

# SASE Wavefront Propagation Calculations Using SRW

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# Some Computer Codes for (SR) Ray-Tracing and Wavefront Propagation



## Ray-Tracing / Geometrical Optics

Free: SHADOW (Univ. Wisconsin)  
XOP by S. del Rio (ESRF), R. Dejus (APS)  
RAY by A. Erko et. al. (BESSY) – “Improved Ray-Tracing”  
...  
Commercial: OSLO  
CODE V  
ZEMAX  
...

## Wavefront Propagation / Physical Optics

Free: PHASE by J. Bahrdt (BESSY) – Stationary Phase Method (PRSTAB 2006)  
SRW (ESRF/SOLEIL) – Fourier Optics  
...  
Commercial: ZEMAX  
GLAD  
MICROWAVE Studio  
...

# Self-Amplified Spontaneous Emission Described by Paraxial FEL Equations



## Approximation of Slowly Varying Amplitude of Radiation Field

Particles' dynamics  
in undulator and radiation fields  
(averaged over many periods):

$$\frac{d\theta}{dz} = k_u - k_r \frac{1 + p_\perp^2 + a_u^2 - 2a_r a_u \cos(\theta + \phi_r)}{2\gamma^2}$$

$$\frac{d\gamma}{dz} = -\frac{k_r f_c a_r a_u}{\gamma} \sin(\theta + \phi_r)$$

$$\frac{d\vec{p}_\perp}{dz} = -\frac{1}{2\gamma} \frac{\partial a_u^2}{\partial \vec{r}_\perp} + \mathbf{k}_{foc} \vec{r}_\perp$$

$$\frac{d\vec{r}_\perp}{dz} = \frac{\vec{p}_\perp}{\gamma}$$

Paraxial wave equation  
with current:

$$\left[ 2ik_r \frac{\partial}{\partial z} + \nabla_\perp^2 \right] a_r \exp(i\phi_r) = -\frac{e\epsilon_0 I f_c a_u}{mc} \left\langle \frac{\exp(-i\theta)}{\gamma} \right\rangle$$

W.B.Colson  
J.B.Murphy  
C.Pellegrini  
E.Saldin  
E.Bessonov  
et. al.

Solving this system gives Electric Field at the FEL exit for one "Slice":  $E_{slice}|_{z=z_{exit}} \sim a_r \exp(i\phi_r)|_{z=z_{exit}}$

Loop on "Slices" (copying Electric Field to a next slice from previous slice, starting from back)

- Popular TD 3D FEL computer code: **GENESIS** (S.Reiche)

Time-Domain Electric Field in transverse plane at FEL exit:  $E(x, y, z_{exit}, t)$

# Wavefront Propagation



Electric Field in **Frequency**  
and **Time** domains:

$$\vec{\tilde{E}}(\vec{r}, \omega) \equiv \int_{-\infty}^{\infty} \vec{E}(\vec{r}, t) \exp(i\omega t) dt$$

$$\vec{E}(\vec{r}, t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \vec{\tilde{E}}(\vec{r}, \omega) \exp(-i\omega t) d\omega$$

**Huygens-Fresnel Principle:**  
(paraxial approximation)

$$\vec{\tilde{E}}_{\perp}(\vec{r}_2, \omega) \approx \frac{-i\omega}{2\pi c} \iint_{\Sigma_1} \vec{\tilde{E}}_{\perp}(\vec{r}_1, \omega) \frac{\exp[i\omega |\vec{r}_2 - \vec{r}_1|/c]}{|\vec{r}_2 - \vec{r}_1|} d\Sigma_1$$

## Fourier Optics

Propagation through **Free Space**:

$\vec{r}_1$  and  $\vec{r}_2$  belong to parallel planes perpendicular to optical axis (Z)

$$|\vec{r}_2 - \vec{r}_1| = [\Delta z^2 + (x_2 - x_1)^2 + (y_2 - y_1)^2]^{1/2} \quad d\Sigma_1 = dx_1 dy_1$$

Huygens-Fresnel Principle is **Convolution**-type integral, can be calculated using **2D FFT**

**“Thin”** Optical Element:

$$\vec{\tilde{E}}_{\perp after}(x, y, \omega) \approx \mathbf{T}(x, y, \omega) \vec{\tilde{E}}_{\perp before}(x, y, \omega)$$

**More Generally:**

$$\vec{\tilde{E}}_{\perp after}(x_2, y_2, \omega) \approx \mathbf{G}(x_2, y_2, \omega) \exp[i\omega L(x_2, y_2)/c] \vec{\tilde{E}}_{\perp before}(x_1(x_2, y_2), y_1(x_2, y_2), \omega)$$

# An “Economic” Version of Free-Space Propagator



**Huygens-Fresnel Principle:**  
(paraxial approximation)

$$\tilde{E}_{\perp}(\vec{r}_2, \omega) \approx \frac{-i\omega}{2\pi c} \iint_{\Sigma_1} \tilde{E}_{\perp}(\vec{r}_1, \omega) \frac{\exp[i\omega |\vec{r}_2 - \vec{r}_1|/c]}{|\vec{r}_2 - \vec{r}_1|} d\Sigma_1$$

**Analytical Treatment of Quadratic Phase Term:**

Before Propagation:

$$E_1(x_1, y_1) = F_1(x_1, y_1) \exp \left[ ik \frac{(x_1 - x_0)^2}{2R_x} + ik \frac{(y_1 - y_0)^2}{2R_y} \right]$$

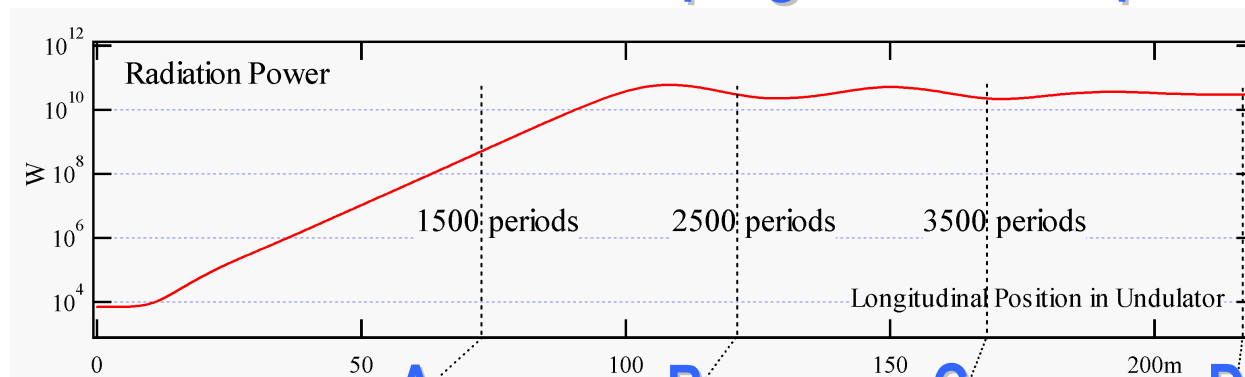
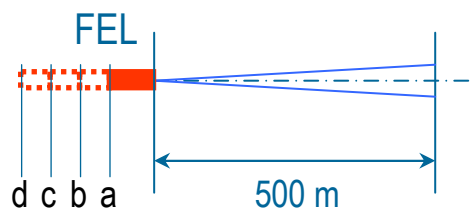
After Propagation:

$$\begin{aligned} E_2(x_2, y_2) &\approx \frac{-ik}{2\pi L} \exp(ikL) \iint_{\Sigma} F_1(x_1, y_1) \exp \left[ ik \frac{(x_1 - x_0)^2}{2R_x} + ik \frac{(y_1 - y_0)^2}{2R_y} + ik \frac{(x_2 - x_1)^2 + (y_2 - y_1)^2}{2L} \right] dx_1 dy_1 \\ &= \frac{-ik}{2\pi L} \exp \left[ ikL + ik \frac{(x_2 - x_0)^2}{2(R_x + L)} + ik \frac{(y_2 - y_0)^2}{2(R_y + L)} \right] \times \\ &\quad \times \iint_{\Sigma} F_1(x_1, y_1) \exp \left[ ik \frac{R_x + L}{2R_x L} \left( x_1 - \frac{R_x x_2 + Lx_0}{R_x + L} \right)^2 + ik \frac{R_y + L}{2R_y L} \left( y_1 - \frac{R_y y_2 + Ly_0}{R_y + L} \right)^2 \right] dx_1 dy_1 \\ &= F_2(x_2, y_2) \exp \left[ ik \frac{(x_2 - x_0)^2}{2(R_x + L)} + ik \frac{(y_2 - y_0)^2}{2(R_y + L)} \right] \end{aligned}$$

## Wavefronts at FEL Exit and after Propagation in Space

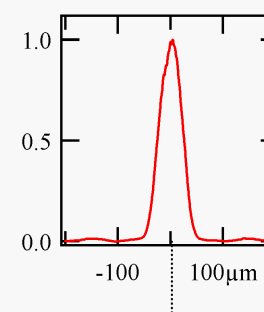
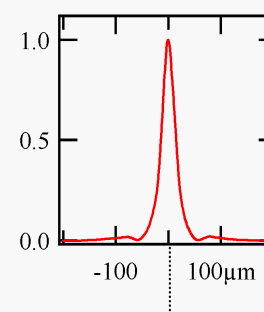
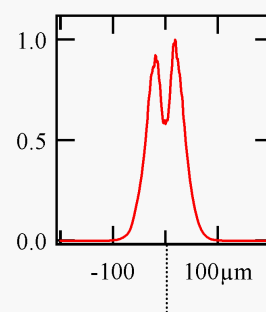
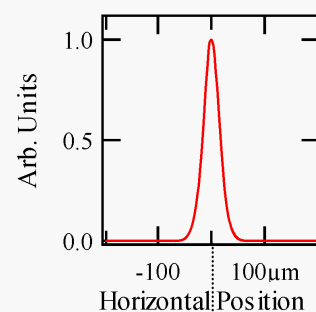
$E = 25 \text{ GeV}$   
 $\sigma = 20.2 \text{ } \mu\text{m}$   
 $\sigma' = 1.0 \text{ } \mu\text{r}$

