#### First Workshop on Quark-Hadron Duality and the Transition to pQCD



#### Spin Structure of the Nucleon and Aspects of Duality

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- Moments of spin structure functions and sum rules
- Size of higher twists and duality
- Polarizabilities; spin and color
- Conclusion



## Aspects of duality

Duality in spin structure functions? Local versus Global

- Moments of spin structure functions
  - First Moments:
    - Sispersive Sum rules (Gerasimov-Drell-Hearn, Bjorken, Ellis-Jaffe,Burkhardt-Cottingham)
  - → Higher moments:
    - ♦ Spin polarizabilities
    - ♦ Color polarizabilities
- Q<sup>2</sup> evolution is important to investigate aspects of duality in the response
  - Low Q<sup>2,</sup> low W spectrum (resonances) dominate response
  - $\rightarrow$  High Q<sup>2</sup>, high W (DIS) dominate the response.
  - Within OPE size of higher twists as a measure of how well duality is working



Response of scalar quark in a harmonic oscillator vs scaling variable u Melnitchouk et al.



## Moments of spin structure functions



## Dispersive sum rules

Forward Virtual Compton Scattering Amplitudes  $S_1(\nu, Q^2), \quad S_2(\nu, Q^2)$ The spin structure functions  $G_{1,2}(\nu, Q^2)$  are proportional to the virtual Compton amplitudes:  $\text{Im}S_i(\nu, Q^2) = 2\pi G_i(\nu, Q^2)$ +1/2-1/2 -1/2 -1/2**Dispersion Relations**  $S_1(\nu, Q^2) = 4 \int_{Q^2/2M}^{\infty} \frac{\nu' G_1(\nu', Q^2)}{{\nu'}^2 - {\nu'}^2} d\nu'$ (a)  $S_2(\nu, Q^2) = 4 \int_{Q^2/2M}^{\infty} \frac{\nu' G_2(\nu', Q^2)}{\nu'^2 - \nu^2} d\nu'$ (b)

 Unitarity relates forward scattering amplitudes to physical cross sections

• At low energy  $S_{1,2}$  can be evaluated using:

Low-energy theorem
Chiral perturbation
theory

(a) leads to the extended GDH sum rule valid at all  $Q^2$ . (b) leads to the Burkhardt-Cottingham sum rule.

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# Dispersive Sum rules; Useful for What?

• Check basic assumptions going into the derivation of the sum rule

- Causality and analyticity
- → Low energy effective theory
- Asymptotic behavior of the amplitudes
- A way to understand the physical content of low energy constants
- ⊙ Use of the sum rule to determine the low-energy scattering amplitude



#### Tools (Hall A)



# Tools (Hall B)



- Large kinematical coverage
- detection of charged and neutral particles
- •Multiparticle final state

Polarized NH<sub>3</sub> &ND<sub>3</sub> 75% (NH<sub>3</sub>) or 30% (ND<sub>3</sub>) Longitudial polarization only

#### Acceptance $\sim 2.5\pi$

CEBAF Large Acceptance Spectrometer





# GDH Sum Rule on the Proton (MAMI+ELSA)



## Sum rules at finite $Q^2$

Ji and Osborne, Phys. Lett. B472, 1 (2000)



GDH sum rule and Chiral Perturbation (low resolution and long time exposure picture of the nucleon)

OPE and Bjorken sum rule (High resolution and short time Exposure picture of the nucleon



# $g_1$ and $g_2$ extracted at constant $Q^2$





# Proton

eg1b data: Preliminary Data analysis: Y. Prok,UVA

eg1a data: R. Fatemi et al. PRL, 91: 222002 (2003)





#### Burkhardt-Cottingham Sum Rule (1965-1966)

$$\Gamma_2(Q^2) = \int_0^1 g_2(x, Q^2) \, dx = 0$$

• Dispersion relation for a spin-flip Compton amplitude

- $\rightarrow$  Causality
- → Analyticity
- Absence of a J=0 pole with non polynomial residue

• Doesn't follow from Operator Product Expansion and is valid at all Q<sup>2</sup>

• Many scenarios of g<sub>2</sub>'s low x behavior which would invalidate the sum rule are discussed in the literature.





