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Higher Twist Effects in Polarized DIS

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OUTLINE

- New QCD fits to the inclusive **polarized** DIS data

→ two sets of **polarized** PD (in both the $\overline{\text{MS}}$ and the JET schemes)

JLab Hall A neutron data
very recent COMPASS data on A_1^d



included in the analysis

- Role of **higher twist** in determining polarized PD

- Factorization scheme dependence of the results

- Impact of **positivity constraints** on polarized PD

- Summary

- An important difference between the kinematic regions of the unpolarized and *polarized* data sets

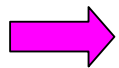
A lot of the present data are at **moderate** Q^2 and W^2 :

$$Q^2 \approx 1-5 \text{ GeV}^2, \quad 4 < W^2 < 10 \text{ GeV}^2$$

*preasymptotic
region*

While in the determination of the PD in the unpolarized case we can cut the low Q^2 and W^2 data in order to eliminate the less known non-perturbative HT effects, it is impossible to perform such a procedure for the present data on the spin-dependent structure functions without losing too much information.

$O(1/Q^2)$



HT corrections should be **important in
polarized DIS !**

Theory

In QCD

$$g_1(x, Q^2) = g_1(x, Q^2)_{LT} + g_1(x, Q^2)_{HT}$$

$$g_1(x, Q^2)_{LT} = g_1(x, Q^2)_{pQCD} + \frac{M^2}{Q^2} h^{TMC}(x, Q^2) + O\left(\frac{M^4}{Q^4}\right)$$

$$g_1(x, Q^2)_{HT} = h(x, Q^2) / Q^2 + O\left(\frac{1}{Q^4}\right)$$

dynamical HT power corrections ($\tau=3,4$)
=> non-perturbative effects (model dependent)

target mass corrections
which are calculable
J. Blumlein, A. Tkabladze

In NLO pQCD

$$g_1(x, Q^2)_{pQCD} = \frac{1}{2} \sum_q^{N_f} e_q^2 [(\Delta q + \Delta \bar{q}) \otimes (1 + \frac{\alpha_s(Q^2)}{2\pi} \delta C_q) + \frac{\alpha_s(Q^2)}{2\pi} \Delta G \otimes \frac{\delta C_G}{N_f}]$$

$\delta C_q, \delta C_G$ – Wilson coefficient functions

polarized PD evolve in Q^2

according to **NLO DGLAP** eqs.

$N_f (=3)$ - a number of flavours

DATA

CERN EMC - A_1^p SMC - A_1^p, A_1^d COMPASS - A_1^d

188 exp. p.

DESY HERMES - $\frac{g_1^p}{F_1^p}, A_1^n$

200 exp. p.

SLAC E142, E154 - A_1^n E143, E155 - $\frac{g_1^p}{F_1^p}, \frac{g_1^d}{F_1^d}$
JLab Hall A - $\frac{g_1^n}{F_1^n}$

The data on A_1 are really the experimental values of the quantity

$$\frac{A_{||}^N}{D} = (1 + \gamma^2) \frac{g_1^N}{F_1^N} + (\eta - \gamma) A_2^N$$
$$= A_1^N + \eta A_2^N$$

$\gamma \approx \eta$ and A_2 small

very well approximated with even when $\gamma(\eta)$ can not be neglected

$$(1 + \gamma^2) \frac{g_1^N}{F_1^N}$$

Methods of analysis

- Fit to g_1/F_1 data - ' g_1/F_1 ' fit \Rightarrow PD(g_1/F_1) or Set 1

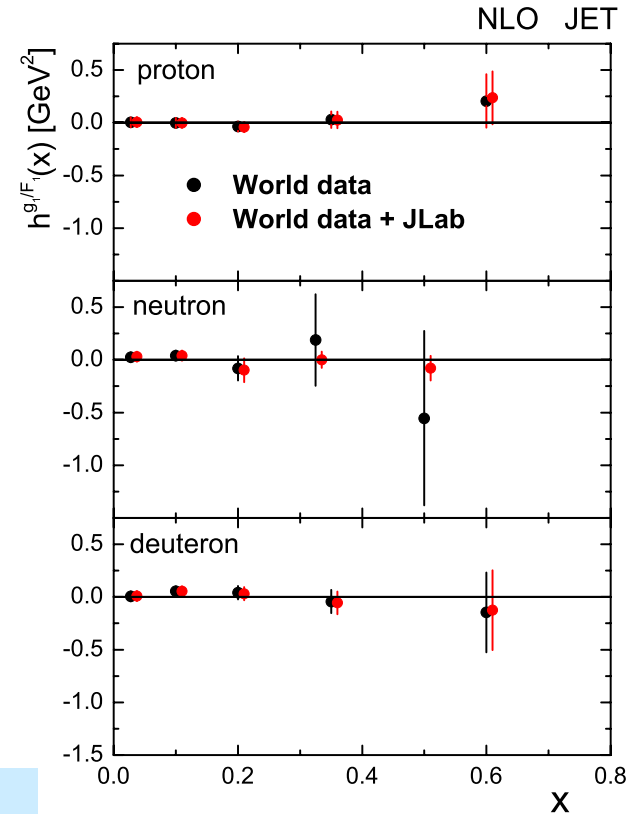
$$\left[\frac{g_1(x, Q^2)}{F_1(x, Q^2)} \right]_{\text{exp}} \stackrel{\chi^2}{\Leftrightarrow} \frac{g_1(x, Q^2)_{LT}}{F_1(x, Q^2)_{LT}} + \frac{h^{g_1/F_1}(x)}{Q^2}$$

