

# On the connection between diffraction radiation and transition radiation

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# TR and DR on ideally conducting surfaces

## 1. Backward TR at oblique incidence:

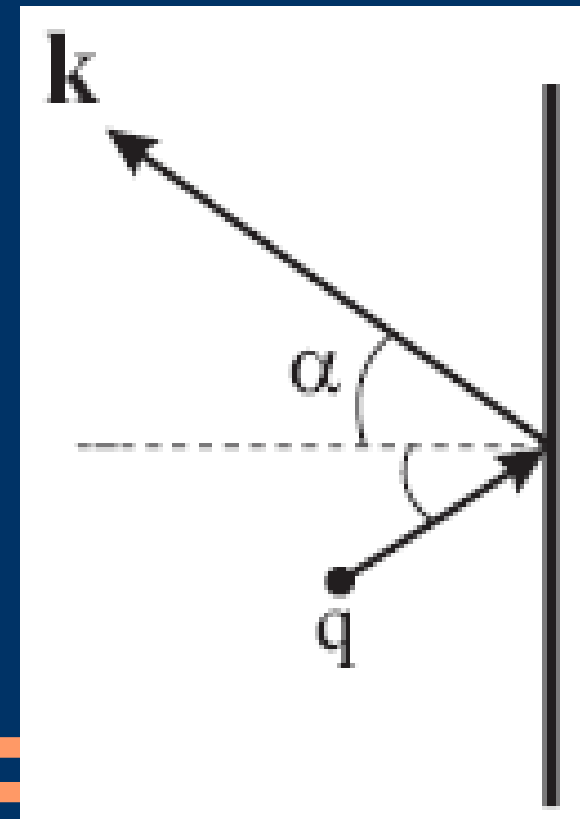
for the first time by

*N.A. Korkhmazyan, Proceedings of Armenian  
Academy of Sci. 11, No. 6, p. 87,  
1958 (in Russian)*

then was considered by Garibyan, Pafomov *et al.*

e.g.:

*V.E. Pafomov, Proc. Lebedev Inst. Phys. 44,  
p. 25, 1971*



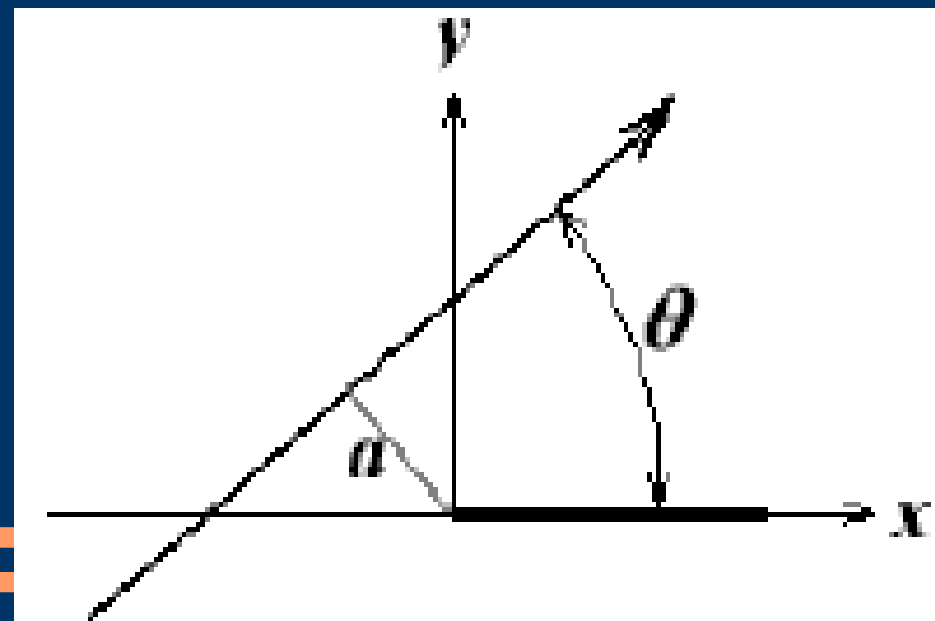
# TR and DR on ideally conducting surfaces

