

INFN/JLab Duality-05 Workshop, June 6-9, 2005.

Spin-Flavor Decomposition and Quark-Hadron Duality in Polarized Semi-Inclusive Deep-Inelastic Scattering

Xiaodong Jiang, Rutgers University.

Semi-inclusive deep-inelastic scattering (SIDIS) on polarized $\vec{p}$, $\vec{d}$ and ^3He ($\vec{n}$) targets at Jefferson Lab will provide precision data to improve our understanding of nucleon's spin-flavor structure.

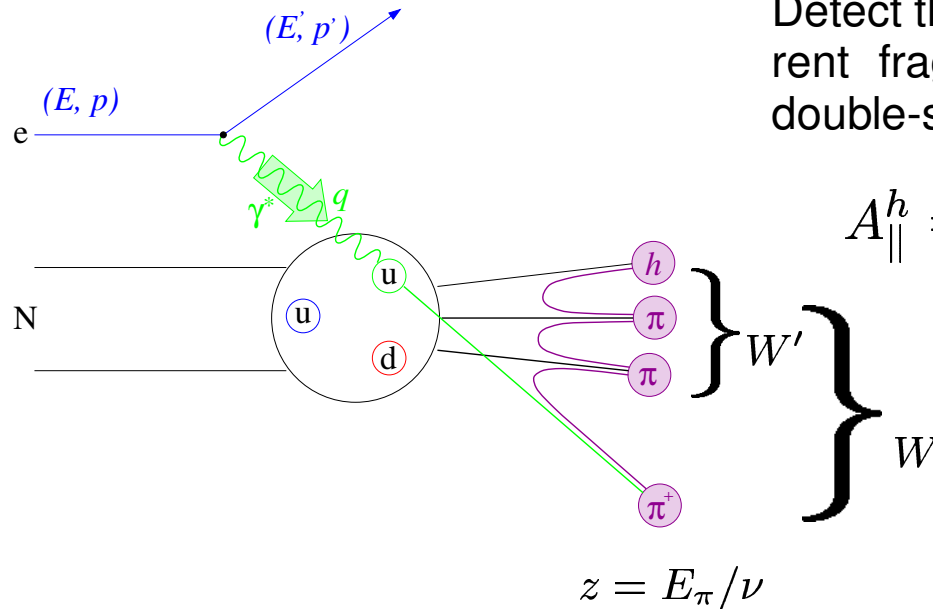
- Double-spin asymmetry A_{1N}^h and the combined asymmetry $A_{1N}^{\pi^+\pm\pi^-}$ on $\vec{p}$ and $\vec{d}$ targets: Semi-SANE experiment (E04-113) at Hall C.
- Extracting Δu_v , Δd_v from $A_{1N}^{\pi^+-\pi^-}$ at LO and NLO.
- Extracting the polarized sea asymmetry $\Delta\bar{u} - \Delta\bar{d}$.
- Next-to-leading order global QCD fit on both DIS and SIDIS data.

- Asymmetries on a polarized ^3He ($\vec{n}$) target are sensitive to Δd .
- With the polarized ^3He target in Hall A, precisions on A_{1n}^h can be improved by an order of magnitude.

Quark-hadron duality links polarized SIDIS with meson production:

- Two types of duality (hard scattering duality and fragmentation duality) corresponding to two energy scales (Q^2 and $z = E_h/\nu$) in SIDIS.
- Should we expect quark-hadron duality to work in spin-related observables ?

Flavor Tagging in Semi-Inclusive DIS



Detect the leading hadron from the current fragmentation and measure the double-spin asymmetry:

$$A_{||}^h = f^h P_B P_T \cdot \mathcal{P}_{kin} \cdot A_{1N}^h$$

Two energy scales are involved. Assuming **the leading order naive x - z factorization**:

$$A_{1N}^h(x, Q^2, z) \equiv \frac{\Delta\sigma^h(x, Q^2, z)}{\sigma^h(x, Q^2, z)} = \frac{\sum_f e_f^2 \Delta q_f(x, Q^2) \cdot D_f^h(z, Q^2)}{\sum_f e_f^2 q_f(x, Q^2) \cdot D_f^h(z, Q^2)}.$$

Each asymmetry measurement provides an independent constrain on Δq_f .

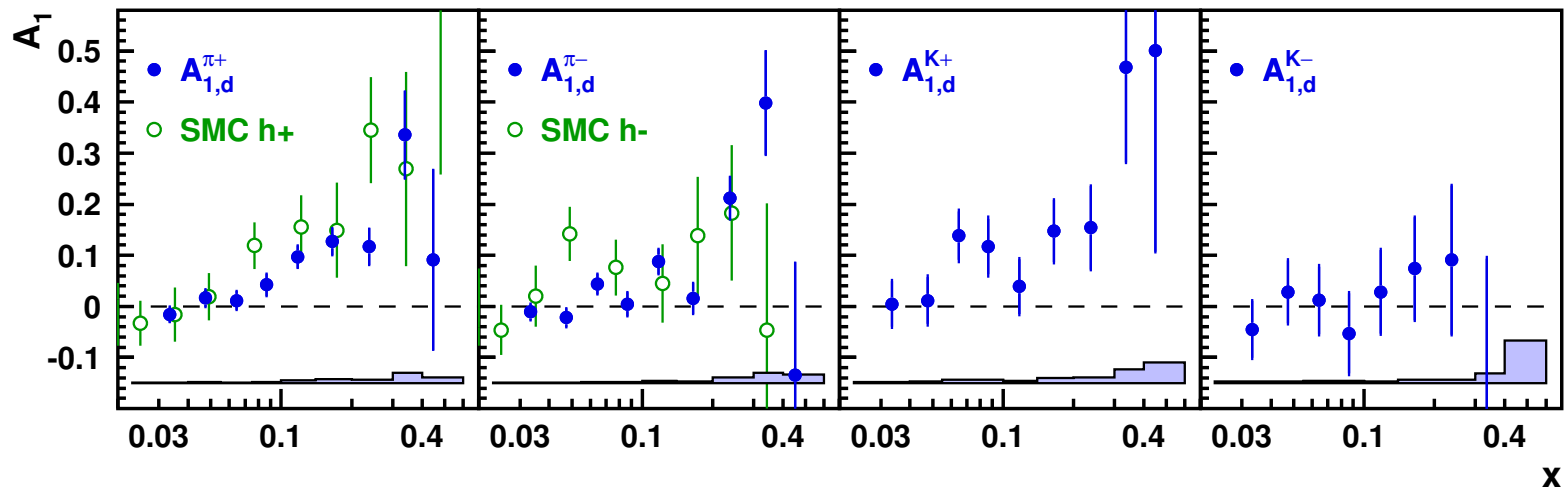
HERMES Flavor Decomposition: $\vec{A} = \mathcal{P}_f^h(x) \cdot \vec{Q}$