$$(g_1)_{QCD} = (g_1)_{LT} + (g_1)_{HT}$$

$$(F_1)_{QCD} = (F_1)_{LT} + (F_1)_{HT}$$

$$\Rightarrow h^{g_1/F_1} \approx 0 \Rightarrow \frac{(g_1)_{HT}}{(g_1)_{LT}} \approx \frac{(F_1)_{HT}}{(F_1)_{LT}}$$

The HT corrections to g_1 and F_1 approximately compensate each other in the ratio g_1/F_1 and the PPD extracted this way are less sensitive to HT effects



LSS: EPJ C23 (2002) 479
hep-ph/0309048

- Fit to g_1 data - ' g_1+HT ' fit \Rightarrow PD(g_1+HT) or Set 2

$$\left[\frac{g_1(x, Q^2)}{F_1(x, Q^2)} \right]_{\text{exp}} F_1(x, Q^2)_{\text{exp}} = g_1(x, Q^2)_{\text{exp}} \xLeftrightarrow{\chi^2} g_1(x, Q^2)_{LT} + h^{g_1}(x)/Q^2$$

$F_2^{\text{NMC}}, R_{1998}(\text{SLAC})$

in model independent way

HT corrections to g_1 cannot be compensated because the HT corrections to F_1 (F_2 and R) are absorbed in the phenomenological parametrizations of the data on F_2 and R .

Input PD $\Delta f_i(x, Q_0^2) = A_i x^{\alpha_i} f_i^{\text{MRST}}(x, Q_0^2)$ $Q_0^2 = 1 \text{ GeV}^2, A_i, \alpha_i - \text{free par.}$

$h^p(x_i), h^n(x_i) - 10$ parameters ($i = 1, 2, \dots, 5$) to be determined from a fit to the data

\rightarrow **8-2(SR) = 6 par. associated with PD;** positivity bounds imposed by **MRST'02** unpol. PD

$$g_A = (\Delta u + \Delta \bar{u})(Q^2) - (\Delta d + \Delta \bar{d})(Q^2) = F - D = 1.2670 \pm 0.0035$$

$$a_8 = (\Delta u + \Delta \bar{u})(Q^2) + (\Delta d + \Delta \bar{d})(Q^2) - 2(\Delta s + \Delta \bar{s})(Q^2) = 3F - D = 0.585 \pm 0.025$$

Flavor symmetric sea convention: $\Delta u_{\text{sea}} = \Delta \bar{u} = \Delta d_{\text{sea}} = \Delta \bar{d} = \Delta s = \Delta \bar{s}$

RESULTS OF ANALYSIS

- $(\Delta u + \Delta \bar{u}), (\Delta d + \Delta \bar{d})$ well determined
- $(\Delta s + \Delta \bar{s})$ reasonably well determined and **negative** if accept for a_8 its SU(3) symmetric value $a_8 = 3F-D = 0.58$
- ΔG not well constrained

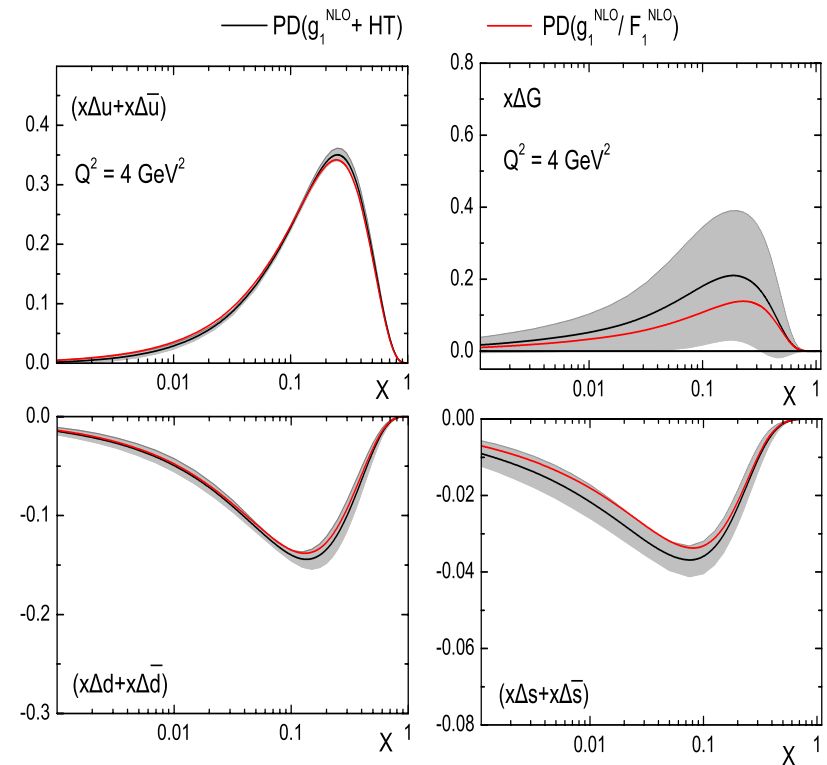
$$PD(g_1^{NLO} + HT) \Leftrightarrow PD(g_1^{NLO} / F_1^{NLO})$$