#### Moments of Structure Functions

$$\Gamma_1(Q^2) = \int_0^1 g_1(x, Q^2) \, dx = \mu_2 + \frac{\mu_4}{Q^2} + \frac{\mu_6}{Q^4} + \cdots$$
  
leading twist higher twist

 $\mu_2^{p,n}(Q^2) = (\pm \frac{1}{12}g_A + \frac{1}{36}a_8) + \frac{1}{9}\Delta\Sigma$  + pQCD corrections

 $g_A = 1.257$  and  $a_8 = 0.579$  are the triplet and octet axial charge, respectively  $\Delta \Sigma$  = singlet axial charge

![](_page_14_Picture_4.jpeg)

$$g_{A} = \Delta u - \Delta d$$
  

$$a_{8} = \Delta u + \Delta d - 2\Delta s$$
  

$$\Delta \Sigma = \Delta u + \Delta d + \Delta s$$

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pQCD radiative corrections

![](_page_14_Picture_9.jpeg)

# Study of Higher Twists

$$\mu_4(Q^2) = \frac{M^2}{9} \begin{bmatrix} a_2(Q^2) + 4d_2(Q^2) + 4f_2(Q^2) \end{bmatrix}$$
  
Twist - 2 Twist - 3 Twist - 4  
(TMC)

where  $a_2$ ,  $d_2$  and  $f_2$  are higher moments of  $g_1$  and  $g_2$ 

e.g. 
$$d_2(Q^2) = \int_0^1 x^2 [2g_1(x,Q^2) + 3g_2(x,Q^2)] dx = \int_0^1 x^2 \overline{g_2}(x,Q^2) dx$$

$$a_2(Q^2) = \int_0^1 x^2 g_1(x, Q^2) \, dx$$

• To extract  $f_2$ ,  $d_2$  needs to be determined first.

•Both  $d_2$  and  $f_2$  are required to determine the color polarizabilities

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![](_page_15_Picture_9.jpeg)

# Twist-4 Matrix element $f_2$

![](_page_16_Figure_1.jpeg)

Adding  $1/Q^6$  term gives the same  $f_2$  and  $\mu_6$  with  $\mu_8 = (0.00 \pm 0.03)M^2$ 

If one performs a one parameter fit down to  $Q^2 = 0.5 \text{ GeV}^2$ ;  $f_2 = -0.014 \pm 0.010$ 

If one performs a one parameter fit for  $Q^2 > 1 \text{ GeV}^2$ ;  $f_2 = 0.012 \pm 0.029$ 

Z.-E. M, W. Melnitchouk et al., Phys. Lett. B613,148 (2005June 6, 2005 L.N. di Frascat

$$\begin{aligned} \Delta \Gamma_1^n(Q^2) &\equiv \Gamma_1^n(Q^2) - \mu_2^n(Q^2) \\ &= \frac{\mu_4^n(Q^2)}{Q^2} + \frac{\mu_6^n(Q^2)}{Q^4} + \mathcal{O}\left(\frac{1}{Q^6}\right) \end{aligned}$$

$$\mu_4^n = \frac{1}{9}M^2 \left( a_2^n + 4d_2^n + 4f_2^n \right)$$

$$f_2^n = 0.033 \pm 0.005$$
,  $\mu_6^n = (-0.019 \pm 0.002)M^4$ 

$$f_2^n = 0.034 \pm 0.043 \;,\; \mu_6^n = (-0.019 \pm 0.017) M^4$$

![](_page_16_Figure_10.jpeg)

# Proton Analysis

World data +

 $f_2 = 0.039^{+0.037}_{-0.043}$ 

 $\mu_6/M^4 = 0.011^{+0.017}$ 

- 0.013

EG1a data: R. Fatemi et al., PRL, 91 222002 (2003)

Osipenko et al. Phys. Lett. B 609, 258 (2005)

![](_page_17_Figure_5.jpeg)

