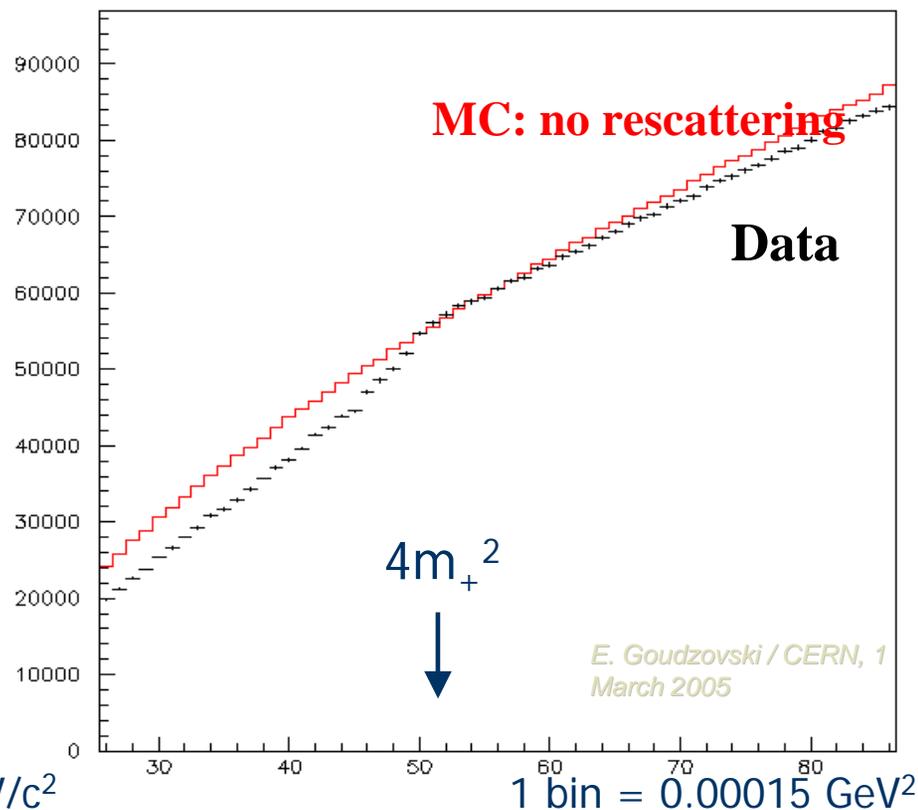
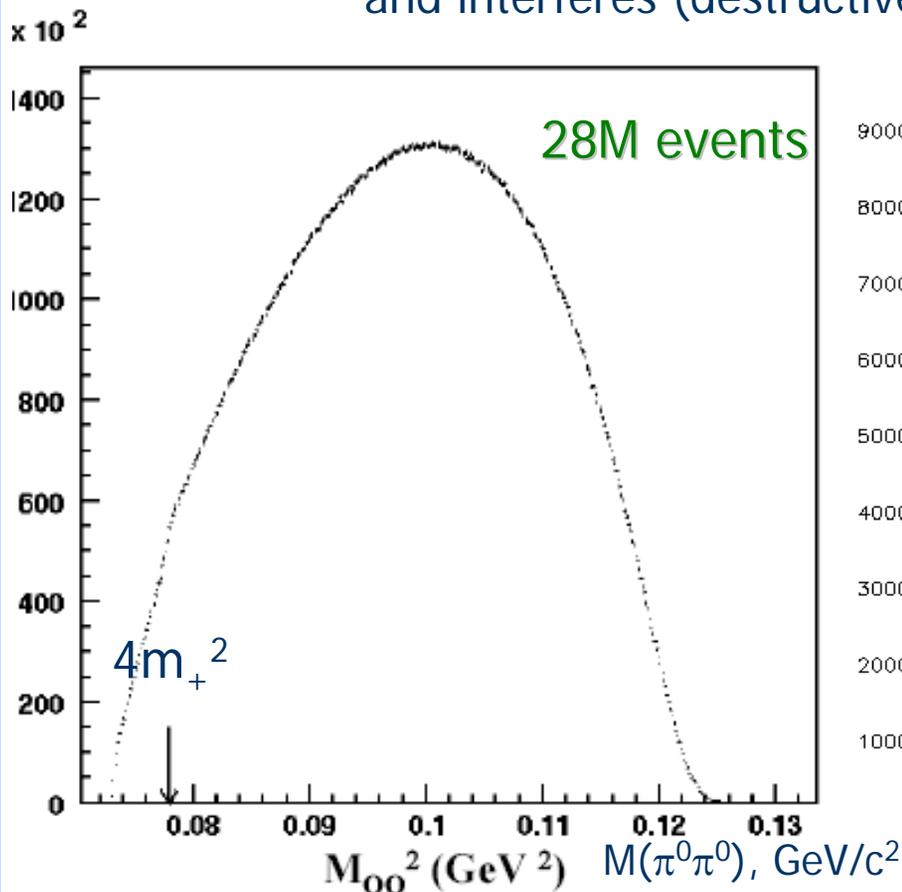
- CP & T violation well established, CPT and CKM unitarity safe for now due to precision measurements in the kaon system.
- Experiment spinoffs:
NA48(2): $\pi^+\pi^-\rightarrow\pi^0\pi^0$.
- A path to the ultimate energy frontier. Plank scale?