$$\chi_{DF,NLO}^2 = 0.872 \Leftrightarrow \chi_{DF,NLO}^2 = 0.874$$



In g_1 data fit HT corrections are important !

NLO(\overline{MS})



The two sets of polarized PD are very close to each other, especially for u and d quarks.

Higher twist effects

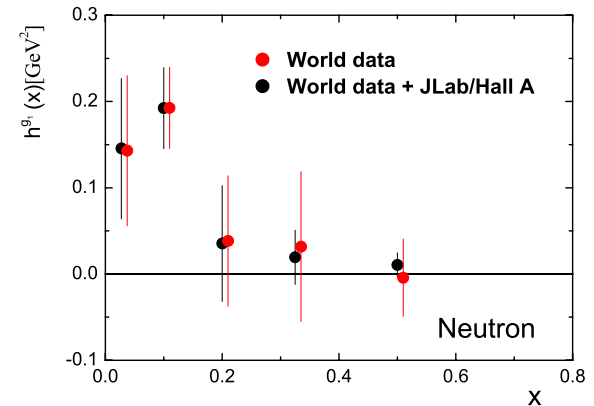
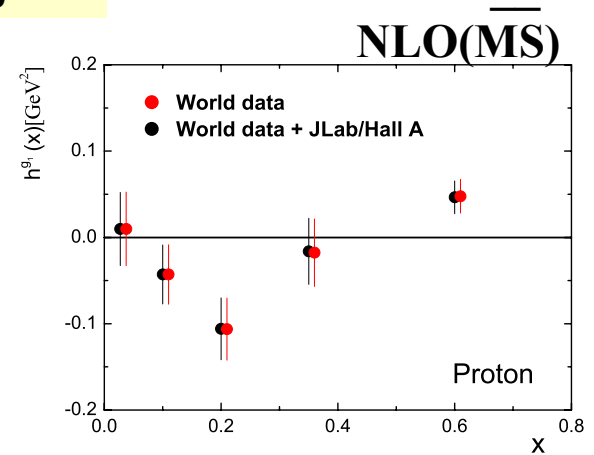
- The size of HT corrections to g_1 is **NOT** negligible
- The shape of HT depends on the target
- Thanks to the **very precise** JLab Hall A data the higher twist corrections for the **neutron** target are now **much better** determined at **large x**.

$$\int_0^1 dx h^{g_1}(x) = \frac{4}{9} M^2 (d_2 + f_2)$$

HT ($\tau=3$)

HT ($\tau=4$)

- Our result is in **agreement** with the **instanton model** predictions (*Balla et al., NP B510, 327, 1998*) but disagrees with the **renormalon calculations** (*Stein, NP 79, 567, 1999*).