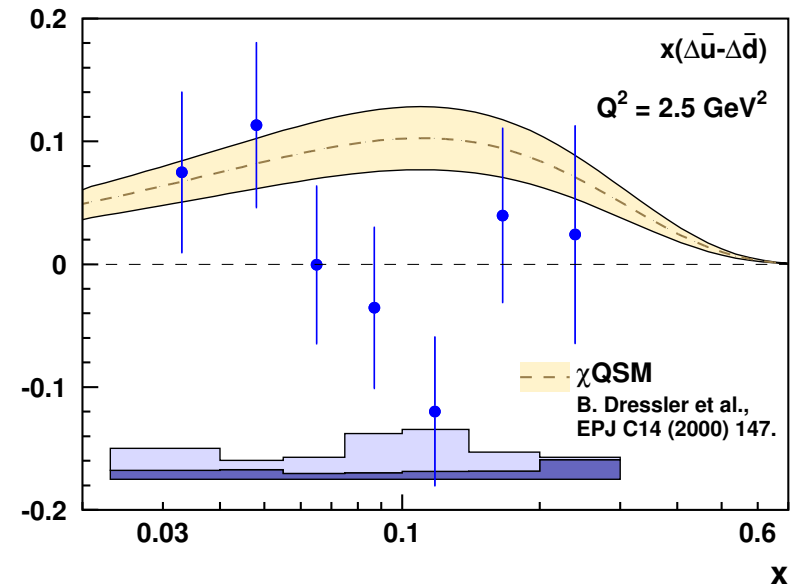
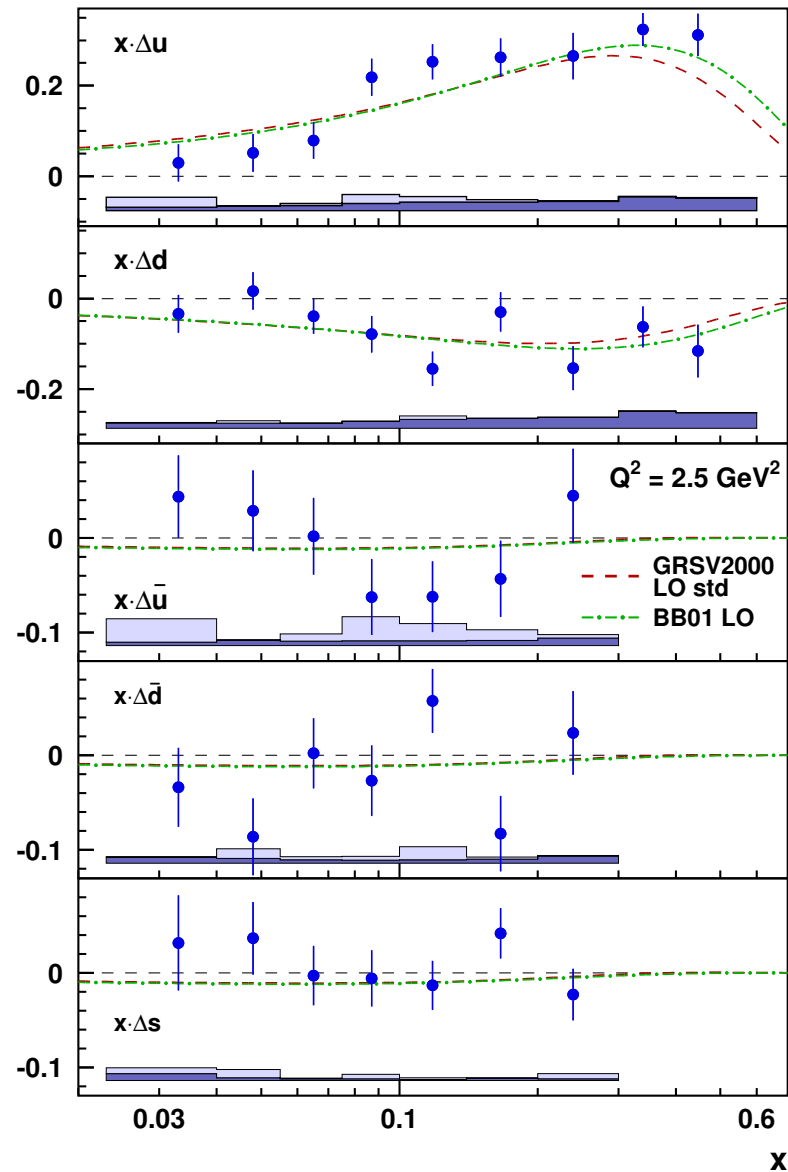
From measurements: $\vec{A} = (A_{1p}^{\pi^+}, A_{1p}^{\pi^-}, A_{1d}^{\pi^+}, A_{1d}^{\pi^-}, A_{1d}^{K^+}, A_{1d}^{K^-}, A_{1p}, A_{1d})$

Solve for: $\vec{Q} = (x\Delta u, x\Delta d, x\Delta \bar{u}, x\Delta \bar{d}, x\Delta s)$.

Calculate “Purity” from a LUND based Monte Carlo:

$$\mathcal{P}_f^h(x) = \frac{e_f^2 q_f(x) \int_{0.2}^{0.8} dz D_f^h(z)}{\sum_i e_i^2 q_i(x) \int_{0.2}^{0.8} dz D_i^h(z)}$$





Assumes:

Leading order x - z factorization and current fragmentation.

Isospin symmetry and charge conjugation.

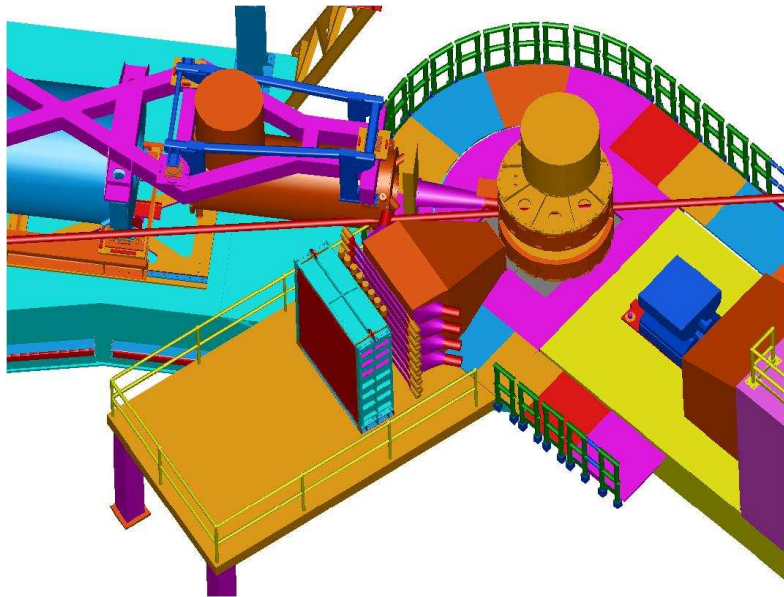
Purity from Monte Carlo.

The Semi-SANE Experiment at Jefferson Lab Hall C

E04-113: P. Bosted, D. Day, X. Jiang and M. Jones co-spokespersons

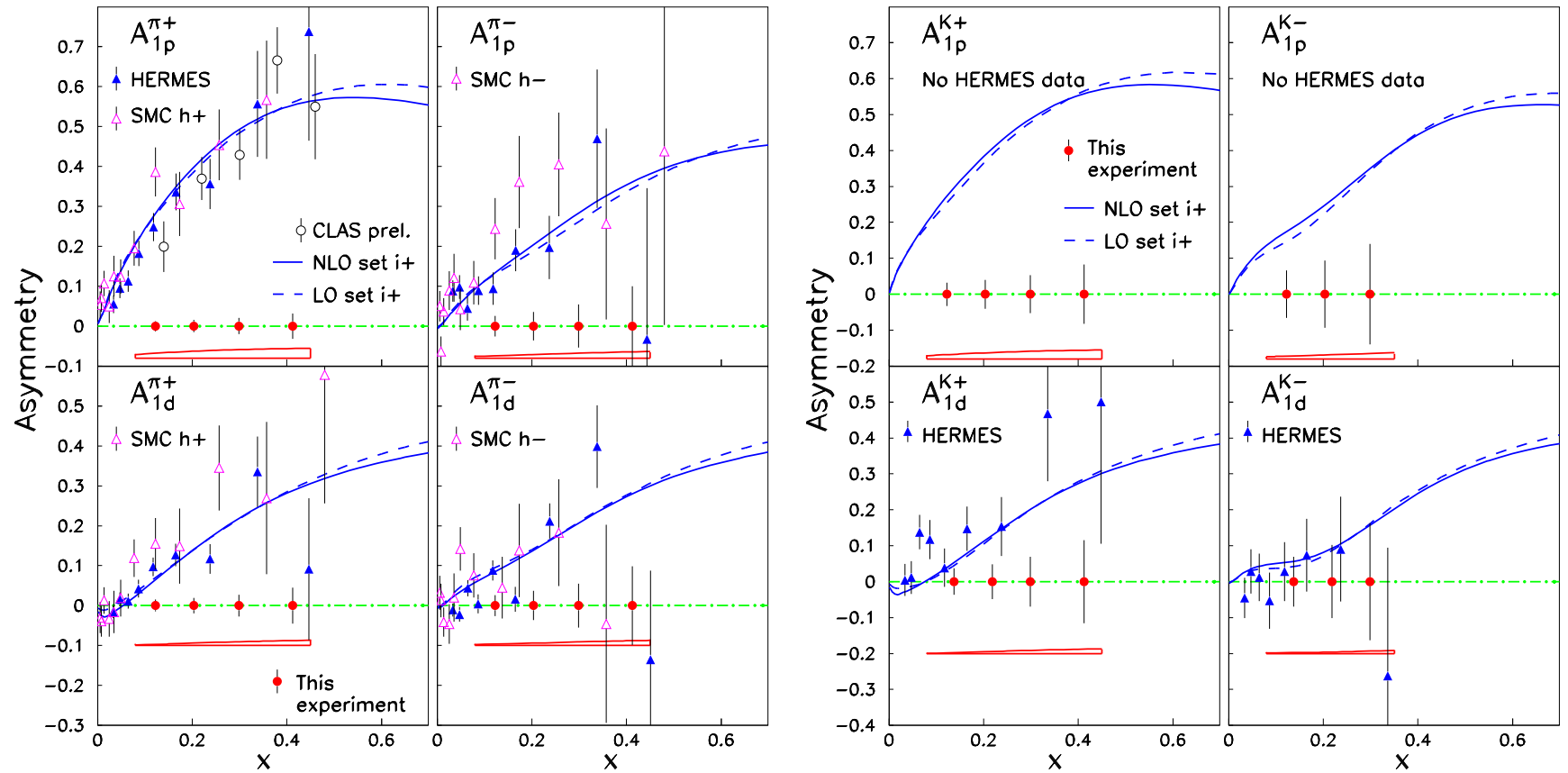
ANL, Duke, FIU, Hampton, JLab, Kentucky, UMass, Norfolk, ODU, RPI, Rutgers, Temple, UVa, W&M, Yerevan, Regina, IHEP-Protvino.