Spares

NA48(2) Observes Fascinating Rescattering effect in $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$

The charge exchange process $\pi^+ \pi^- \rightarrow \pi^0 \pi^0$ is not negligible under threshold, and interferes (destructively) with direct emission



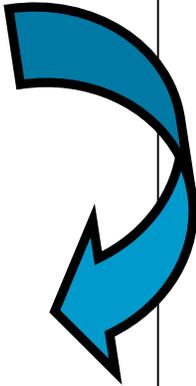
I_K Uncertainty from Semileptonic Form Factors

we include 0.7% uncertainty from model dependence (doubles error!).

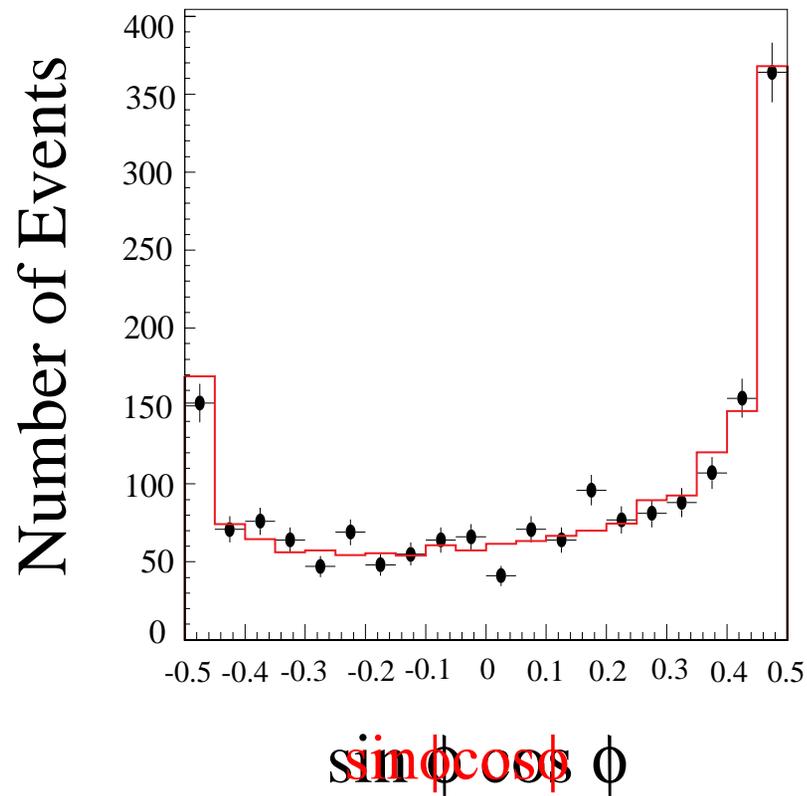
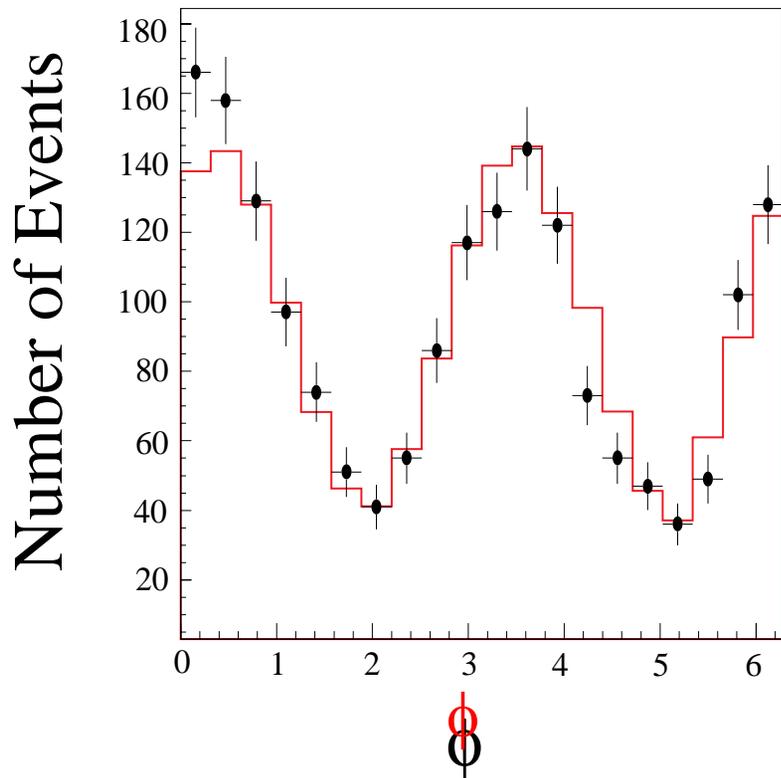
$$\frac{I_K(\text{quadratic: } \chi^2/\text{dof}=62/64)}{I_K(\text{pole model: } \chi^2/\text{dof}=66/65)} - 1 = 0.7\%$$