## 2. DR at oblique incidence:

for a semi-plane

*by A.P. Kazantsev and G.I. Surdutovich,*

*Sov. Phys. Dokl. 7, p. 990, 1963*



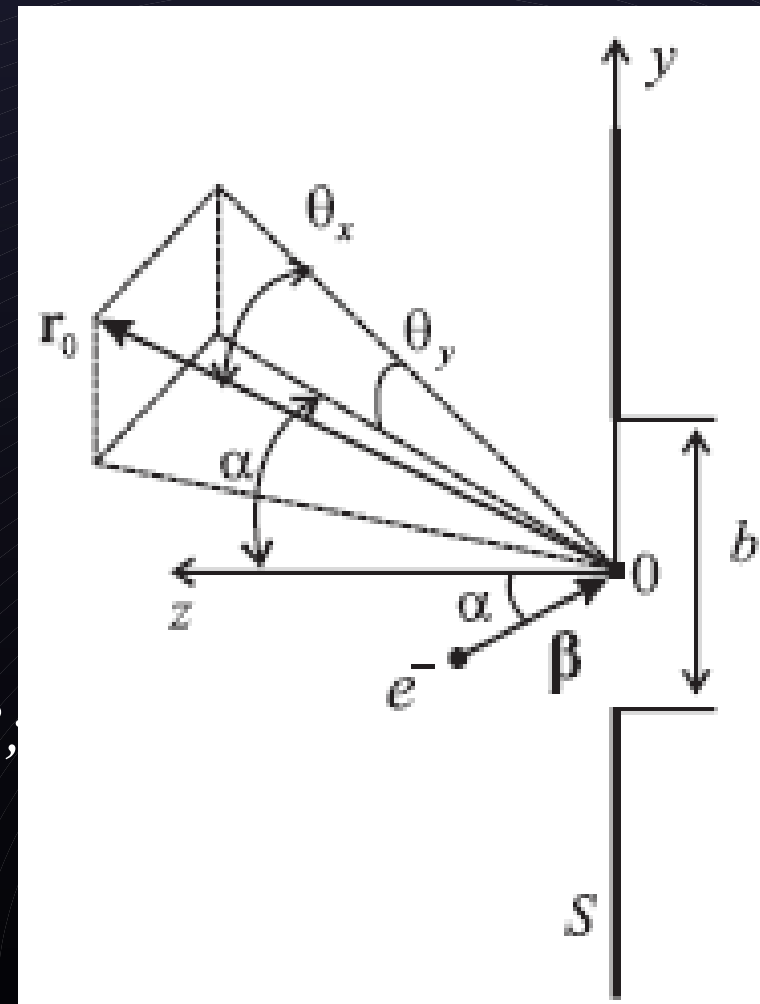
# Shall we get TR

when the slit width  $b$  approaches zero?

There were several works  
on DR from a slit:

1. *A.P. Potylitsyn, N.A. Potylitsyna,*  
*Russ. Phys. J. 43, No.4, p.303, 2000;*
2. *R.B. Fiorito, D.W. Rule,*  
*Nucl. Instrum. Meth. B 173, p.67, 2001;*
3. *N. Potylitsyna-Kube, X. Artru,*  
*Nucl. Instrum. Meth. B 201, p.172, 2003;*

*et al.*



Based on Kazantsev-Surdutovich's results  
for a zero-width slit:

$$E_x = \frac{ie}{2\pi^2} \frac{\theta_x}{\gamma^{-2} + \theta^2}, \quad E_y = \frac{ie}{2\pi^2} \frac{\theta_y}{\gamma^{-2} + \theta^2}$$

But only for ultrarelativistic case  
*or* for normal incidence.

The little-known fact:

**In general case this is not  
actually true !**

# Backward TR at oblique incidence

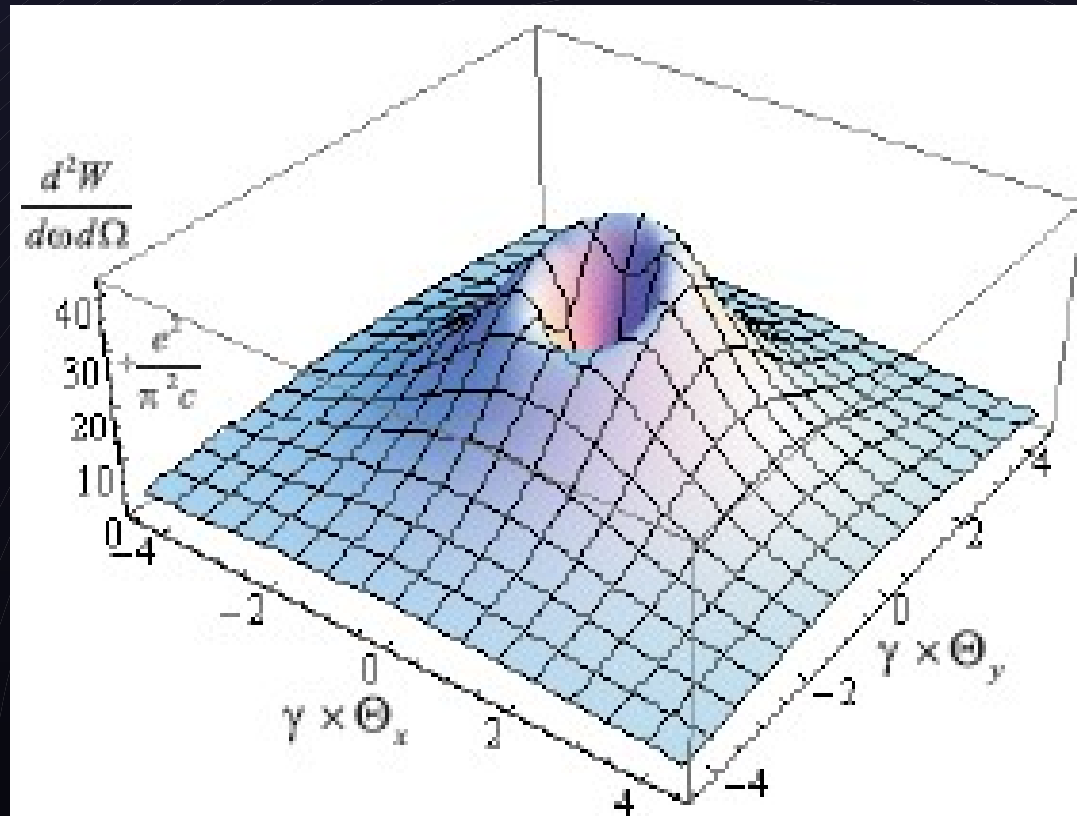
$$\hat{\mathbf{E}}^0(\hat{\mathbf{r}}, \omega) = \frac{e\omega}{\pi v^2 \gamma} \left( \frac{\hat{\rho}}{\rho} K_1 \left[ \frac{\omega \hat{\rho}}{v\gamma} \right] - \frac{i v}{\gamma v} K_0 \left[ \frac{\omega \hat{\rho}}{v\gamma} \right] \right) e^{i \frac{\omega}{v} z}, \quad \hat{\rho} = \{x, y\}$$