$\lambda_u = 48.5 \text{ mm}$   
 $B_0 = 0.93 \text{ T}$   
 $\lambda_r \approx 1 \text{ } \text{\AA}$



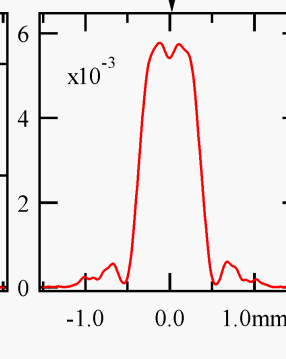
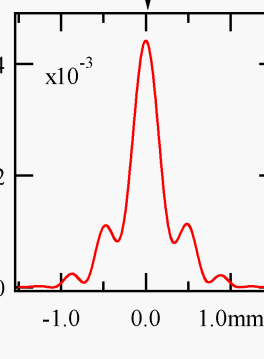
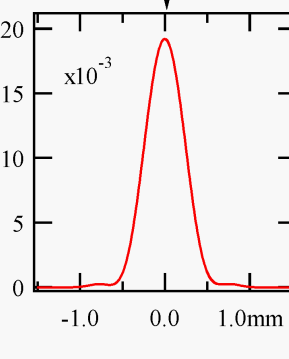
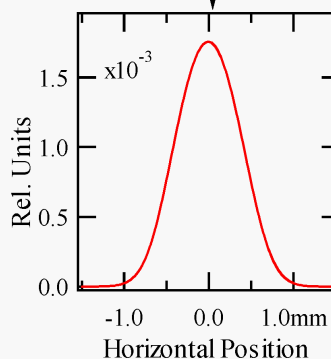
At FEL Exit

Intensity at the Exit of Undulator



At 500 m

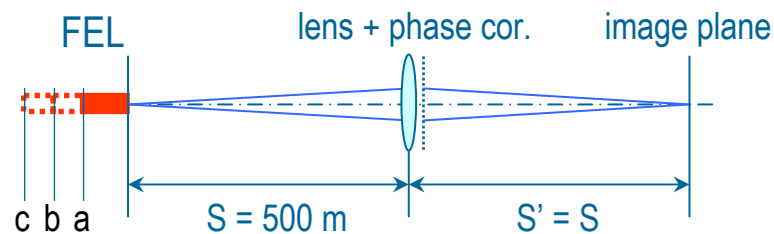
Intensity at 500 m from the Exit of Undulator



# Peculiarities of Saturated (/ Superradiant) SASE Wavefronts

## “Phase Correction” & Focusing Efficiency

$$\Delta\Phi_{cor}(x, y) = \arg[\exp[i\pi(x^2 + y^2)/(\lambda R) + i\Phi_0]/E_{in}(x, y)]$$



$$E = 25 \text{ GeV}$$

$$\sigma = 20.2 \text{ } \mu\text{m}$$

$$\sigma' = 1.0 \text{ } \mu\text{r}$$

$$\lambda_u = 48.5 \text{ mm}$$

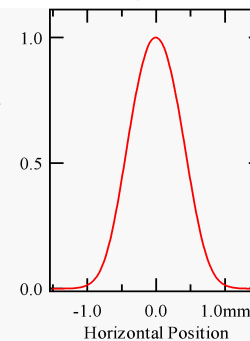
$$B_0 = 0.93 \text{ T}$$

$$\lambda_r \approx 1 \text{ } \text{\AA}$$

SPIE 2000

**A**

Intensity at “Lens”

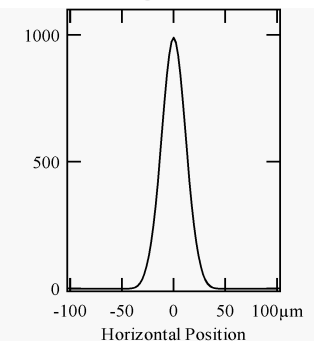


Phase Correction

$$R_{wf} = 516 \text{ m}$$

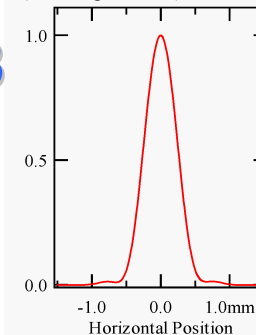
$$|\Delta\Phi| < 0.2$$

Intensity in Image Plane

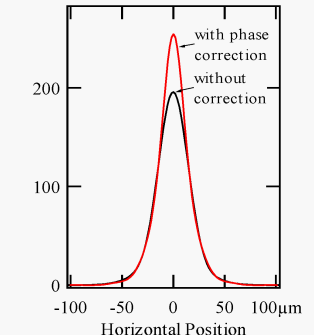
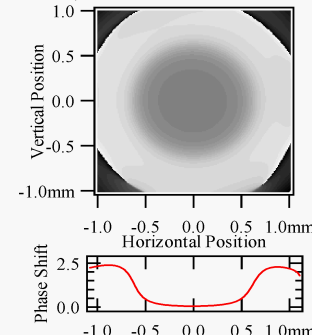


**B**

b) 2500 periods (121.25 m, saturated)

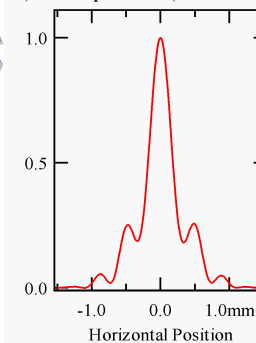


$R_{wf} \sim 527 \text{ m}$

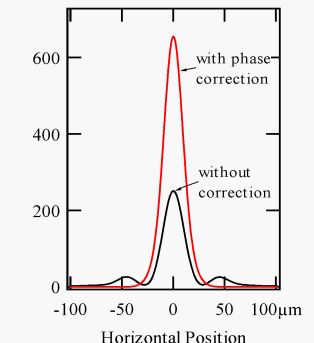
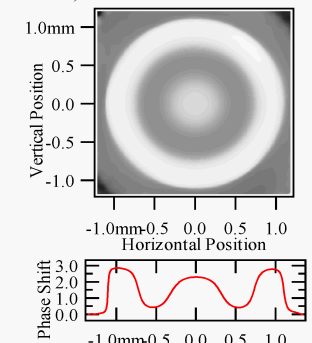


**C**

c) 3500 periods (169.75 m, saturated)