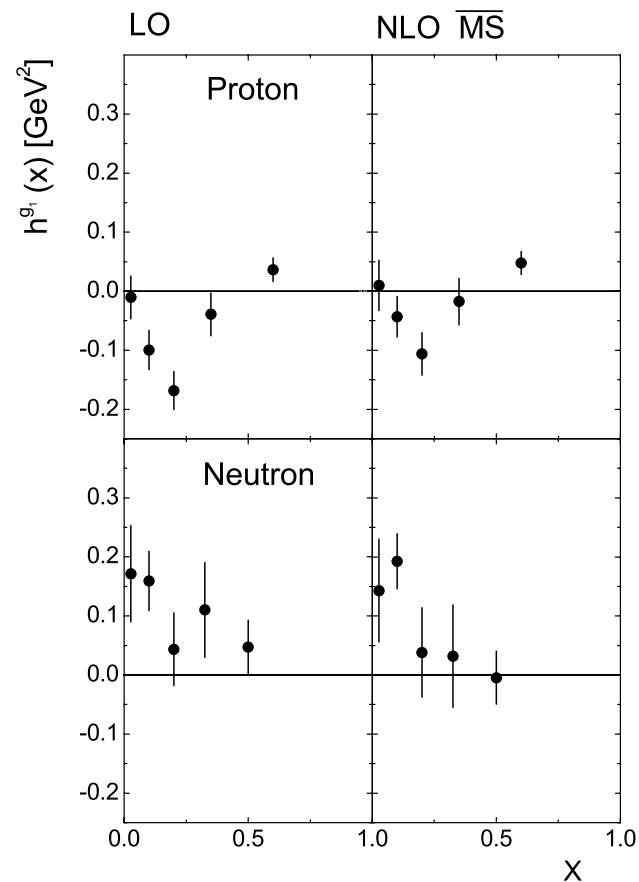


LO QCD approximation - **NOT** reasonable in the preasymptotic region

- $\alpha_s(Q^2)$ is large
- HT effects are large

Dependence of χ^2 on HT corrections

Fit	LO HT=0	NLO HT=0	LO+HT	NLO+HT
χ^2	249.8	212.5	153.8	149.8
DF	185-8	185-6	185-16	185-16
χ^2 /DF	1.41	1.19	0.910	0.886



Main goal

To extract correctly PPD including the data in the preasymptotic region ($Q^2: 1 - 5 \text{ GeV}^2$, $W^2 > 4 \text{ GeV}^2$)

Mainly to study the HT effects. The data in the **resonance** region are also included

The analysis is performed

in Bjorken x-space \longrightarrow $h(x)$

in n-space of the Nachtmann moments of g_1 ($n=1,3,5,7$)

Data set

$g_1(p,n,d)$

g_1^p

LT + HT approximations

NLO, $O(1/Q^2)$

NLO \oplus SGR (soft gluon resummation)

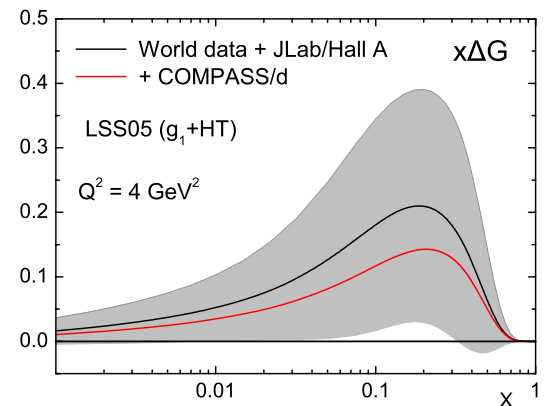
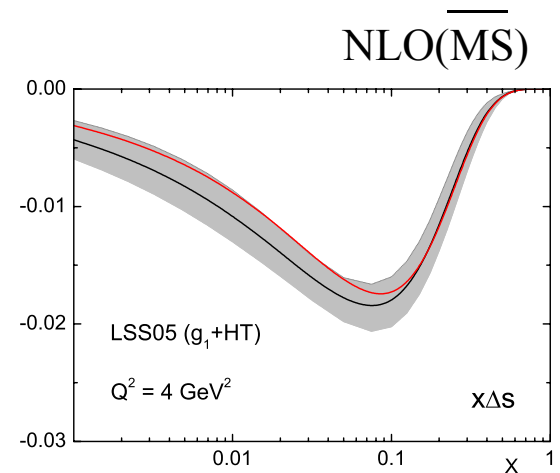
$O(1/Q^2) + O(1/Q^4)$

- Not easy to compare directly the results of the two analyses

Effect of COMPASS A_1^d data (*hep-ph/0501073*) on polarized PD and HT

- The statistical accuracy at small x :
 $0.004 < x < 0.03$
is **considerably** improved
- $\Delta u_v(x)$ and $\Delta d_v(x)$ do **NOT** change
in the exp. region
- $x|\Delta s(x)|$ and $x \Delta G(x)$ **decrease**,
but the corresponding curves
lie within the error bands

LSS'05: *hep-ph/0503140*



COMPASS (high p_t hadron pairs with $Q^2 > 1 \text{ GeV}^2$) – *hep-ex/0501056*

$$\Delta G/G = 0.06 \pm 0.31(\text{stat}) \pm 0.06(\text{sys}) \text{ at } \langle x_G \rangle = 0.13 \pm 0.08$$

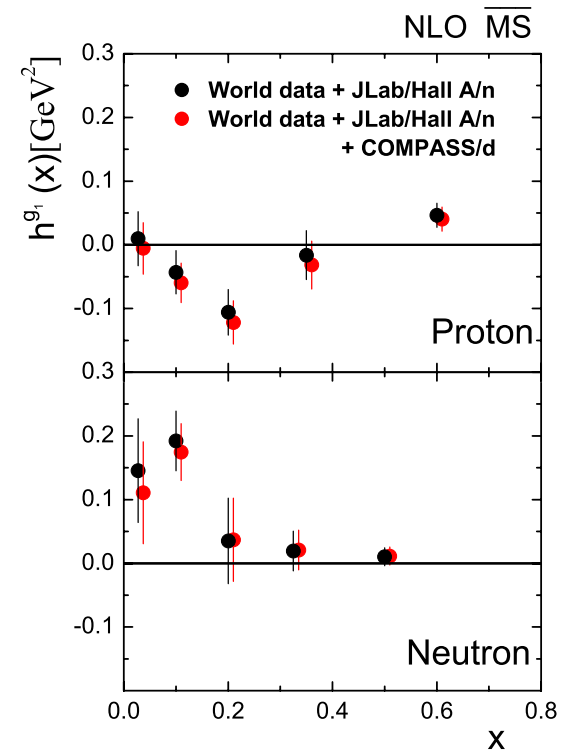
LSS'05 result

$$\Delta G/G = \begin{array}{l} 0.058 \text{ Set 1/NLO}(\overline{\text{MS}}) \\ \text{---} \\ 0.095 \text{ Set 2/NLO}(\overline{\text{MS}}) \end{array} \quad \text{for } x=0.13, Q^2=2 \text{ GeV}^2$$