High precision asymmetry data in deep-inelastic $\vec{N}(\vec{e}, e' h)$ ($N = p, d, h = \pi^\pm, K^\pm$).



- $E_0 = 6 \text{ GeV}$, $P_B = 0.80$.
- e -Arm: a calorimeter array @ 30° .
- h -Arm: HMS spectrometer @ 10.8° , $2.71 \text{ GeV}/c$, $z \approx 0.5$. Particle ID detectors for π/K separation.
- Target: polarized NH_3 ($\vec{p}$) and LiD ($\vec{d} = \vec{p} + \vec{n}$).

The Expected Precision on A_{1p}^h and A_{1d}^h

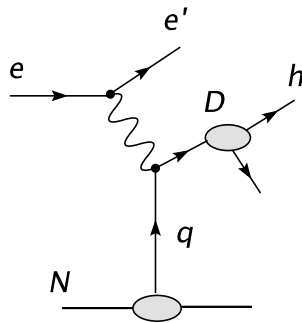


Approved for 25 days of beam time. Significant improvements on the statistical accuracy of $A_{1N}^{\pi^\pm}$. First data on $A_{1p}^{K^\pm}$.

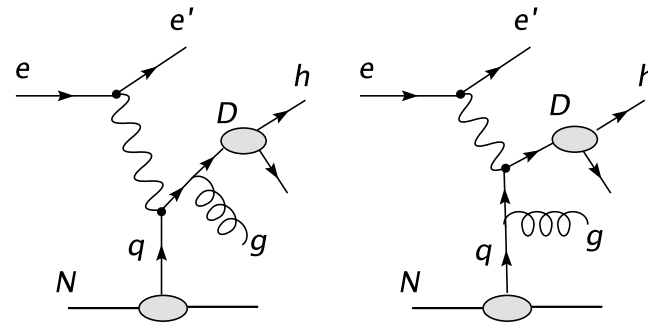
Interpretation of SIDIS Beyond the Leading Order

What if the naive LO x - z factorization doesn't hold exactly ? Extended the interpretation of SIDIS beyond LO (Christova and Leader, NPB 607 (2001) 369, de Florian, Navarro and Sassot hep-ex/0504155 and PRD.)

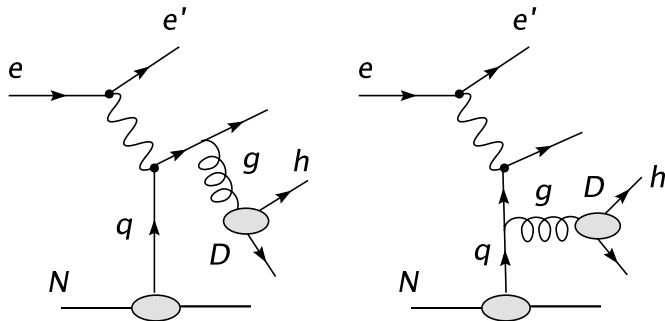
LO:



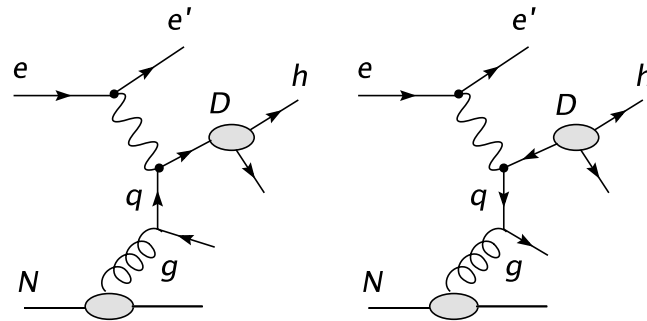
NLO-qq:



NLO-qg:



NLO-gq:



- At NLO the naive x - z factorization is violated in a calculable way.

SIDIS Cross Sections at the Next-to-Leading-Order

$$q(x, Q^2) \cdot D(z, Q^2) \Rightarrow \int \frac{dx'}{x'} \int \frac{dz'}{z'} q\left(\frac{x}{x'}\right) C(x', z') D\left(\frac{z}{z'}\right) = q \otimes C \otimes D$$

C are well-known Wilson coefficients (D. Graudenz, NPB432, 351(1994)).

$$\begin{aligned} \Delta\sigma^h &= \sum_i e_i^2 \Delta q_i \left[1 + \otimes \frac{\alpha_s}{2\pi} \Delta C_{qq} \otimes \right] D_{q_i}^h \\ &+ \left(\sum_i e_i^2 \Delta q_i \right) \otimes \frac{\alpha_s}{2\pi} \Delta C_{qg} \otimes D_G^h + \Delta G \otimes \frac{\alpha_s}{2\pi} \Delta C_{gq} \otimes \left(\sum_i e_i^2 D_{q_i}^h \right) \end{aligned}$$

Isospin symmetry and charge conjugation: $D_G^h = D_G^{\bar{h}}$, $\sum_i e_i^2 D_{q_i}^h = \sum_i e_i^2 D_{q_i}^{\bar{h}}$.

Higher order terms which have gluons involved vanish in $\pi^+ - \pi^-$ observables to all orders of QCD. $A_{1N}^{\pi^+ - \pi^-}$ is theoretically clean.

From $A_{1N}^{\pi^+-\pi^-}(x)$ to $\Delta u_v(x)$ and $\Delta d_v(x)$

E. Christova and E. Leader, NPB607,369 (2001):

$$\begin{aligned} \frac{\Delta\sigma_p^{\pi^+} - \Delta\sigma_p^{\pi^-}}{\sigma_p^{\pi^+} - \sigma_p^{\pi^-}} &= \frac{(4\Delta u_v - \Delta d_v) [1 + \otimes(\alpha_s/2\pi)\Delta C_{qq}\otimes] D_u^{\pi^+-\pi^-}}{(4u_v - d_v) [1 + \otimes(\alpha_s/2\pi)C_{qq}\otimes] D_u^{\pi^+-\pi^-}} \\ \frac{\Delta\sigma_d^{\pi^+} - \Delta\sigma_d^{\pi^-}}{\sigma_d^{\pi^+} - \sigma_d^{\pi^-}} &= \frac{(\Delta u_v + \Delta d_v) [1 + \otimes(\alpha_s/2\pi)\Delta C_{qq}\otimes] D_u^{\pi^+-\pi^-}}{(u_v + d_v) [1 + \otimes(\alpha_s/2\pi)C_{qq}\otimes] D_u^{\pi^+-\pi^-}} \\ \frac{\Delta\sigma_{He}^{\pi^+} - \Delta\sigma_{He}^{\pi^-}}{\sigma_{He}^{\pi^+} - \sigma_{He}^{\pi^-}} &= \frac{(4\Delta d_v - \Delta u_v) [1 + \otimes(\alpha_s/2\pi)\Delta C_{qq}\otimes] D_u^{\pi^+-\pi^-}}{(7u_v + 2d_v) [1 + \otimes(\alpha_s/2\pi)C_{qq}\otimes] D_u^{\pi^+-\pi^-}} \end{aligned}$$

- Δu_v and Δd_v are non-singlets which do not mix with gluon and sea densities.
- Data from two targets are needed to extract Δu_v and Δd_v . Measurements on the third target provide extra constraints.
- Proton data are mostly sensitive to Δu_v , neutron data (^3He) are mostly sensitive to Δd_v .

From $\Delta u_v(x)$ and $\Delta d_v(x)$ to $\Delta \bar{u}(x) - \Delta \bar{d}(x)$:

Once we obtain $\Delta u_v(x)$ and $\Delta d_v(x)$ at any QCD-order, $\Delta \bar{u}(x) - \Delta \bar{d}(x)$ can be extracted to the same order by combining with the inclusive data.

$$\Delta \bar{u}(x) - \Delta \bar{d}(x) = \frac{1}{2}(\Delta u + \Delta \bar{u} - \Delta d - \Delta \bar{d} + \Delta d_v - \Delta u_v)$$

where $\Delta q_{NS}(x) = \Delta u + \Delta \bar{u} - \Delta d - \Delta \bar{d}$ can be extracted from inclusive data.