Although KTeV form factors are much more precise than PDG, KTeV I_K uncertainty is comparable to PDG uncertainty based on linear FF model.

$I_{K\mu 3} / I_{Ke 3}$ ratio is not affected.



Discovered at KTeV, confirmed by CERN-NA48...

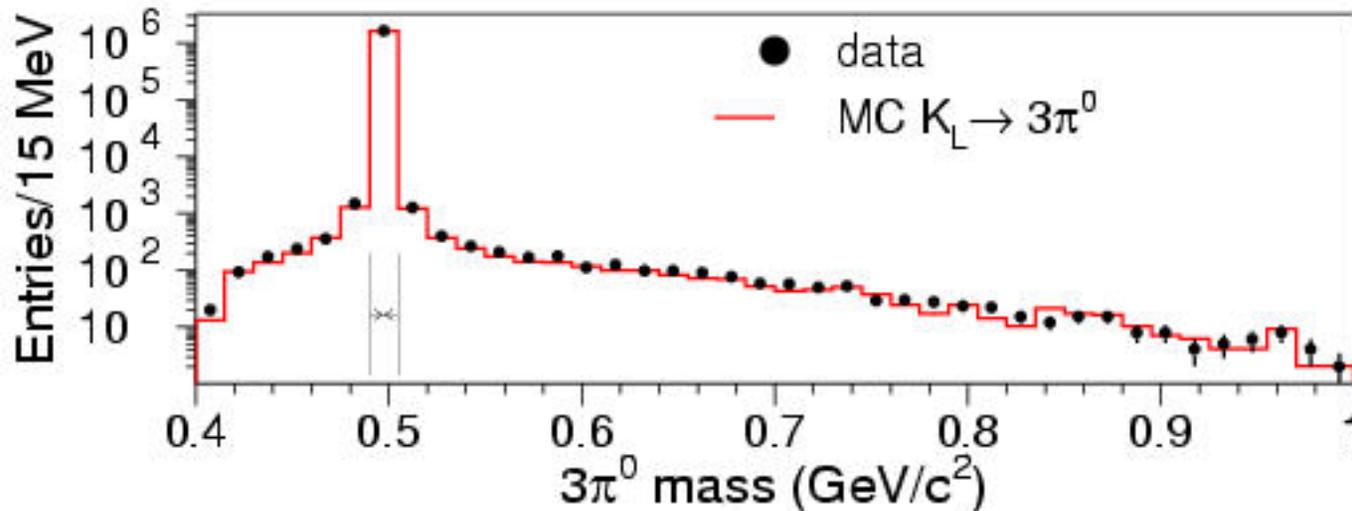


Asymmetry = $(13.6 \pm 2.5 \pm 1.2)\%$

This T-odd effect is due entirely to mixing, no evidence of direct CPV.

Absolute Efficiency for $3\pi^0$ in $B(3\pi^0)/B(K_{e3})$ ratio

Csl inefficiency (10^{-6}) monitored by laser.