Plays role at moderate energies and at oblique incidence

See e.g.:

D.V. Karlovets, A.P. Potylitsyn,  
*JETP 106, No.6, p. 1045, 2008*

$$\gamma = 12, \alpha = \pi/4$$



# Is the solution by Kazantsev and Surdutovich exact ?

Simple check of the surface current method:

$$\mathbf{E}^R(\mathbf{r}_0, \omega) = -\frac{i}{\omega r_0} e^{ikr_0} \mathbf{k} \times \mathbf{k} \times \int \mathbf{j}_s^e(\mathbf{r}, \omega) e^{-i(\mathbf{k}\mathbf{r})} dS.$$

Surface current is found from the ordinary boundary conditions:

$$\mathbf{j}_s^e(\mathbf{r}, \omega) = \frac{c}{2\pi} \mathbf{n} \times \mathbf{H}^0 - \frac{1}{2\pi} \mathbf{n} \times \int \mathbf{j}_s^e(\mathbf{r}', \omega) \times (\mathbf{r} - \mathbf{r}') \left( ik - \frac{1}{|\mathbf{r} - \mathbf{r}'|} \right) \frac{e^{ik|\mathbf{r} - \mathbf{r}'|}}{|\mathbf{r} - \mathbf{r}'|^2} dS',$$

See e.g. *V.A. Fock, Electromagnetic diffraction and propagation problems, Pergamon press, London, 1965*

# Surface current method for backward TR at normal incidence

$$\frac{d^2W}{d\omega d\Omega} = cr_0^2 |\mathbf{E}^R(\mathbf{r}_0, \omega)|^2 = \frac{e^2 \beta^4 \sin^2 \theta \cos^2 \theta}{\pi^2 c (1 - \beta^2 \cos^2 \theta)^2}$$

$\beta^2 \cos^2 \theta$  - extra term !

For TR at the oblique incidence the error  
of this method is **much greater** !

Particularly, there is no «right» asymmetry  
in TR angular distributions

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## The supposed reason:

the ordinary (Fock's) surface current method is suitable *only* for plane electromagnetic waves but *not* suitable for the solution of *inhomogeneous* Maxwell's equations

## The proof:



The Fock's integral equation for surface current is found using the so-called *Double current sheet* method

see e.g.:

*J.A. Cullen, Phys. Rev. 109, No.6, p.1863, 1958,*  
*and also: W.R. Smythe, Phys. Rev. 72, No. 11, p.1066, 1947*

# Double current sheet method

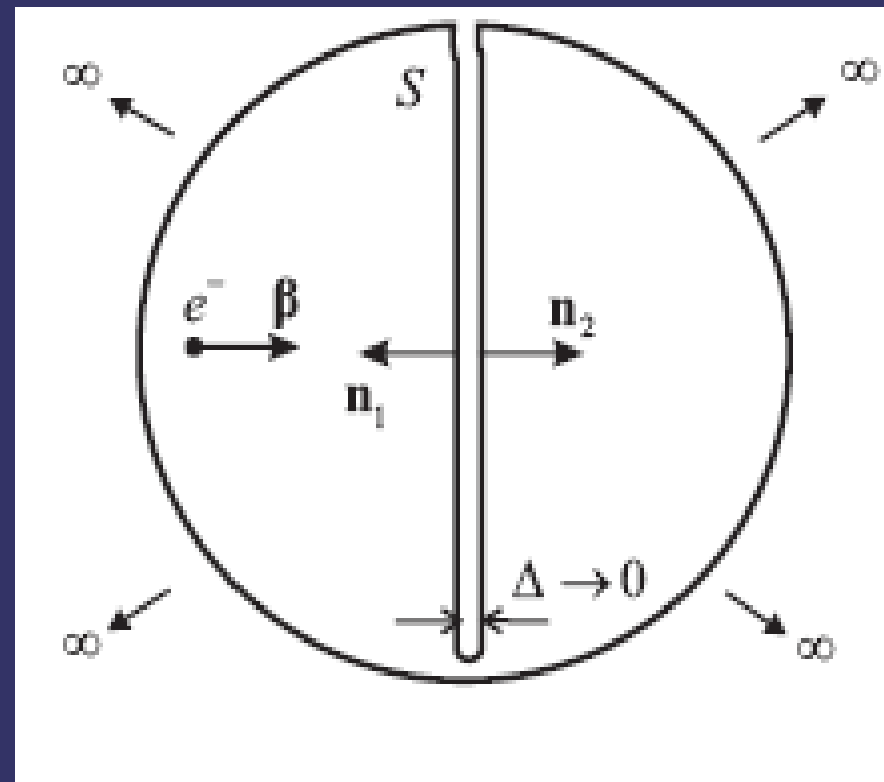
The physical sense:

1. Double *electric* sheet – corresponds to the surface current of *electric dipoles*,
2. Double *magnetic* sheet – corresponds to the surface current of *magnetic dipoles*

See e.g.:

*I.E. Tamm, Fundamentals of the theory of electricity, Moscow, MIR, 1979*

The Fock's surface current method corresponds to double *electric* sheet



# Double current sheet method

$$\mathbf{E}^R(\mathbf{r}_0, \omega) = \frac{i}{k} \left( \vec{\nabla}_0(\vec{\nabla}_0) + k^2 \right) \frac{1}{2\pi} \int_S [\mathbf{n}, \mathbf{H}^R] g dS,$$

$$\mathbf{H}^R(\mathbf{r}_0, \omega) = \vec{\nabla}_0 \times \frac{1}{2\pi} \int_S [\mathbf{n}, \mathbf{H}^R] g dS,$$