$R_{wf} \sim 545 \text{ m}$

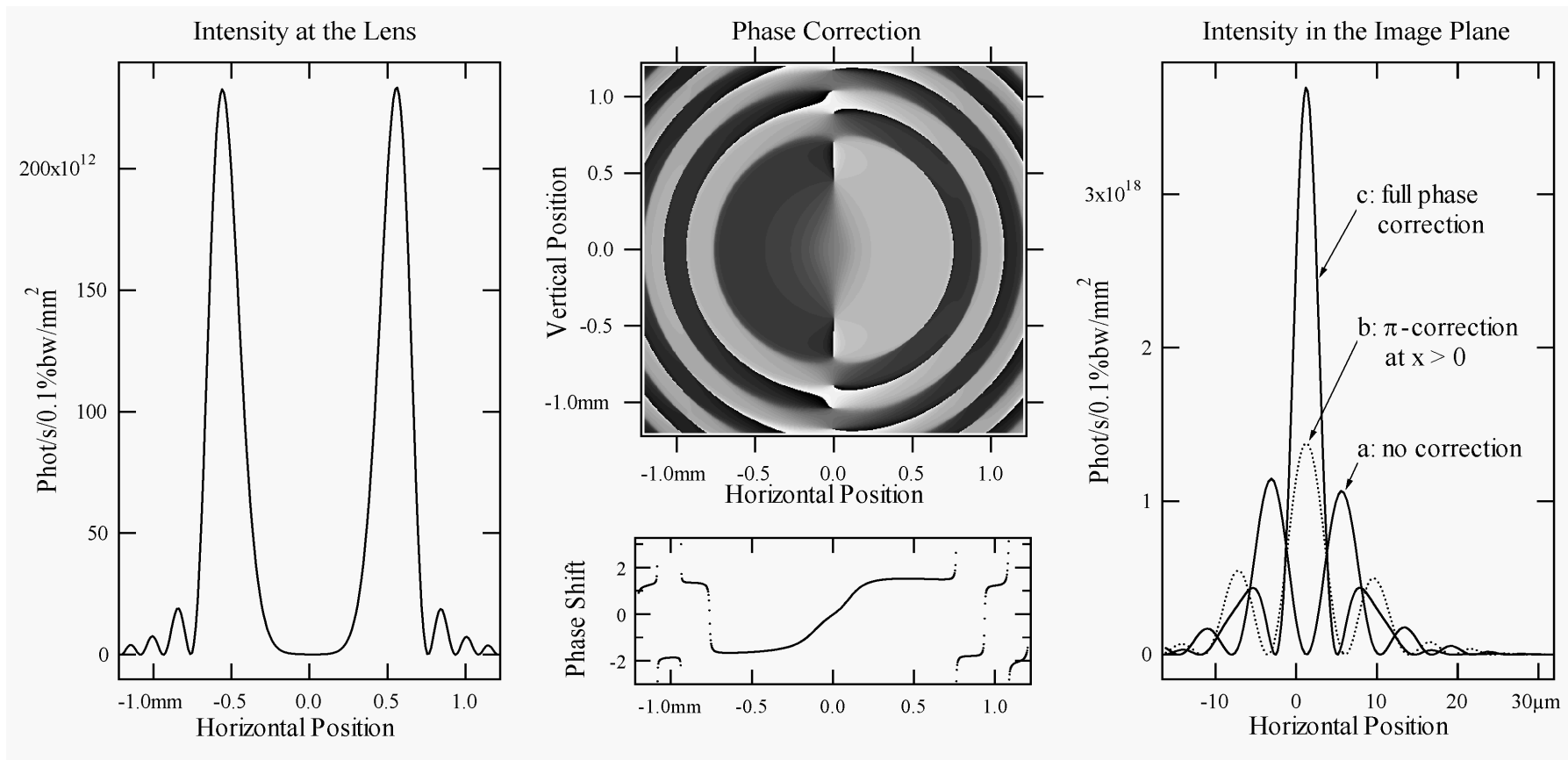


# Focusing of Undulator Radiation

## Planar Undulator, Even Harmonics

$E = 6 \text{ GeV}$ ;  $K = 2.2$ ;  $38 \times 42 \text{ mm}$ ;  $\varepsilon = 4.775 \text{ keV}$  (2<sup>nd</sup> harmonic)

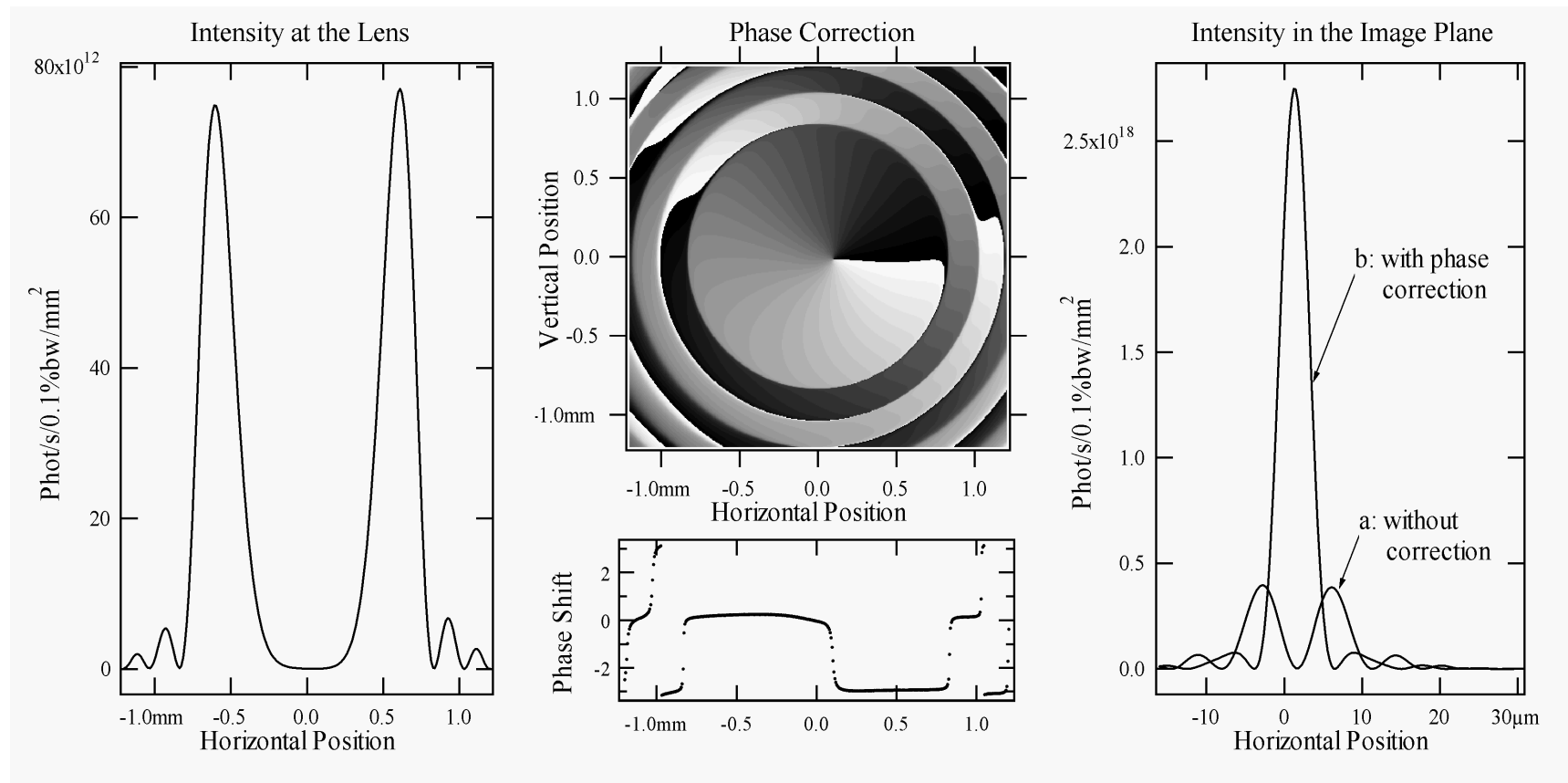
1 : 1 imaging; 30 m from middle of Undulator to Thin Lens & Phase Correction



# Focusing of Undulator Radiation

## Helical Undulator, Harmonics $n > 1$

$E = 6 \text{ GeV}$ ;  $B_{x \text{ max}} = B_{z \text{ max}} = 0.3 \text{ T}$ ;  $28 \times 52 \text{ mm}$ ;  $\varepsilon = 4.20 \text{ keV}$  (2<sup>nd</sup> harmonic)  
1 : 1 imaging; 30 m from middle of Undulator to Thin Lens & Phase Correction



# (Time-Dependent) Wavefront Characterization

## Easy Measurable Quantities:

Intensity in Time and Frequency domains  
(or Power Density and Spectral Fluence) ~

Fluence ~

Power and Spectral Energy ~

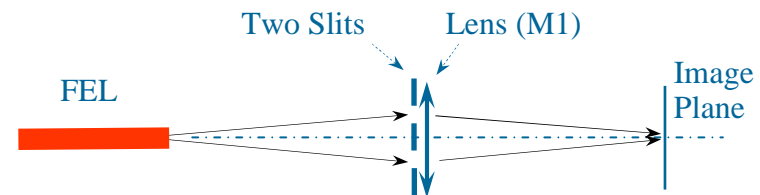
$$|\vec{E}(x, y, z_{obs}, t)|^2, \quad |\tilde{\vec{E}}(x, y, z_{obs}, \omega)|^2$$

$$\int |\vec{E}(x, y, z_{obs}, t)|^2 dt = (const) \int |\tilde{\vec{E}}(x, y, z_{obs}, \omega)|^2 d\omega$$

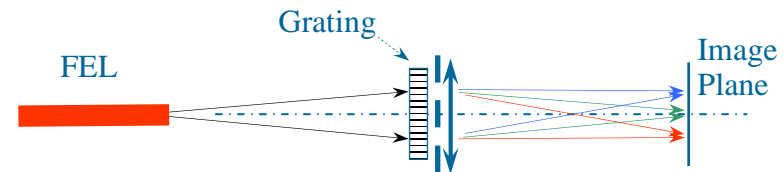
$$\iint |\vec{E}(x, y, z_{obs}, t)|^2 dx dy, \quad \iint |\tilde{\vec{E}}(x, y, z_{obs}, \omega)|^2 dx dy$$

## Simple Optical Schemes:

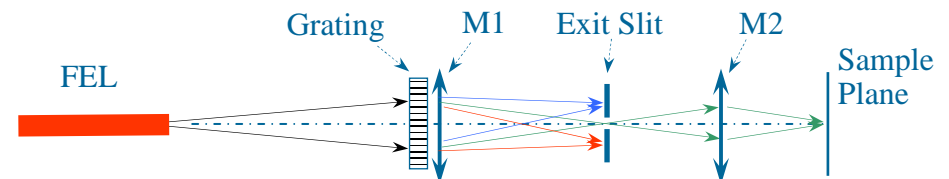
Young's Double-Slit Interference Scheme  
- to test Spatial Coherence



Double-Slit Interference Scheme with Grating  
- to test Temporal Coherence



Monochromator + Refocusing Scheme  
- often used in VUV / Soft X-Ray Beamlines



## SASE Pulse Profiles and Spectra at FEL Exit



E-Beam:  $E = 1 \text{ GeV}$   $\sigma_{te} \sim 200 \text{ fs}$   
 $I_{peak} = 1.5 \text{ kA}$   $\varepsilon_x = \varepsilon_y = 1.2 \pi \text{ mm-mrad}$

Undulator:  $K \sim 2.06$   
 $\lambda_u = 30 \text{ mm}$   
 $L_{tot} \sim 5 \times 2 \text{ m}$

ArcEnCiel (phase 2)