$G(x, Q^2)$ is the NLO MRST'02 unpolarized gluon density

Effect of the COMPASS data on the HT values

- The new values are in **good agreement** with the old ones
- The COMPASS data are in the DIS region
→ their effect on HT is **negligible**



Factorization scheme dependence

NLO polarized PD in \overline{MS} and JET schemes

- In NLO QCD the **valence quarks** and **gluons** should be the **same** in both schemes, while

$$\Delta s(x, Q^2)_{JET} = \Delta s(x, Q^2)_{\overline{MS}} + \frac{\alpha_S}{2\pi} (1-x) \otimes \Delta G(x, Q^2)_{\overline{MS}}$$

n=1:
$$\Delta \Sigma_{JET} = \Delta \Sigma(Q^2)_{\overline{MS}} + 3 \frac{\alpha_S(Q^2)}{2\pi} \Delta G(Q^2)_{\overline{MS}}$$

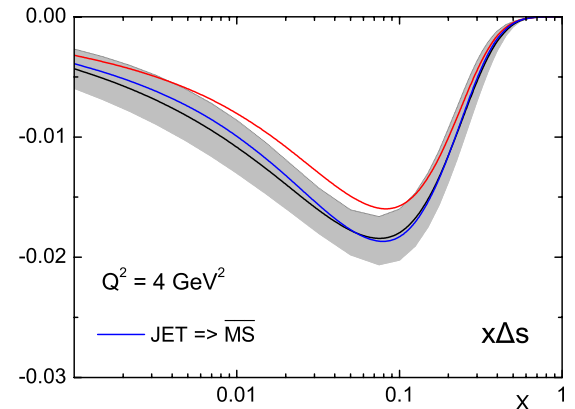
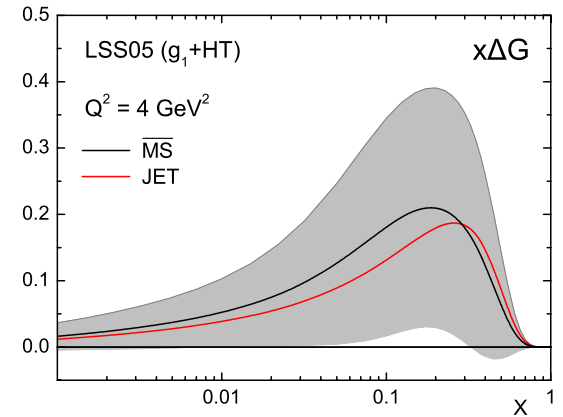
$\Delta \Sigma_{JET}$ is a **Q^2 independent** quantity

→
$$\Delta \Sigma_{JET}(\text{DIS}) \iff \Delta \Sigma(Q^2 \sim \Lambda^2_{\text{QCD}})$$

CQM, chiral models

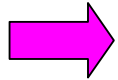
$Q^2 = 1 \text{ GeV}^2$

Fit	$\Delta \Sigma(Q^2)_{\overline{MS}}$	$\Delta G(Q^2)_{JET}$	$\Delta \Sigma_{JET}$
LSS01	0.21 ± 0.10	0.68 ± 0.32	0.37 ± 0.07
LSS05	0.19 ± 0.06	0.29 ± 0.32	0.29 ± 0.08