At LO: $\Delta q_{NS}(x) = 6(g_1^p(x) - g_1^n(x))$.

“Bjorken Sum Rule” links the moments at **all orders of QCD** (Sissakian *et al.* PRD68, 031502 (2003)).

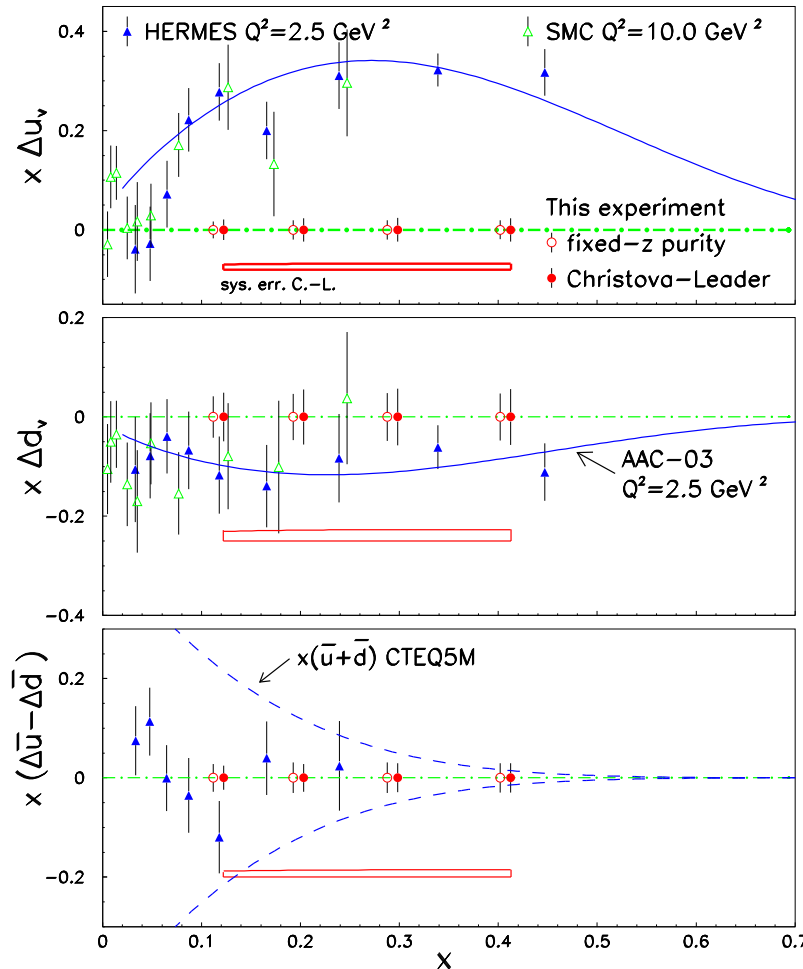
$$2 \int_0^1 (\Delta \bar{u} - \Delta \bar{d}) dx + \int_0^1 (\Delta u_v - \Delta d_v) dx = \left| \frac{g_A}{g_V} \right| = 1.2670 \pm 0.0035$$

To obtain $A_{1N}^{\pi^+-\pi^-}$ we need:

Well-controlled phase space and hadron PID

$$A_{1N}^{\pi^+-\pi^-} = \frac{\Delta\sigma_N^{\pi^+} - \Delta\sigma_N^{\pi^-}}{\sigma_N^{\pi^+} - \sigma_N^{\pi^-}} = \frac{A_{1N}^{\pi^+} - A_{1N}^{\pi^-} \cdot r}{1 - r}, \quad r = \frac{\sigma^{\pi^-}}{\sigma^{\pi^+}}.$$

Jefferson Lab E04-113: Expected Results on Δq



$$E_0 = 6 \text{ GeV}, \langle Q^2 \rangle = 2.2 \text{ GeV}^2.$$

$$\Delta u_v = \Delta u - \Delta \bar{u}$$

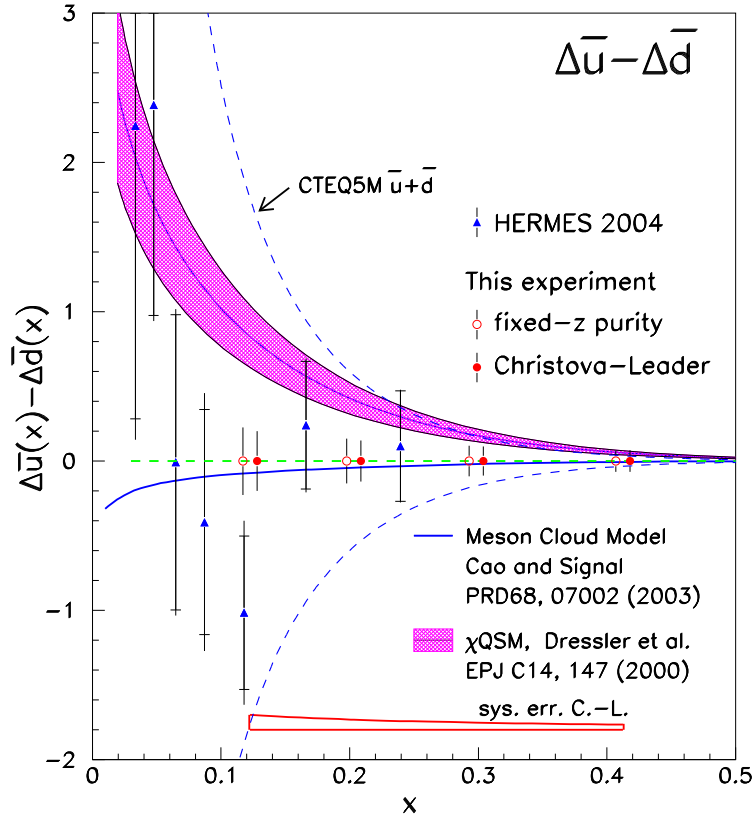
$$\Delta d_v = \Delta d - \Delta \bar{d}$$

Two independent methods of flavor decomposition:

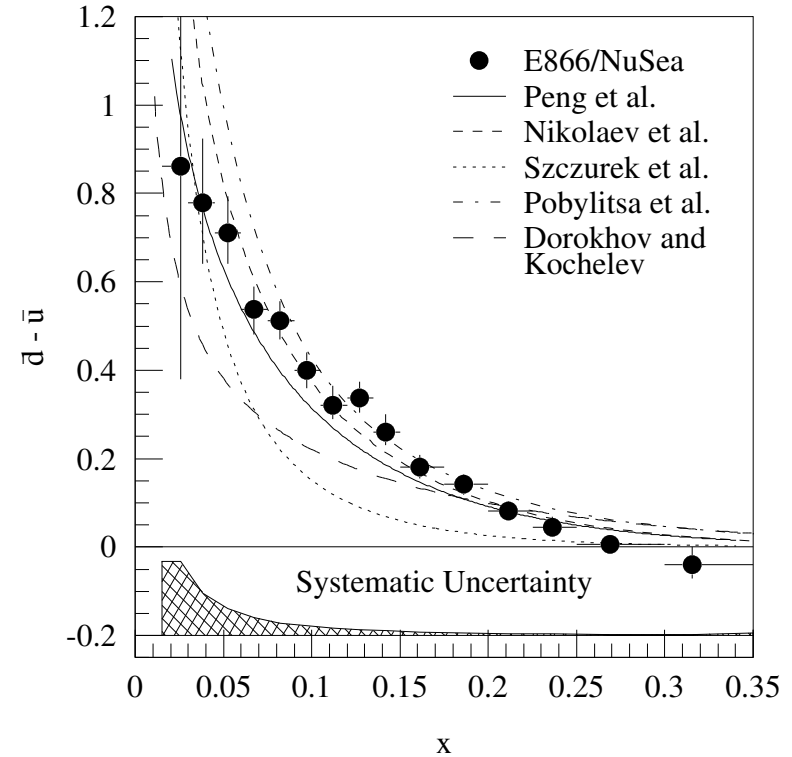
- i, Christova-Leader method.
- ii, "Purity" at a fixed- z .

Statistical uncertainties dominate.

Flavor Asymmetry in the Nucleon Sea



Many other model predicted large $\Delta\bar{u} - \Delta\bar{d}$. In Chiral-quark soliton model, $\Delta\bar{u} - \Delta\bar{d}$ appears in LO (N_c^2) while $\bar{d} - \bar{u}$ appears in NLO (N_c).