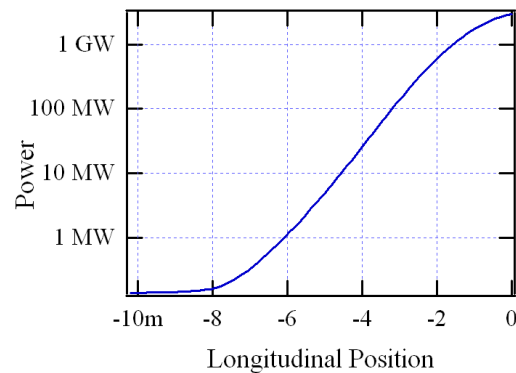
### A: Seeded FEL operation

$P_{max\text{ seed}} \sim 50 \text{ kW}$   
 $\sigma_{t\text{ seed}} \sim 25 \text{ fs}$

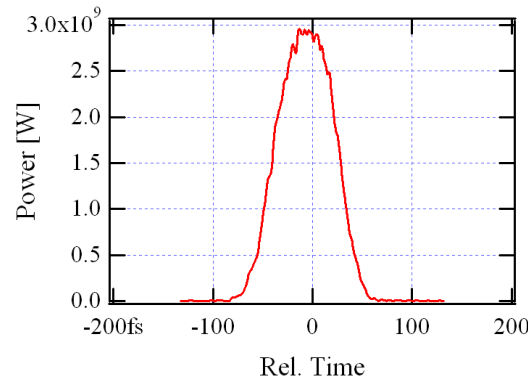
$\hbar\omega_0 = 100.15 \text{ eV}$

GENESIS

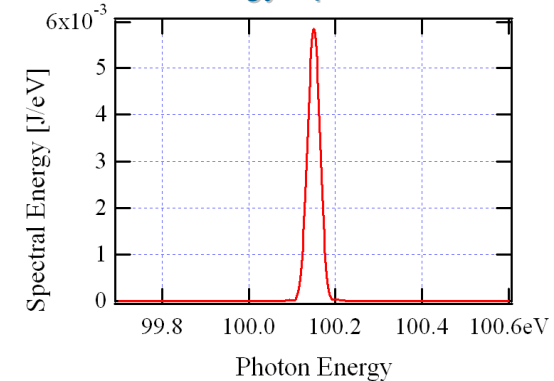
Peak Power vs Long. Position



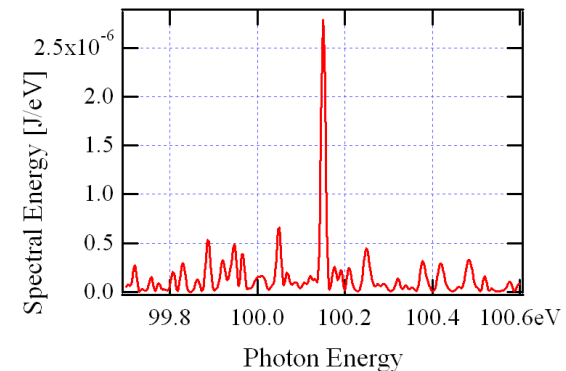
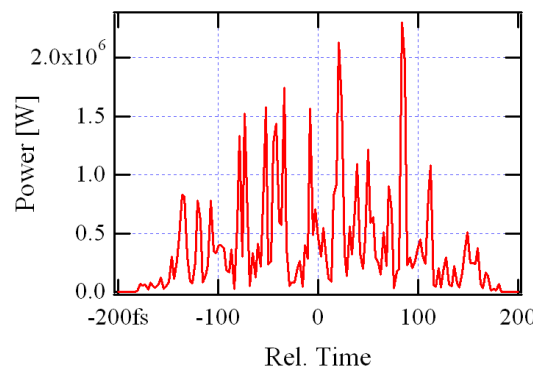
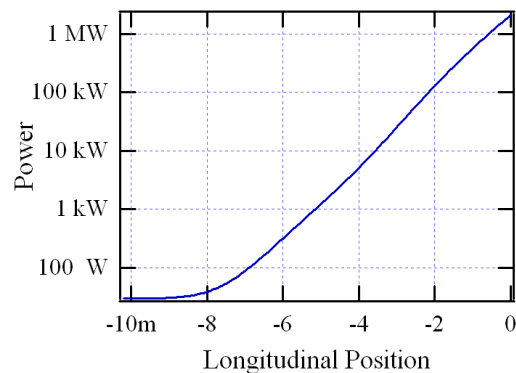
Power vs Time



Energy Spectrum



### B: SASE (not saturated)



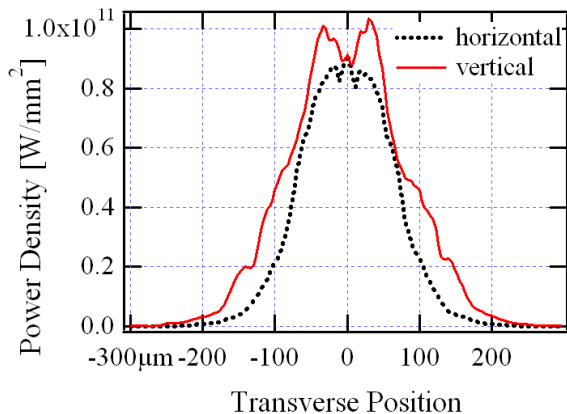
# Time-Dependent Simulation Examples

## Intensity Distributions at FEL Exit

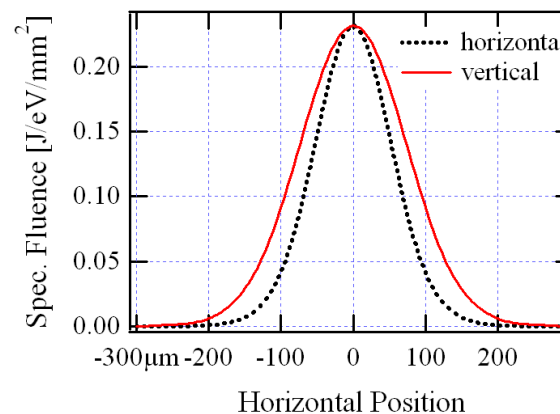


### A: Seeded FEL operation

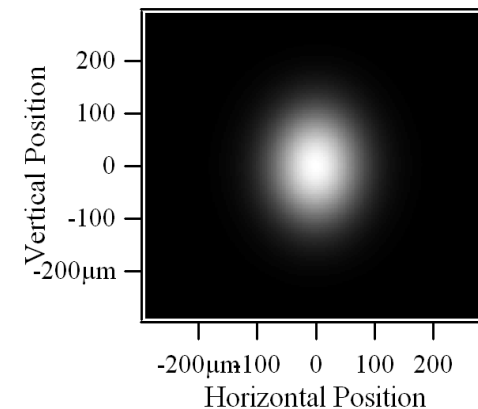
Power Density Cuts at Pulse Center



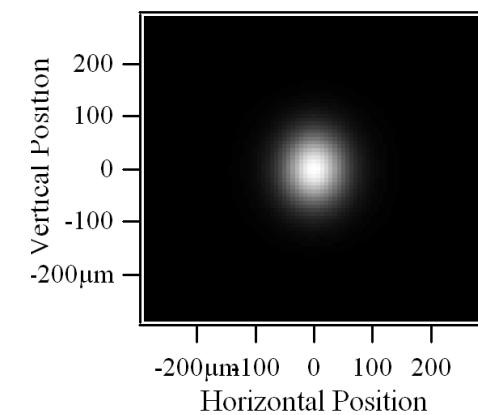
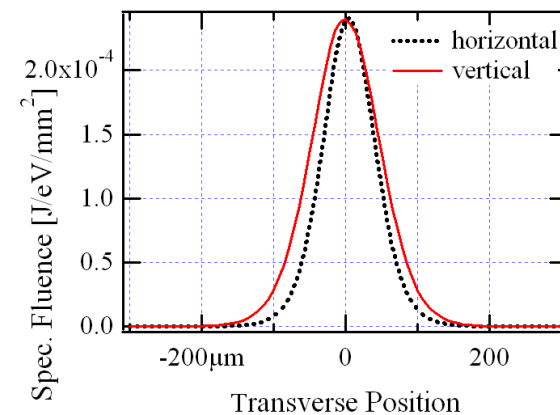
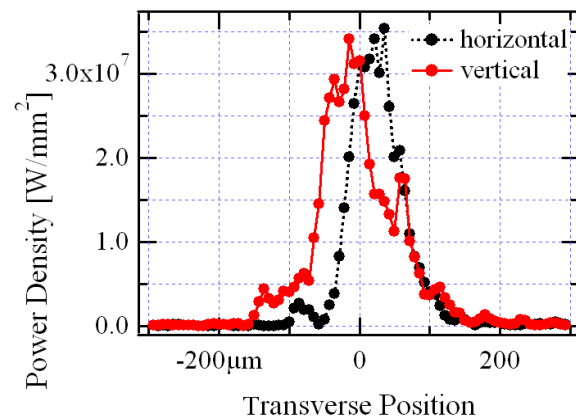
Peak Spectral Fluence Transverse Cuts