# Bjorken Sum Q2 evolution and higher twists

![](_page_18_Figure_1.jpeg)

# Color polarizabilities

# How does the gluon field respond when a nucleon is polarized ?

Define color magnetic and electric polarizabilities (in nucleon rest frame):

![](_page_19_Figure_3.jpeg)

$$d_2 = (\chi_E + 2\chi_B)/8$$
$$f_2 = (\chi_E - \chi_B)/2$$

 $d_2$  and  $f_2$  represent the response of the color  $\vec{B}$  &  $\vec{E}$  fields to the nucleon polarization

![](_page_19_Picture_6.jpeg)

![](_page_19_Picture_8.jpeg)

# Scale dependence of $d_2$

![](_page_20_Figure_1.jpeg)

![](_page_20_Picture_2.jpeg)

# Adding the elastic contribution

![](_page_21_Figure_1.jpeg)

# Generalized Spin Polarizabilities of the Neutron

$$T(\nu, Q^2) = \varepsilon'^* \cdot \varepsilon f_T(\nu, Q^2) + f_L(\nu, Q^2) + i\sigma \cdot (\varepsilon'^* \times \varepsilon) g_{TT}(\nu, Q^2) - i\sigma \cdot [(\varepsilon'^* - \varepsilon) \times \hat{q}] g_{LT}(\nu, Q^2)$$

$$\operatorname{Re} g_{TT}^{\operatorname{nonpole}}(\nu, Q^2) = \frac{2\alpha_{em}}{M^2} I_A(Q^2)\nu + \gamma_0(Q^2)\nu^3 + \mathcal{O}(\nu^5)$$
  

$$\operatorname{Re} g_{LT}^{\operatorname{nonpole}}(\nu, Q^2) = \frac{2\alpha_{em}}{M^2} Q I_3(Q^2) + Q \delta_{LT}(Q^2)\nu^2 + \mathcal{O}(\nu^4)$$

$$\begin{split} \mathbf{\gamma_0}(Q^2) &= \frac{16M^2\alpha_{\rm em}}{Q^6} \int_0^{x_0} x^2 \left\{ g_1(x,Q^2) - \frac{Q^2}{\nu^2} g_2(x,Q^2) \right\} \, dx \\ \mathbf{\delta_{LT}}(Q^2) &= \frac{16M^2\alpha_{\rm em}}{Q^6} \int_0^{x_0} x^2 \left\{ g_1(x,Q^2) + g_2(x,Q^2) \right\} \, dx \\ \mathbf{\delta_{LT}}(Q^2) \to \frac{1}{3} \mathbf{\gamma_0}(Q^2), \quad Q^2 \to \infty \end{split}$$

# Spin polarizabilities at low $Q^2$

![](_page_23_Figure_1.jpeg)

#### Q<sup>2</sup> evolution of the Spin Polarizabilities

![](_page_24_Figure_1.jpeg)

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#### Conclusion

- The determination of  $\Gamma_1$  below Q<sup>2</sup> of 1 GeV, dominated by the resonance contributions, is important to gauge the size higher twists and to extract the twist-4 matrix element f2.
- Higher twists are overall small which might indicate that "global duality" holds
- The Burkhardt-Cottingham sum rule seems verified in <sup>3</sup>He and the neutron within errors for Q<sup>2</sup> < 1 GeV<sup>2</sup>; a region dominated by the elastic and Delta resonance contributions which approximately cancel each other.
- Neutron d<sub>2</sub><sup>n</sup> resonance contribution is small but finite. d<sub>2</sub><sup>n</sup> is dominated by the elastic contribution below 1 GeV<sup>2</sup>
- Precision measurements of  $g_1$  and  $g_2$  in the range  $1 < Q^2 < 4 \text{ GeV}^2$  are needed for reducing the error on the extraction of the color polarizabilities. This needs to be pursued at JLab 11 GeV.
- More investigation is needed to understand the discrepancy between chiral perturbation calculations and the data for the spin polarizabilities  $\delta_{LT}$  at low  $Q^2$

![](_page_25_Picture_9.jpeg)