Integral equations  
for double  
electric sheet  
(ordinary  
*Fock's method*)

$$\mathbf{E}^R(\mathbf{r}_0, \omega) = \vec{\nabla}_0 \times \frac{1}{2\pi} \int_S [\mathbf{n}, \mathbf{E}^R] g dS,$$

$$\mathbf{H}^R(\mathbf{r}_0, \omega) = -\frac{i}{k} \left( \vec{\nabla}_0(\vec{\nabla}_0) + k^2 \right) \frac{1}{2\pi} \int_S [\mathbf{n}, \mathbf{E}^R] g dS,$$

Integral equations  
for double  
magnetic sheet  
(*dual method*)

See similar method in:

*J.D. Jackson, Classical electrodynamics, 3d ed., Willey, 1999*

and more detailed:

*D.V. Karlovets, A.P. Potylitsyn, JETP 134, No. 5, p. 887, 2008*

# Double current sheet method

In the case of TR or DR the total fields consist of radiation field and particle's own field:

$$[\mathbf{n}, \mathbf{E}^R] = [\mathbf{n}, \mathbf{E}] - [\mathbf{n}, \mathbf{E}^0], [\mathbf{n}, \mathbf{H}^R] = [\mathbf{n}, \mathbf{H}] - [\mathbf{n}, \mathbf{H}^0]$$

The integral equations for current density **no more** correspond to the ordinary Fock's equation !

$$\mathbf{j}_s^e(\mathbf{r}, \omega) = \frac{c}{2\pi}[\mathbf{n}, \mathbf{H}^0] - \frac{1}{2\pi}\mathbf{n} \times \int [\mathbf{j}_s^e(\mathbf{r}', \omega), \vec{\nabla} g] d\acute{S}_{sc} + \frac{c}{4\pi^2}\mathbf{n} \times \int [[\mathbf{n}, \mathbf{H}^0], \vec{\nabla} g] d\acute{S}_{sc}$$

Additional terms: 

$$\mathbf{j}_s^m(\mathbf{r}, \omega) = \frac{c}{2\pi}[\mathbf{n}, \mathbf{E}^0] - \frac{1}{2\pi}\mathbf{n} \times \int [\mathbf{j}_s^m(\mathbf{r}', \omega), \vec{\nabla} g] d\acute{S}_{ap} + \frac{c}{4\pi^2}\mathbf{n} \times \int [[\mathbf{n}, \mathbf{E}^0], \vec{\nabla} g] d\acute{S}_{ap}$$

The use of magnetic currents here is physically possible due to the following fact:

*In vacuum a magnetic dipole is completely equivalent to a Dirac dipole i.e. a pair of magnetic charges*

See details in:

I.M. Frank, Sov. Phys. Usp. **27**, p. 772-785, 1984,

and our poster:

*«On a dual symmetry in some-boundary-value problems of classical electrodynamics»* by D.V. Karlovets

This fact was indicated **neither** in the recent work by Shkvarunets and Fiorito (Phys. Rev. ST – AB 11, p. 012801, 2008)

**nor** in the classical works on diffraction (e.g. S.A. Schelkunoff, Phys. Rev. **56**, p. 308, 1939)

# Double current sheet method

Solution of *new* integral equations allows to write down the final expressions for radiation field

$$\mathbf{E}_{ap}^R(\mathbf{r}_0, \omega) = -\frac{i}{k} \left( \vec{\nabla}_0(\vec{\nabla}_0) + k^2 \right) \frac{1}{2\pi} \int_{S_{ap}} [\mathbf{n}, \mathbf{H}^0] g dS_{ap},$$
$$\mathbf{H}_{ap}^R(\mathbf{r}_0, \omega) = -\vec{\nabla}_0 \times \frac{1}{2\pi} \int_{S_{ap}} [\mathbf{n}, \mathbf{H}^0] g dS_{ap},$$



For double  
electric sheet

$$\mathbf{E}_{sc}^R(\mathbf{r}_0, \omega) = -\vec{\nabla}_0 \times \frac{1}{2\pi} \int_{S_{sc}} [\mathbf{n}, \mathbf{E}^0] g dS_{sc},$$
$$\mathbf{H}_{sc}^R(\mathbf{r}_0, \omega) = \frac{i}{k} \left( \vec{\nabla}_0(\vec{\nabla}_0) + k^2 \right) \frac{1}{2\pi} \int_{S_{sc}} [\mathbf{n}, \mathbf{E}^0] g dS_{sc},$$



For double  
magnetic sheet

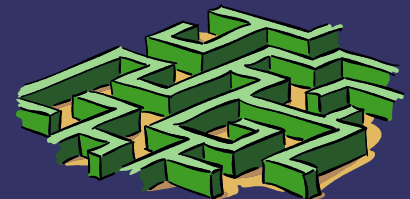
# Double current sheet method

In the presence of external sources (particle)  
the dual symmetry for *secondary* sources  
**is broken !**

And one of the methods  
(ordinary – electric *or* dual – magnetic)  
**doesn't work**

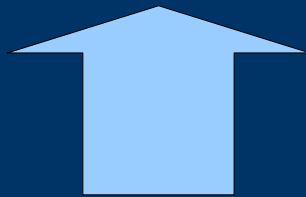
See details in:

*D.V. Karlovets, A.P. Potylitsyn, JETP 134, No. 5, p. 887, 2008*



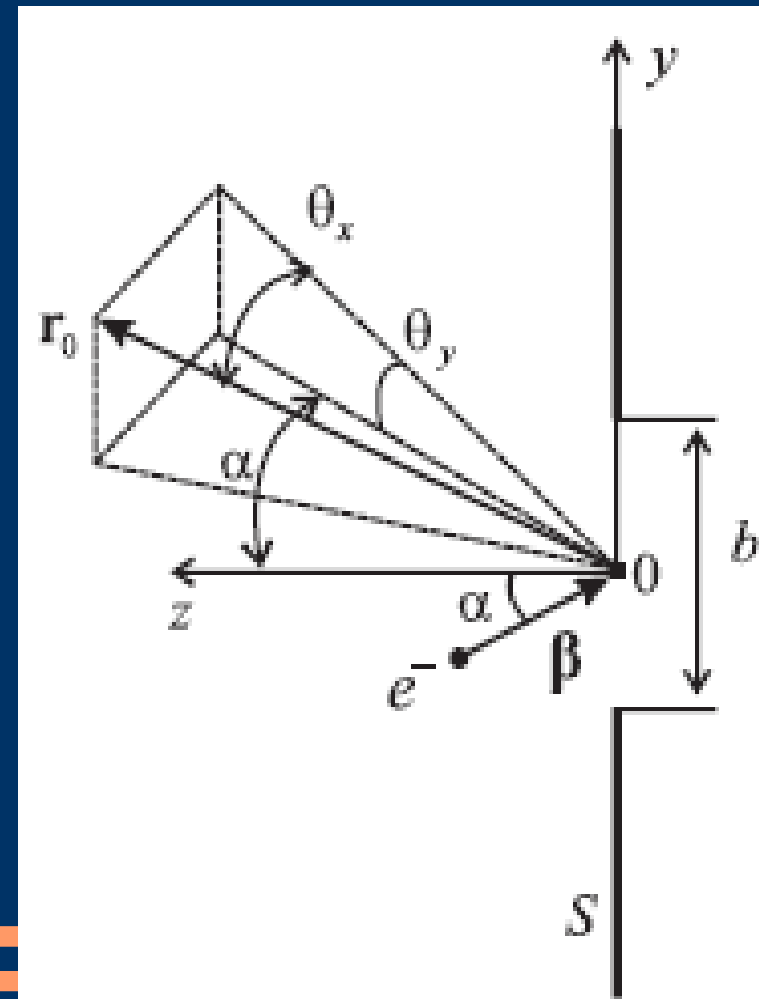
# Example 1: DR for a slit

$$\left. \frac{d^2W}{d\omega d\Omega} \right|_{b=0} = \frac{e^2 \beta^2 \cos^2 \alpha (e_x^2 + e_y^2 - 2\beta e_y \sin \alpha + \beta^2 \sin^2 \alpha (e_y^2 + e_z^2))}{\pi^2 c [(\sin \alpha - \beta e_y)^2 + \cos^2 \alpha (1 - \beta^2 (e_y^2 + e_z^2))]^2}$$