Fermilab $pp, pd \rightarrow \mu^+ \mu^-$ data. Many models explain $\bar{d} - \bar{u}$, including the meson-cloud model (π) which predicts $\Delta\bar{u} = \Delta\bar{d} = 0$.

$$\text{Pauli-blocking model: } \int_0^1 [\Delta\bar{u}(x) - \Delta\bar{d}(x)] dx = \frac{5}{3} \cdot \int_0^1 [\bar{d}(x) - \bar{u}(x)] dx \approx 0.2.$$

A New Tool: NLO Global Fit to DIS and SIDIS Data

(hep-ph/0504155 and PRD, de Florian, Navarro, Sassot.)

- Fit inclusive and semi-inclusive DIS data at the same time.
- To the next-to-leading order in PDFs and fragmentation functions.
- Different parameterization of FF (KRE and KKP).
- Gives error bands on polarized PDF and translate into error bands on asymmetry observables.
- Translate error bars from any new data set to overall constraints on moments of polarized PDFs.
- Preliminary CLAS EG1b data agrees with the NLO prediction.

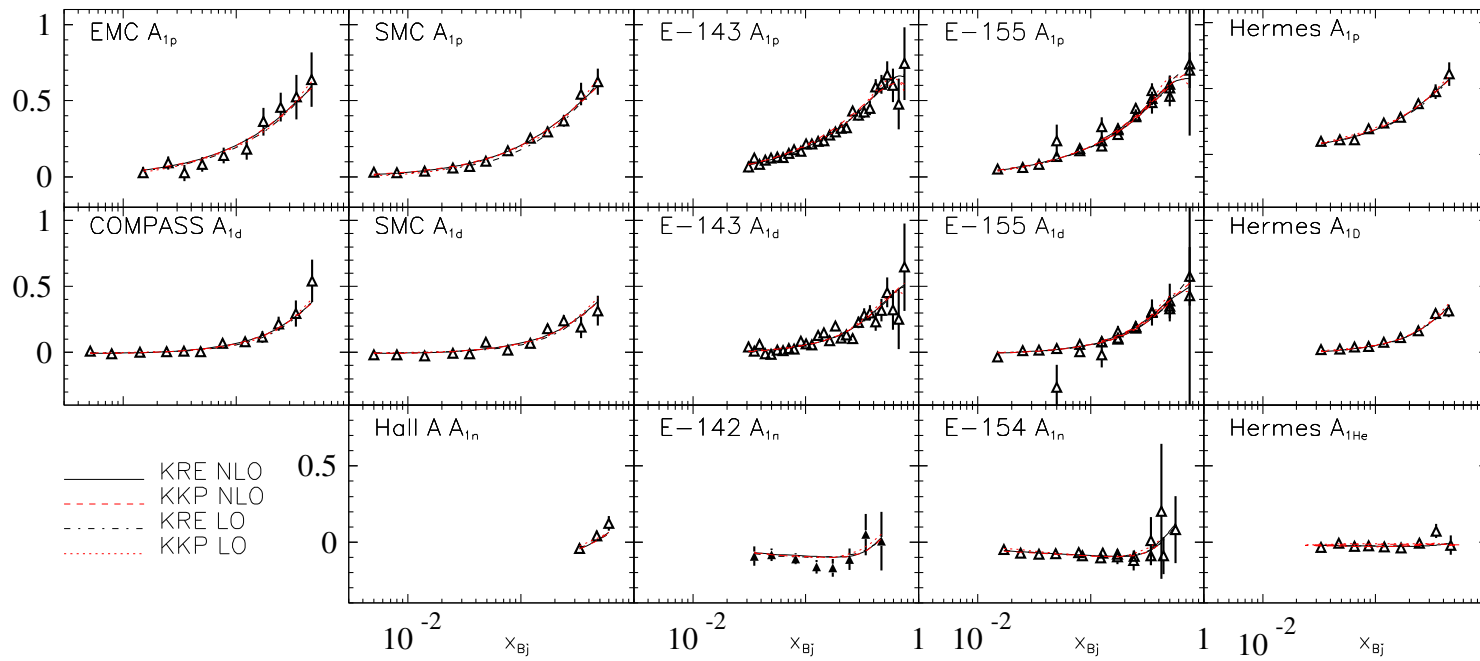
Fit to inclusive $g_1^p(x)$, $g_1^n(x)$ and $g_1^d(x)$:

$$g_1^N(x, Q^2) = \frac{1}{2} \sum_{q, \bar{q}} e_q^2 \left[\Delta q(x, Q^2) + \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dz}{z} \left\{ \Delta C_q(z) \Delta q\left(\frac{x}{z}, Q^2\right) + \Delta C_g(z) \Delta g\left(\frac{x}{z}, Q^2\right) \right\} \right].$$

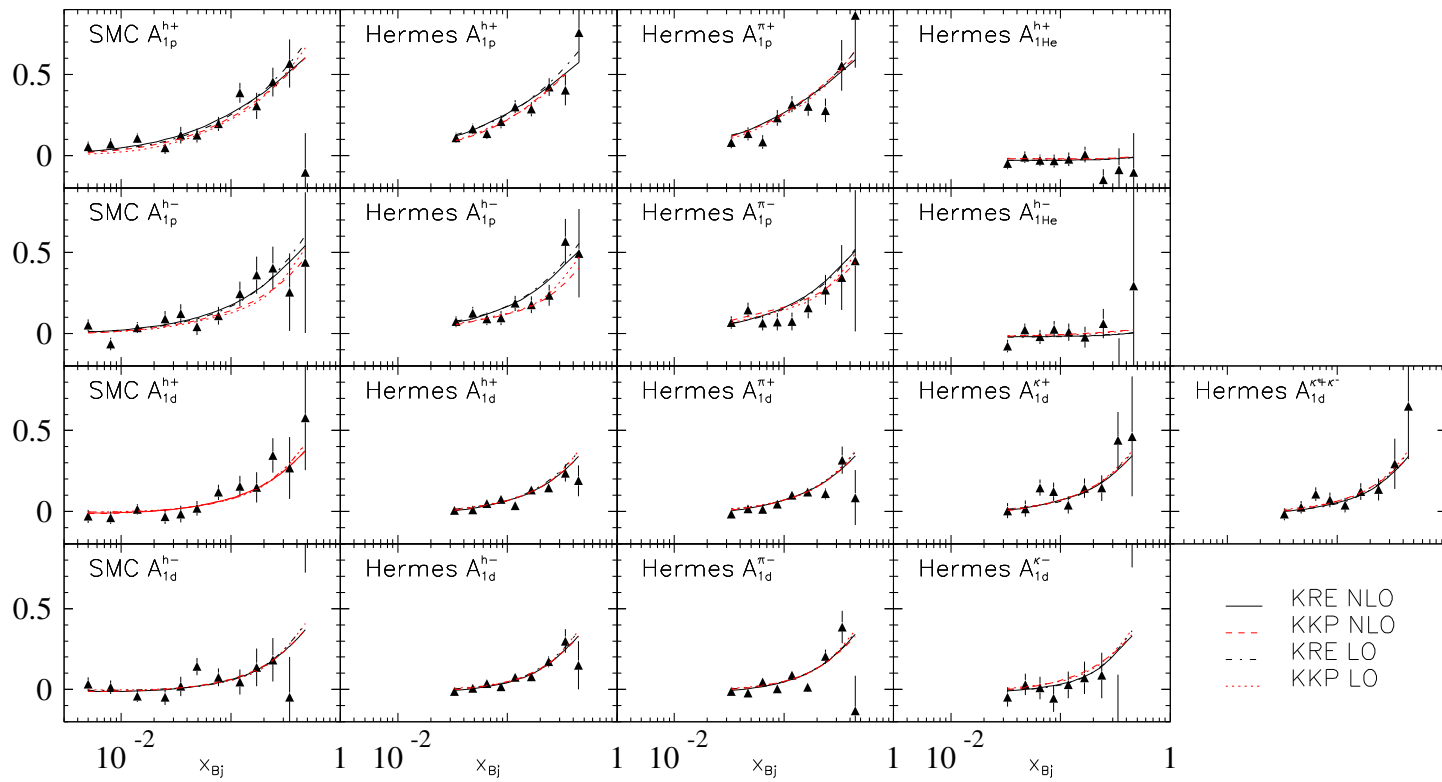
Fit to semi-inclusive $A_{1p}^h(x)$, $A_{1He}^h(x)$ and $A_{1d}^h(x)$:

$$\begin{aligned}
\Delta\sigma_N^h(x, z, Q^2) &= A_{1N}^h(x, z, Q) \cdot \sigma_N^h(x, z, Q) \\
&= \frac{1}{2} \sum_{q, \bar{q}} e_q^2 \left[\Delta q(x, Q^2) D_q^H(z, Q^2) \right. \\
&\quad + \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{d\hat{x}}{\hat{x}} \int_z^1 \frac{d\hat{z}}{\hat{z}} \left\{ \Delta q\left(\frac{x}{\hat{x}}, Q^2\right) \Delta C_{qq}^{(1)}(\hat{x}, \hat{z}, Q^2) D_q^H\left(\frac{z}{\hat{z}}, Q^2\right) \right. \\
&\quad + \Delta q\left(\frac{x}{\hat{x}}, Q^2\right) \Delta C_{gq}^{(1)}(\hat{x}, \hat{z}, Q^2) D_g^H\left(\frac{z}{\hat{z}}, Q^2\right) \\
&\quad \left. \left. + \Delta g\left(\frac{x}{\hat{x}}, Q^2\right) \Delta C_{qg}^{(1)}(\hat{x}, \hat{z}, Q^2) D_q^H\left(\frac{z}{\hat{z}}, Q^2\right) \right\} \right]
\end{aligned}$$