Photon mis-pairings checked in $3\pi^0$ mass;
for events outside signal-mass region,
data-MC difference is only 0.14%
(included as systematic uncertainty)



Aside: All is not entirely well...CP Violation Parameter $|\eta_{+-}|$ Determined from KTeV 2π Branching Fractions

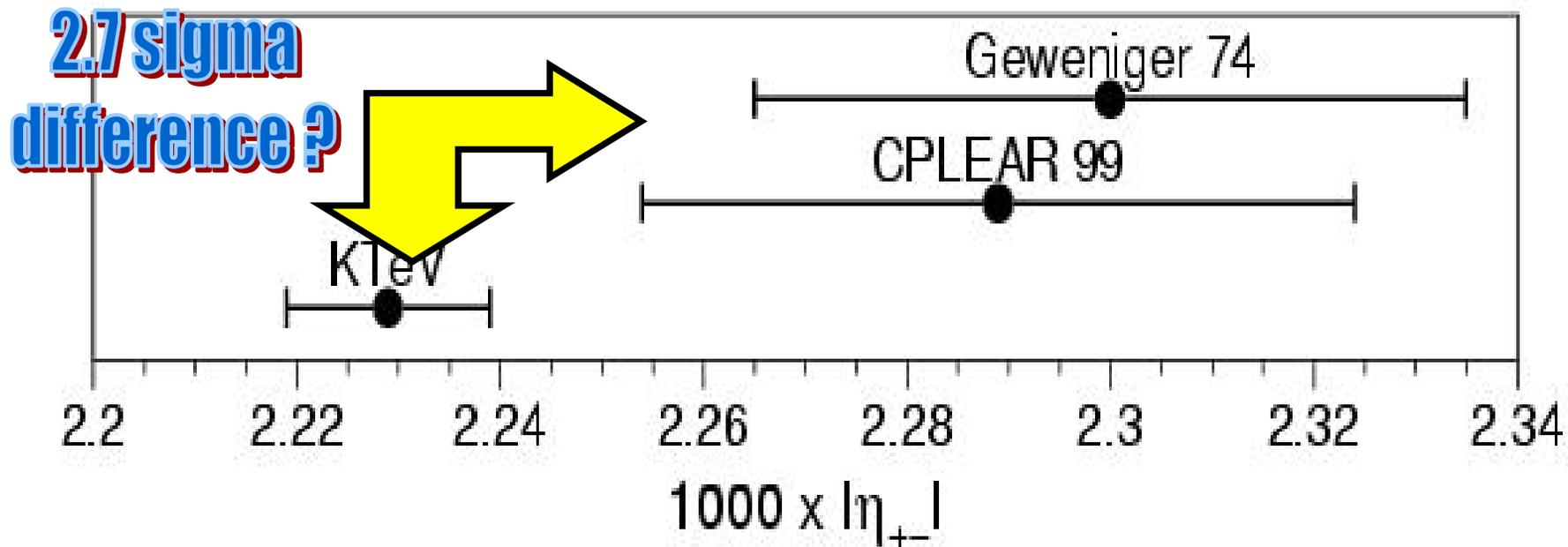
KTeV 2π BRs

$$|\eta_{+-}|^2 = \frac{\Gamma_L}{\Gamma_S} \left\{ \frac{B_{\pi^+\pi^-}^L + B_{\pi^0\pi^0}^L [1 + 6\text{Re}(\epsilon'/\epsilon)]}{1 - B_{\pi\ell\nu}^S} \right\}$$