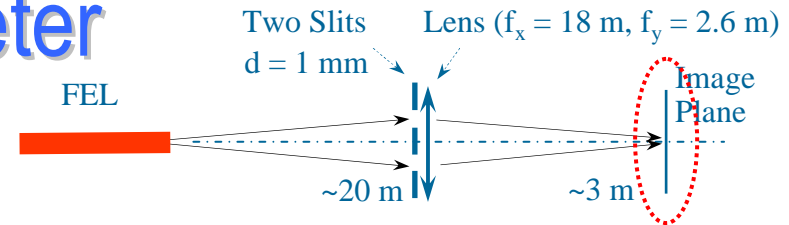
Fluence



### B: SASE (not saturated)

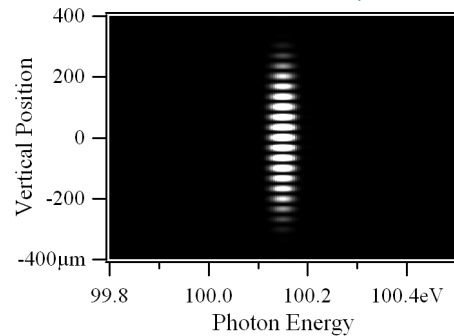


# Wavefront Characteristics in Image Plane of Young's 2-Slit Interferometer

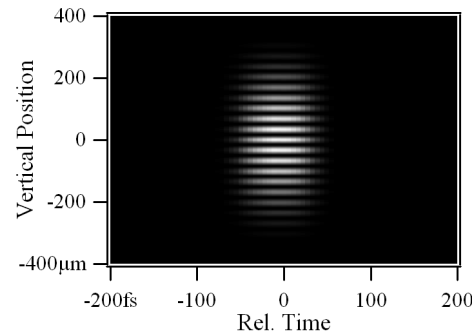


## A: Seeded

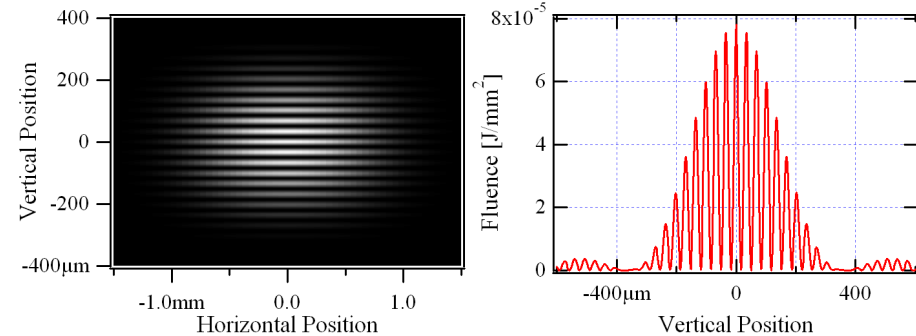
Spectral Fluence  
vs Photon Energy  
and Vertical Position (at  $x = 0$ )



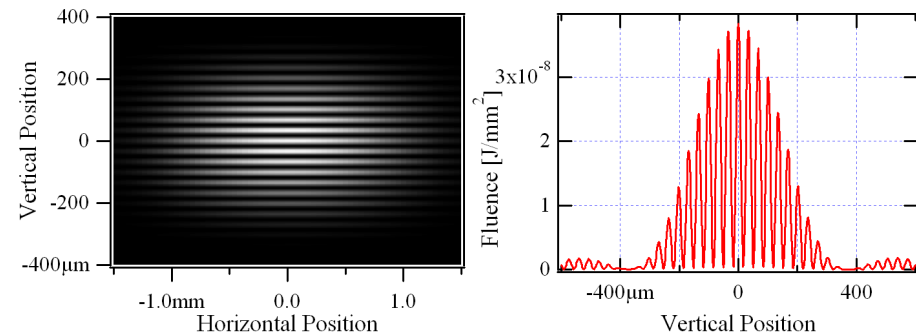
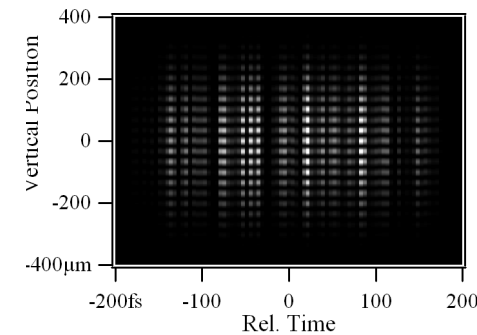
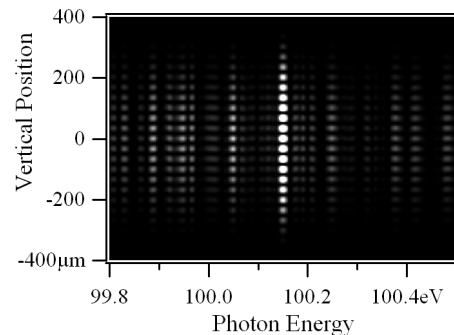
Power Density  
vs Time  
and Vertical Position (at  $x = 0$ )



Fluence (/Time-Integrated Intensity)  
vs Horiz. and Vert. Positions  
vs Vert. Position (at  $x = 0$ )

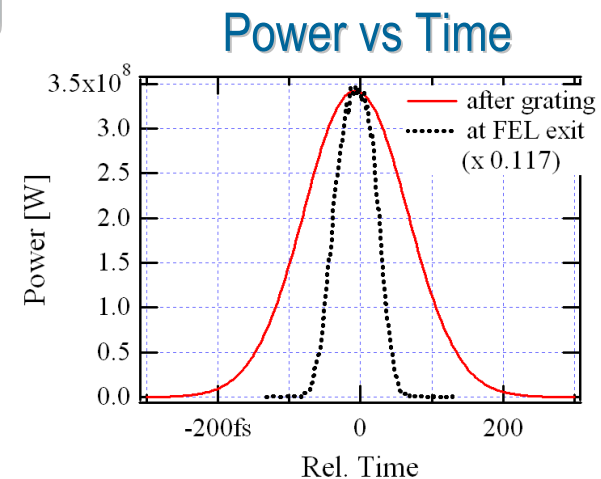
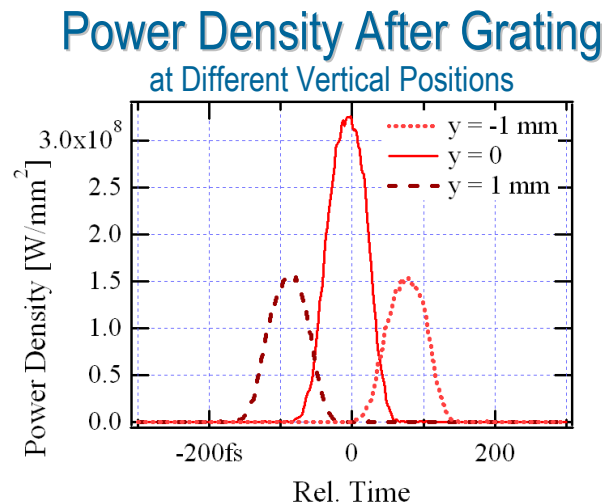
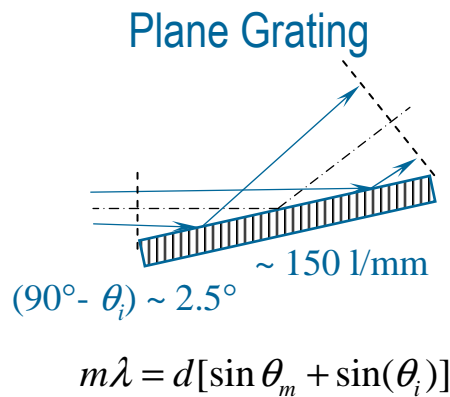
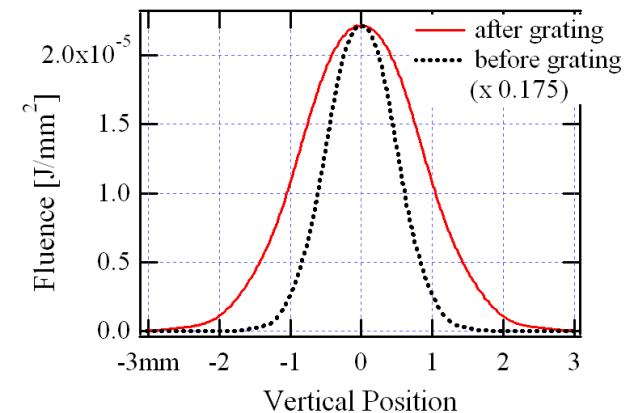
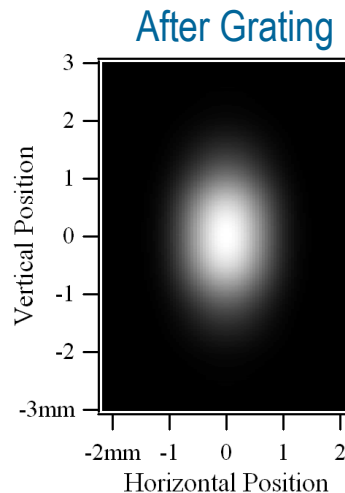
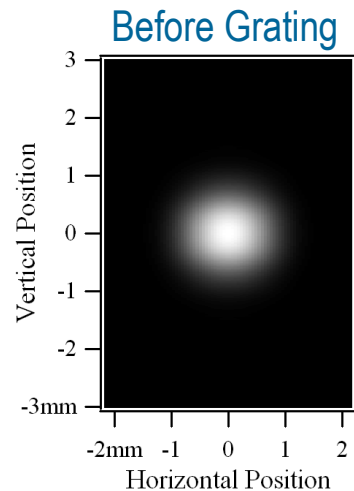


## B: Started from noise



# Effect of Grating: Seeded FEL Wavefront Before and Immediately After Grating

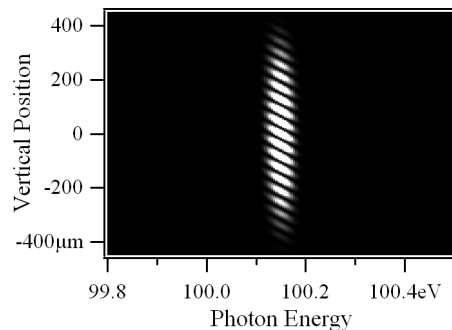
Fluence in Transverse Planes Perpendicular to Optical Axis