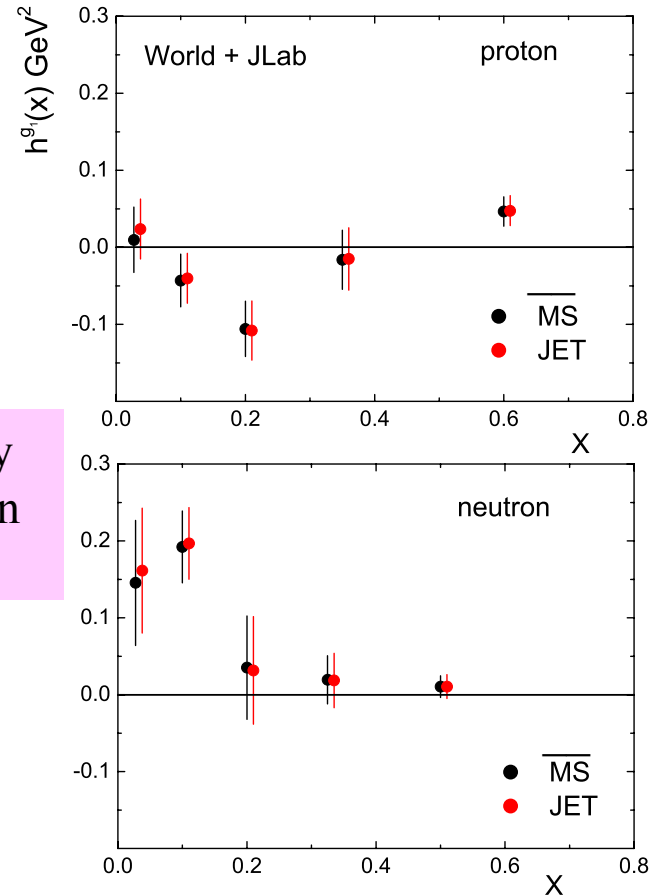


Our numerical results for PPD are in a good agreement with pQCD

How the choice of the factorization scheme for $(g_1)_{LT}$ influence the higher twist results ?



The HT corrections are **well consistent** – they practically do NOT depend on the factorization scheme used for $(g_1)_{LT}$



$$g_1(x, Q^2) = g_1(x, Q^2)_{LT} + h^N(x) / Q^2$$

Impact of positivity constraints on polarized PD

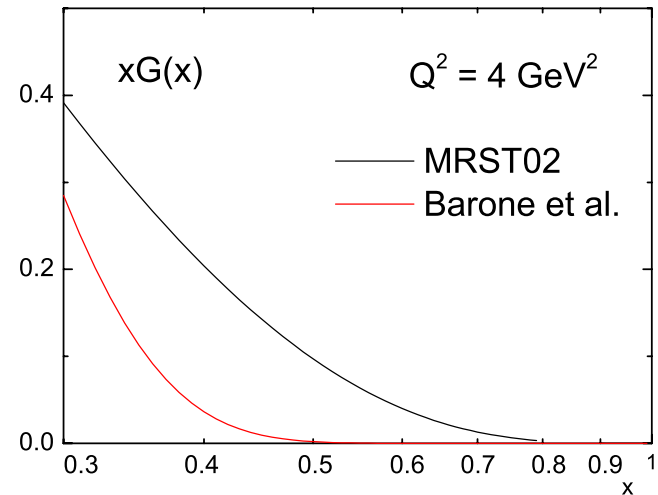
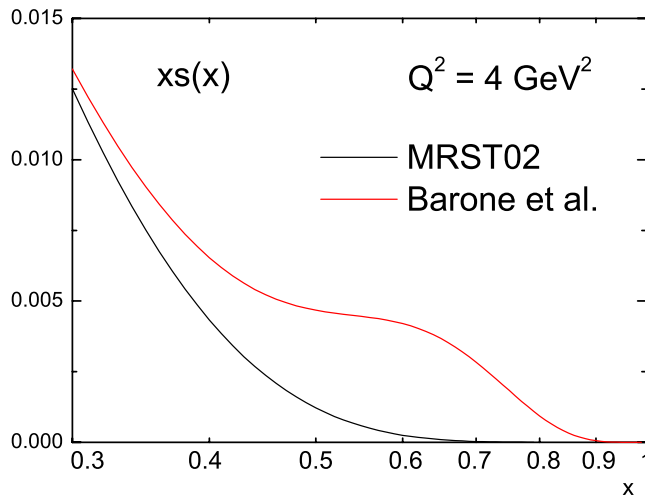
LSS'01 \longleftrightarrow LSS'05 (Set 1)

$$|\Delta f(x)| \leq f(x)_{\text{Bar.}}$$

$$|\Delta f(x)| \leq f(x)_{\text{MRST02}}$$

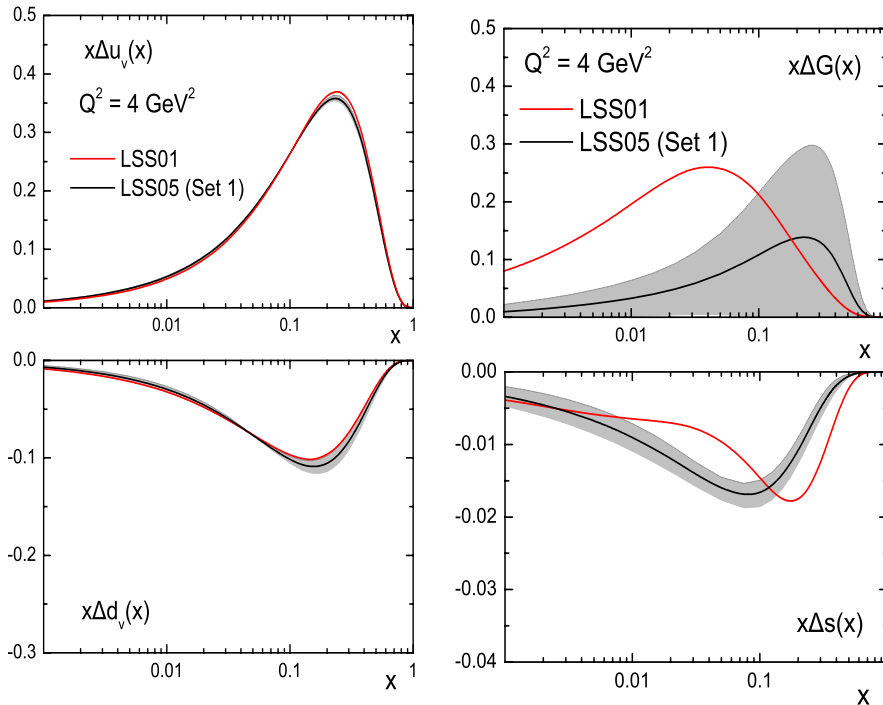
Bar.: *Barone et al., EPJ C12 (2000) 243*