*Solution for a zero-width slit  
is found to be*

**This is exactly the same formula  
derived by Korkhmazyan  
and Pafomov !**





# Example 1: DR for a slit

$$\gamma = 10$$

$$\alpha = \pi/4$$

$$\theta_x = 0$$

Solid curve -

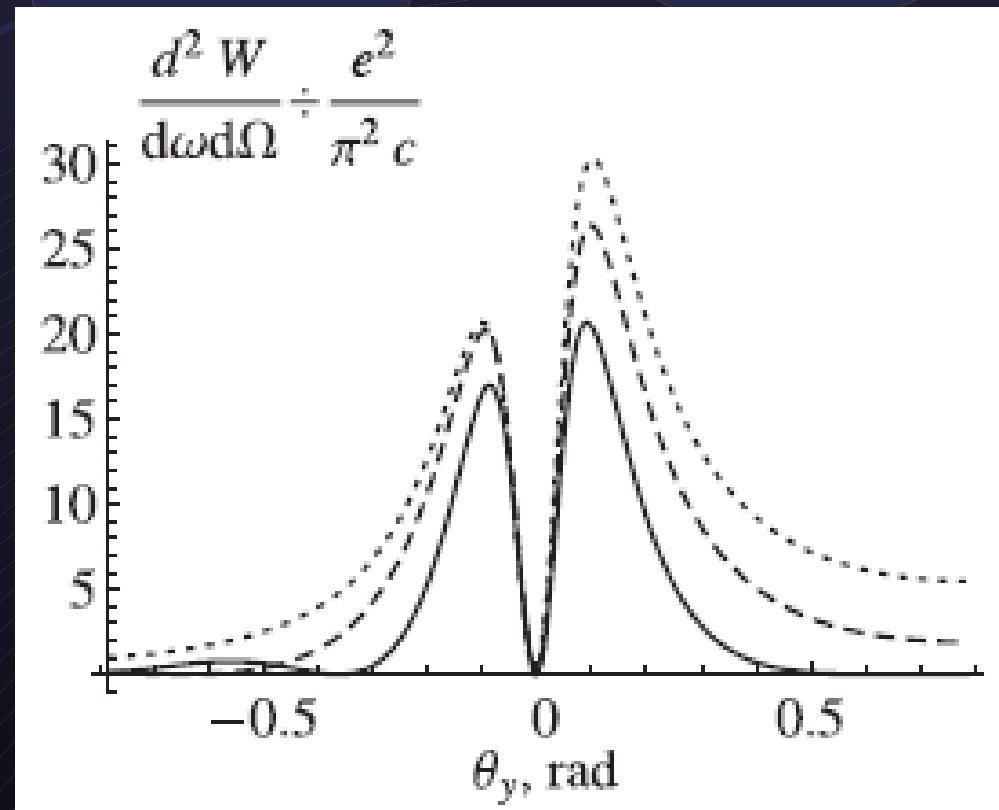
$$b = 0.2\gamma\lambda$$

Dashed curve -

$$b = 0.1\gamma\lambda$$

Dotted curve -

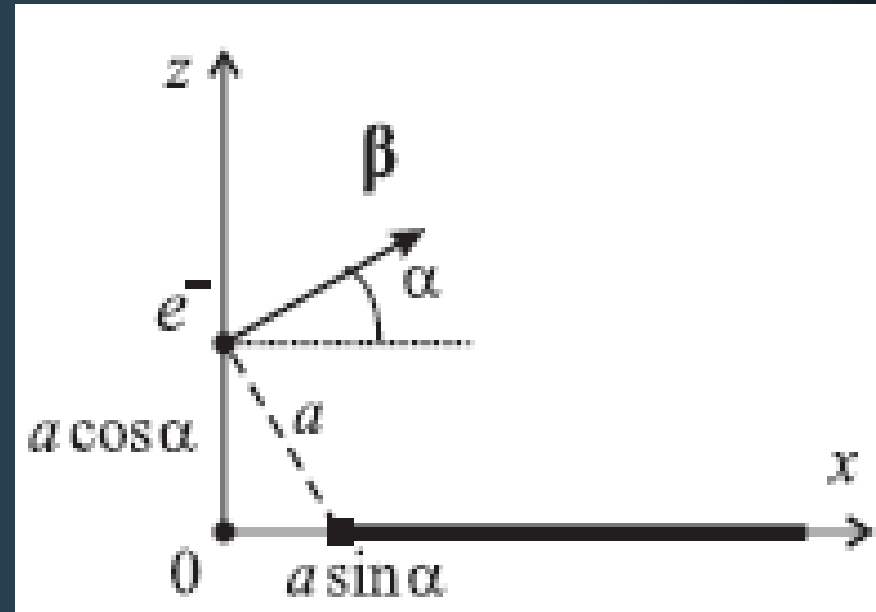
$$b = 0.01\gamma\lambda$$



Unlike DR and TR by surface current method,  
**this method provides complete agreement with TR theory  
and predicts «right» asymmetry**

## Example 2: DR for a semi-plane

Let's compare results  
for a semi-plane  
by the *double current sheet method*  
with those ones  
by Kazantsev and Surdutovich  
(*surface current method*)