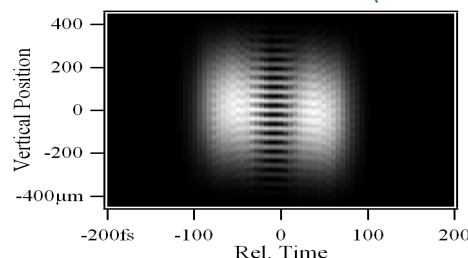
# Wavefront Characteristics in the Image Plane of a 2-Slit Interferometer with Grating

## A: Seeded

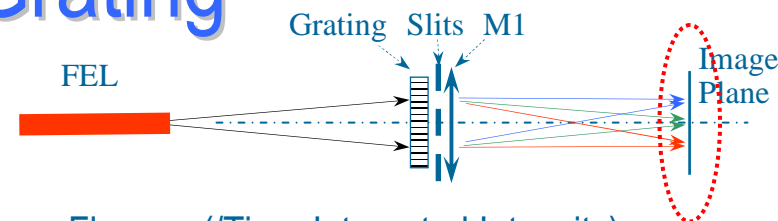
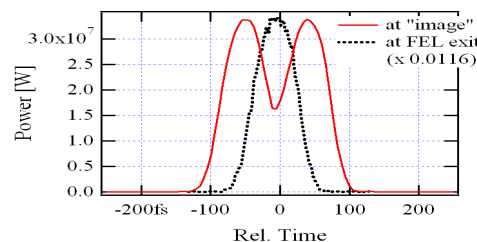
Spectral Fluence  
vs Photon Energy  
and Vertical Position (at  $x = 0$ )



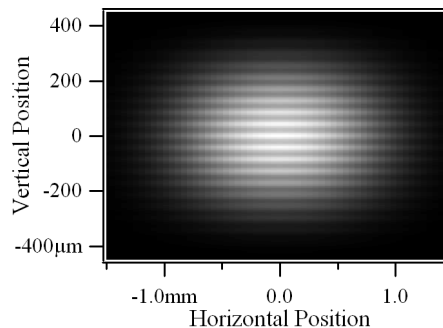
Power Density  
vs Time and Vert. Pos. (at  $x = 0$ )



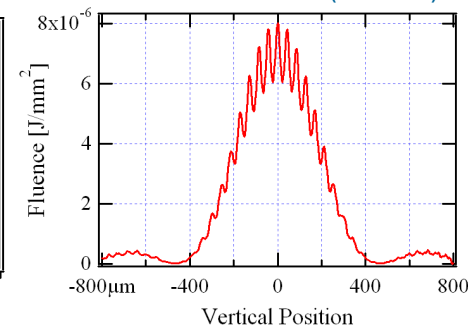
Power vs Time



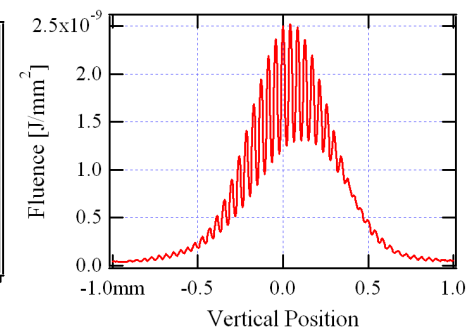
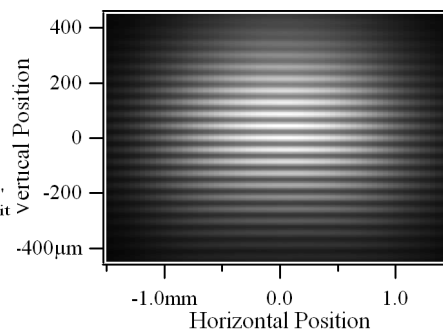
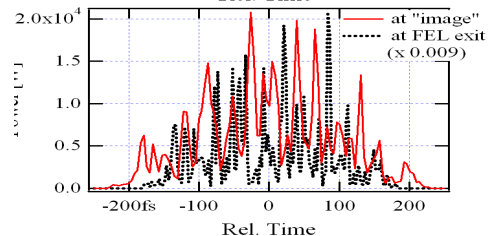
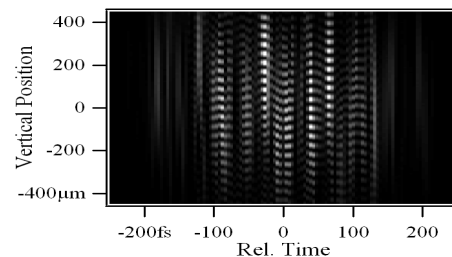
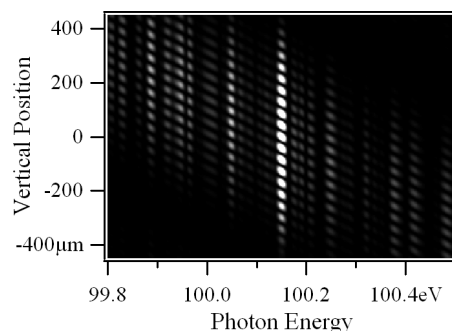
Fluence (/Time-Integrated Intensity)  
vs Horiz. and Vert. Positions



Fluence (/Time-Integrated Intensity)  
vs Vert. Position (at  $x = 0$ )



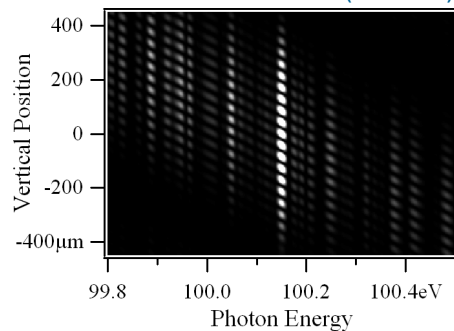
## B: Started from noise



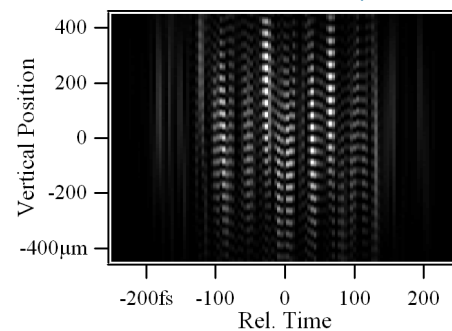
# SASE Wavefront Characteristics in Image Plane of a 2-Slit Interferometer with Grating

## B-1: SASE, 1 pulse

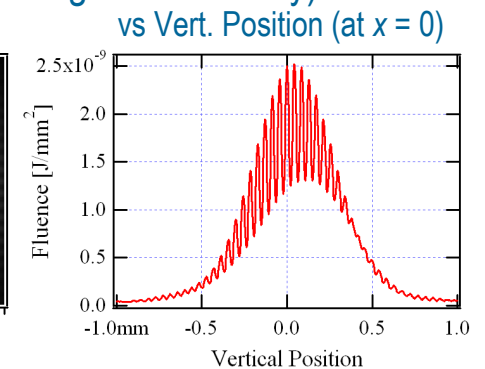
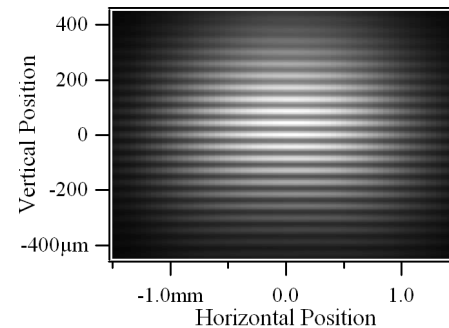
Spectral Fluence  
vs Photon Energy  
and Vertical Position (at  $x = 0$ )



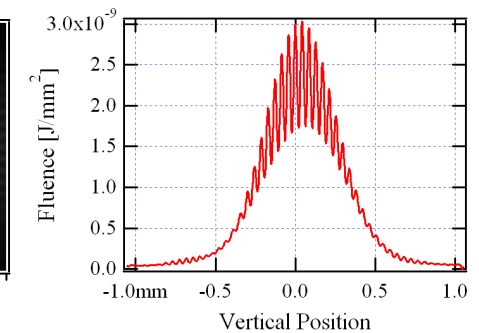
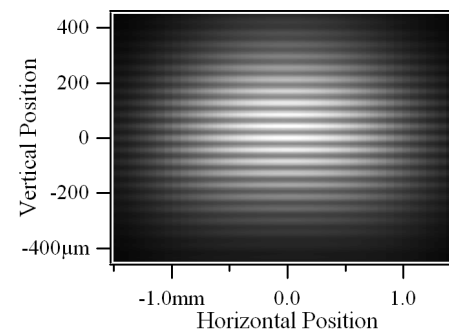
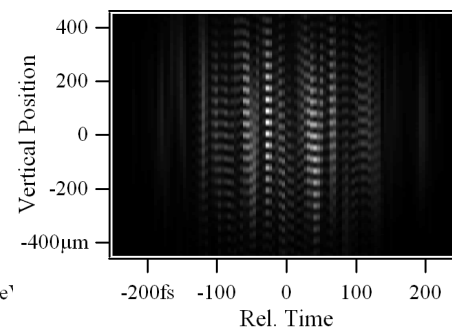
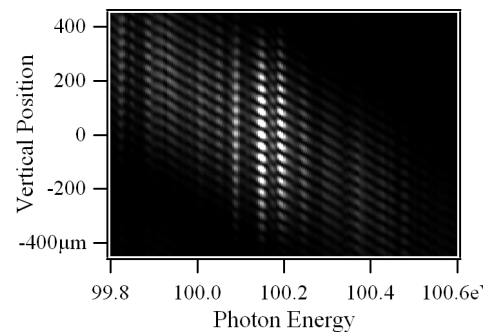
Power Density  
vs Time and Vert. Pos. (at  $x = 0$ )