MRST02: *EPJ C28 (2003) 455*



At large x : $s(x)_{\text{Bar}} > s(x)_{\text{MRST02}}$ $G(x)_{\text{Bar}} < G(x)_{\text{MRST02}}$

NLO($\overline{\text{MS}}$)



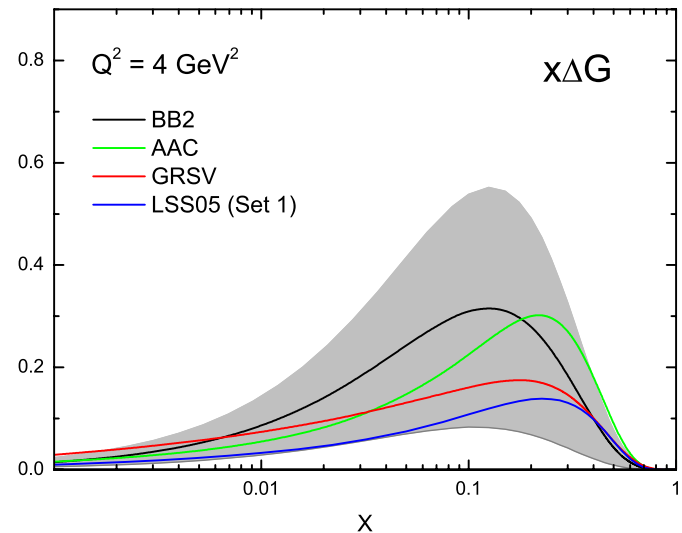
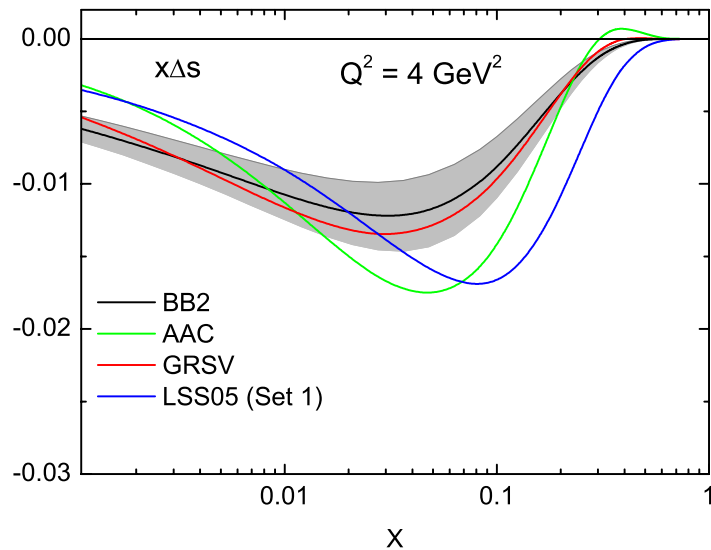
- Δu_v and Δd_v of the two sets are closed to each other
- Δs and ΔG are **significantly** different
- Δs and ΔG are **weakly** constrained from the data, especially for high x . That is why the role of positivity constraints is very **important** for their determination in this region.

Flavour symmetric sea convention:

$$\Delta u_{\text{sea}} = \Delta \bar{u} = \Delta d_{\text{sea}} = \Delta \bar{d} = \Delta s = \Delta \bar{s}$$

NLO QCD PPD ($\overline{\text{MS}}$) obtained by different groups

$x\Delta_S$ and $x\Delta_G$ are **weakly** constrained from the present data on inclusive DIS