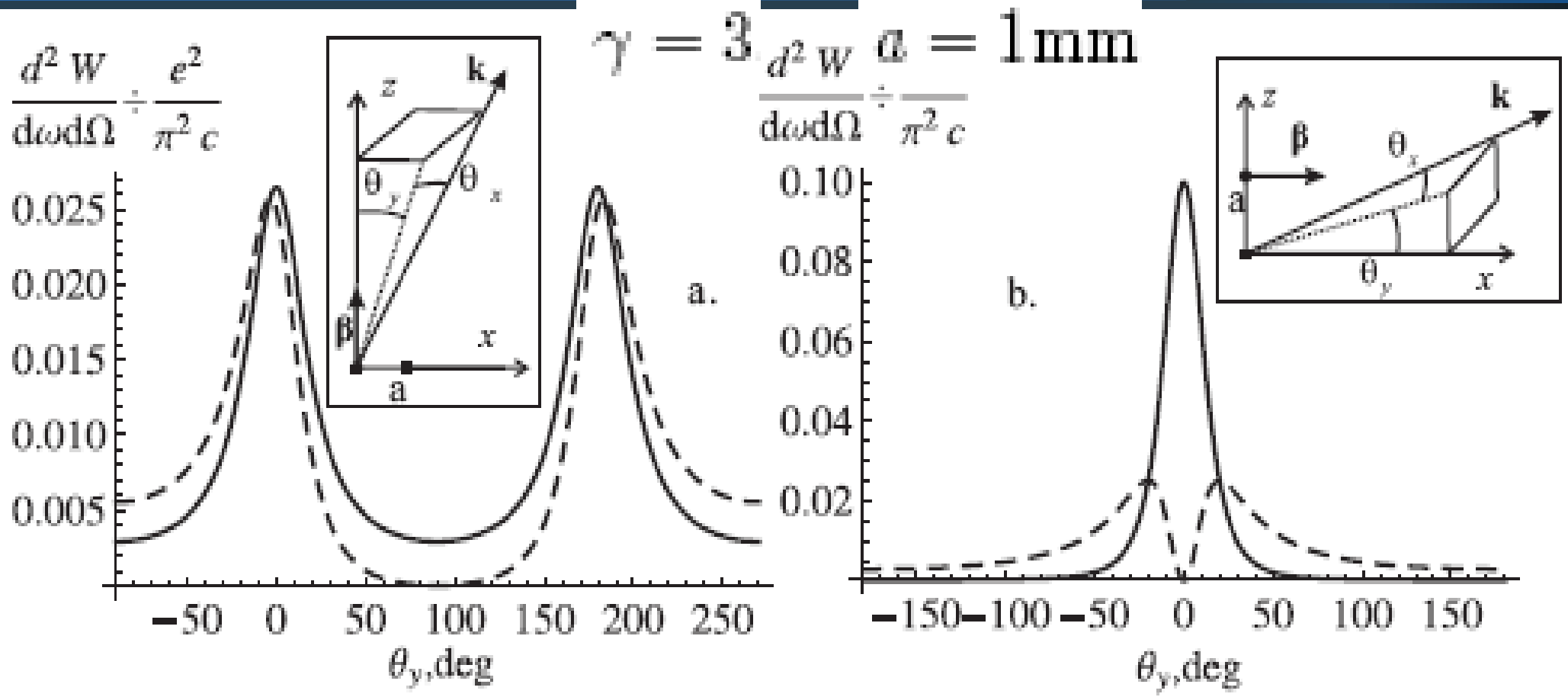


*By the d. c. s. method in the wave zone:*

$$\frac{d^2W}{d\omega d\Omega} = cr_0^2 |\mathbf{E}^R|^2 = \frac{e^2}{\pi^2 c^4 (1 + (\beta\gamma e_y)^2)} \frac{1}{[(\cos \alpha / \beta - e_x)^2 + \sin^2 \alpha ((\beta\gamma)^{-2} + e_y^2)]} \times \left[ (e_x^2 + e_z^2) (\cos^2 \alpha (\beta\gamma)^{-2} + \beta^{-2} \sin^2 \alpha (1 + (\beta\gamma e_y)^2)) + \gamma^2 e_y^2 (e_y^2 + e_z^2) + 2\beta^{-1} e_x e_y^2 \cos \alpha \right] e^{-a \frac{2\omega}{v\gamma} \sqrt{1 + (\beta\gamma e_y)^2}}, \quad (2.41)$$

# Comparison

(solid line — d.c.s. method, dashed line — s.c. method)



$$\frac{d^2 W_2}{d\omega d\Omega} / \frac{d^2 W_1}{d\omega d\Omega} = \beta(1 - \sin \theta)$$

$$\frac{d^2 W_2}{d\omega d\Omega} / \frac{d^2 W_1}{d\omega d\Omega} = \frac{\beta(1 - \cos \theta)}{1 - \beta} \Big|_{\gamma \gg 1} \approx$$

**S.C. method / D.C.S. method**

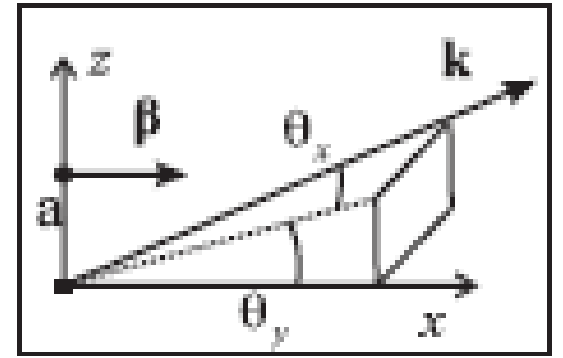
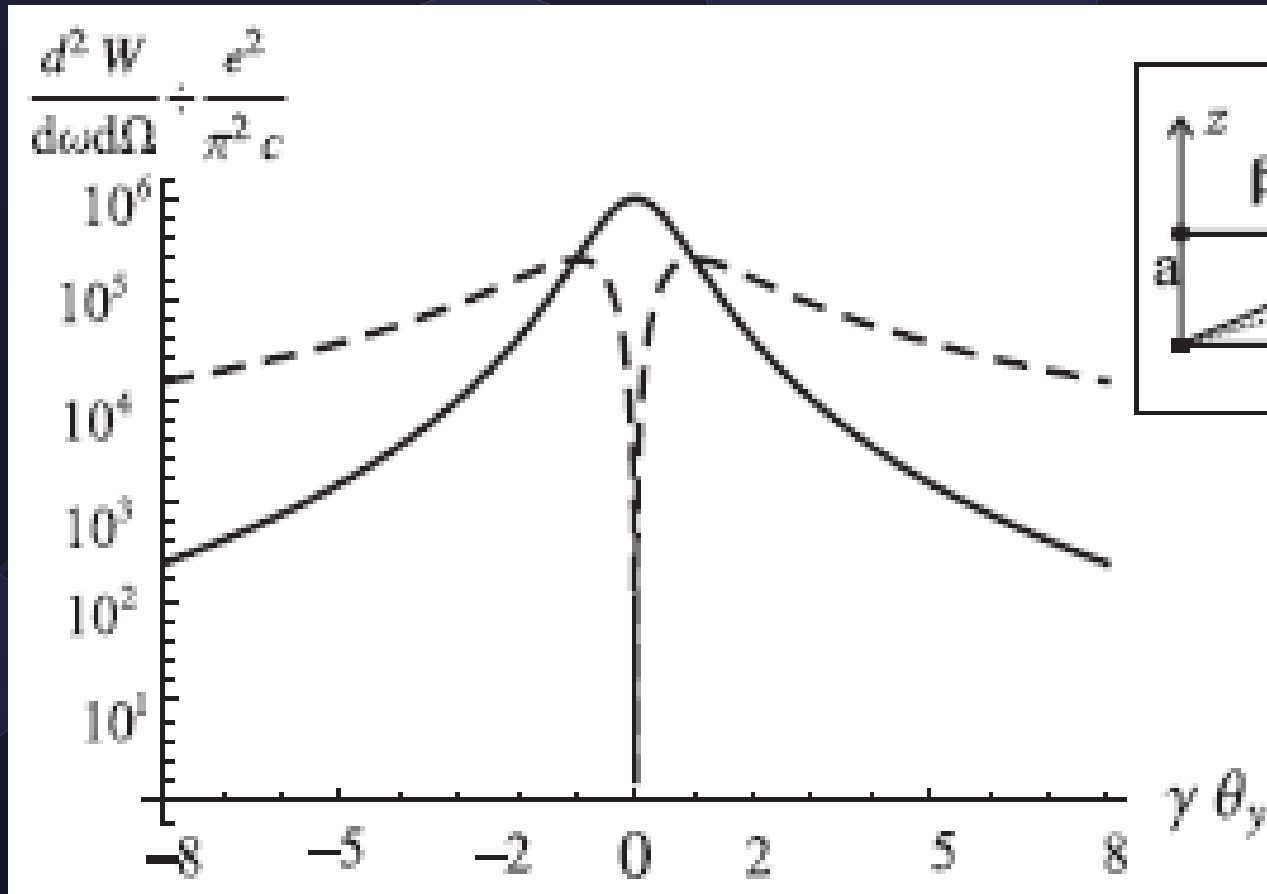
$$\approx 2\gamma^2(1 - \cos \theta)$$