Fluence (/Time-Integrated Intensity)  
vs Horiz. and Vert. Positions

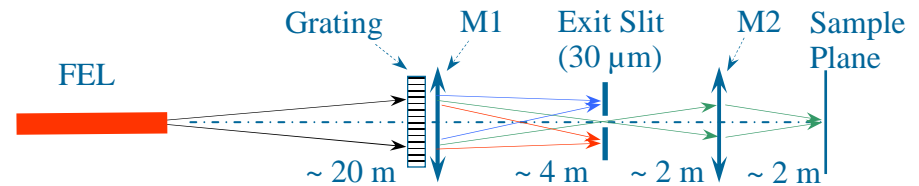


## B-2: SASE, average of 10 pulses

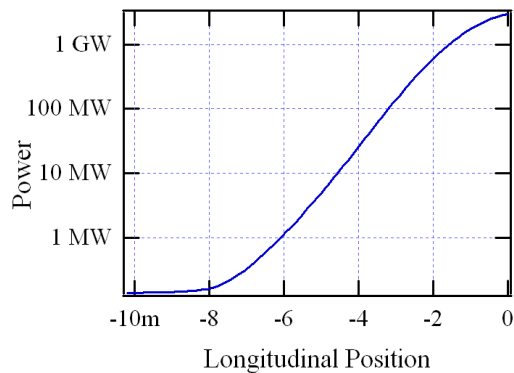


# Wavefront Cases for Simulation of Propagation through a Monochromator

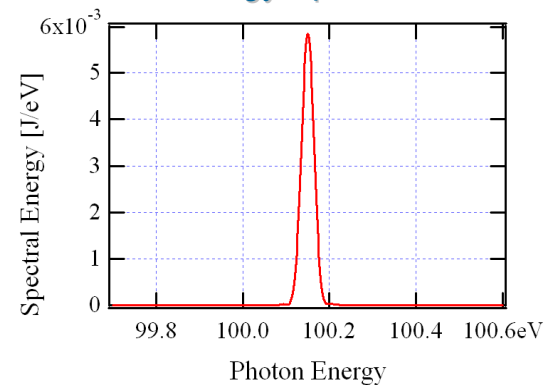
## A: Seeded FEL operation



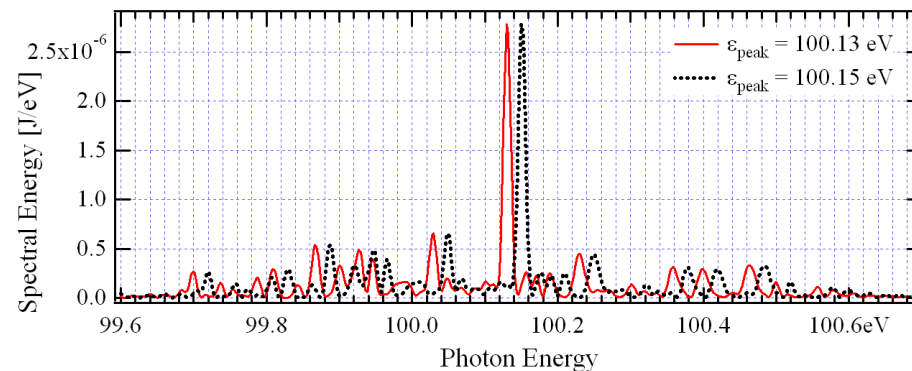
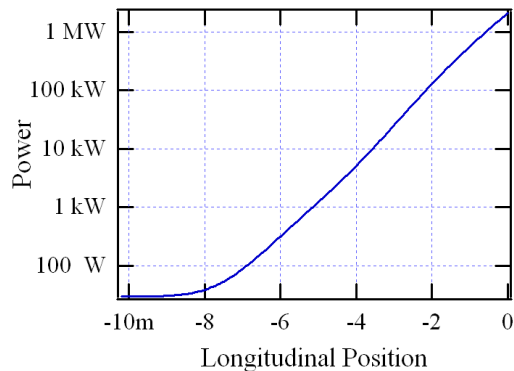
Peak Power vs Long. Position



Energy Spectrum

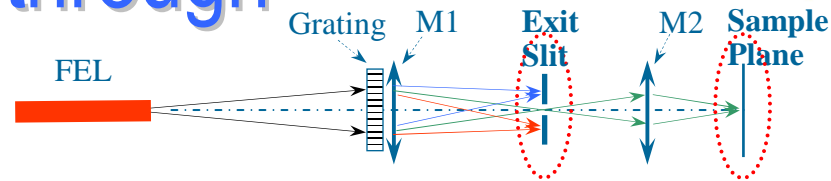


## B-1,2: SASE: Two cases with slightly shifted Spectra

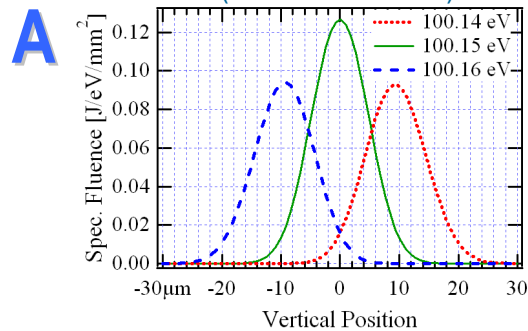


# Time-Dependent Simulation Examples

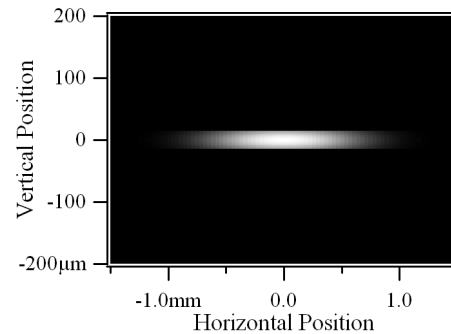
## Wavefront Propagation through a Monochromator



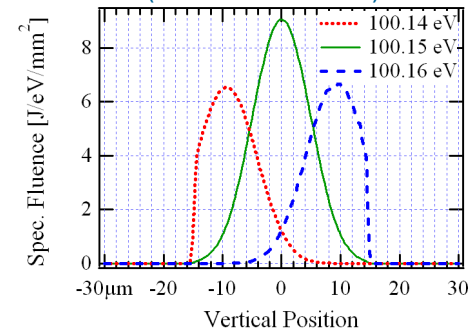
Spectral Fluence Before Exit Slit  
(vert. cuts at  $x = 0$ )



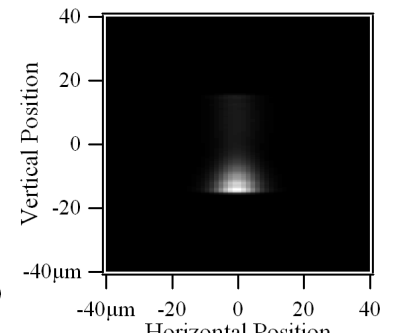
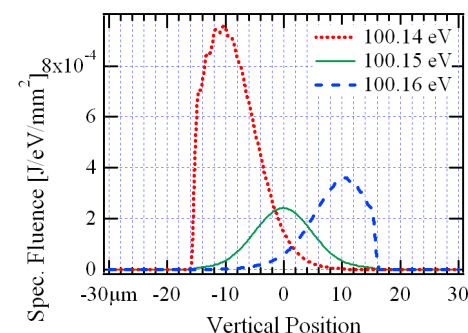
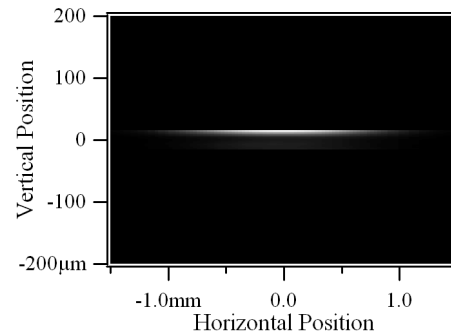
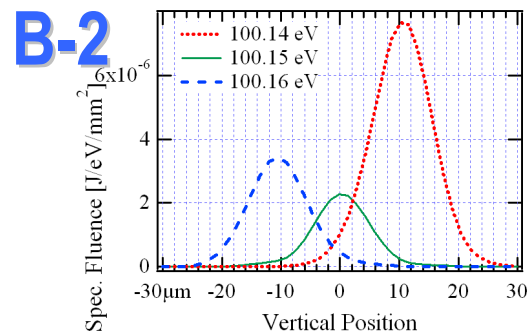
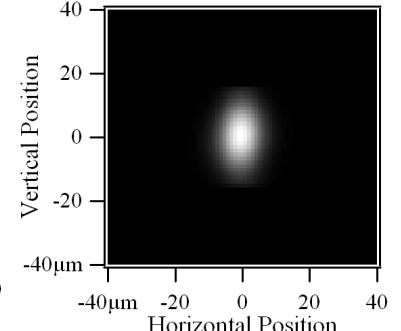
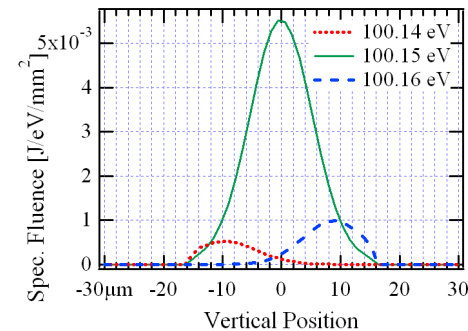
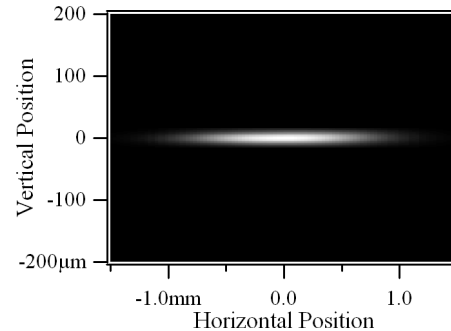
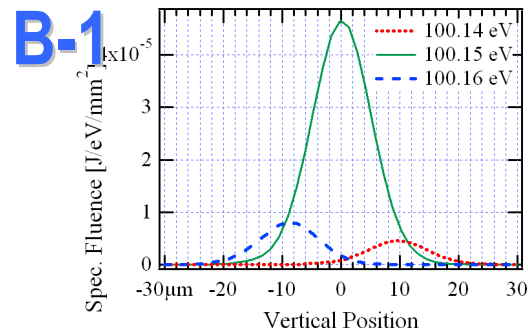
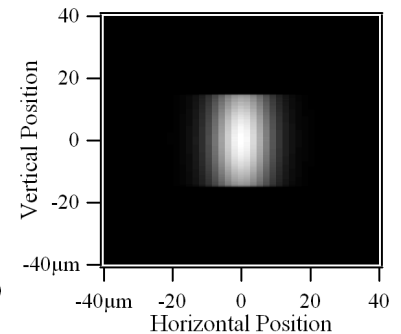
Fluence After Exit Slit