GRSV: Glück et al., hep-ph/0011215

BB: Blümlein, Böttcher, hep-ph/0203155

AAC: Goto et al., hep-ph/0312112

LSS'05: Leader et al., hep-ph/0503140

$x\Delta_{u_v}$ and $x\Delta_{d_v}$ well consistent

Impact of positivity constraints on $x\Delta s(x, Q^2)$

GRSV: Glück et al., hep-ph/0011215

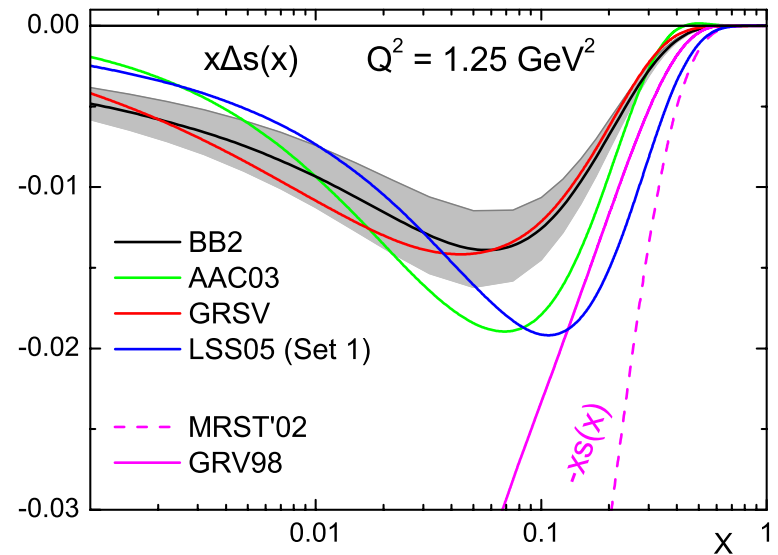
BB: Blümlein, Böttcher, hep-ph/0203155

AAC: Goto et. al., hep-ph/0312112

LSS'05: Leader et al., hep-ph/0503140

$$|x\Delta f(x, Q_0^2)| \leq xf(x, Q_0^2)_{\text{GRV}}$$

$$|x\Delta f(x, Q_0^2)|_{\text{LSS}} \leq xf(x, Q_0^2)_{\text{MRST02}}$$

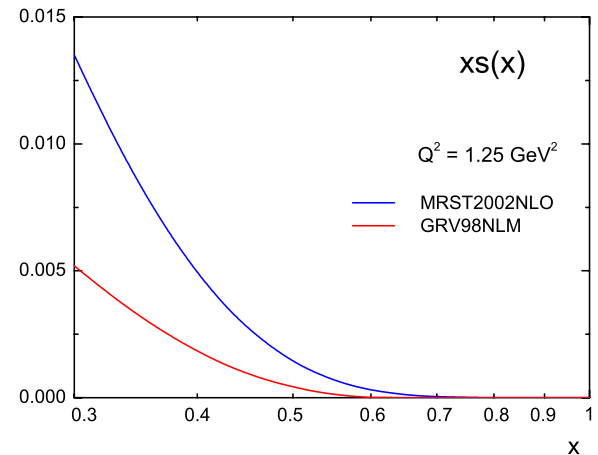
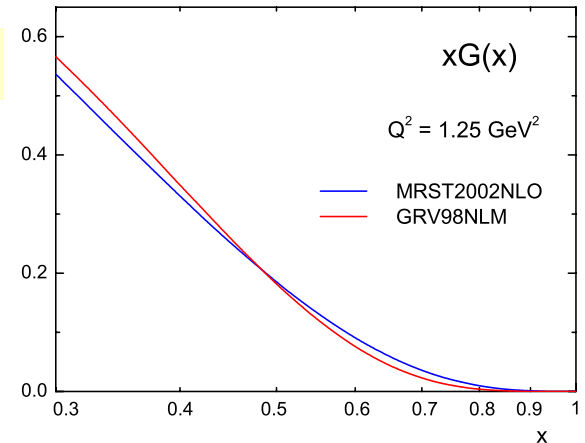


GRSV, BB and AAC have used the **GRV unpolarized** PD for constraining their PPD, while LSS have used those of **MRST'02**.

As a result, $x|\Delta s(x)|$ (LSS) for $x > 0.1$ is **larger** than the magnitude of the polarized strange sea densities obtained by the other groups.

Role of unpolarized PD in determining PPD at large x

- At large x the unpolarized GRV and MRST'02 **gluons** are practically **the same**, while $xS(x)_{\text{GRV}}$ is much smaller than that of MRST'02.
- For the adequate determination of $x\Delta_S$ and $x\Delta_G$ at large x, the role of the corresponding **unpolarized** PD is very important.
- Usually the sets of unpolarized PD are extracted from the data **in the DIS region** using cuts in Q^2 and W^2 chosen in order to minimize the higher twist effects.
- The latter have to be determined with good accuracy at large x in the **preasymptotic** (Q^2, W^2) region too.



SUMMARY

- Two sets of **polarized** PD in both the $\overline{\text{MS}}$ and the JET schemes are extracted from the world DIS data including the new **JLab** and **COMPASS** data
- The NLO PPD determined in the two schemes are in a **good agreement** with the pQCD predictions
- The size of **HT**(g_1) corrections have been extracted from the data in **model independent** way and found to be NOT negligible
- While the HT corrections to g_1 and F_1 **compensate** each other in g_1/F_1 , the HT(g_1) are **important** in the analysis of the g_1 data
- Δs and ΔG are **not** well determined from the data
→ the effect of the positivity conditions used to constrain them is **essential**, especially at high x
- A more precise determination of **unpolarized** PD in the **preasymptotic** region is very important