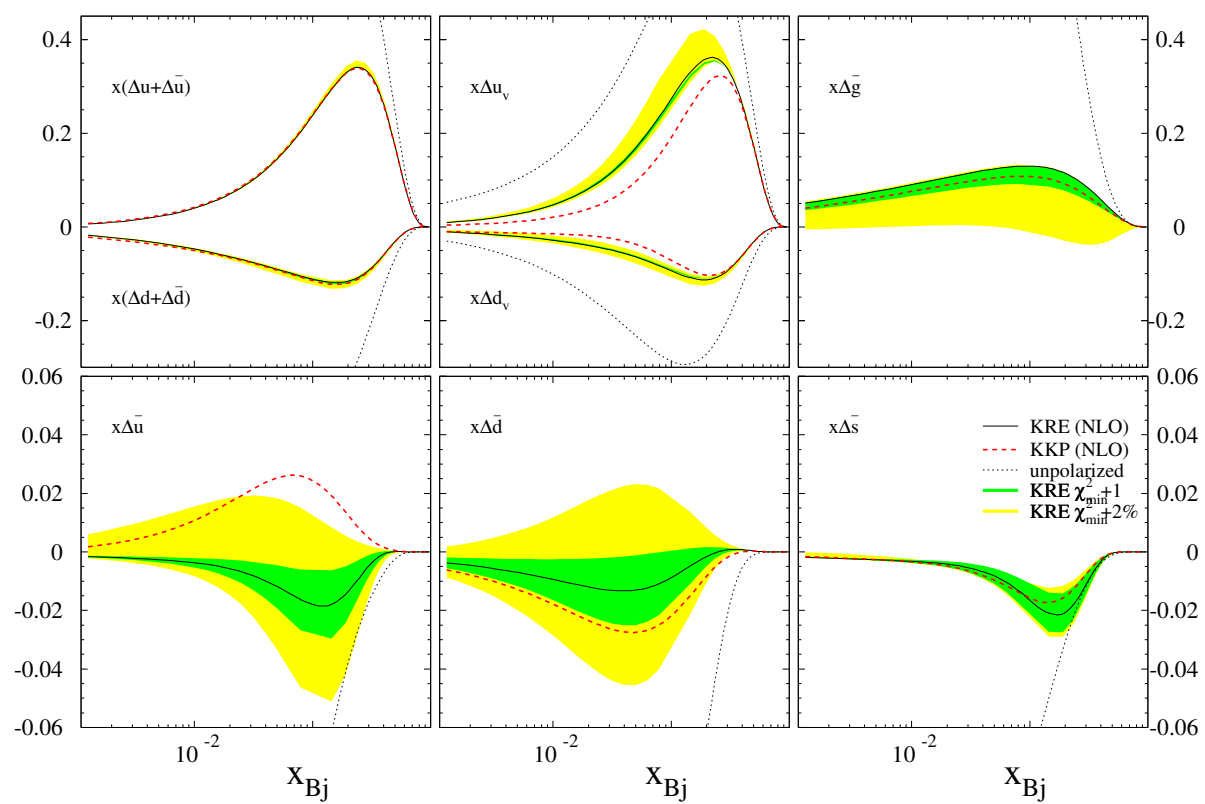
The Best Fit Compare with Inclusive Data:



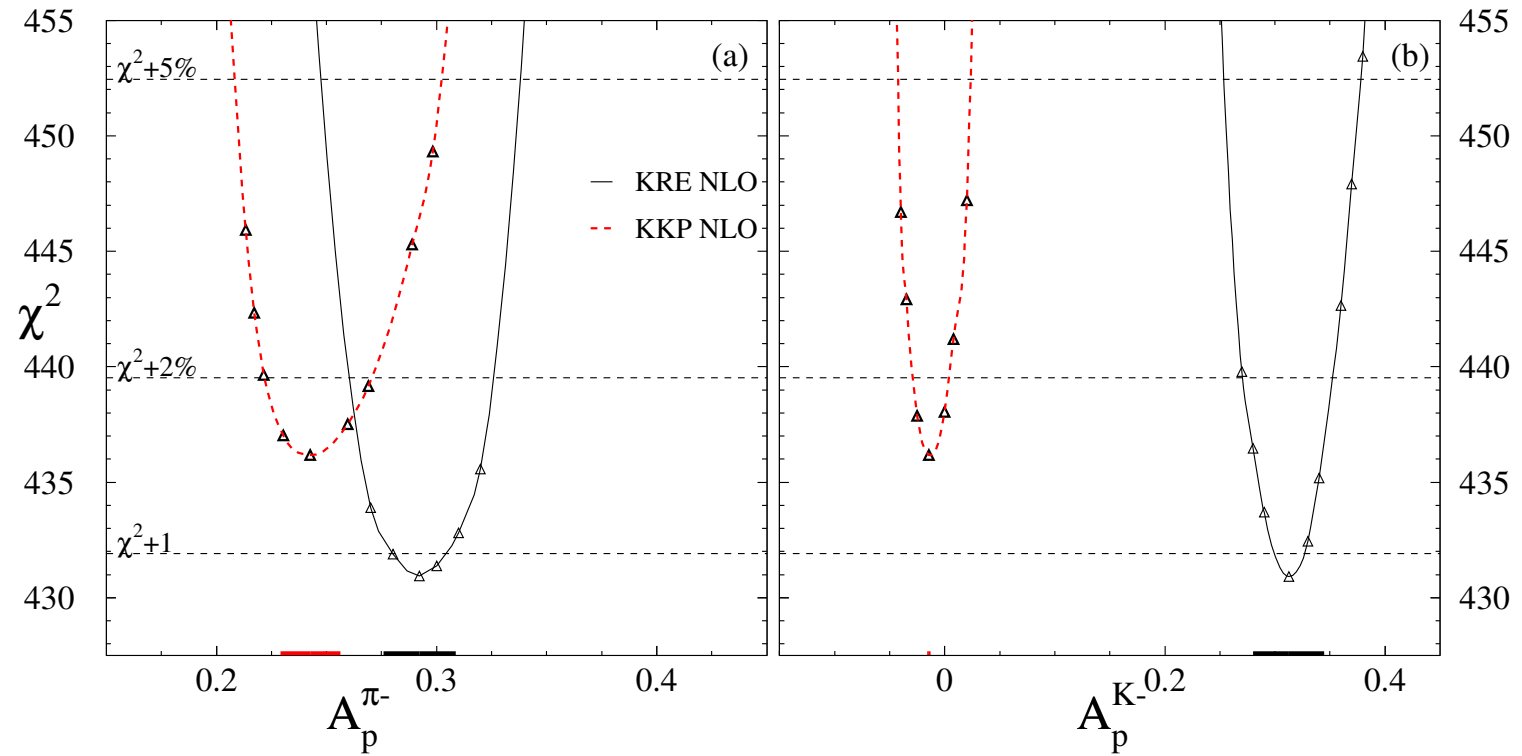
The Best Fit Compare with Semi-Inclusive DIS Data:



Error bands of NLO polarized PDF



Impacts of Semi-SANE Data to NLO Fit

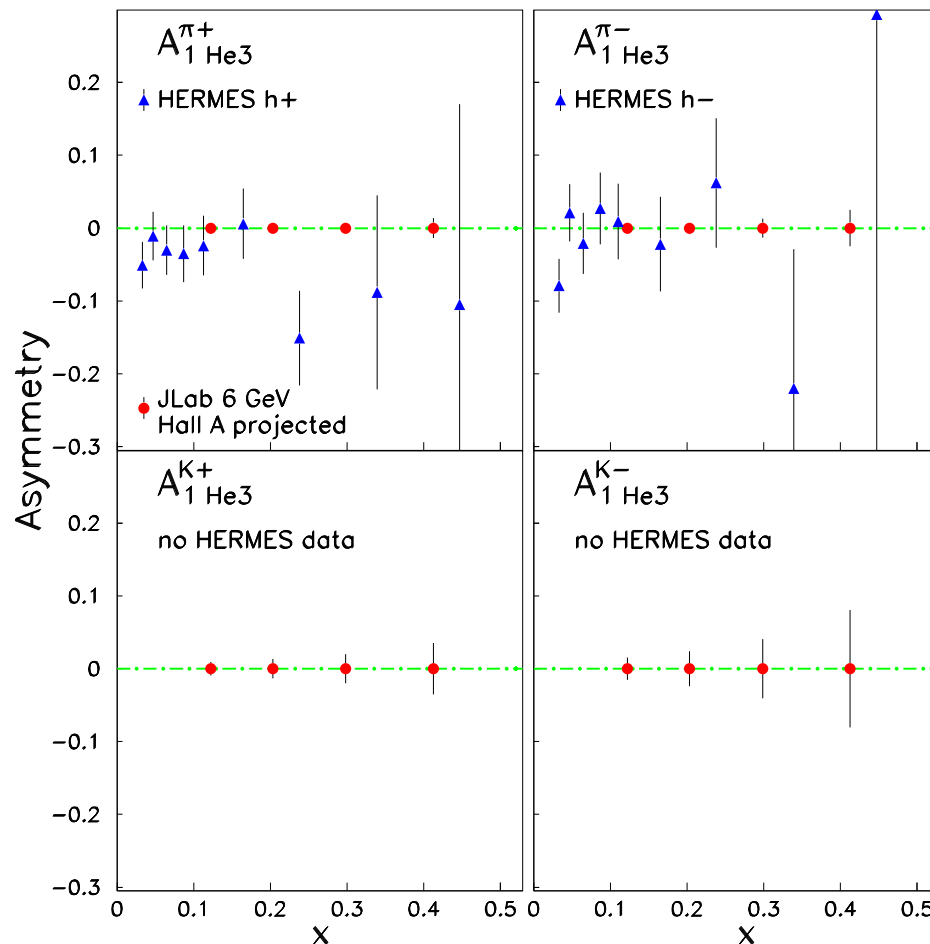


Experiment error bar on $A_{1p}^{\pi^-}$ and $A_{1p}^{K^-}$ for $x = 0.2$ bin. Kaon production data will put strong constraints on the fragmentation functions (Kretzer vs. KKP)

The Δd Experiment: SIDIS with Polarized ^3He

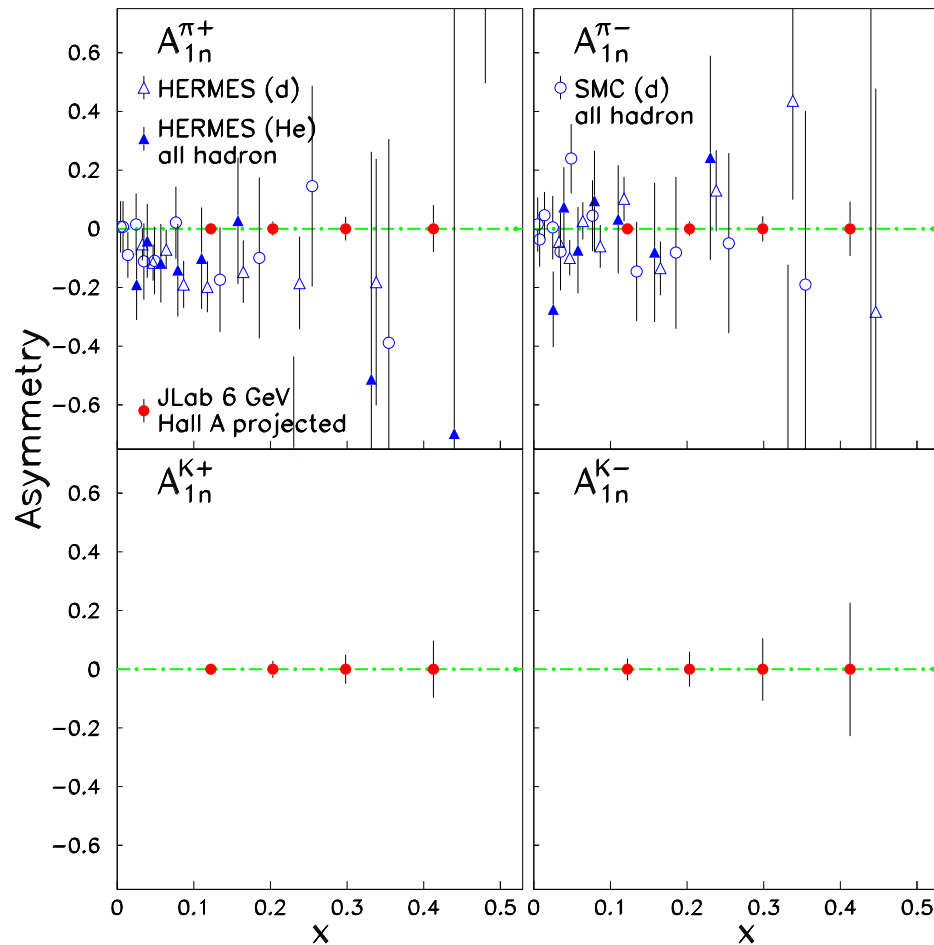
A new proposal to PAC28.

A_{LL}^h on polarized ^3He in Hall A.



- A_{1He}^h are sensitive to Δd .
- Extract Δd_v (and Δu_v) from $A_{1He}^{\pi^+ - \pi^-}$.
- High precision constrains to the global NLO fit.
- First data on $A_{1He}^{K^+}$ and $A_{1He}^{K^-}$
- BigBite at 30° as e-arm. HRS+septum at 6° as h-arm, standard Hall A polarized ^3He target.
- All equipments exist in Hall A.
- Request for 28 days of total beam time.

The Δd Experiment: Projected Uncertainties on A_{1n}^h



The high luminosity Hall A polarized ^3He target allows significant improvements over HERMES data.

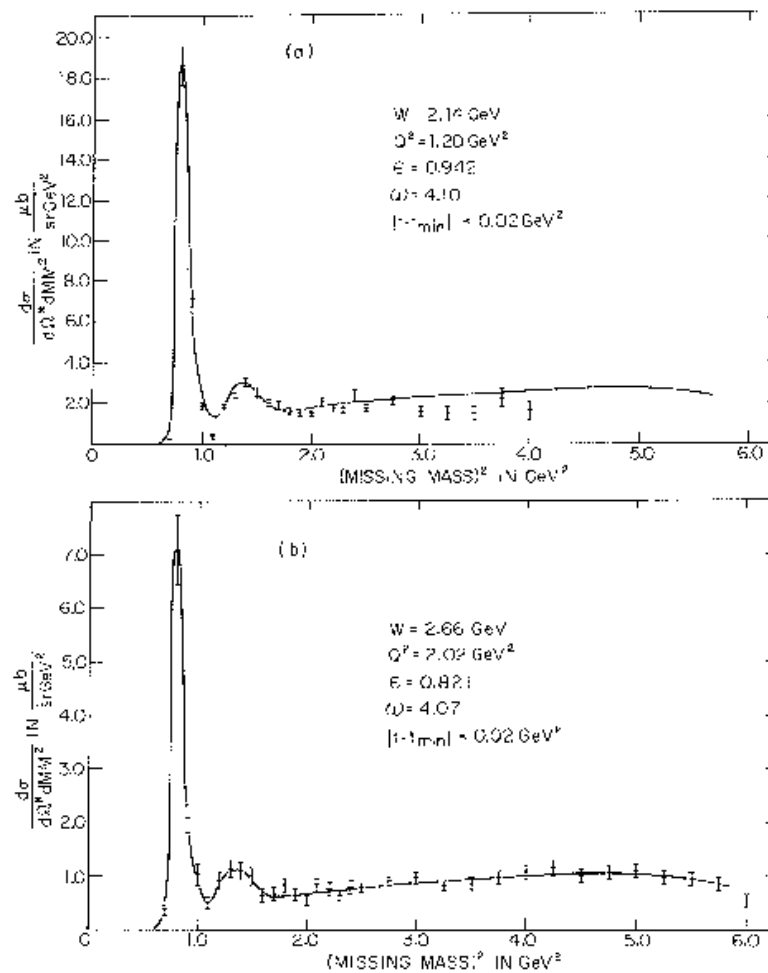
- Hall A with a 6 GeV beam.
- $\langle Q^2 \rangle = 2.2 \text{ GeV}^2$.
- 28 days of beam time.