# Comparison

(solid line — d.c.s. method, dashed line — s.c. method)

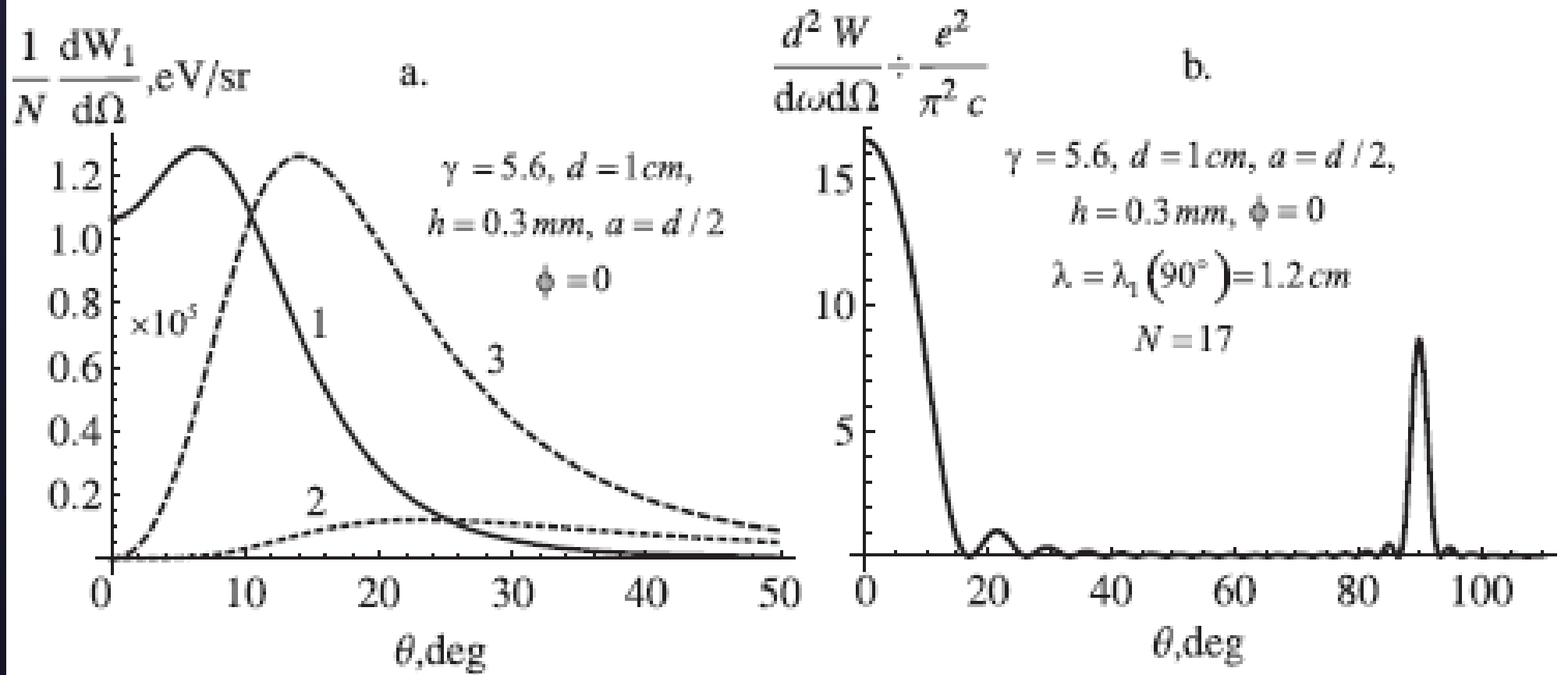
$$\gamma = 10^3$$

$$a = 1\text{mm}$$



**Orders of magnitude,  
even for the ultrarelativistic case !**

# Example 3: Smith-Purcell radiation



**Solid line** — double current sheet method,  
**Dashed** — surface current model by Brownell, Walsh and Doucas,  
 Phys. Rev. E **57**, No.1, p.1075, 1998;  
**Dotted** — surface current method by Fock  
 (used by Kazantsev and Surdutovich).

# Example 3: Smith-Purcell radiation

**High intensity forward directed radiation !**

Similar behavior was observed by Woods et al.  
*Phys. Rev. Lett.* 74, No. 19, p. 3808, 1995  
within angles *Theta* > 20 deg.

$$\gamma = 5.6$$

**More recently** by Kalinin et al.  
*Nucl. Instrum. Meth. B* 252, p. 62, 2006  
within angles *Theta* < 20 deg.

$$\gamma = 12$$

# Conclusion

1. The well-known surface current method is suitable *only* for plane-wave diffraction,
2. Solutions for TR and DR obtained by this method are *approximate* and the region of their validity is defined by the equalities:

$$(\beta, n) \approx 1, \quad \cos \Theta \approx 1,$$

3. The exact solutions are found for DR from:
  - a. Semi-plane,
  - b. Slit,
  - c. Hole in a screen,
  - d. Grating (SPR).

These solutions are found using proposed **double current sheet** method, where the role of the radiation source is played by the surface current of electric or magnetic dipoles.

4. The *exact transition* between DR and TR formulas is found for the case of a zero-width slit in the infinite screen.