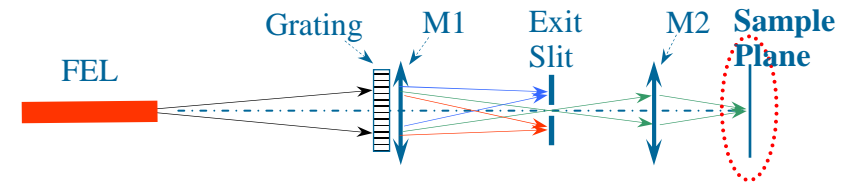
Spectral Fluence at Sample  
(vert. cuts at  $x = 0$ )



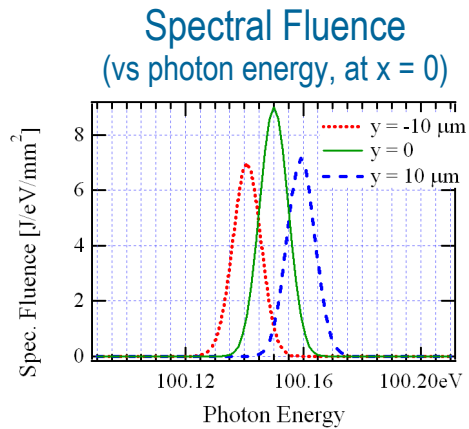
Fluence at Sample



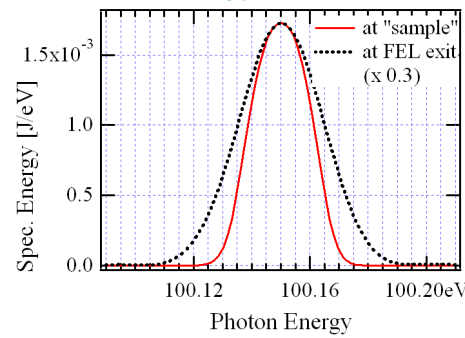
# Wavefront Characteristics at "Sample" Plane of a Monochromator



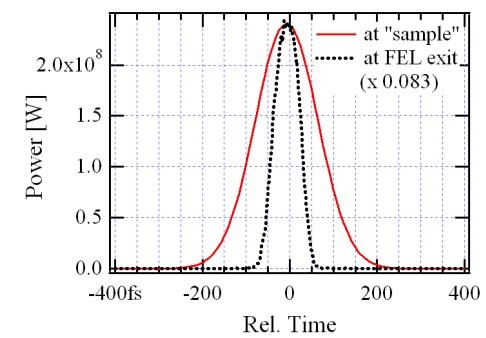
**A**



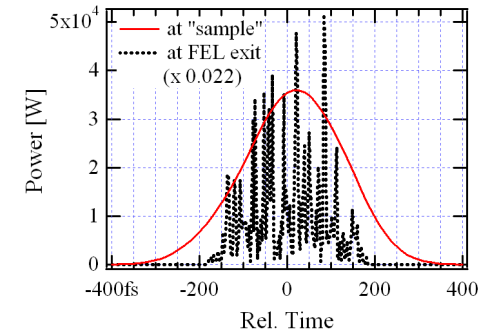
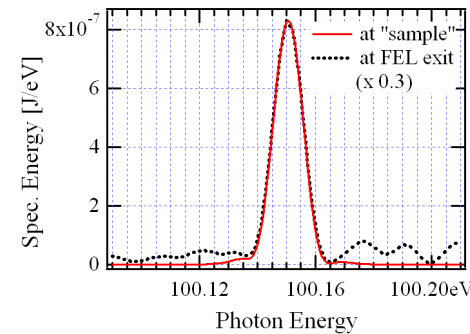
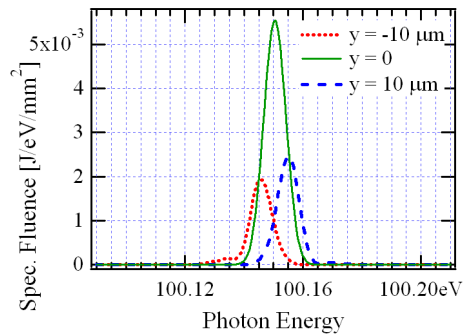
**Energy Spectrum**



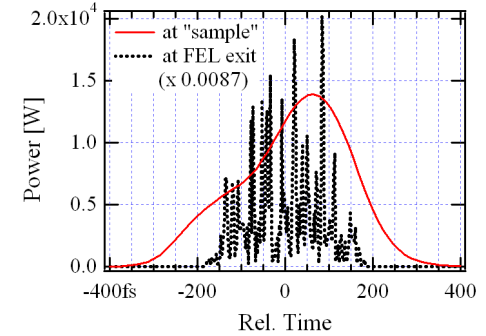
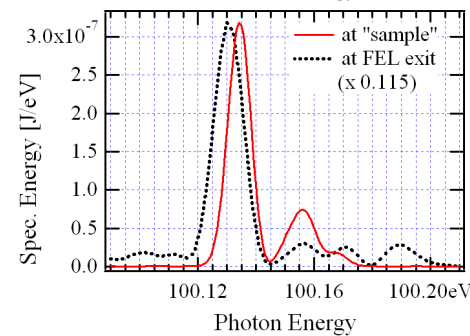
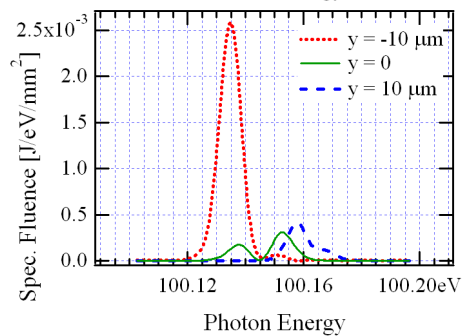
**Power**



**B-1**



**B-2**



# Practical Aspects of Time-Dependent Wavefront Propagation Calculations



- All examples were calculated on a **regular PC** with **1 GB** of RAM (32-bit Windows)
- An **entire wavefront** sampled vs Photon Energy (/Time), Horizontal and Vertical Positions (/Angles) was kept **in memory** during propagation
  - typical sampling: ~**300 (phot. en.)** x 400 (h. pos.) x 400 (v. pos)
  - use of wavefront **Resizing / Resampling**
  - propagation simulations took much less CPU time than calculation of original SASE wavefronts
- To facilitate data exchange and automation of simulations, **GENESIS** 1.3 has been **integrated** into Emission part of **SRW** (after conversion by "F2C")
- **Front-End** used by **SRW**: **IGOR Pro**
  - powerful scripting environment (easy to sequence / automate simulations)
  - "instant" graphics / visualization

# Possible Applications



- “Participation” in **FEL Emission** Simulations:
  - transport of seeding photon beam
  - wavefront propagation in FEL oscillators
  - use of optical elements (e.g. gratings / crystals) in single-pass FELs
- Electron Beam **Diagnostics** and **Interpretation** of **FEL Experiments** data
- **Optimization** of **Optical Beamlines** for 4<sup>th</sup> generation SR Sources  
(preserving coherence, keeping track of wave-optics phenomena in frequency and time domains)
- Towards Simulation of **User Experiments**
  - imaging on the limits of physical optics (phase-contrast, diffraction-enhanced, with magnetic effects, time-resolved,...)
  - diffraction: from crystals to molecules (?)
  - time-resolved spectroscopies (?)
  - ...

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- J.-L. Laclare, P. Elleaume, A. Snigirev (ESRF)
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- E. Saldin (DESY)
- **EUROFEL**