Duality in SIDIS: Two-Types of Duality ?

“Fragmentation Duality”: at high- z (low- W' , keep Q^2 and W high) when the number of final hadron becomes very limited, semi-inclusive meson production looks similar to semi-inclusive DIS reaction. No resonance structure above $W' > m_\Delta$.

“DIS Duality”: at low Q^2 and W , semi-inclusive meson production looks similar to semi-inclusive deep-inelastic scattering reaction.

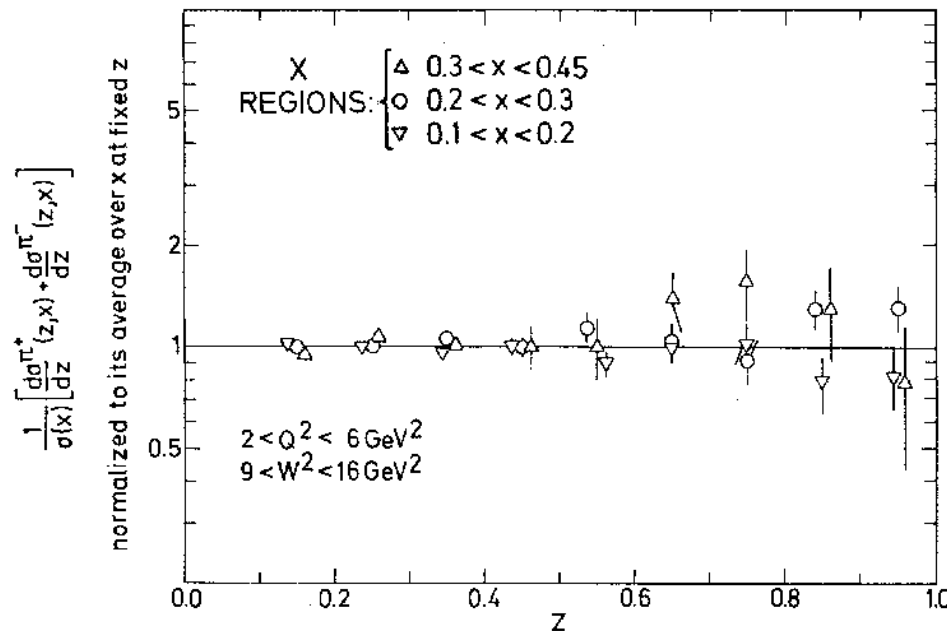
Duality in SIDIS: Cross Section vs. W'



Cornell $p(e, e' \pi^+) X$ data 1971.

- $E_0 = 11 \text{ GeV}$. $Q^2 = 1.2, 2.0 \text{ GeV}^2$.
- Smooth cross sections at $W' > 1.3 \text{ GeV}$.

Cornell Data: $\sigma_p^{\pi^+} + \sigma_p^{\pi^-}$



Drews *et al.* PRL 41, 1433 (1978).

- $E_0 = 11.5 \text{ GeV}$. $\langle Q^2 \rangle = 2.8 \text{ GeV}^2$
- Cross section ratios have no x -dependence for $z < 0.6$.
- At large z , resonance production dominates.
- At $z > 0.6$ exclusive ρ^0 production start ruining the SIDIS interpretation ?

$$\frac{1}{\sigma_{DIS}(\mathbf{x})} \left(\frac{d\sigma^{\pi^+}}{dz}(\mathbf{x}, z) + \frac{d\sigma^{\pi^-}}{dz}(\mathbf{x}, z) \right) \cong D^+(z) + D^-(z)$$

Recall that in $\pi^+ - \pi^-$ gluons don't contribute. We can construct clean observables in which exclusive ρ^0 contribution vanishes:

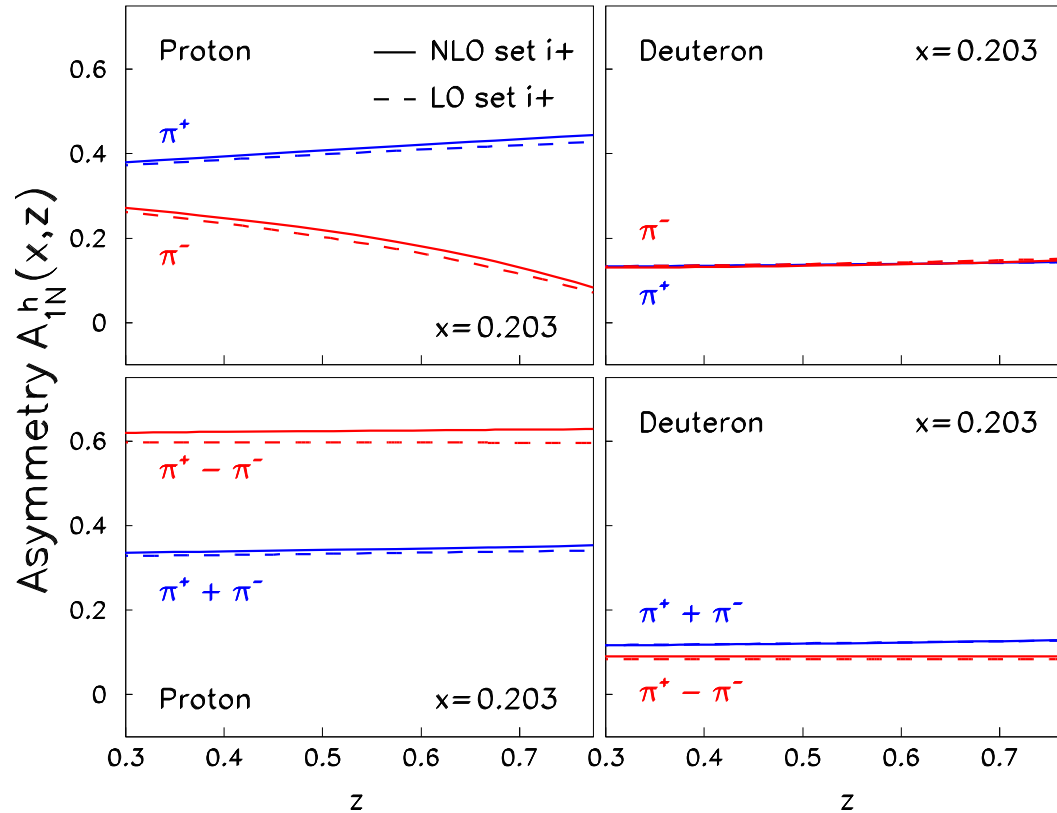
$$\frac{1}{\sigma_{DIS}(\boldsymbol{x})} \left(\frac{d\sigma^{\pi^+}}{dz}(\boldsymbol{x}, \boldsymbol{z}) - \frac{d\sigma^{\pi^-}}{dz}(\boldsymbol{x}, \boldsymbol{z}) \right) \cong D^+(z) - D^-(z)$$

“Fragmentation duality” will work better for $\pi^+ - \pi^-$ at high- z .

In the case of polarized semi-inclusive meson production, which observable will show duality behavior ?

Let's look at NLO predictions on the z -dependency of SIDIS asymmetries:

LO and NLO Predictions of $A_{1N}^h(x, z)$ in SIDIS



$A_{1p}^{\pi^+}$ and $A_{1p}^{\pi^-}$ depend on z .

A_{1d}^h and $A_{1N}^{\pi^+ \pm \pi^-}$ are z -independent.

Expect duality to appear in:

$A_{1N}^{\pi^+ - \pi^-}(x, z)$. No gluon, no ρ^0 to start with.

$A_{1d}^h(x, z)$. Flat in SIDIS.

G. Navarro and R. Sassot private communications, D. de Florian and R. Sassot, PRD 62, 094025(2000)

Summary

Double-spin asymmetry measurements in SIDIS at Jefferson Lab:

- Spin-flavor decomposition with $\vec{p}$ and $\vec{d}$ (E04-113 in Hall C).
- Constrain Δd through SIDIS with polarized ^3He (new proposal in Hall A).

Duality in SIDIS:

- I expect two types of duality: “fragmentation duality” at large- z while keeping the hard scattering part deep-inelastic. “hard-scattering duality” at lower Q^2 and W , merge the resonance production picture with the picture of quark scattering followed by fragmentation.
- Experimentally, I expect duality to appear in two types of observables: $A_{1N}^{\pi^+ - \pi^-}$ and A_{1d}^h .

Plan ahead for JLab experiments at 6 and 12 GeV:

- Polarized target SIDIS experiments at high- z .
- Polarized target meson production experiments at lower- Q^2 and W .