**K_L and K_S
lifetimes**

**K_S semileptonic
BR: 0.12%**

Compare KTeV $|\eta_{+-}|$ with previous results
 using K_L - K_S interference
 [independent of KTeV-PDG discrepancy
 in $B(K_L \rightarrow \pi\pi)$]

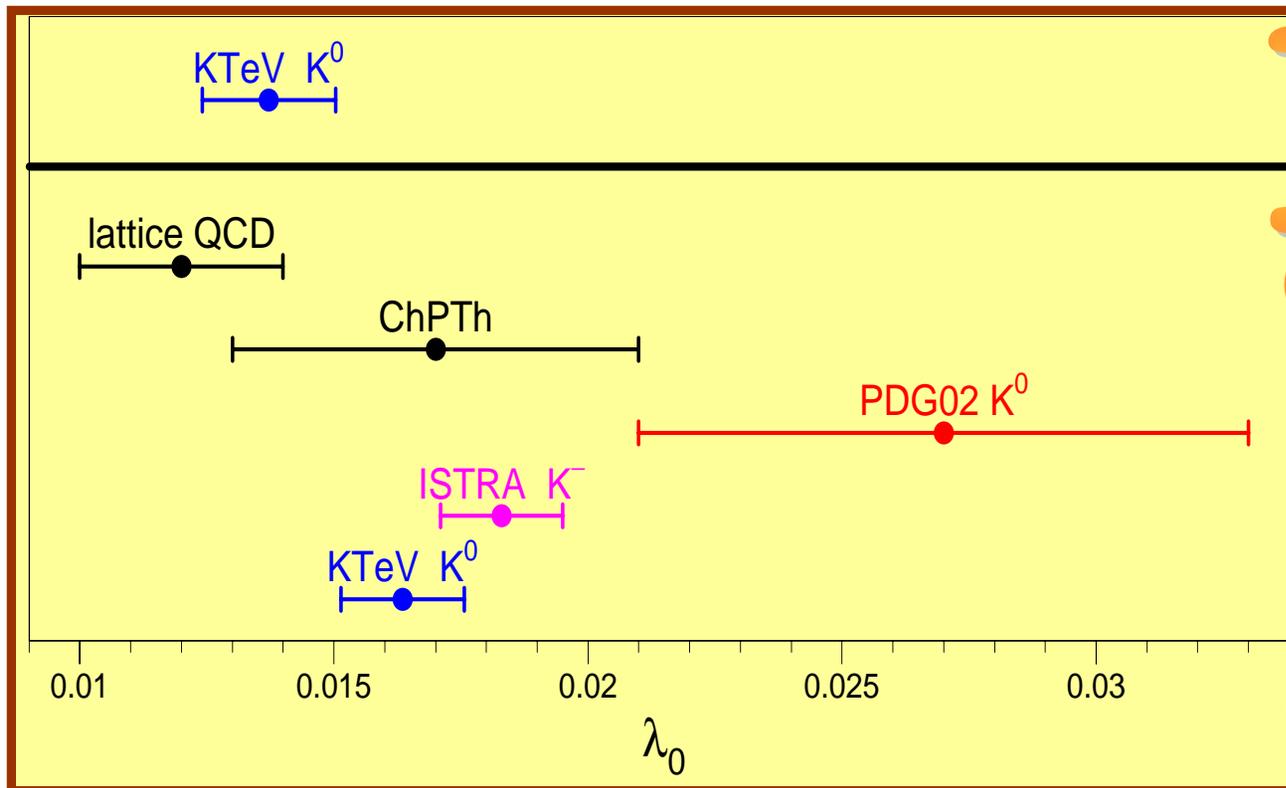


Recent $f_0(t)$ Comparisons ($K\mu 3$ FF)

Fit with:

quadratic
 $f_+(t)$

linear
 $f_+(t)$



Semileptonic Form Factors: λ_+

Ignore 2nd order term in $KTeV$ to compare with other measurements

